

ON THE INTERSECTION OF ACM CURVES IN \mathbb{P}^3

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ABSTRACT. Bezout's theorem gives us the degree of intersection of two properly intersecting projective varieties. As two curves in \mathbb{P}^3 never intersect properly, Bezout's theorem cannot be directly used to bound the number of intersection points of such curves. In this work, we bound the maximum number of intersection points of two integral ACM curves in \mathbb{P}^3 . The bound that we give is in many cases optimal as a function of only the degrees and the initial degrees of the curves.

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1. INTRODUCTION

In this paper we investigate the intersection of space curves. For varieties of complementary dimension in a projective space, their intersection is governed by Bezout's theorem: Thus two curves, of degrees d and e , in the plane intersect in de points. Space curves do not ordinarily intersect. So we are led to pose the following question:

Question 1.1. Fixing some invariants of two (integral) curves C_1 and C_2 in the projective 3-dimensional space \mathbb{P}^3 , what is the maximum number of intersection points of two such curves?

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Since the genus of the union $C_1 \cup C_2$ of two curves is determined by the genus of C_1 and C_2 individually and the number of their intersection points, our question is equivalent to

Question 1.2. Fixing some invariants of two (integral) curves C_1 and C_2 in \mathbb{P}^3 , what is the maximum genus of the union of two such curves?

In this form our question is a generalization to reducible curves of the bounds known for irreducible curves by the work of many authors - the so-called Castelnuovo theory and the Halphen problem.

In searching for answers to our questions, various other interesting questions arise. Is the maximum number of intersection points always attained when the two curves are in a common surface of the lowest degree that can contain both curves? If the maximum is attained, is the union of the two curves necessarily arithmetically Cohen-Macaulay? What can we say about the set of points $T = C_1 \cap C_2$ in the case of a maximum intersection?

A complete answer to all these questions becomes quite complicated, depending on what is assumed about the initial curves C_1 and C_2 . Therefore, we will pay special attention to situations in which restrictive hypotheses make possible a more concise answer. So for example if C_1 and C_2 are both complete intersection curves, a complete answer can be found by elementary means (see §2). If C_1 and C_2 are both arithmetically Cohen-Macaulay (ACM for short) curves we can give good answers in many cases. The answers in general will fall into two parts: one is to establish an upper bound for the number of intersection points; the other is to ask whether this bound is actually attained for certain classes of curves.

There seems to be scant attention to these questions in the literature. If one of the curves is a line, we are asking for the maximum order of a multisection line; this has been studied in various cases [12], [17], [25] and [15]. Giuffrida in [10] and Diaz in [5] proved that the number of intersection points of two smooth non-planar irreducible curves C_1 and C_2 in \mathbb{P}^3 of degrees d_1 and d_2 , respectively, is bounded by $(d_1 - 1)(d_2 - 1) + 1$ and the maximum is reached only if C_1 and C_2 are both on the same quadric surface. And a result of the second author with Ranestad in [24] showed the existence of certain ACM curves with conjectured maximum order of intersection.

While many questions about space curves seem impossibly complicated in general, there is the feeling that for ACM curves one should find reasonable answers. Thus the possible degrees, genus, postulation, and Hilbert schemes of ACM curves are known, and depend only on certain numerical invariants. For instance, the gonality of a general ACM curve has been studied in [15], the multisection lines to ACM curves have been studied by Nollet in [25] and Ellia has studied the normal bundle to ACM curves in [7].

Our motivation for this work was the hope that this study of the intersection of ACM curves may help in finding the Gorenstein liaison class of finite sets of points in \mathbb{P}^3 (cf. [16]).

Next we outline the structure of the paper. In section 2, we treat the case of complete intersection curves, where a complete answer can be obtained by elementary means. In section 3, we recall various numerical invariants associated to ACM curves, and we recall an important decomposition theorem (see Theorem 3.9) for curves whose hyperplane section has a biliaison type with a gap. In section 4 we get bounds on the genus of the union of two ACM curves, which also give us bounds on their number of intersection points. For example we prove (see Theorem 4.4) that if the biliaison character of the hyperplane section of $C_1 \cup C_2$ has no gaps, then

$$p_a(C_1 \cup C_2) \leq G_{CM}(d_1 + d_2, \max\{s_1, s_2\}).$$

In section 5, we give some existence theorems for smooth curves and good surfaces that contain them. Then in section 6 we study linked curves, showing the existence of smooth linked curves with given h -vectors having the maximum number of intersection points (see Theorem 6.2). This result enables us to prove an old conjecture of the second author with Ranestad [24, Conjecture 4.5 (a)].

In section 7 we consider "ordinary" ACM curves, those whose general hyperplane section consists of points in general position, and we compute the maximum number of intersection points of two of them.

We end with a short section of remaining open problems.

Throughout this paper we work over an algebraically closed field of arbitrary characteristic (except where otherwise noted). By the intersection of two curves C_1 and C_2 we mean the scheme-theoretic intersection $T = C_1 \cap C_2$ and by the number of intersection points $\#(C_1 \cap C_2)$ we mean the length of the zero-dimensional scheme T .

2. COMPLETE INTERSECTION CURVES

In this section we will consider the special case of complete intersection curves, where the results are elementary, to serve as an example and as a model for what we seek to achieve in more general cases.

If C is a complete intersection of two surfaces of degrees s and t in \mathbb{P}^3 , we will write $C = s \times t$ for short.

Theorem 2.1. *Let C_1 and C_2 be distinct integral complete intersection curves $s_1 \times t_1$ and $s_2 \times t_2$. We assume $s_1 \leq t_1$, $s_2 \leq t_2$ and $s_1 \leq s_2$.*

- (a) *If $s_1 = s_2 = s$, then $\#(C_1 \cap C_2) \leq st_1t_2$.*
- (b) *If $s_1 < s_2$ and $t_1 \geq t_2$, then $\#(C_1 \cap C_2) \leq s_1s_2t_2$.*
- (c) *If $s_1 < s_2$ and $s_2 < t_1 < t_2$, then $\#(C_1 \cap C_2) \leq s_1s_2t_1$.*
- (d) *If $s_1 < s_2$ and $t_1 \leq s_2$, then $\#(C_1 \cap C_2) \leq s_1t_1t_2$.*

Furthermore, in each case the bounds are attained by smooth curves C_1, C_2 meeting transversally, and when they are, $C_1 \cup C_2$ will be an ACM curve, and the intersection $T = C_1 \cap C_2$ will be a complete intersection zero-dimensional scheme.

Proof. (a) First we suppose that there is a common surface S of degree s containing both C_1 and C_2 . Then $C_1 \sim t_1H$ and $C_2 \sim t_2H$ where H is a hyperplane section of S . Thus the intersection number $C_1 \cdot C_2$ is $t_1t_2H^2 = st_1t_2$. This shows that the bound can be attained, and in this case $C_1 \cup C_2 = (t_1 + t_2)H$ is a complete intersection $s \times (t_1 + t_2)$, and the intersection $T = C_1 \cap C_2$ is a complete intersection $s \times t_1 \times t_2$. Taking S to be a smooth surface, and taking C_1 and C_2 general, we may assume that C_1 and C_2 are smooth, meeting transversally. Now suppose there is no such common surface S of degree s . Let $C_1 \subset S$ and $C_2 \not\subset S$. Then $C_1 \cap C_2 \subset S \cap C_2$ which has degree $s(\deg(C_2)) = s^2t_2$ by Bezout's theorem. Since $s \leq t_1$, this is less than st_1t_2 and the first case gives the maximum intersection.

(b) Since $s_1 < s_2$ and C_2 is irreducible, C_2 cannot be contained in a surface S_1 of degree s_1 containing C_1 . Thus $C_1 \cap C_2 \subset S_1 \cap C_2$ which has degree $s_1s_2t_2$. This proves the bound. If $t_2 \leq t_1$, this bound can be attained by choosing a surface S of degree t_1 containing C_2 . Then, $C_1 \sim s_1H$ on S . So, $C_1 \cdot C_2 = s_1(C_2H)$ has degree $s_1(\deg(C_2)) = s_1s_2t_2$. Since C_2 is a complete intersection $s_2 \times t_2$, its ideal sheaf \mathcal{I}_{C_2} is generated by global sections in degrees $\geq t_2$. Taking C_2 smooth, we can then find a smooth surface S of degree t_1 containing C_2 , and thus C_1 and C_2 smooth meeting transversally. In this case $C_1 \cup C_2$ is obtained from C_2 by a biliaison of height s_1 on S . Therefore it is ACM, but not necessarily a complete intersection. The intersection T is however, a complete intersection $s_1 \times s_2 \times t_2$.

(c) If $s_1 < s_2 < t_1 < t_2$, then C_1 cannot be contained in a surface S_2 of degree s_2 containing C_2 . So $C_1 \cap C_2 \subset C_1 \cap S_2$ which has degree $s_1s_2t_1$. This bound can be attained by taking a surface S of degree t_2 containing both C_1 and C_2 , in which case $C_2 \sim s_2H$. So, $C_1 \cdot C_2 = s_2(\deg(C_1)) = s_1s_2t_1$. In this case as in case (b), $C_1 \cup C_2$ is ACM and T is a complete intersection. In this case, as in (d) below, the existence of C_i smooth is similar.

(d) If there is a surface S_2 of degree s_2 containing C_1 and C_2 , then $C_2 \sim t_2H$ on S_2 . So, $C_1 \cdot C_2 = t_2(C_1H)$ has degree $t_2(\deg(C_1)) = s_1t_1t_2$. If there is no such surface S_2 , then $C_1 \not\subset S_2$, so $C_1 \cap C_2 \subset C_1 \cap S_2$ which has degree $s_1t_1s_2$ which is less than $s_1t_1t_2$. In the maximum case $C_1 \cup C_2 \sim C_1 + t_2H$ is a biliaison of height t_2 from C_1 hence it is ACM. \square

Remark 2.2. These results illustrate and suggest the following more general question: If C_1 and C_2 are ACM curves in \mathbb{P}^3 with maximum number of intersection points, is the union $C_1 \cup C_2$ necessarily an ACM curve? We will see that the answer is yes in many cases. On the other hand, it is rare that the intersection $T = C_1 \cap C_2$ is a complete intersection scheme, but we can ask, what special properties does T have? See discussion in section 8.

Remark 2.3. It is instructive to consider the case when C_1 is a line. In this case we are asking for the maximal order of a multisection line to a complete intersection curve C_2 . Theorem 2.1(d) tells us that the maximum order of a multisection line is t_2 , which is consistent with Nollet's determination of the maximum order of a multisection line to any ACM curve (see [25, Corollary 1.6]). On the other hand, for a *general* complete intersection curve with $s \geq 4$ (with few exceptions), the maximum order of a multisection is 4 (see [15, Theorem 1.4]).

We can illustrate this result by an example. Take C_1 a line and C_2 a complete intersection 4×7 . Then C_2 is contained in a unique quartic surface S . If C_2 is general, the quartic surface must be also general. Since a general quartic surface does not contain a line, the maximum number of intersection points is $L \cdot S = 4$. On the other hand, if we take a special quartic surface containing a line L , then C_2 will be $7H$ on S , and $L \cdot C_2 = 7 = t_2$. So when we compute intersections of space curves in general, we should expect that the maximum intersection will be attained only by curves that are special in their Hilbert scheme.

3. NUMERICAL INVARIANTS AND THE DECOMPOSITION THEOREM

In order to proceed, we need to make use of certain numerical invariants of ACM curves. In the literature there have been various different ways of encoding this information: the numerical character of Gruson and Peskine [12], the postulation character of [19], the h -vector [18] and the biliaison character λ used in [15]. We will use the latter two in this paper, though all four systems can be easily translated from one to the other.

Given a curve C in \mathbb{P}^3 with homogeneous ideal I_C and coordinate ring $R_C = k[x_0, \dots, x_3]/I_C$, we say that C is arithmetically Cohen-Macaulay (ACM for short) if R_C is a Cohen-Macaulay ring. We define the Hilbert function of an ACM curve C in \mathbb{P}^3 by $H_C(\ell) = \dim_k(R_C)_\ell$, and we define the h -vector of C as $h_C(\ell) = \partial^2 H_C(\ell)$, where ∂ is the difference function. If Z is a 0-scheme in \mathbb{P}^2 , we define its h -vector analogously: $h_Z(\ell) = \partial H_Z(\ell)$. It is clear that an ACM curve and its general plane section have the same h -vector.

Definition 3.1. A numerical function $h : \mathbb{Z} \rightarrow \mathbb{Z}$ is *C2-admissible* if it has the following properties for some integer $s \geq 1$:

$$\begin{cases} h(n) = 0 \text{ for } n < 0 \\ h(n) = n + 1 \text{ for } 0 \leq n \leq s - 1, \\ h(n) \geq h(n + 1) \text{ for } n \geq s - 1, \\ h(n) = 0 \text{ for } n \gg 0. \end{cases}$$

Furthermore, h is said to be of *decreasing type* if $h(a) > h(a + 1)$ for some a implies $h(n) > h(n + 1)$ or $h(n) = 0$ for all $n \geq a$.

Theorem 3.2. (a) *If C is an ACM curve in \mathbb{P}^3 , its h -vector is C2-admissible. Furthermore, every C2-admissible numerical function occurs as the h -vector of some ACM curve.*

(b) *If the ACM curve is integral, then its h -vector is of decreasing type. Conversely, if h is a C2-admissible numerical function of decreasing type then there exists a smooth irreducible ACM curve $C \subset \mathbb{P}^3$ with that h -vector.*

Proof. The results are well known and appear many times in the literature in different languages. See for example the book of Migliore [20] for statements and further references.

As far as we can tell part (b) was first proved in [12] using the numerical character. In that language the condition that an h -vector should be of decreasing type is equivalent to the condition that the numerical character should have no gaps. \square

From now on, given any curve C in \mathbb{P}^3 we denote by $s(C)$ the least degree of a surface containing C , i.e.

$$s(C) = \inf\{\ell \in \mathbb{Z} \mid I_{C,\ell} \neq 0\}$$

and we call it the *initial degree* of C ; we denote by $e(C)$ the *index of speciality* of C

$$e(C) = \sup\{\ell \in \mathbb{Z} \mid H^1(C, \mathcal{O}_C(\ell)) \neq 0\},$$

and we denote by $t(C)$ the *second ideal degree* of C , namely

$$t(C) = \sup\{\ell \in \mathbb{Z} \mid I_{C,\leq\ell} \text{ is not principal}\}.$$

The fundamental numerical invariants of an ACM curve can be easily computed using the h -vector. In fact, we have (see, for instance, [20])

Proposition 3.3. *Let C be an ACM curve in \mathbb{P}^3 with h -vector $h(n) = c_n$, so we can write $h = \{c_0 = 1, c_1, c_2, \dots, c_b\}$ where $b = \sup\{n \in \mathbb{Z} \mid h(n) > 0\}$. Then*

$$\begin{aligned} \deg(C) &= \sum_{i=0}^b c_i, \\ p_a(C) &= \sum_{i=2}^b (i-1)c_i, \\ s(C) &= \inf\{n \in \mathbb{Z} \mid c_n < n+1\}, \\ t(C) &= \inf\{n \geq s \mid c_n < s\}, \\ e(C) &= b-2, \\ \text{reg}(C) &= b+1. \end{aligned}$$

We will also use the biliaison type λ of an ACM curve.

Definition 3.4. For any C2-admissible numerical function h we define

$$k_i = \#\{n \mid h_C(n) \geq s+1-i\} \text{ for } 1 \leq i \leq s.$$

The sequence $\lambda = \{k_1, k_2, \dots, k_s\}$ is called the associated *biliaison type*.

Remark 3.5. The biliaison type gets its name from the property that an ACM curve C in \mathbb{P}^3 with biliaison type $\lambda_C = \{k_1, k_2, \dots, k_s\}$ is obtained by a sequence of special biliaisons of height one from the empty curve, on surfaces of degrees k_i [15, Corollary 7.4]. In terms of the biliaison type $\lambda_C = \{k_1, k_2, \dots, k_s\}$ we have

$$\begin{aligned} \deg(C) &= \sum_{i=1}^s k_i, \\ p_a(C) &= 1 + \sum_{i=1}^s \frac{k_i(k_i-3)}{2} + \sum_{i=1}^s (s-i)k_i, \\ s(C) &= s = \text{length}(\lambda_C), \\ t(C) &= s + k_1 - 1, \\ e(C) &= k_s - 3, \\ \text{reg}(C) &= k_s. \end{aligned}$$

In this language, Theorem 3.2 says that for any ACM curve C in \mathbb{P}^3 , $\lambda_C = \{k_1, k_2, \dots, k_s\}$ is a strictly increasing set of positive integers, and conversely, any such set of positive integers $k_1 < k_2 < \dots < k_s$ occurs as the biliaison type λ of some ACM curve C in \mathbb{P}^3 . We say that $\lambda = \{k_1, k_2, \dots, k_s\}$ has a gap if $k_{i+1} - k_i \geq 3$ for some $1 \leq i \leq s-1$. The condition that an h -vector is of decreasing type is equivalent to saying that the biliaison type λ has no gaps.

The study of ACM curves whose h -vector is not of decreasing type was started by Davis in [4]. In the language of the biliaison type λ his main result is

Theorem 3.6. *Let C be an ACM curve in \mathbb{P}^3 whose biliaison type $\lambda_C = \{k_1, k_2, \dots, k_s\}$ has a gap at t , so that $k_{t+1} \geq k_t + 3$. Then C has an ACM curve subcurve D with $\lambda_D = \{k_{t+1}, \dots, k_s\}$ and the residual curve B is also ACM and has $\lambda_B = \{k_1, \dots, k_t\}$.*

Furthermore, in this case $\#(B \cap D) = \deg(B)s(D)$, and also $\deg(B)s(D) < \deg(D)s(B)$.

Proof. For the existence of B and D see [4] or [15, Proposition 7.18] for an alternative proof.

For the second statement, using Remark 3.5, we write out the formulas for $p_a(B \cup D)$, $p_a(B)$, and $p_a(D)$ in terms of the k_i . A simple calculation shows that

$$p_a(B \cup D) = p_a(B) + p_a(D) + (s-t) \left(\sum_{i=1}^t k_i \right) - 1.$$

Then by Lemma 3.7 below, $\#(B \cap D) = (s-t) \left(\sum_{i=1}^t k_i \right) = \deg(B)s(D)$. Note also that

$$(s-t) \left(\sum_{i=1}^t k_i \right) \leq (s-t)tk_t < (s-t)tk_{t+1} \leq t \left(\sum_{i=t+1}^s k_i \right),$$

so $\deg(B)s(D) < \deg(D)s(B)$. □

Lemma 3.7. *Let C_1 and C_2 be curves in \mathbb{P}^3 with no common component. Then*

$$p_a(C_1 \cup C_2) = p_a(C_1) + p_a(C_2) + \#(C_1 \cap C_2) - 1.$$

Proof. See [22, Proposition 4]. □

Everything we have said so far has been for ACM curves in \mathbb{P}^3 , and the above results hold in arbitrary characteristic. When we consider curves in \mathbb{P}^3 that are not necessarily ACM, the analogous results are more subtle, and their proofs often use a hypothesis of characteristic zero. For any curve C in \mathbb{P}^3 , we consider a general hyperplane section $Z = C \cap H$. It is a zero-dimensional scheme in \mathbb{P}^2 , hence Z is ACM and we can speak of the h -vector or the biliaison type of Z . A well-known result is

Theorem 3.8. *If C is an integral curve in \mathbb{P}^3 , then its general hyperplane section Z has an h -vector of decreasing type.*

Proof. The result was proved by Gruson and Peskine in [12]. The result also follows (in characteristic zero) from the theorem of Harris that Z has the Uniform position property [13]. □

The result we will use most in the sequel is what happens in the case of curves whose general hyperplane section has an h -vector not of decreasing type, that is to say, a biliaison type with a gap.

Theorem 3.9. (*Decomposition Theorem*) ($\text{Char}(k) = 0$). *Let C be a (locally Cohen-Macaulay) curve in \mathbb{P}^3 , and suppose that its general hyperplane section Z has a biliaison type $\lambda_Z = \{k_1, k_2, \dots, k_s\}$ with a gap at t , so that $k_{t+1} \geq k_t + 3$. Then C has a subcurve D whose general hyperplane section Z'' has $\lambda_{Z''} = \{k_{t+1}, \dots, k_s\}$. The residual curve B of D in C then has general hyperplane section Z' with $\lambda_{Z'} = \{k_1, \dots, k_t\}$.*

Proof. This result is stated by Beorchia in [1, Lemma 1.7], in the language of the numerical character. For the proof she refers to Strano [26, Lemma 2]. A later paper of Strano [27] states that his earlier proof of Lemma 2 was incorrect. He gives a new proof using Davis's result (Theorem 3.6) for the general hyperplane section Z of C , then lifting the decomposition to \mathbb{P}^3 using a result of Cook [3, Proposition 10], whose proof is attributed to Green [10] (see also [3]). \square

Corollary 3.10. ($\text{Char}(k) = 0$) *Let C_1 and C_2 be integral ACM curves in \mathbb{P}^3 , and let C be the union $C_1 \cup C_2$ (not necessarily ACM). Suppose that the biliaison type $\lambda_Z = \{k_1, k_2, \dots, k_s\}$ of the general hyperplane section Z of C has a gap at t . Then (in one order or the other) $\lambda_{C_1} = \{k_1, \dots, k_t\}$, $\lambda_{C_2} = \{k_{t+1}, \dots, k_s\}$, and $s(C) = s(C_1) + s(C_2)$.*

Proof. According to the Decomposition Theorem, C contains a subcurve D and a residual curve B . Since C is the union of two distinct irreducible ACM curves, we must have $C_1 = B$, $C_2 = D$ in one order or the other. Then $s(C_1) = t$, $s(C_2) = s - t$ and the initial degree $s(C)$ of C , which a priori maybe greater than $s(Z) = s$, is equal to s , because C_1 and C_2 are contained in surfaces of degrees t , $s - t$, respectively. Therefore, $C = C_1 \cup C_2$ is contained in their union, a surface of degree s . \square

4. BOUNDS ON THE GENUS OF REDUCIBLE CURVES

In this section we derive some bounds on the genus of space curves, generalizing the well known results for integral curves. From these bounds we can then derive bounds on the maximum number of intersection points of two ACM curves in \mathbb{P}^3 . Because of Lemma 3.7, to bound the intersection number $\#(C_1 \cap C_2)$ of two curves, it is equivalent to bound the genus of their union, $p_a(C_1 \cup C_2)$. Therefore we will state results whichever way is most convenient.

Lemma 4.1. *Let $C \subset \mathbb{P}^3$ be a (locally CM) curve with Rao module $M = \bigoplus_{\ell} H^1(\mathbb{P}^3, \mathcal{I}_C(\ell))$ and let Z be its general plane section with h -vector h_Z . Let $C' \subset \mathbb{P}^3$ be an ACM curve with h -vector $h_{C'} = h_Z$ and let $N := \text{Ker}(M \xrightarrow{\times h} M(1))$ where h is a general linear form. Then*

$$p_a(C) = p_a(C') - \lambda(N)$$

where $\lambda(N)$ is the length of N . In particular, $p_a(C) \leq p_a(C')$, with equality if and only if C is ACM.

Proof. We consider the exact sequence

$$0 \longrightarrow \mathcal{I}_C(n-1) \longrightarrow \mathcal{I}_C(n) \longrightarrow \mathcal{I}_{Z, \mathbb{P}^2}(n) \longrightarrow 0.$$

Taking cohomology we get

$$0 \longrightarrow H^0(\mathbb{P}^3, \mathcal{I}_C(n-1)) \longrightarrow H^0(\mathbb{P}^3, \mathcal{I}_C(n)) \longrightarrow H^0(\mathbb{P}^2, \mathcal{I}_{Z, \mathbb{P}^2}(n)) \longrightarrow N_{n-1} \longrightarrow 0.$$

Hence we have

$$\partial h^0(\mathcal{I}_C(n)) = h^0(\mathcal{I}_Z(n)) - \lambda(N_{n-1}).$$

Writing the postulation functions

$$\begin{aligned} \psi_C(n) &= h^0(\mathcal{O}_{\mathbb{P}^3}(n)) - h^0(\mathcal{I}_C(n)) \\ \psi_Z(n) &= h^0(\mathcal{O}_{\mathbb{P}^2}(n)) - h^0(\mathcal{I}_{Z, \mathbb{P}^2}(n)), \end{aligned}$$

we see

$$\partial \psi_C(n) = \psi_Z(n) + \lambda(N_{n-1}).$$

But $C' \subset \mathbb{P}^3$ is an ACM curve with h -vector $h_{C'} = h_Z$, so $\partial \psi_{C'}(n) = \psi_Z(n)$. Integrating for $n \gg 0$, we obtain

$$\psi_C(n) = \psi_{C'}(n) + \lambda(N).$$

Since for $n \gg 0$, we have $\psi_C(n) = h^0(\mathcal{O}_C(n)) = \deg(C)n + 1 - p_a(C)$ and $\psi_{C'}(n) = h^0(\mathcal{O}_{C'}(n)) = \deg(C')n + 1 - p_a(C')$, we get

$$\deg(C)n + 1 - p_a(C) = \deg(C')n + 1 - p_a(C') + \lambda(N)$$

which together with the equality $\deg(C) = \deg(C')$ implies

$$p_a(C) = p_a(C') - \lambda(N).$$

Finally, we observe that since M is of finite length, we have C is ACM if and only if $M = 0$ if and only if $N = 0$ if and only if $p_a(C) = p_a(C')$. \square

Definition 4.2. Given integers d and s , we define $G_{CM}(d, s)$ the maximum genus of an integral ACM curve $C \subset \mathbb{P}^3$ of degree d not lying on a surface of degree $s-1$, if such curves exist, and 0 otherwise.

Remark 4.3. Note by definition that for d fixed, $G_{CM}(d, s)$ is a non-increasing function of s . Given the formulas for s , d and g in terms of the h -vector (Proposition 3.3), it is a purely combinatorial task (valid in any characteristic) to compute the values of $G_{CM}(d, s)$ for all d, s . This has been done in [12, Theorem 2.7]. There is one formula for the case $d > s(s-1)$ and another for the case $\frac{1}{2}s(s+1) \leq d \leq s(s-1)$. If $d < \frac{1}{2}s(s+1)$ there are no such ACM curves. To find $G_{CM}(d, s)$ one must write an h -vector of decreasing type

$$h : 1 \ 2 \ \cdots \ s \ a_1 \ a_2 \ \cdots \ a_r$$

of degree d . Since the higher a_j carry more height in the genus formula, one tries to make them as large as possible for higher j . Thus 1 2 3 4 3 and 1 2 3 4 2 1 both have $d = 13$ and $s = 4$ but the latter has the maximal genus.

Now we can state our main theorem

Theorem 4.4. (*Char*(k) = 0) *Let $C_1, C_2 \subset \mathbb{P}^3$ be integral ACM curves of degrees d_1, d_2 and initial degrees s_1, s_2 . Let $C = C_1 \cup C_2$ and let Z be a general hyperplane section of C .*

(a) *If the biliaison type λ_Z of C has no gaps, then*

$$p_a(C) \leq G_{CM}(d_1 + d_2, \max\{s_1, s_2\}).$$

(b) *If the biliaison type λ_Z of C has a gap, or if $s(C) = s_1 + s_2$, then*

$$\#(C_1 \cap C_2) \leq \min\{d_1 s_2, d_2 s_1\}.$$

Furthermore, in each case, if equality holds, then C is ACM.

Proof. (a) Let C' be an ACM curve in \mathbb{P}^3 with biliaison type $\lambda_{C'} = \lambda_Z$. Since λ_Z has no gaps, we can take C' to be an integral (even smooth) ACM curve in \mathbb{P}^3 by Theorem 3.2. The initial degree s' of C' is the same as for Z , and Z is the union of the hyperplane sections Z_1 and Z_2 of C_1 and C_2 . Moreover, the initial degrees of Z_1 and Z_2 are s_1 and s_2 since C_1 and C_2 are ACM curves. Therefore, $s' \geq \max\{s_1, s_2\}$. By definition, $p_a(C') \leq G_{CM}(d_1 + d_2, s')$ and since $G_{CM}(d, s)$ is a decreasing function of s for d fixed, we conclude that $p_a(C') \leq G_{CM}(d_1 + d_2, \max\{s_1, s_2\})$. Now, $p_a(C) \leq p_a(C')$ by Lemma 4.1, and this proves (a).

(b) If λ_Z of C has a gap, we first apply Corollary 3.10 which tells us that (in one order or the other) $\lambda_{C_1} = \{k_1, \dots, k_t\}$, $\lambda_{C_2} = \{k_{t+1}, \dots, k_s\}$, $\lambda_Z = \{k_1, \dots, k_s\}$ and there is a gap at t , namely $k_{t+1} \geq k_t + 3$. Since C_1 and C_2 are irreducible ACM curves, neither λ_{C_1} nor λ_{C_2} has a gap. In particular, $s(C) = s_1 + s_2$.

Now assuming $s(C) = s_1 + s_2$, let S_1 and S_2 be surfaces of degrees s_1 and s_2 containing C_1 and C_2 respectively. Then $C_1 \cup C_2$ is contained in $S_1 \cup S_2$, but C_1 is not contained in S_2 , so $\#(C_1 \cap C_2) \leq \#(C_1 \cap S_2) = d_1 s_2$. Similarly C_2 is not contained in S_1 , so $\#(C_1 \cap C_2) \leq \#(S_1 \cap C_2) = d_2 s_1$. Therefore, $\#(C_1 \cap C_2) \leq \min(d_1 s_2, d_2 s_1)$.

To prove the last statement, if there is equality in (a), then $p_a(C) = p_a(C')$, and this implies that C is ACM by Lemma 4.1. If there is equality in (b), then C is ACM by the following Lemma 4.5. \square

Lemma 4.5. *Let $C_1, C_2 \subset \mathbb{P}^3$ be ACM curves of degrees d_1, d_2 contained in surfaces S_1 and S_2 of degrees s_1 and s_2 such that $C_1 \not\subset S_2$ and $C_2 \not\subset S_1$. Assume $\#(C_1 \cap C_2) = \min(d_1 s_2, d_2 s_1)$. Then, $C_1 \cup C_2$ is an ACM curve.*

Proof. Interchanging indices if necessary, we may assume $\#(C_1 \cap C_2) = d_1 s_2$. Then clearly the intersection scheme $T = C_1 \cap C_2$ is equal to $C_1 \cap S_2$, so the ideal sheaf $\mathcal{I}_{T, C_1} \cong \mathcal{O}_{C_1}(-s_2)$. To show that $C_1 \cup C_2$ is ACM, it will be sufficient to show that $H^1(\mathbb{P}^3, \mathcal{I}_{C_1 \cup C_2}(m)) = 0$ for all $m \in \mathbb{Z}$. We consider the diagram of sheaves, for any $m \in \mathbb{Z}$,

$$\begin{array}{ccccccc}
0 & \longrightarrow & \mathcal{I}_{C_1 \cup C_2}(m) & \xrightarrow{\alpha} & \mathcal{I}_{C_2}(m) & \xrightarrow{\beta} & \mathcal{I}_{C_2, C_1 \cup C_2}(m) & \longrightarrow & 0 \\
& & & & \uparrow & & \approx \uparrow & & \\
& & & & \mathcal{O}_{\mathbb{P}^3}(m - s_2) & \xrightarrow{\gamma} & \mathcal{O}_{C_1}(m - s_2) & \longrightarrow & 0
\end{array}$$

where the first vertical arrow comes from the inclusion of \mathcal{I}_{S_2} in \mathcal{I}_{C_2} , and the second vertical arrow is the isomorphism $\mathcal{O}_{C_1}(m - s_2) \cong \mathcal{I}_{T, C_1}(m) \cong \mathcal{I}_{C_2, C_1 \cup C_2}(m)$.

Taking H^0 of the terms in this sequence, $H^0(\gamma)$ is surjective because C_1 is ACM. It follows that $H^0(\beta)$ is surjective. On the other hand, $H^1(\mathbb{P}^3, \mathcal{I}_{C_2}(m)) = 0$ because C_2 is ACM. Now it follows from the long exact cohomology sequence associated to the first row that $H^1(\mathbb{P}^3, \mathcal{I}_{C_1 \cup C_2}(m)) = 0$ for all $m \in \mathbb{Z}$, so $C_1 \cup C_2$ is ACM. \square

Remark 4.6. It is worthwhile to point out that Theorem 4.4(a) can be seen as a generalization of Proposition 6.3 in [16].

Remark 4.7. It is annoying to have a dichotomy between the biliaison type λ_Z having a gap or not in the statement, because we cannot tell what λ_Z is like a priori. However in applications we can often eliminate case (b) if λ_{C_1} and λ_{C_2} do not form subsets of a biliaison type with a gap. In terms of the invariants s_i, t_i, b_i of C_1 and C_2 , using the formulas of Remark 3.5, the condition that λ_{C_1} and λ_{C_2} are the two subsets of a λ with a gap is that $t_i - s_i \geq b_j + 3$ for some choice of $i, j = 1, 2$.

If we take into account the second ideal degrees $t_1 = t(C_1)$ and $t_2 = t(C_2)$, we can strengthen Theorem 4.4 in some cases.

Theorem 4.8. *With the hypotheses of Theorem 4.4, assume furthermore that $s_1 \neq s_2$ and $s_1, s_2 < t_1, t_2$. If the biliaison type λ_Z of C has no gaps, then*

$$p_a(C) \leq G_{CM}(d_1 + d_2, \min(s_1 + s_2, \max(t_1, t_2))).$$

Furthermore, if equality holds, then C is ACM.

Proof. As in the proof of Theorem 4.4(a), it will be sufficient to show that $s' \geq \min(s_1 + s_2, \max(t_1, t_2))$, where s' is the initial degree of $Z = Z_1 \cup Z_2$. Now Z_i (for $i = 1, 2$) is a general hyperplane section of the integral ACM curve C_i with initial degree $s_i < t_i$. Therefore, C_i is contained in a unique irreducible surface S_i of degree s_i . Its hyperplane section will be an irreducible curve D_i of degree s_i containing Z_i . Since C_i is an ACM curve, the h -vector of Z_i is the same as C_i . It follows that any curve E of degree less than t_i containing Z_i must contain D_i as an irreducible component. Therefore, if E is a curve of degree s' containing Z , then either $s' \geq \max(t_1, t_2)$ or E must contain both D_1 and D_2 as irreducible components in which case $s' \geq s_1 + s_2$. Now the result follows as in Theorem 4.4(a). \square

We give some examples to show that the bounds of Theorems 4.4 and 4.8 are sometimes attained and sometimes not.

Example 4.9. (a) Consider two complete intersection curves $C_1 = s_1 \times t_1$ and $C_2 = s_2 \times t_2$ with $s = s_1 = s_2$. In this case, as we saw in Theorem 2.1 (a), the union $C_1 \cup C_2$ is a complete intersection $s \times (t_1 + t_2)$. This curve realizes the maximum genus $G_{CM}(s(t_1 + t_2), s)$ and so gives equality in Theorem 4.4 (a).

(b) Let C_1 and C_2 be complete intersections 2×5 and 3×3 . According to Theorem 2.1 (b), their union is obtained from C_2 by two biliaisons on S_5 , so has h -vector: 1 2 3 4 5 3 1 . This realizes the maximum genus $G_{CM}(19, 5)$. Since $5 = s_1 + s_2$, we have equality in Theorem 4.8. However this is much smaller than $G_{CM}(19, 3)$ which is the bound of Theorem 4.4 (a).

(c) This time take complete intersections 2×6 and 3×3 . Again, as in Theorem 2.1 (b), the union is obtained by biliaison from 3×3 on S_6 , and has h -vector: 1 2 3 4 5 4 2 . This has genus strictly less than $G_{CM}(21, 5)$, which is represented by 1 2 3 4 5 3 2 1 , and much less than $G_{CM}(21, 3)$, so neither Theorem 4.4 (a) nor Theorem 4.8 is sharp.

(d) The bound of Theorem 4.4 (b) can always be realized whenever λ_Z has a gap. Just take an ACM curve with that λ -character. Then by Theorem 3.6 the intersection of the two subcurves attains the bound of Theorem 4.4 (b). If the two subcurves have λ -characters without gaps, then we can take the two curves C_1 and C_2 to be smooth [15, Theorem 7.21].

(e) We give one more example to show that Theorem 4.4 (a) can actually fail, if λ_Z has a gap. Let C_1 have h -vector 1 2 and C_2 have h -vector 1 1 1 1 1 1 1 . Then C_1 is a twisted cubic curve, with $d = 3$, $p_a = 0$, and C_2 is a plane octic curve with $d = 8$, $p_a = 21$. The twisted cubic can meet the plane of C_2 in at most 3 points; we can make C_2 pass through these three points, so the maximum possible intersection is $\#(C_1 \cap C_2) = 3$. Then $p_a(C_1 \cup C_2) = 23$, and $C_1 \cup C_2$ is an ACM curve (Lemma 4.5) with h -vector 1 2 3 1 1 1 1 1 . On the other hand, Theorem 4.4 (a) would give the bound $G_{CM}(11, 2) = 20$, represented by the h -vector 1 2 2 2 2 2 .

5. EXISTENCE OF GOOD CURVES AND SURFACES

Throughout this section, we assume $\text{char}(k) = 0$ and we give some existence theorems for smooth curves on integral surfaces that may have a finite number of singular points (we call them *surfaces with isolated singularities*).

Proposition 5.1. *Let C be a curve in \mathbb{P}^3 that has embedding dimension ≤ 2 at almost all points. Let m be an integer for which $\mathcal{I}_C(m)$ is generated by global sections. Then C is contained in an integral surface of degree m with isolated singularities.*

Proof. We consider the linear system $|\mathcal{I}_C(m)|$ of surfaces of degree m containing C . Since $\mathcal{I}_C(m)$ is generated by global sections, the base locus of $|\mathcal{I}_C(m)|$ is just the curve C . Therefore, by the characteristic zero Bertini theorem, a general member of the linear system $|\mathcal{I}_C(m)|$ can have only singularities in C . Choose one point P_i in each component of C , such

that C has embedding dimension ≤ 2 at P_i . Thus the general surface X of the linear system $|\mathcal{I}_C(m)|$ can have only finitely many singular points. It follows that X is integral and we are done. \square

Remark 5.2. If C is a reduced curve in \mathbb{P}^3 and has embedding dimension ≤ 2 at all points, then we can take X smooth. But in our applications, we cannot avoid considering curves that may have a non-reduced component, and in that case X will necessarily have singularities for almost all degrees m .

Proposition 5.3. *Let $C \subset \mathbb{P}^3$ be a curve that has embedding dimension ≤ 2 at almost all points, and let $t = t(C)$. Then $\omega_C(3 - t)$ is generated by global sections.*

Proof. We proceed by induction on $s = s(C)$. If $s = 1$, then C is a plane curve of some degree d , and has $t = d$. In this case $\omega_C \cong \mathcal{O}_C(d - 3)$, so $\omega_C(3 - t) \cong \mathcal{O}_C$, which is generated by global sections.

Assume $s \geq 2$. Let $m = b(C) + 1$, which is the index of regularity of C . Thus $\mathcal{I}_C(m)$ is generated by global sections. So, by Proposition 5.1, C is contained in a surface X of degree m with isolated singularities. On the surface X we can write the exact sequence (see [12, pg. 37] and [14, Proposition 2.10])

$$0 \longrightarrow \mathcal{O}_X \longrightarrow \mathcal{O}_X(C) \longrightarrow \omega_C(4 - m) \longrightarrow 0.$$

Since $\omega_X \cong \mathcal{O}(m - 4)$, twisting by $\mathcal{O}_X(-H)$, we obtain

$$0 \longrightarrow \mathcal{O}_X(-H) \longrightarrow \mathcal{O}_X(C - H) \longrightarrow \omega_C(3 - m) \longrightarrow 0.$$

Now $e = e(C)$ is equal to $b(C) - 2$, which is $m - 3$, so the term on the right is just $\omega_C(-e)$. By definition of e and duality, $H^0(\omega_C(-e)) \neq 0$. Lifting a non-zero section of $H^0(\omega_C(-e))$, we obtain a non-zero section of $H^0(\mathcal{O}_X(C - H))$. Since X is integral, this gives us an effective generalized divisor C' in the linear system $|C - H|$ on X . Then C' is another ACM curve on X . By construction, it has $s' = s(C') < s$ and since it is contained in a surface with isolated singularities, it has embedding dimension ≤ 2 at almost all points. Therefore by the induction hypothesis $\omega_{C'}(3 - t')$ is generated by global sections, where $t' = t(C')$.

For C' on X we have the exact sequence

$$0 \longrightarrow \mathcal{O}_X \longrightarrow \mathcal{O}_X(C') \longrightarrow \omega_{C'}(4 - m) \longrightarrow 0.$$

Since $m = b(C) + 1$, we have $t \leq m$. On the other hand, looking at the h -vectors h and h' of C and C' , we have

$$h'(n) = h(n) - 1 \text{ for } 0 \leq n \leq b,$$

so $t' = t - 1$. Therefore, we have $3 - t' \geq 4 - m$ and twisting by some nonnegative integer $\delta \geq 0$ we find

$$0 \longrightarrow \mathcal{O}_X(\delta H) \longrightarrow \mathcal{O}_X(C' + \delta H) \longrightarrow \omega_{C'}(3 - t') \longrightarrow 0.$$

Now $\omega_{C'}(3 - t')$ and $\mathcal{O}_X(\delta H)$ are both generated by global sections. So $\mathcal{O}_X(C' + \delta H)$ is also generated by global sections. But this sheaf is equal to $\mathcal{O}_X(C + (\delta - 1)H)$, which

maps surjectively to $\omega_C(3-t)$, and so this latter is also generated by global sections, as required. \square

Proposition 5.4. *Let $C \subset \mathbb{P}^3$ be an ACM curve contained in a surface X of degree m with isolated singularities. Assume that C is smooth at any singular point of X that it may contain, and assume that $m \leq t(C) + 1$. Then there is an irreducible smooth curve C' in the linear system $|C|$ on X .*

Proof. Since C is contained in a surface X with isolated singularities, it has embedding dimension ≤ 2 at almost all points. Therefore, by Proposition 5.3, $\omega_C(3-t)$ is generated by global sections. Since $m \leq t + 1$, it follows that $4 - m \geq 3 - t$, and so $\omega_C(4 - m)$ is also generated by global sections. From the exact sequence

$$0 \longrightarrow \mathcal{O}_X \longrightarrow \mathcal{O}_X(C) \longrightarrow \omega_C(4 - m) \longrightarrow 0$$

we conclude that $\mathcal{O}_X(C)$ is also generated by global sections. Therefore the linear system $|\mathcal{O}_X(C)|$ on X has no base points except possibly at the singular points of X and at each of these C is assumed to be smooth. Thus, by characteristic zero Bertini theorem, a general curve $C' \in |C|$ is smooth everywhere. Being an ACM curve it is also connected hence irreducible. \square

Remark 5.5. Note the (possibly unexpected) consequence of the hypotheses of Proposition 5.4, namely that the h -vector of C must be of decreasing type since C' is smooth and has the same h -vector. Note also that since C is contained in an irreducible surface of degree m , we must have $m = s$ or $m \geq t$. Hence there are really only three possibilities for m , namely, $m = s$, $m = t$, or $m = t + 1$.

Proposition 5.6. *Let $C \subset \mathbb{P}^3$ be a curve, not necessarily ACM, contained in a surface X of degree $s = s(C)$ with isolated singularities. Then for any $m \geq t(C)$, the curve C is also contained in a surface X' of degree m with isolated singularities.*

Proof. By definition of s and t , the curve C must be contained in a complete intersection C' of type $s \times t$, for some surface of degree t . Since C' is contained in X with isolated singularities, it follows that C' has embedding dimension ≤ 2 at almost all points. Also clearly, $\mathcal{I}_{C'}(m)$ is generated by global sections for any $m \geq t$. Then by Proposition 5.1, C' is contained in a surface X' of degree m with isolated singularities. Now C is contained in C' , so C is also contained in X' . \square

Remark 5.7. Even if C is nonsingular, we cannot be sure that X' can be taken to be nonsingular. The trouble is that C' contains another piece, the curve linked to C by C' , over which we have no control. If it has a non-reduced component, then X' can be singular. This is the reason why we have allowed isolated singularities throughout this section.

Proposition 5.8. *If h is an h -vector of decreasing type, then there exists a smooth ACM curve C with h -vector h lying on a surface X of degree $s = s(h)$ having isolated singularities.*

Proof. We proceed by induction on the degree. Let $s = s(h)$. Let $h' = h - H_s$.

If $t > s$, then $s(h') = s$ and by induction there is a smooth ACM curve C' with h -vector h' on a surface X_s of degree s with isolated singularities. Consider $C = C' + H$ on X_s . By Proposition 5.4 since $m = s < t$, there is a smooth curve $C \sim C' + H$ on X_s .

If $t = s$, then $s(h') = s - 1$ and $t(h') = s - 1$ or s , since h had decreasing type. Now by induction we find a smooth ACM curve C' on a surface X_{s-1} of degree $s - 1$ with isolated singularities. By Proposition 5.6, since $t(h') = s - 1$ or s , C' is also contained in a surface X_s of degree s with isolated singularities. Then as before, there is a smooth curve $C \sim C' + H$ on X_s . \square

Remark 5.9. In fact one can require the surface X in Proposition 5.8 to be smooth. See for example [25], Proposition 2.6 and the proof of Theorem 3.3.

6. LINKED CURVES

If C_1 and C_2 are two ACM curves in \mathbb{P}^3 linked by a complete intersection $m \times n$ ($m \leq n$), then their h -vectors satisfy the well-known relationship

$$h_2(\ell) = h_{m,n}(\ell) - h_1(m + n - 2 - \ell)$$

for each $\ell \in \mathbb{Z}$, where $h_{m,n}$ is the h -vector of the complete intersection, which is

$$h_{m,n}(\ell) = \begin{cases} \ell + 1 & \text{for } 0 \leq \ell \leq m - 1, \\ m & \text{for } m - 1 \leq \ell \leq n - 1, \\ m + n - 1 - \ell & \text{for } n - 1 \leq \ell \leq m + n - 1, \\ 0 & \text{otherwise.} \end{cases}$$

Lemma 6.1. *Let the h -vectors h_1, h_2 be linked by $h_{m,n}$ with $m \leq n$, and assume that $m = s_1 = s(h_1)$. Then*

- (a) $b_2 \leq n - 2$,
- (b) *If furthermore $s_2 < s_1$, then $n \leq b_1 + 1$, so $b_2 < b_1$, and also $t_2 \leq m$.*

Proof. (a) Since $m = s_1$, clearly $b_2 \leq b(h_{m,n}) - m = (m + n - 2) - m = n - 2$.

(b) Since $s_2 < s_1 = m$, clearly $h_2(\ell) < m$ for all ℓ . Taking $\ell = m - 1$, we find $h_2(m - 1) = h_{m,n}(m - 1) - h_1(n - 1)$. Since $h_{m,n}(m - 1) = m$, we find $h_1(m - 1) > 0$, so $n - 1 \leq b_1$. In particular, using part (a), we get $b_2 < b_1$.

To find t_2 , if $m = n$, then $b_2 \leq m - 2$, so $t_2 \leq m - 1$. If $n > m$, we compute $h_1(n - 2) \geq b_1 - n + 3$ since h_1 has decreasing type. Then $h_2(m) \leq m + n - 1 - 3 < s_2$. Therefore, $t_2 \leq m$. \square

Theorem 6.2. (a) *If C_1 and C_2 are integral ACM curves that are linked by a complete intersection $m \times n$ with $m = s_1 \leq n$, then the union $C_1 \cup C_2$ gives the maximum possible intersection of integral ACM curves with h -vectors equal to those of C_1 and C_2 .*

(b) Given h -vectors of decreasing type h_1, h_2 linked by a complete intersection $m \times n$, with $m = s_1 \leq n$, there exist smooth ACM curves C_1, C_2 lying on a surface X of degree m with isolated singularities and such that $C_2 \sim nH - C_1$ on X , so that C_1 and C_2 are linked by a complete intersection $m \times n$.

Proof. (a) First note that since $C_1 \cup C_2$ is a complete intersection, its genus is equal to the bound $G_{CM}(mn, m)$. Now according to Theorem 4.4, if C is any union of C_1 and C_2 , then $p_a(C) \leq G_{CM}(mn, m)$ unless possibly the lambda character λ_Z has a gap. The condition for having a gap (see Remark 4.7) is that for some choice of $i, j = 1, 2, i \neq j$, we have $t_i - s_i \geq b_j + 3$.

If $s_2 < s_1$, then by Lemma 6.1, $b_2 < b_1$, so there is only the possibility $t_1 - s_1 \geq b_2 + 3$. If $s_1 = s_2$, then by interchanging C_1 and C_2 if necessary we may still assume that $t_1 - s_1 \geq b_2 + 3$.

Now compare the h -vector corresponding to the union $C = C_1 \cup C_2$ where λ_Z has a gap to the h -vector of the complete intersection $m \times n$. Both can be regarded as being h_1 increased by a total of $\deg(C_2)$ in various degrees. In case λ_Z with a gap, the terms of h_2 are added to those of h_1 in degrees $< t_1$. In the case of the complete intersection, they are all added in degrees $\geq t_1$. So clearly the genus of the latter is greater. Hence the complete intersections realize the maximum intersection of C_1 and C_2 .

(b) Given h_1 and h_2 linked by a complete intersection $m \times n$ with $m = s_1 \leq n$, we use Proposition 5.8 to show the existence of a smooth ACM curve C_2 lying on a surface X_{s_2} with isolated singularities.

If $s_2 < s_1$, then $t_2 \leq m$ by Lemma 6.1. So by Proposition 5.6, C_2 also lies on a surface X_m of degree m with isolated singularities. If $s_2 = s_1$, then C_2 already lies on such a surface.

Now by Lemma 6.1, $b_2 \leq n - 2$. But $b_2 + 1$ is the regularity, so $\mathcal{I}_{C_2}(m)$ is generated by global sections in \mathbb{P}^3 . Since $\mathcal{I}_{C_2}(m)$ maps surjectively to $\mathcal{I}_{C_2, X}(m)$, this later also is globally generated by global sections. But this is isomorphic to $\mathcal{O}_X(nH - C_2)$ on X . By the characteristic 0 Bertini theorem, there is a smooth curve C_1 in the linear system $|nH - C_2|$. Then C_1 and C_2 are the required curves. \square

Remark 6.3. Since we are looking for curves with maximum intersection, we restrict to the case $m = s(C_1)$. Curves linked on surfaces of degrees $m > s_1, s_2$ may have maximum intersection on that surfaces, but not maximum intersection for those h -vectors. For example, $h_1 : 1 \ 2 \ 1$ and $h_2 : 1 \ 2 \ 2$ are linked by $h_{3,3} : 1 \ 2 \ 3 \ 2 \ 1$, but their maximum intersection is achieved on a quadric surface, with union $h : 1 \ 2 \ 2 \ 2 \ 2$.

We can now use Theorem 6.2 to prove a conjecture of the second author with Ranestad [24, Conjecture 4.5 (a)].

Theorem 6.4. *For any positive integers $s \leq t$ there exist smooth ACM curves C_s and C_t with h -vectors $1 \ 2 \ \cdots \ s$ and $1 \ 2 \ \cdots \ t$, lying on a surface X_t of degree t with isolated singularities,*

and meeting transversally in

$$\#(C_s \cap C_t) = \binom{s+1}{2}t - \binom{s+1}{3}$$

points. This is the maximum possible intersection for ACM curves with these h -vectors.

Proof. Note: the existence of smooth ACM curves C_s, C_t and the computation of the intersection number was done by another method in [24]. The proof of the maximality is new here.

We proceed by induction on $t - s \geq 0$. If $s = t$, then C_s and C_t are linked by $s \times (s + 1)$, so the result is a consequence of Theorem 6.2. The computation of the intersection number, for any s, t , is straightforward using Proposition 3.3 and Lemma 3.7.

Now suppose $t > s$. By induction there exist smooth ACM curves C_s and C_{t-1} lying on a surface X_{t-1} as above, with h -vectors $1\ 2\ \cdots\ s$ and $1\ 2\ \cdots\ t-1$, and such that $C_s \cup C_{t-1}$ has h vector $1\ 2\ \cdots\ t-1\ s\ \cdots\ 2\ 1$. Then by Proposition 5.6, the union $C_s \cup C_{t-1}$ also lies on a surface X_t of degree t with isolated singularities. By Proposition 5.4 there is a smooth ACM curve $C_t \sim C_{t-1} + H$ on X_t . Then C_s and C_t have the required properties.

Since the h -vector $1\ 2\ \cdots\ t\ s\ \cdots\ 2\ 1$ realizes the maximum genus $G_{CM}(d_s + d_t, t)$, the intersection is maximum, by Theorem 4.4. \square

Remark 6.5. By an analogous method we can find the maximum number of intersection points of two smooth ACM curves C_t^d and C_s^d in \mathbb{P}^3 defined by matrices with entries forms of degree d proving Conjecture 4.5(b) of [24].

Proposition 6.6. *If C_1 and C_2 are ACM curves linked as in Theorem 6.2, or if they are C_s and C_t as in Theorem 6.4, then the intersection $T = C_1 \cap C_2$ is of the form $rH - K$ on C_1 or C_2 , and hence is an arithmetically Gorenstein zero-scheme in \mathbb{P}^3 .*

Proof. It is well known that the intersection of linked ACM curves has the form $rH - K$ and is arithmetically Gorenstein. (See for example [20, Proposition 4.2.1] or [21, Proposition 1.3.7]). In the second case, we have only to observe that in the induction step, $C_s \cap C_t$ is obtained by one ascending biliaison on C_s from $C_s \cap C_{t-1}$, hence is again of the form $rH - K$ with r replaced by $r + 1$. \square

7. ORDINARY ACM CURVES

In this section, we will determine the maximum number of intersection points of ACM curves with certain classes of h -vectors (the so-called *ordinary h -vectors*), and we will prove the existence of irreducible nonsingular *ordinary* ACM curves realizing these intersections. To use the results of section 5 we need to consider curves on integral surfaces that may have a finite number of singular points. We assume $\text{char}(k) = 0$ throughout this section.

Definition 7.1. Following the terminology introduced by Gruson et al. in [11], we will say that an ACM curve $C \subset \mathbb{P}^3$ (resp. its h -vector) is *ordinary* if its h -vector is the h -vector of a set of general points in \mathbb{P}^2 , which means that its h -vector must be of the form $1, 2, \dots, s-1, s, a$ for $s \geq 1$ and $0 \leq a \leq s$.

Theorem 7.2. *Suppose given two ordinary h -vectors h_1 and h_2 with the same initial degree s , say $h_1 = 1, 2, \dots, s, a$ and $h_2 = 1, 2, \dots, s, b$. Then there exist smooth ACM curves C_1 and C_2 in \mathbb{P}^3 , meeting transversally, and having the maximum possible number of intersection points for ACM curves with the given h -vectors h_1 and h_2 . Furthermore, the union $C_1 \cup C_2$ is ACM and is contained in a surface X of degree s with isolated singularities.*

If we restrict the problem by requiring that the union of the two curves be contained in an irreducible surface of degree $s+1$, then there are other smooth ACM curves C'_1 and C'_2 with h -vectors h_1 and h_2 , and having the maximum possible number of intersection points for curves with these h -vectors and subject to the above restriction. Again the union $C'_1 \cup C'_2$ is ACM and is contained in a surface X' of degree $s+1$ with isolated singularities.

Furthermore, in both cases the 0-dimensional schemes $T = C_1 \cap C_2$ and $T' = C'_1 \cap C'_2$ are strongly glicci, namely, they can be obtained by ascending Gorenstein biliaisons from complete intersections.

The h -vectors h_3 of $C_1 \cup C_2$ and h'_3 of $C'_1 \cup C'_2$, from which one can compute the actual number of intersection points, are given as follows (let $c = a + b$):

- (i) *If $c = 0$, then $h_3 = h'_3 = 1 \ 2 \ \cdots \ s-1 \ s \ s \ s-1 \ \cdots \ 2 \ 1$*
- (ii) *If $0 < c \leq s$, then $h_3 = 1 \ 2 \ \cdots \ s-1 \ s \ s \ s-1 \ \cdots \ \widehat{s-c} \ \cdots \ 2 \ 1$, and*

$$h'_3 = 1 \ 2 \ \cdots \ s-1 \ s \ s+1 \ s \ s-1 \ \cdots \ \widehat{s+1-c} \ \cdots \ 2 \ 1.$$
- (iii) *If $s < c \leq 2s$, then $h_3 = 1 \ 2 \ \cdots \ s-1 \ s \ s \ s \ s-1 \ \cdots \ \widehat{2s-c} \ \cdots \ 2 \ 1$, and*

$$h'_3 = 1 \ 2 \ \cdots \ s-1 \ s \ s+1 \ s+1 \ s \ s-1 \ \cdots \ \widehat{2s+2-c} \ \cdots \ 2 \ 1$$

where \widehat{x} means omit the number x .

Proof. We observe at the outset that each of the h -vectors h_3 and h'_3 in the list represents the maximal genus $G_{CM}(d, s)$ or $G_{CM}(d, s+1)$ for their degree (cf. Remark 4.3), so by Lemma 3.7 and Theorem 4.4, these curves do give the maximum number of intersection points possible, subject to the restriction of being contained in an irreducible surface of degree $s+1$ for h'_3 .

To show the existence of smooth curves whose union has these h -vectors, we proceed by induction on s . If $s = 1$, then each of the h -vectors h_1, h_2 is either 1 or 1 1. In this case the results are obvious: from 1 and 1 we obtain 1 1, from 1 and 1 1 we obtain 1 1 1 or 1 2; from 1 1 and 1 1 we obtain 1 1 1 1 or 1 2 1. The intersections $T = C_1 \cap C_2$ in each case are complete intersections, consisting of 1, 2, or 4 points.

So now let us consider $s \geq 2$, assuming that (i), (ii) and (iii) have been established for $s-1$.

Case (i): $c = 0$. In this case $a = b = 0$, so the result follows from Theorem 6.4. Note that the intersection T is arithmetically Gorenstein, which in codimension three implies strongly glicci [23].

Case (ii): $0 < c \leq s$. We apply the induction hypothesis to $1\ 2\ \cdots\ s-1\ a$ and $1\ 2\ \cdots\ s-1\ b$. Using the second case h'_3 of (ii) (or of (iii) when $a + b = s$) we find smooth ACM curves D_1 and D_2 with these h -vectors, whose union $D_1 \cup D_2$ is ACM, contained in a surface X of degree s with isolated singularities, and with h -vector $1\ 2\ \cdots\ s-1\ s\ s-1\ \cdots\ \widehat{s-c}\ \cdots\ 1$. Let C_1 and C_2 be general elements of the linear systems $|D_1 + H|$ and $|D_2 + H|$ on X . By Proposition 5.4, we can take both C_1 and C_2 smooth, meeting transversally. The h -vector of $C_1 \cup C_2$ is obtained from that of $D_1 \cup D_2$ by adding two hyperplanes H_X , giving $h_3 = 1\ 2\ \cdots\ s\ s\ s\ \cdots\ \widehat{s-c}\ \cdots\ 1$. The intersection $T = C_1 \cap C_2$ is obtained by two ascending Gorenstein biliaison from $D_1 \cap D_2$, hence is strongly glicci.

For the restricted case, to find h'_3 , we instead apply induction hypothesis to $1\ 2\ \cdots\ s-1\ a$ and $1\ 2\ \cdots\ s-1\ b-1$ (assuming wlog $a \leq b$, hence $b > 0$). We find D_1 and D_2 smooth ACM curves whose union $D_1 \cup D_2$ has h -vector $1\ 2\ \cdots\ s-1\ s\ s-1\ \cdots\ \widehat{s+1-c}\ \cdots\ 1$ and is contained in a surface X of degree s with isolated singularities. Now we take $C_1 \in |D_1 + H_X|$ on X smooth, meeting D_2 transversally, and with $C_1 \cup D_2$ having h -vector $1\ 2\ \cdots\ s-1\ s\ s\ s-1\ \cdots\ \widehat{s+1-c}\ \cdots\ 1$. We now apply Proposition 5.6 to $C_1 \cup D_2$ to show that it is contained in a surface X' of degree $s+1$ with isolated singularities. Then take $C_2 \in |D_2 + H|$ on X' . As before C_1 and C_2 will meet transversally, and their union will be ACM with h -vector $h'_3 = 1\ 2\ \cdots\ s\ s+1\ s\ \cdots\ \widehat{s+1-c}\ \cdots\ 1$, as required. Again $T = C_1 \cap C_2$ is obtained from $D_1 \cap D_2$ by two ascending biliaisons.

Case (iii): $s < c \leq 2s$ is similar. If a, b are both $\leq s-1$, we apply the induction hypothesis to $1\ 2\ \cdots\ s-1\ a$ and $1\ 2\ \cdots\ s-1\ b$, obtaining D_1, D_2 smooth ACM curves with $D_1 \cup D_2$ having h -vector $1\ 2\ \cdots\ s\ s\ \cdots\ \widehat{2s-c}\ \cdots\ 1$ in a surface X of degree s with isolated singularities. Adding back H_X twice we obtain $1\ 2\ \cdots\ s\ s\ s\ s\ \cdots\ \widehat{2s-c}\ \cdots\ 1$.

If however $a = s$ and $b \leq s-1$ (or vice versa), we apply the induction hypothesis to $1\ 2\ \cdots\ s-1$ and $1\ 2\ \cdots\ s-1\ b$. This put us back in Case (ii), where we find $1\ 2\ \cdots\ s\ \cdots\ \widehat{s-b}\ \cdots\ 1$ in X of degree s . Adding back H_X three times, we obtain $1\ 2\ \cdots\ s\ s\ s\ s\ \cdots\ \widehat{s-b}\ \cdots\ 1$. Since $s-b = 2s-c$ we are done.

If $a = b = s$, we apply Case (i) already proved above to $1\ 2\ \cdots\ s$ and $1\ 2\ \cdots\ s$ obtaining $1\ 2\ \cdots\ s\ s\ \cdots\ 2\ 1$. Then adding back two copies of the hyperplane section H_X we get $1\ 2\ \cdots\ s\ s\ s\ s\ \cdots\ 2\ 1$.

To obtain h'_3 , we apply induction to $1\ 2\ \cdots\ s-1\ a-1$ and $1\ 2\ \cdots\ s-1\ b-1$. This gives $1\ 2\ \cdots\ s\ s\ \cdots\ \widehat{2s+2-c}\ \cdots\ 2\ 1$ on X of degree s . By Proposition 5.6 this is also contained in an X' of degree $s+1$. Then we add back two hyperplane sections in X' to obtain $1\ 2\ \cdots\ s\ s+1\ s+1\ s\ \cdots\ \widehat{2s+2-c}\ \cdots\ 2\ 1$. \square

Remark 7.3. If we consider ordinary h -vectors h_1 and h_2 with $s_1 < s_2$, we can always reduce to the case $s_1 = s_2$ by successively subtracting hyperplanes from h_2 . Thus the same methods as in the Theorem 7.2 will produce the maximum number of intersection points and the h -vector h_3 of the union. However, the conclusions are more complicated, so we do not state them explicitly here. One difference is that the h -vector h_3 of the union may no longer represent $G_{CM}(d_1 + d_2, s_2)$, so that we need a different argument to prove maximality. Another difference is that the result may depend on a and b individually, and not just their sum c . We illustrate these points with some examples.

Example 7.4. (a) Let $h_1 : 1$ and $h_2 : 1\ 2\ 3\ 4\ 4$. We subtract two copies of H_4 , and one each of H_3 and H_2 from h_2 to arrive at $h'_2 : 1$. The union of h_1 and h'_2 is 11. Adding back H_2 , H_3 and two copies of H_4 we find for the union $h_3 : 1\ 2\ 3\ 4\ 4\ 1$. This does not represent $G_{CM}(15, 4)$, but it is the maximum number of intersection points, since it comes from one biliaison from $1\ 2\ 3\ 4\ 1$ which represents $G_{CM}(11, 4)$. This confirms Nollet's theorem ([25, Corollary 1.6]) that the maximal multisequant to the curve C_2 is of order 5.

(b) Let $h_1 : 1\ 2$ and $h_2 : 1\ 2\ 3\ 4\ 5\ 5$. We reduce to $h'_2 : 1\ 2$. Then the union h_1 and h'_2 is $1\ 2\ 2\ 1$. Adding back H_3 , H_4 and two copies of H_5 we get $h_3 : 1\ 2\ 3\ 4\ 5\ 5\ 2\ 1$ for the union. This does not represent $G_{CM}(23, 5)$ but it is maximal for the same reason as in (a) above: at the previous step we have $1\ 2\ 3\ 4\ 5\ 2\ 1$ which does represent $G_{CM}(18, 5)$.

(c) Let $h_1 : 1\ 2\ 1$ and $h_2 : 1\ 2\ 3\ 4\ 5\ 4$. We reduce to $h'_2 : 1\ 2$. The union of h_1 and h'_2 is $1\ 2\ 2\ 2$ according to Theorem 7.2. Now we need to add back H_3 , but this union is not contained in an irreducible cubic surface. Therefore we must use the case h'_3 of Theorem 7.2 (ii) giving the union $1\ 2\ 3\ 1$. Then we can add back H_3 , two copies of H_4 and H_5 to get $h_3 : 1\ 2\ 3\ 4\ 5\ 4\ 3\ 1$ for the union which does represent $G_{CM}(23, 5)$ and so is maximum.

Note that examples (b) and (c) both have $s_1 = 2$, $s_2 = 5$ and $a + b = c = 5$, however, the answers h_3 are different, hence dependent also on a and b .

8. OPEN PROBLEMS

While we have given bounds on the number of intersection points of two ACM curves in \mathbb{P}^3 , we have not found the exact maximum in all cases. So there remains

Problem 8.1. (a) Given two h -vectors h_1 and h_2 of decreasing type, find the maximum number of intersection points of two integral ACM curves C_1 and C_2 with these h -vectors.

(b) When the maximum is realized,

(b1) is $C_1 \cup C_2$ an ACM curve?

(b2) is $C_1 \cup C_2$ contained in an integral surface of least possible degree that could contain C_1 and C_2 ?

(b3) is the intersection $C_1 \cap C_2$ strongly glicci?

Remark 8.2. This problem could be solved by an algorithm similar to the inductive method used in section 7. For the induction we will need to solve also the following restricted problems. Given h -vectors h_1 and h_2 of decreasing type, and given an integer m such that for each $i = 1, 2$, either $m = s_i$ or $m \geq t_i$, solve the restricted problem of finding the maximum number of intersection points of integral ACM curves C_1 and C_2 with h -vectors h_1 and h_2 , subject to the condition that $C_1 \cup C_2$ be contained in an integral surface X of degree m . To do this, make an induction: whenever there is a curve $C'_2 \sim C_2 - H$ on X , use by induction the solution of the problem h_1, h'_2, m . Note that $C_1 \cdot C_2$ is maximum on X if and only if $C_1 \cdot C'_2$ is maximum on X . The difficulty is to show that an inductive step is always possible with C'_2 integral and that it will lead to a new smooth ACM curve C_2 . We give an example to illustrate the process.

Example 8.3. Let $h_1 : 1\ 2\ 3\ 4$ and $h_2 : 1\ 2\ 3\ 4\ 3\ 2\ 1$, and $m \geq 4$.

(a) If we take $m = 4$, the solution is simple. C_1 lies on a smooth surface X of degree 4. On that surface we can realize C_2 as $4H$. So the intersection number is $4 \deg(C_1) = 40$ and is realized by $h_3 : 1\ 2\ 3\ 4\ 4\ 4\ 4\ 4$ which does not represent $G_{CM}(26, 4)$ but gives the the maximum intersection of C_1 and C_2 also without restriction. Since $C_1 \cup C_2$ is also contained in an integral surface of degree 8, the answer will serve for any $m \geq 8$.

(b) Suppose we require $m = 5$. We can place both curves on a surface X_5 of degree 5. Then $C_2 \sim L + 3H$, where L is a line in X_5 , with $h'_2 : 1$. The union of h_1 and h'_2 will be $1\ 2\ 3\ 4\ 1$. Adding back $3H$ on X_5 gives $h_3 : 1\ 2\ 3\ 4\ 5\ 5\ 5\ 1$. One must verify that the linear system $|L + 3H|$ on X_5 contains a smooth curve C_2 . This answer serves for $m = 5$ and $m \geq 7$.

(c) If we require $m = 6$, put C_2 in a surface X_6 of degree 6. Subtract two hyperplanes to get $h'_2 : 1\ 2\ 1$. This reduces to the new problem $h_1 : 1\ 2\ 3\ 4$ and $h'_2 : 1\ 2\ 1$ with $m = 6$. These are ordinary ACM curves, whose maximum intersection is ACM with $h'_3 : 1\ 2\ 3\ 4\ 3\ 1$. Adding back $2H$ on X_6 gives $h_3 : 1\ 2\ 3\ 4\ 5\ 6\ 4\ 1$. Again we must verify that one can obtain a smooth curve C_2 in this way, and that the intersection is maximum (which it is since the intersection of C_1 and C'_2 is).

Remark 8.4. Concerning Problem 8.1 (b3), it is worthwhile maintaining the distinction between glicci and strongly glicci, because there are examples of zero-schemes in \mathbb{P}^3 that are glicci but not strongly glicci [6], and it is still unknown whether every zero-scheme in \mathbb{P}^3 is glicci. We have seen that for complete intersections C_1, C_2 the intersection T is also a complete intersection (Theorem 2.1). In the case of linked curves, T is arithmetically Gorenstein (Proposition 6.6), and for ordinary ACM curves, the intersection T is at least strongly glicci (Theorem 7.2). We should perhaps add that in this last case, T need not be arithmetically Gorenstein. Indeed, the maximum intersection of curves with h -vectors $h_1 : 1\ 2\ 1$ and $h_2 : 1\ 2\ 2$ on a quadric surface is a set of ten points, and there is no non planar arithmetically Gorenstein set of 10 points in \mathbb{P}^3

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