



# The HeRSChEL detector: high-rapidity shower counters for LHCb

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## Abstract

The HERSCHEL detector consists of a set of scintillating counters, designed to increase the coverage of the LHCb experiment in the high-rapidity regions on either side of the main spectrometer. The new detector improves the capabilities of LHCb for studies of diffractive interactions, most notably Central Exclusive Production. In this paper the construction, installation, commissioning, and performance of HERSCHEL are presented.

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# 1 Introduction

HERSCHEL (High Rapidity Shower Counters for LHCb) is a system of Forward Shower Counters (FSCs) located in the LHC tunnel on both sides of the LHCb interaction point. It was installed for Run 2 of the LHC, which began in 2015, with the aim of enhancing LHCb’s capabilities in diffractive physics, in particular Central Exclusive Production (CEP) analyses.

Each FSC comprises a quadrant of scintillator planes equipped with PMTs, which are read out synchronously with the sub-detectors of the LHCb spectrometer. The planes are situated close to the beampipe and detect showers induced by very forward particles interacting in the beampipe or other machine elements. In this manner HERSCHEL provides sensitivity to activity at higher rapidities than is available from the other sub-detectors of the experiment. This increased acceptance will be valuable in the classification of different production processes in LHC collisions, for example the isolation of CEP candidates.

This paper is organised as follows. The physics motivation for HERSCHEL is elaborated in Sect. 2. The apparatus is described in Sect. 3, and the calibration procedure and detector sensitivity are discussed in Sect. 4. The improved performance that HERSCHEL brings to CEP analyses is assessed in Sect. 5. The role of HERSCHEL in the LHCb trigger is explained in Sect. 6. Conclusions are drawn in Sect. 7.

## 2 Physics motivation: CEP studies at LHCb

LHCb is a forward spectrometer with tracking, particle identification and calorimetry extending to a pseudorapidity of  $\eta \sim 5$  [1]. The upstream stations of the silicon-strip vertex detector (VELO) provide sensitivity to charged particles down to  $\eta \sim -3.5$  in the backward hemisphere. Although the design of LHCb was optimised for studies of heavy-flavour hadron decays, its operating conditions and sensitivity to low transverse-momentum ( $p_T$ ) particles make it ideally suited to measurements of CEP processes.

In CEP interactions at the LHC a central system of one or more particles is formed as the result of pomeron or photon exchange. The beam protons survive the collision intact, remaining undetected inside the beampipe. As an example, the Feynman diagram for the CEP formation of a  $J/\psi$  meson is shown in Fig. 1. The low multiplicity of the final state and the absence of activity, or large ‘rapidity gap’, either side of the central system provides a distinctive signature of the CEP process. In practice, this signature can only be exploited by the current LHCb trigger in beam crossings where there are no additional interactions. The fraction of single interaction events within the acceptance of the LHCb spectrometer is relatively large during normal operation, being approximately 37% in 2015. Contamination to the signal selection arises, however, from inelastic interactions in which one or both protons disassociate, or gluon radiation accompanies the pomeron or photon exchange, processes that are also displayed in Fig. 1. In these cases additional hadrons are produced at high rapidities, which generally means that they fall outside the acceptance of the sub-detectors of the spectrometer.

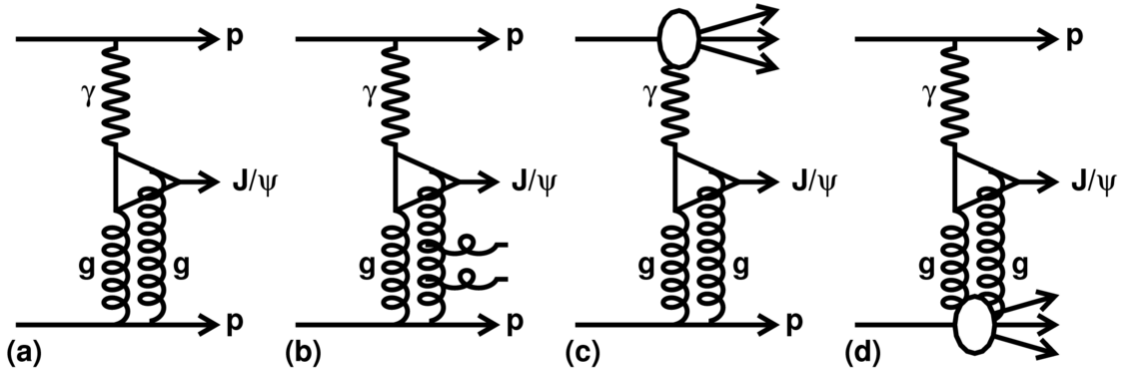


Figure 1: Feynman diagrams of diffractive-production mechanisms of  $J/\psi$  mesons at the LHC, where the double gluon system being emitted from the beam proton constitutes the pomeron. (a) is the pure CEP process, (b) has additional gluon radiation, and (c) and (d) involve proton disassociation. (Taken from Ref. [2]).

41 LHCb has published studies of exclusive  $J/\psi$ ,  $\psi(2S)$  [2–4] and  $\Upsilon$  [5] production based  
 42 on the Run-1 data set. The background from inelastic events in which high rapidity  
 43 particles were undetected in the spectrometer was estimated by fits to the  $p_T^2$  spectra  
 44 of the candidates. The expected distribution of CEP signal peaks at  $p_T^2 \sim 0$ , although  
 45 the exact distributions of signal and non-exclusive background depend on model-based  
 46 assumptions. Using this approach, the typical purity of the selection was determined to  
 47 be 50 – 60%.

48 The sensitivity that HERSCHEL provides for high-rapidity particles will enable the  
 49 contamination from inelastic events in the CEP selection to be suppressed. Information  
 50 from HERSCHEL will typically be deployed in a veto mode. The absence of any signifi-  
 51 cant activity in the FSCs will be used to confirm the existence of a rapidity gap extending  
 52 beyond the spectrometer acceptance, and to add confidence to the central-exclusive hy-  
 53 pothesis of CEP candidates. In this manner the purity of the CEP selection will be  
 54 improved, and the systematic uncertainties associated with the modelling of the residual  
 55 background can be reduced.

56 The information provided by HERSCHEL will be beneficial for other analyses in which  
 57 rapidity gaps are an important signature, for example the study of single-diffractive events.  
 58 It can also be used as a counter of general inelastic activity, which has applications in the  
 59 luminosity determination.

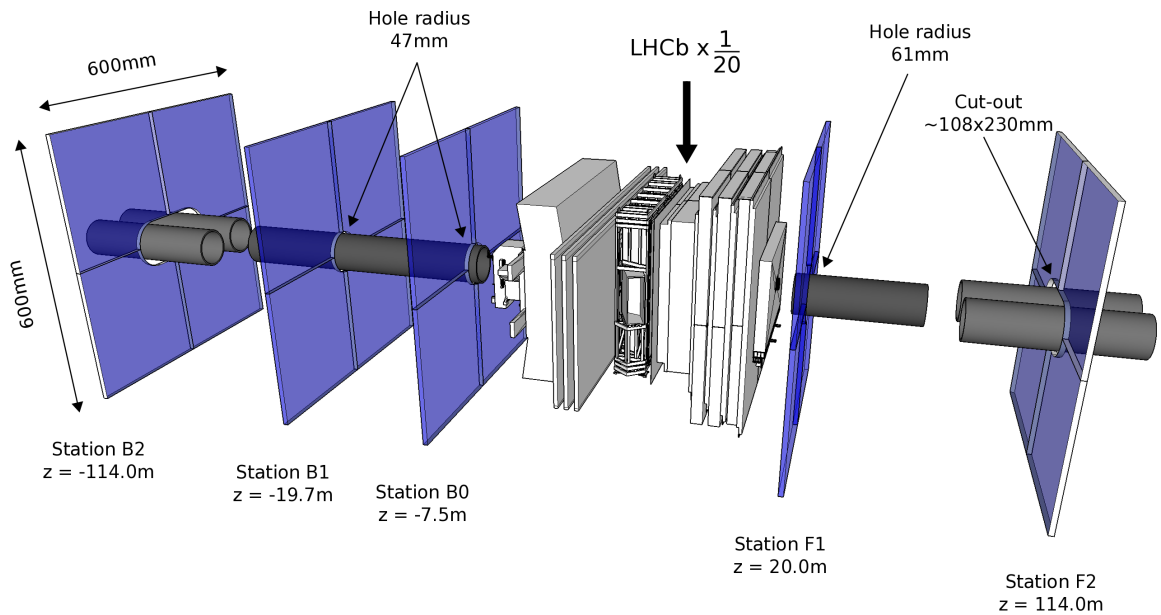


Figure 2: Layout of the active areas of the HERSCHEL stations around the LHCb interaction point (IP8), where for illustration the HERSCHEL stations have been magnified by a factor of 20 with respect to the rest of the LHCb detector.  $z$ -axis not to scale.

## 3 Description of the detector

### 3.1 Layout

In the LHCb coordinate system the  $z$ -axis points from the LHCb interaction point (IP8) towards the muon chambers and is collinear with the nominal beam line. As shown schematically in Fig. 2, the HERSCHEL system comprises three stations at negative  $z$ , known as ‘backward’ or ‘B’ stations, and two stations at positive  $z$ , known as ‘forward’ or ‘F’ stations.

The station closest to the interaction point, named ‘B0’, is located at  $z \sim -7.5$  m, next to the MBXWH compensator dipole. The next closest stations are ‘B1’ and ‘F1’, located at  $z \sim -19.5$  m and  $z \sim 20$  m, respectively, in the proximity of the MBXWS corrector dipoles. The most distant stations, ‘B2’ and ‘F2’, are located at  $z \sim \pm 114$  m, close to the point at which the vacuum chamber splits into two separate chambers, one for each beam.

The active element of each station is a plastic scintillator plane with outer dimensions of  $600 \text{ mm} \times 600 \text{ mm}$ , centred around the beam line. The shape and dimensions of the inner opening depend on the local vacuum chamber layout. Stations B0, B1, and F1 have circular holes with radii of 47 mm (B0, B1) and 61 mm (F1), respectively. For stations B2 and F2, the inner opening has a half-width of 115 mm in the horizontal direction (to encompass the two vacuum chambers), and a half-width of 54 mm in the vertical direction.

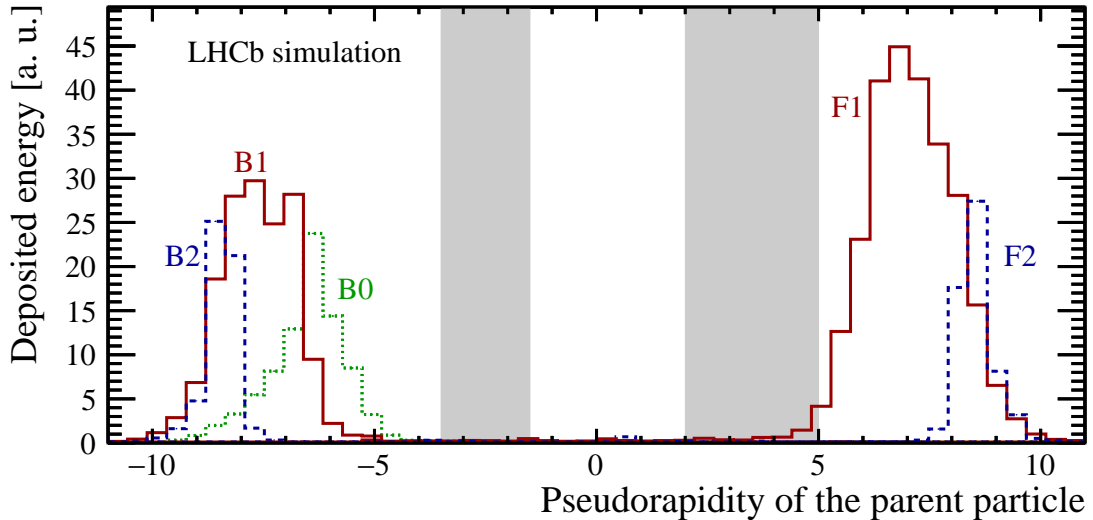


Figure 3: Energy deposit in the scintillators as a function of the pseudorapidity of the parent particle that caused the shower. The grey areas indicate the nominal pseudorapidity coverage of LHCb.

## 3.2 Acceptance

Stations B0, B1, and F1 are intended to register the showers produced by high-rapidity collision products crossing the beam pipe inside or close to the MBXWH and MBXWS magnets (which constitute local aperture restrictions). Stations B2 and F2 are intended to detect showers from high-rapidity neutral particles interacting with the copper absorber block of the LHC collision rate monitors (BRAN [6]), which are located close to and in front of these HERSCHEL stations.

In order to validate the detector concept and to determine the acceptance, the expected activity in the HERSCHEL stations was simulated using the GAUSS simulation framework [7], generating minimum bias events using PYTHIA 8 [8] and transporting the emerging final-state particles through the detector and tunnel geometry using GEANT4 [9, 10].

The simulations confirm that the signal in the HERSCHEL stations is dominated by energy deposits from low-energy electrons and positrons produced in the showers induced by high-rapidity particles interacting with machine elements close to the scintillators. Fig. 3 illustrates the angular coverage, showing the pseudorapidity of the particles produced in  $pp$  collisions where the contribution from each particle is weighted by the corresponding energy deposit in the scintillators. The asymmetry between the distributions for B1 and F1 is a consequence of the asymmetric beampipe layout on the two sides of the LHCb interaction point, leading also to the different hole radii for the B1 and F1 counters shown in Fig. 2. The HERSCHEL stations are seen to add detector acceptance from five up to nearly ten pseudorapidity units.

### 3.3 Scintillators and photodetectors

Each station is segmented into four quadrants, *i.e.* four scintillator plates read out by separate PMTs. The scintillator plates are 20 mm thick and are manufactured from EJ-200<sup>1</sup> plastic scintillator material, with a rise time of 0.9 ns and a decay time of 2.1 ns. Plexiglass light guides of fishtail type are glued to one side of the scintillator plates, providing a transition between the rectangular cross-section of the scintillators and the circular cross-section of the PMT (Fig. 4, left). For safety reasons<sup>2</sup> and to prevent external light leaking in, the scintillators and light guides are covered by thin aluminium sheets.



Figure 4: Schematic design of the scintillator and light guide of a single quadrant of B0/B1-type (47 mm inner radius), accompanied by a photograph of a B2/F2-type quadrant with the PMT and ‘clipping cable’ attached.

Each light guide is coupled to a Hamamatsu<sup>3</sup> R1828-01 2" PMT. This PMT is a suitable choice because of its relatively fast rise time of 1.3 ns and its large range of gain adjustment.

A general requirement for the stable operation of a PMT is that the resistive divider current exceed the average anode current by a factor of at least 10 – 20. The R1828-01 PMT is rated for a maximum average anode current of 200  $\mu$ A, and the voltage divider should thus drain about 2 – 4 mA at the relatively low gain ( $\sim 10^3 - 10^4$ ) used in operation. This is achieved by a custom-made divider that provides an extra bias current to the last set of dynodes, allowing the initial current through the divider to be restricted, while ensuring that there is enough current provided in the vicinity of the anode during nominal operation.

The use of Zener diodes for some of the stages allows a stable voltage to be maintained for the last dynodes at a high current drain. The two voltages for each PMT (bias and high

<sup>1</sup>Eljen Technology, Sweetwater, Texas, United States (<http://www.eljentechnology.com>).

<sup>2</sup>Polyvinyltoluene emits dense black smoke when burning without sufficient oxygen.

<sup>3</sup>Hamamatsu Photonics K. K., Hamamatsu City, Japan ([www.hamamatsu.com](http://www.hamamatsu.com)).

121 voltage) are supplied from CAEN<sup>4</sup> A1535 and A1538D modules located on the accessible  
122 side of the LHCb cavern.

123 A short coaxial cable terminated with a resistor-capacitor chain is connected in parallel  
124 to the signal cable at the output of the PMT to ‘clip’ the tail of the signal and thus ensure  
125 that the pulse width is less than 25 ns. A complete assembly of scintillator, light guide  
126 and PMT is shown in Fig. 4 (right).

127 The PMT signals are transmitted to the readout electronics located in the LHCb  
128 cavern using low-loss coaxial cables (C-50-11-1). The length of these cables ranges between  
129 137 m (for station B2), corresponding to a signal attenuation of 15%, and 15.4 m (for  
130 station B0), corresponding to an attenuation of 5%.

### 131 3.4 Mechanics

132 Each photodetector is mounted in a steel housing, including a shielding tube that provides  
133 protection against magnetic fields up to approximately 0.1 T. The detectors are mounted  
134 on mechanical supports manufactured from standard aluminium Bosch profiles. The  
135 stations are equipped with a remote-controlled, pneumatic motion system, illustrated in  
136 Fig. 5, which allows the scintillators to be retracted from the high-fluence region close  
137 to the vacuum chamber whenever they are not required for data-taking for an extended  
138 period of time. Figures 6 and 7 show photographs of the shower counter stations as  
139 installed in the LHC tunnel.

### 140 3.5 Readout and data acquisition

141 In order to minimise the development cost and to ease the integration with the LHCb  
142 data acquisition and trigger system, HERSCHEL makes use of the electronics of the  
143 LHCb preshower system [11], comprised of a very-front end (VFE) board, a front-end  
144 board (FEB), and a calorimeter readout card (CROC). The FEB and CROC are housed  
145 in a front-end crate (VME 9U card cage with a W-IE-NE-R<sup>5</sup> MARATON power supply  
146 and a backplane specific to the LHCb calorimeter system). Two front-end crates are  
147 installed in the LHCb cavern, one for each side. Figure 8 gives an overview of the readout  
148 chain components and connections.

149 The multi-anode photomultipliers of the preshower system are connected directly to  
150 the VFE board, whereas in HERSCHEL the signals are transmitted over long coaxial  
151 cables. A dedicated adapter board was therefore developed for HERSCHEL which receives  
152 the signal from the PMTs and adapts it to the input requirements of the VFE board. Each  
153 channel of the VFE board has two multiplexed differential integrators running at 20 MHz  
154 allowing the VFE channel reading even-numbered bunch crossings an empty, relaxation  
155 period while the other channel reads out, and vice-versa. The integrated analogue signal  
156 is sent to the FEB which digitises it with a 10-bit ADC and distributes it to the data  
157 acquisition and trigger paths. The digitised data are stored in looping RAMs with a depth

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<sup>4</sup>CAEN S. p. A., Viareggio, Italy (<http://www.caen.it/>).

<sup>5</sup>W-IE-NE-R, Plein & Baus, Burscheid, Germany ([www.wiener-d.com](http://www.wiener-d.com))



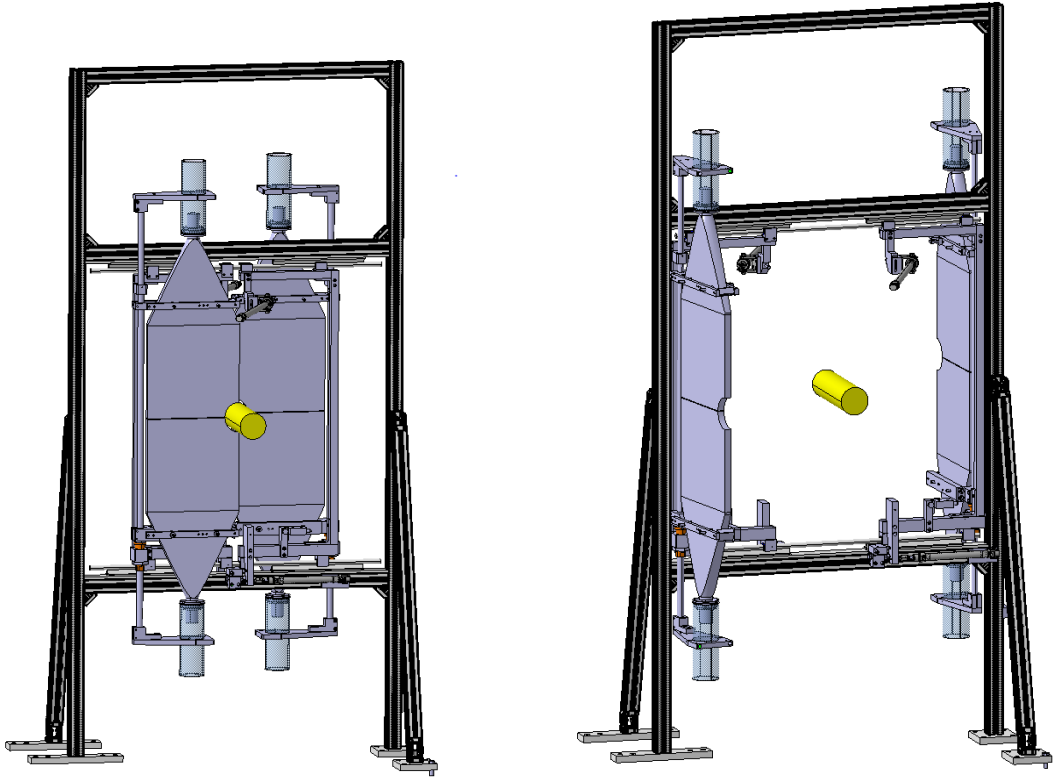


Figure 5: Visualisation of a HERSCHEL station in its nominal data-taking position and in its parking position, when the detectors are retracted and rotated.

158 of 256 cycles, in groups of four channels. The fine delay of the integration and sampling  
 159 clock can be adjusted in steps of 0.78 ns in order to maximise the signal and minimise the  
 160 contamination from signal in  $pp$  crossings preceding and following the one of interest.

161 On a positive decision from the global LHCb hardware trigger (L0), the data from each  
 162 station are sent from the corresponding RAM depth. This allows for the data from the  
 163 different stations, which acquire up to 750 ns relative delays due to the different station  
 164 positions, to be synchronised with the global LHCb bunch counter. The digitised signals  
 165 are sent to the CROC via the crate backplane. The CROC plays a dual role. Firstly, it  
 166 is responsible for the control and monitoring of the front-end crate. It receives the timing  
 167 and fast control (TFC) information and distributes it on the backplane to the FEB. The  
 168 interface to the Experiment Control System (ECS) is based on the SPECS protocol [12].  
 169 The ECS control and monitoring of the FEBs is communicated through the backplane.  
 170 Secondly, the CROC gathers the front-end data and sends them to the acquisition board  
 171 (TELL1 [13]) via optical fibres.



Figure 6: Photographs of the backward HERSCHEL stations B0, B1, and B2, respectively.

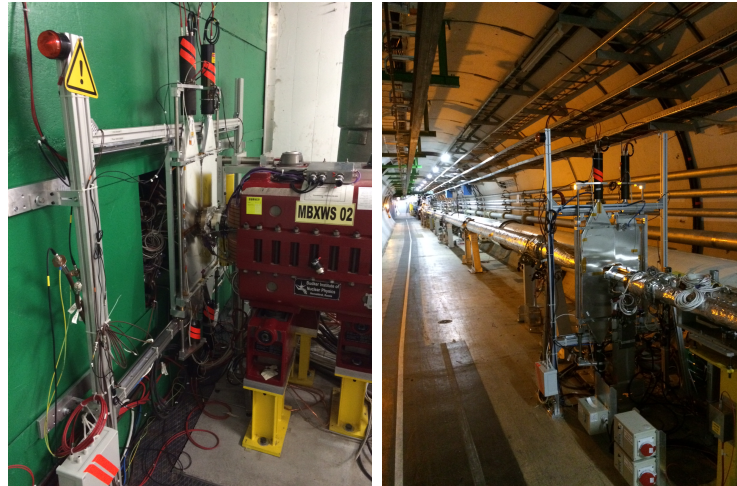


Figure 7: Photographs of the forward HERSCHEL stations F1 and F2, respectively.

## 172 4 Detector sensitivity

173 In the case of a single CEP interaction, the produced central system may be reconstructed  
 174 inside the standard LHCb acceptance and will be accompanied by no other activity within  
 175 the main detector. For this signal no activity is expected in the HERSCHEL system.  
 176 In the case of non-exclusive processes additional particles are produced together with  
 177 the CEP candidate. These particles lead to activity being registered in HERSCHEL.  
 178 In this Section the sensitivity of HERSCHEL to charged-particle activity is considered.  
 179 Steps taken to minimise noise in order to maximise sensitivity are described. Finally  
 180 the characteristic response of the detector in the absence of additional particle activity is  
 181 presented. This ‘empty detector’ signal is the expected response in the case of a single

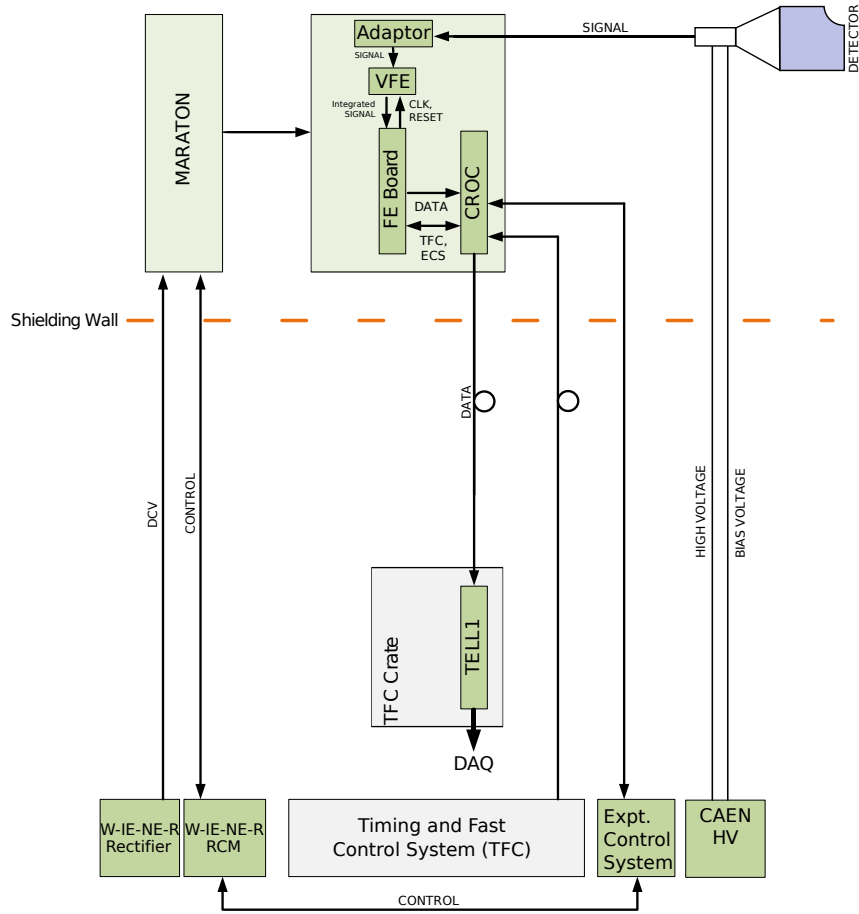


Figure 8: Block diagram of the readout, powering, and control of the FSC detectors. The diagram shows only one quadrant and one of the two front-end crates.

182 CEP interaction in a  $pp$  bunch crossing.

## 183 4.1 Response to incident charged particles

184 The detector response to charged particles has been calibrated in the laboratory, prior to  
 185 installation, using cosmic muons. To achieve sensitivity to single incident particles, the  
 186 voltage applied to the PMT was set to 1.1 kV. Later, the PMT gain as a function of the  
 187 applied high voltage has been measured using LED light pulses. Using these measurements  
 188 the signal per charged particle is determined as a function of the applied high voltage.  
 189 This is shown in Fig. 9(a) for one quadrant of station B0.

190 Fig. 9(b) shows the response (analogue pulse shape) of one of the quadrants of station  
 191 B0, recorded using a digital oscilloscope during LHC injection tests in November 2014. In  
 192 these tests, proton beams were injected into the SPS and dumped on an absorber (named  
 193 TED) located approximately 340 m away from the LHCb interaction point, generating a  
 194 shower of secondary particles at small angles with respect to the beam line.

195 At the beginning of the 2015 data-taking period, the high-voltage settings were con-  
 196 figured such that an energy deposit in the scintillator corresponding to one minimum  
 197 ionising particle resulted in a digitised signal between two and five ADC counts. These  
 198 settings were kept throughout 2015.

199 Irradiation leads to a reduction in attenuation length of scintillators and light guides  
 200 and consequently to a loss of signal. In order to quantify the average signal, the tail of the  
 201 ADC spectrum above the pedestal (see, for example, Fig. 13) is fitted with an exponential  
 202 distribution, and the mean of the fitted function is used as a metric of the average signal.  
 203 The ageing process is illustrated in Fig. 10 which shows the average signal per  $pp$  collision  
 204 in the quadrants of station B0 as a function of the integrated luminosity in LHCb.

205 In 2016, the high voltage settings were updated regularly to compensate for the in-  
 206 creasing loss of photoelectrons due to radiation damage.

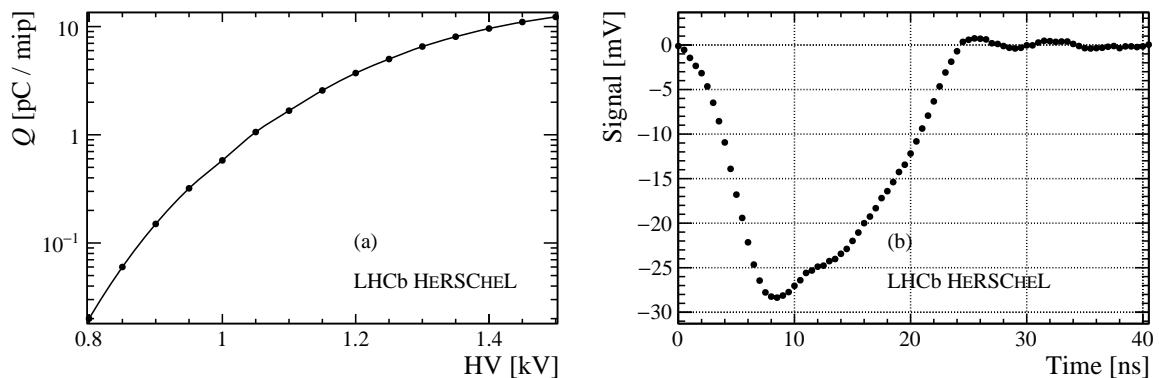


Figure 9: (a) shows the signal per particle as a function of PMT high voltage for one of the quadrants of station B0. The absolute scale is determined using measurements with cosmic muons, and the evolution with high voltage using a pulsed LED setup. The analogue signal of one quadrant of station B0, recorded during injection tests (‘TED shots’) in November 2014, is shown in (b).

## 207 4.2 Noise

208 For data collected during 2015 a significant common-noise component was found between  
 209 the detector channels within each station, arising either from interference induced in the  
 210 cables passing along the tunnel, or in the front-end electronics. This common noise has  
 211 been studied by considering the correlation between the signal from each input channel  
 212 and one spare cable for each station, placed next to the signal cables in the tunnel and  
 213 connected to the readout electronics but left unconnected at the detector end.

214 The correlation between the signal and spare cables has been studied using data col-  
 215 lected during special calibration runs at the end of each fill, once the beams have been  
 216 dumped. An example of the observed correlation is shown for one channel in Fig. 11. The  
 217 common noise is then subtracted offline according to the correlations observed in each fill,  
 218 and the ADC distribution is shifted to be centred at zero.

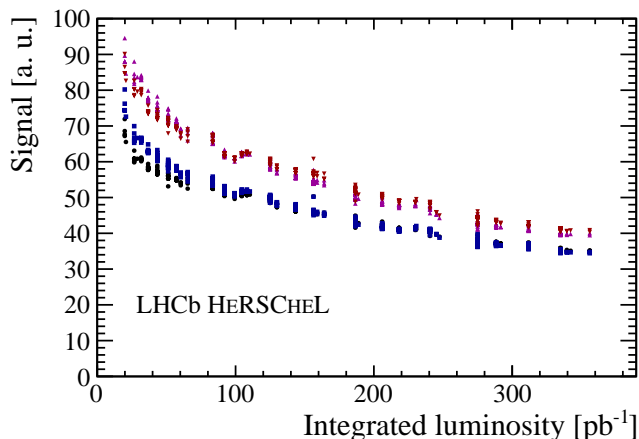


Figure 10: Average signal in  $pp$  collisions as a function of integrated luminosity in 2015, for the four quadrants of station B0.

219 The adaptor board was replaced at the end of 2015 operation to employ a less noisy  
 220 input-matching mechanism. Although data taken during 2016 have not been studied in  
 221 depth yet, the uncorrected pedestal is seen to be much narrower than that observed in  
 222 2015, and no offline noise subtraction is required. The RMS for each channel in end-of-fill  
 223 data is shown in Fig. 11, comparing the raw 2015 signal with that after the calibration  
 224 has been applied, as well as demonstrating the much less noisy raw signal from 2016.

### 225 4.3 Correlation with other LHCb sub-detectors

226 An important confirmation of the detector's successful operation is the observation of  
 227 correspondence between activity registered in other LHCb sub-detectors and that in the  
 228 HERSCHEL scintillators. In Fig. 12 the sum of the ADC counts from all the stations  
 229 on each side is shown in the two cases that there are small or large numbers of tracks  
 230 reconstructed on the corresponding side of the interaction point. For comparison with  
 231 the B-side HERSCHEL response, only 'backward' tracks, leaving deposits in the VELO  
 232 modules behind the interaction point and therefore reconstructed using only that sub-  
 233 detector, are considered. To compare with the F-side HERSCHEL response, only 'long  
 234 tracks' are employed, where these tracks are reconstructed using deposits in the VELO  
 235 modules around the interaction point and the tracking stations further downstream. As  
 236 expected, more activity is seen in the HERSCHEL counters when more tracks are recon-  
 237 structed.

### 238 4.4 Empty-detector signal characterisation

239 In this section the expected response of the detector is presented in the case that a single  
 240 CEP interaction occurs during a  $pp$  crossing. For illustration Fig. 13 shows the signal  
 241 recorded in one counter at each of the five HERSCHEL detector stations, for an arbitrary

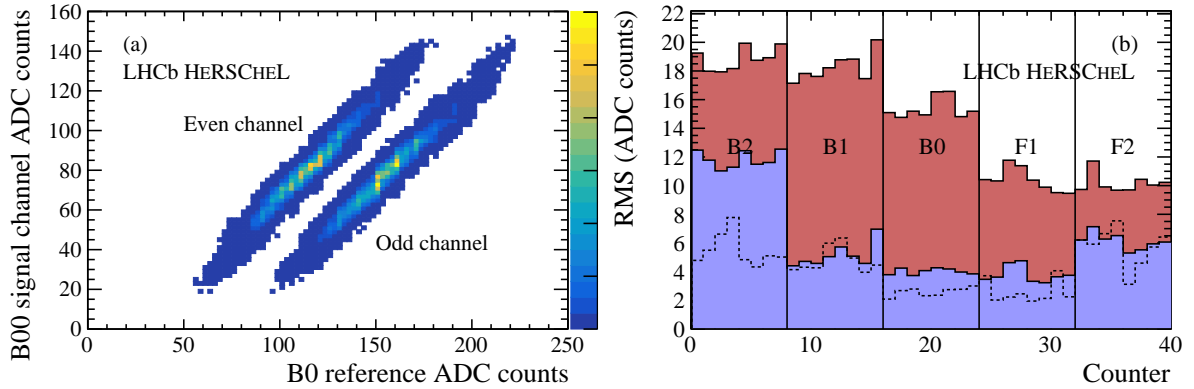


Figure 11: Subtraction of common noise. (a) illustrates the correlated noise for the two integrator channels reading out one detector quadrant on station B0 in 2015 end-of-fill data, with respect to the signal in the spare cable (B0 reference), not connected at the detector end. (b) compares the raw signal in end-of-fill data taken during 2015 (red/darker filled histograms) with that after common-noise subtraction (blue filled histogram) and the raw signal RMS with the new adapter board in 2016 (dashed histogram). Each station houses four PMTs, read out via the dual-channel VFE board, and there are therefore eight channels to consider for each station. The relatively large contribution of uncorrelated noise to the signal in the B2 station was the result of imperfect grounding; this was resolved at the end of 2015.

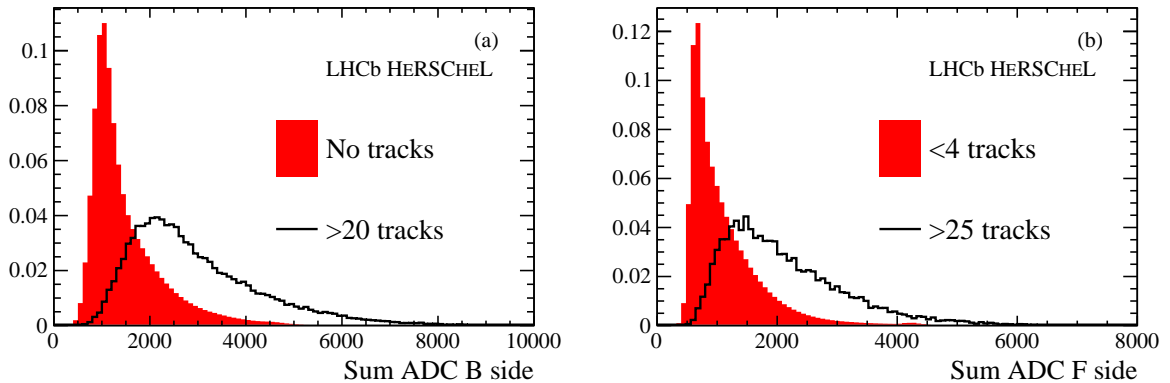


Figure 12: Correlation between sum of raw HERSCHEL ADC counts on (a) the B side and (b) the F side of the interaction point, with reconstructed tracks on the same side.

242 sample of  $pp$  collision events selected by the LHCb trigger. The two principal features of  
 243 the distribution are the large portion of events around zero ADC counts in each counter,  
 244 corresponding to an absence of activity, and a long tail to higher numbers of ADC counts,  
 245 corresponding to significant activity in the counters.

246 Protons circulating in the LHC are distributed in bunches, separated from one another  
 247 by 25 ns. Bunches are collected into ‘trains’ by virtue of the injection procedure, separated



248 by gaps. A 25 ns window within which proton bunches cross in LHCb is referred to as  
 249 a ‘bunch bunch crossing’. Whilst the dominant contribution to the HERSCHEL empty-  
 250 detector signal is electronic noise, secondary contributions arise as the result of activity  
 251 in nearby crossings which spill into the 25 ns time interval of the triggered crossing. The  
 252 largest of these secondary contributions is the residual impact on detector electronics of  
 253 successive large signals in the detector, during a train of proton-proton crossings in the  
 254 LHC. It is found that the signal recorded in the counters in the window immediately after  
 255 such a train, where no particle activity can be present, provides a good description of the  
 256 empty-detector region of the ADC response, as in the case of a CEP interaction. This  
 257 ADC response for each of the example counters is also shown in Fig. 13.

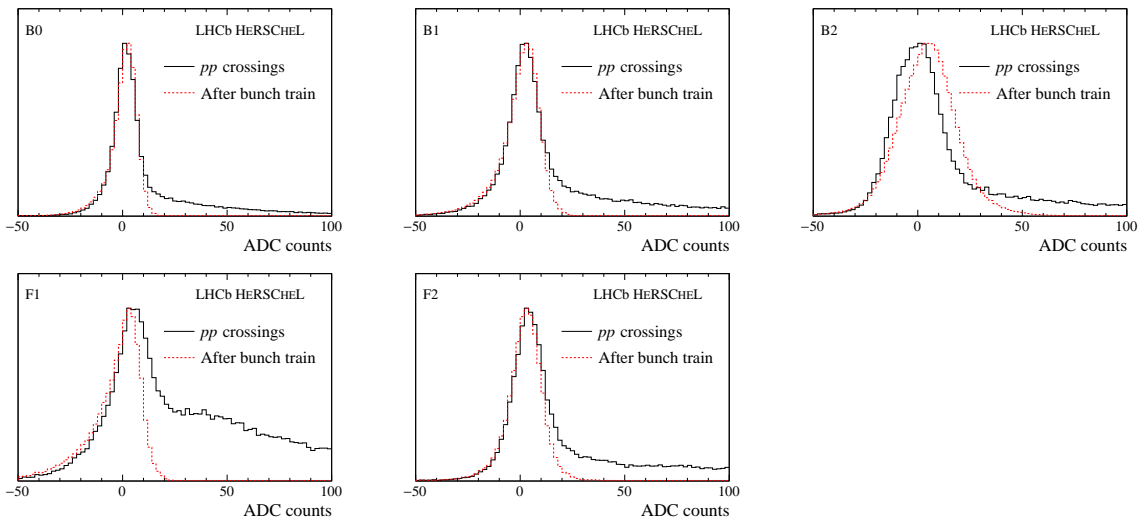


Figure 13: Activity registered, after calibration, in one integrator attached to one counter for each HERSCHEL detector station during beam-beam crossings in the solid histogram, showing only the range up to 100 ADC counts. The empty-detector signal recorded after a bunch train is represented by the dotted histogram.

## 258 5 Impact on physics studies

259 In the case of a single CEP interaction in a  $pp$  crossing, no activity is expected in the  
 260 HERSCHEL detector other than that described in Sect. 4.4. In this section a metric  
 261 is presented, employing information from all counters in the HERSCHEL detector, that  
 262 can be used to discriminate between the empty-detector signal and the increased activity  
 263 associated with background processes. The efficiency of this metric in selecting CEP signal  
 264 is measured using CEP production of continuum-dimuon pairs, an abundant process that  
 265 can be rather easily identified without HERSCHEL information. The effectiveness of the  
 266 same metric in suppressing non-CEP background is determined. Finally the impact of  
 267 using HERSCHEL information in the measurement of exclusive photoproduction of  $J/\psi$

mesons, that can be considered a benchmark for other CEP processes, is considered.

## 5.1 Efficiency of HeRSChEL in selecting empty events

In order to construct a quantity that combines the responses of all twenty counters comprising the HeRSChEL detector effectively, it is beneficial to account for the characteristic distribution of the empty-detector signal in each counter. Examples of this empty detector signal have been discussed in Sec. 4.4. The most natural way to combine the activity in all the HeRSChEL detectors is to construct a  $\chi^2$  quantity,  $\xi_{\text{HRC}}$ , such that values of  $\xi_{\text{HRC}}$  close to zero correspond to events with little or no activity in all the HeRSChEL counters, as expected in the case of a single CEP interaction, and high values of  $\xi_{\text{HRC}}$  correspond to events where the counter activity is elevated, as expected for non-CEP background. In order to construct such a  $\chi^2$  it is necessary to account for two effects. Firstly the non-Gaussian distribution of the empty detector HeRSChEL signals, as displayed in Fig. 13, must be accounted for. Secondly it is required to consider the correlation in the activities recorded in the different quadrants of the HeRSChEL stations, even between the different stations on each side, given the overlap in acceptance shown in Fig. 3. Both these effects have been studied in events recorded immediately after a series of  $pp$  bunch crossings in the LHC. A single  $\chi^2$  quantity,  $\xi_{\text{HRC}}$ , is constructed to quantify the activity above the noise in all the counters, taking account of correlations between the counters.

The distribution of the HeRSChEL metric,  $\ln(\xi_{\text{HRC}})$ , is shown in Fig. 14 for the sample of events immediately after a bunch train discussed in the previous Section. Since these events represent the activity expected in HeRSChEL for CEP processes, the efficiency for these events to survive an upper limit on  $\ln(\xi_{\text{HRC}})$  is also indicated.

## 5.2 Study of the performance on continuum-dimuon production

In order to enhance the CEP candidates in an event sample, an upper limit on  $\xi_{\text{HRC}}$  should be chosen. Continuum-dimuon production, an abundant CEP process with a comparatively clear experimental signature, is used to assess the efficiency of such a limit. This process takes place by two-photon exchange between the protons, and results in a very soft spectrum in  $p_{\text{T}}^2$  for the dimuon system. By analysing the  $p_{\text{T}}^2$  spectrum of the dimuon candidates the CEP component may be reliably isolated.

A sample of dimuon candidates is selected in data collected during 2015. The fraction of single-interaction CEP candidates within this sample, originating from an event where only a single  $pp$  interaction is detected, is enhanced by making standard trigger requirements and imposing limits on the activity in the other LHCb sub-detectors. Specifically only events with exactly two tracks, satisfying standard LHCb muon-identification requirements, are allowed in this two-track sample. The invariant mass of the candidate, shown in Fig. 15(a), is chosen to exclude regions of resonant production, which proceeds by a different CEP mechanism and does not have the same  $p_{\text{T}}^2$  distribution. The  $p_{\text{T}}^2$  distribution of the sample is shown in Fig. 15(b). On Fig. 15(b) a second distribution has been superimposed corresponding to the  $p_{\text{T}}^2$  distribution for dimuon candidates selected in the



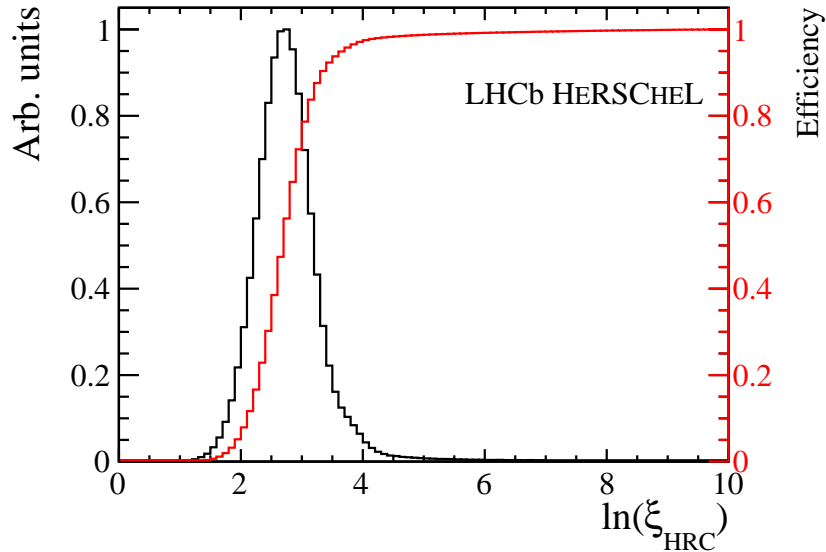


Figure 14: Distribution of HERSCHEL metric for events immediately following a bunch train (black line), representative of the response of the detector to CEP signal. The efficiency for an upper limit on the metric for retention of these events is also shown (red line).

307 same way, but where one additional VELO track is required. This category of events is  
 308 unlikely to be CEP and thus in the case that an additional VELO track is detected, this  
 309 provides a representation of the background distribution. This distribution is normalised  
 310 such that the number of background candidates above  $0.75 \text{ GeV}^2/c^2$  matches the number  
 311 for the same range in the two-track sample. The very low  $p_T^2$  region, where continuum-  
 312 dimuon CEP is expected to be concentrated, is magnified in the inset histogram.

313 These samples are used to obtain the response of the HERSCHEL metric to exclu-  
 314 sive continuum-dimuon production. The distribution of the natural logarithm of  $\xi_{\text{HRC}}$   
 315 in the background sample is represented by the dashed line in Fig. 16(a). To visualise  
 316 the  $\ln(\xi_{\text{HRC}})$  response for CEP signal, the distribution is first obtained for dimuon can-  
 317 didates with  $p_T^2$  below  $0.1 \text{ GeV}^2/c^2$  in the signal sample. The background shape can be  
 318 subtracted from this distribution according to the number of events in the background  
 319 sample, again normalised in the high- $p_T^2$  region. The signal response is shown as the solid  
 320 line in Fig. 16(a). It is interesting to note that the background sample has a peak at  
 321 low values of  $\ln(\xi_{\text{HRC}})$ , a feature which can be explained by the presence of background  
 322 where all additional activity associated with, for example, proton dissociation lies outside  
 323 the HERSCHEL acceptance. The significant tail in HERSCHEL activity seen even for  
 324 ‘CEP signal’ appears because, although the activity in the LHCb spectrometer is con-  
 325 sistent with only one visible  $pp$  interaction there, a second pile-up interaction may still  
 326 occur producing activity only in the geometrical acceptance covered by the HERSCHEL  
 327 detector.

328 In order to obtain the signal efficiency of a given limit on activity in the HERSCHEL  
 329 detector, the continuum-dimuon sample can again be used. This time a fit is performed

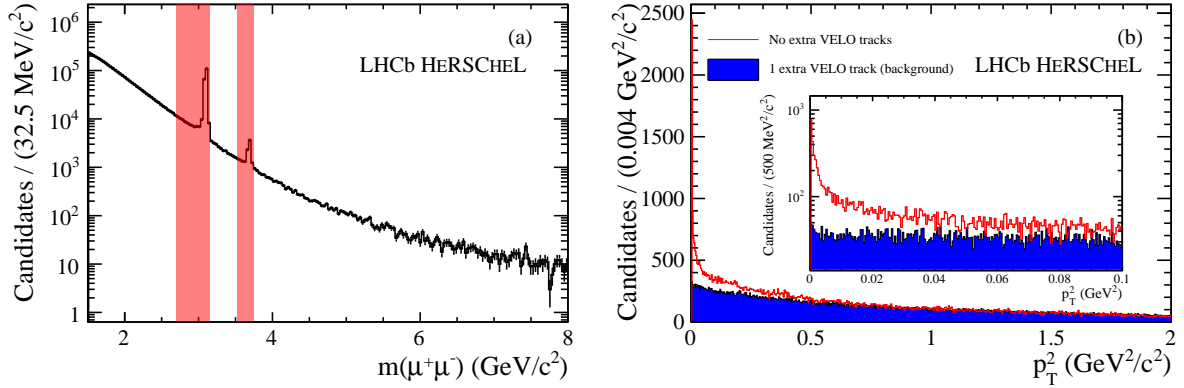


Figure 15: Selected continuum-dimuon sample. In (a) is shown the invariant mass distribution of dimuon candidates. The shaded regions of resonant production are excluded. In (b) is shown the full  $p_T^2$  distribution of the selected dimuon candidates. Since the signal is expected to be concentrated chiefly below  $0.1 \text{ GeV}^2/c^2$ , the candidates below this threshold are shown in the inset histogram. For both regions, an additional histogram is superimposed, corresponding to the distribution of candidates in a background sample.

330 to the  $p_T^2$  distribution of signal candidates. A template is taken from simulated samples to  
 331 represent the signal component. Studies of the three-track background sample and other,  
 332 associated, data sets give confidence that the background component can be well-modelled  
 333 using a probability density function (PDF) consisting of two exponential shapes. To obtain  
 334 the efficiency of the HERSCHEL activity limit on the continuum-dimuon CEP signal, the  
 335  $p_T^2$  distribution is fit with a PDF containing the signal template and the background  
 336 model, where the slope parameters of the background exponentials are free to vary along  
 337 with their relative fraction. One such fit is shown, for illustration, in Fig. 16(b). The  
 338 effect on the exclusive-signal yield of changing the HERSCHEL veto is determined and  
 339 shown in Fig. 16(c).

340 It is of interest to consider the effect of the HERSCHEL activity limit on the back-  
 341 ground component, although it should be noted that the kinematic properties and likely  
 342 HERSCHEL response to the background are rather specific to the production mode under  
 343 consideration. The effect of the HERSCHEL activity limit in suppressing the background  
 344 in this specific sample is also shown in Fig. 16(c), where the uncertainty is statistically  
 345 dominated.

346 An illustrative veto on activity in HERSCHEL is chosen, consisting of an upper limit  
 347 on  $\ln(\xi_{\text{HRC}})$  at 4.9. The signal efficiency for continuum-dimuon production at this work-  
 348 ing point is 84% according to Fig. 16(c) and, as seen in the same study, 65% of the  
 349 background is rejected. It is clear from Fig. 14 that the intrinsic HERSCHEL efficiency  
 350 for selection of empty events at this working point is nearly 100%. The difference be-  
 351 tween the empty-event selection efficiency and the measured efficiency for selection of  
 352 CEP continuum-dimuon candidates arises because a limit on activity in the HERSCHEL  
 353 detector also suppresses events where additional, pile-up  $pp$  interactions take place. Such

354 additional pile-up  $pp$  interactions, if the resulting particle production escapes the stan-  
 355 dard spectrometer acceptance but enters the HERSCHEL detector, would be likely to  
 356 produce a background-like signature in some fraction of events also containing a genuine  
 357 CEP candidate.

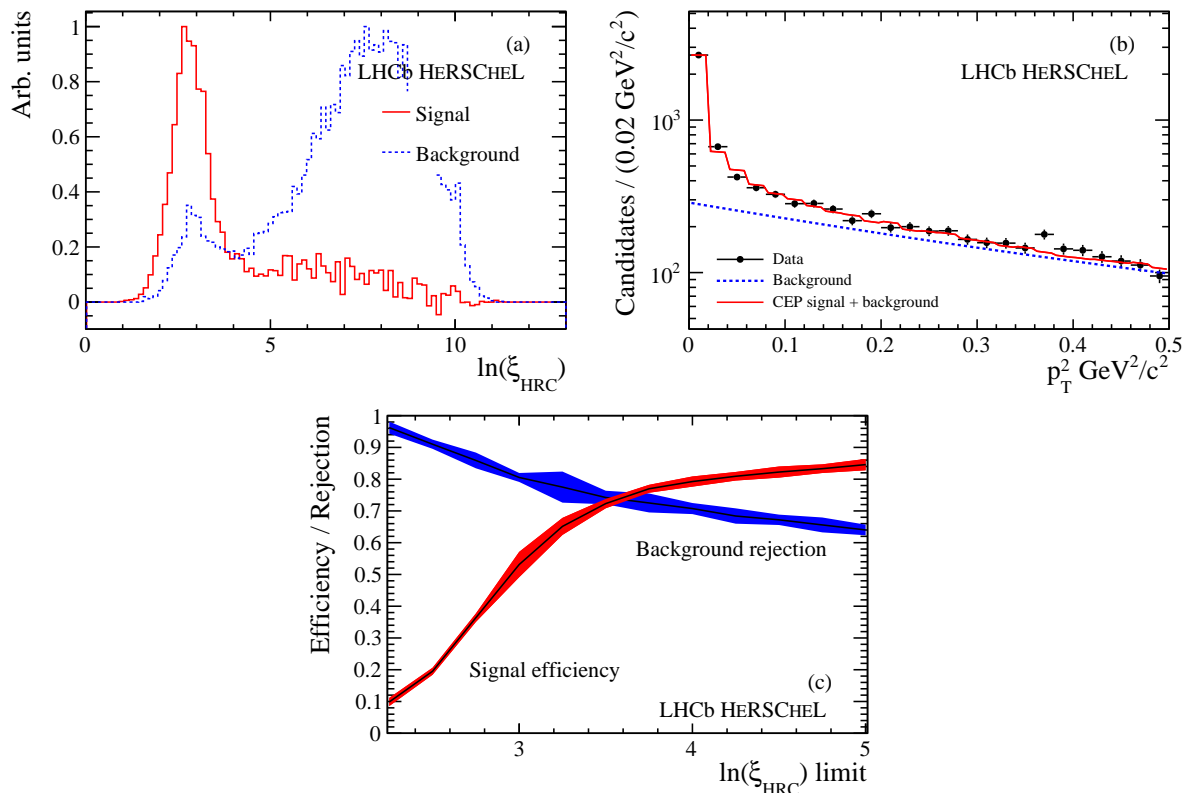


Figure 16: In (a) the distribution of  $\ln(\xi_{\text{HRC}})$  is shown for CEP (solid line) and non-CEP (dashed line) continuum-dimuon candidates. In (b) an example fit to the continuum-dimuon  $p_{\text{T}}^2$  distribution is shown, with the CEP signal template and double-exponential background indicated. In (c) the CEP signal efficiency and, for this process, background rejection as a function of the limit chosen on  $\ln(\xi_{\text{HRC}})$  is shown, where the uncertainty is statistically dominated.

### 358 5.3 Study of the performance on $J/\psi$ photoproduction

359 The effect of the limit placed on  $\xi_{\text{HRC}}$  can be considered for the case of resonant dimuon  
 360 production, near the  $J/\psi$  mass indicated by the first shaded region in Fig. 15(a). In  
 361 this case, the production mechanism is predominantly photoproduction, neglecting a very  
 362 small contamination from continuum-dimuon production in this region, and the exclusive  
 363  $J/\psi$  candidate  $p_{\text{T}}^2$  distribution extends to higher values, even up to  $1 \text{ GeV}^2/c^2$ . The effect of  
 364 placing a limit on  $\ln(\xi_{\text{HRC}})$  of 4.9, chosen to have a signal efficiency of approximately 84%  
 365 according to the continuum-dimuon studies, is shown for the distribution of  $J/\psi$  candidate  
 366  $p_{\text{T}}^2$  in Fig. 17. The background yield, determined by the number of candidates in the high

367  $p_T^2$  region above  $1 \text{ GeV}^2/c^2$ , where little  $J/\psi$  CEP signal is expected, is reduced to less  
 368 than a third of its original value. A similar suppression is expected in the background  
 369 component at lower  $p_T^2$ , and a corresponding improvement in the signal purity. The  
 370 background rejection that is achieved at a particular HERSCHEL working point depends  
 371 on the production process for that background, hence for this process the HERSCHEL  
 372 cut is more effective in suppressing background than in the continuum-dimuon study.

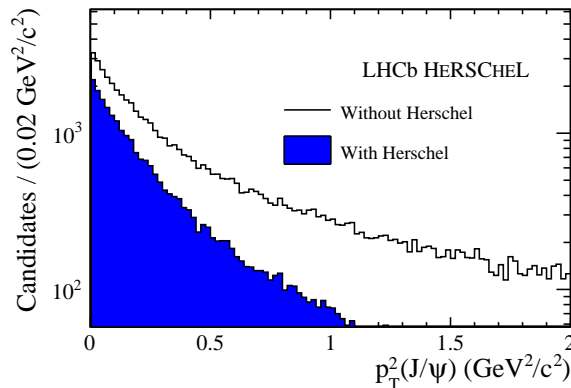


Figure 17: Effect on the  $p_T^2$  distribution of  $J/\psi$  CEP candidates of applying a limit of 4.9 on  $\ln(\xi_{\text{HRC}})$ . This cut is expected to retain close to 100% of CEP signal which have no additional tracks due to pile-up or single diffractive events in the combined LHCb and HERSCHEL geometrical acceptance. This corresponds to about 84% of the events which would be accepted if only the LHCb geometrical acceptance was used. At this working point, the yield of non-CEP  $J/\psi$  candidates falls to nearly a third of the original value.

## 373 6 Employing HeRSChEL in the LHCb trigger

374 The LHCb trigger is divided into a low-level hardware trigger and a higher-level soft-  
 375 ware trigger. In 2016 HERSCHEL information was introduced into the software trigger.  
 376 Specifically, a loose limit is placed on the sum of ADC counts for the counters in each station.  
 377 The distributions of the sum of ADC counts from each station are shown in Fig. 18  
 378 for events which pass the hardware trigger relevant for hadronic CEP. The portion of the  
 379 distribution rejected is indicated. This conservative limit, far above the empty-detector  
 380 portion of the distribution, allows for the trigger rate to be reduced by nearly a factor of  
 381 two, allowing for other constraints to be relaxed in order to select hadronic CEP signals  
 382 more efficiently. Work is ongoing to include HERSCHEL information in the hardware  
 383 trigger.

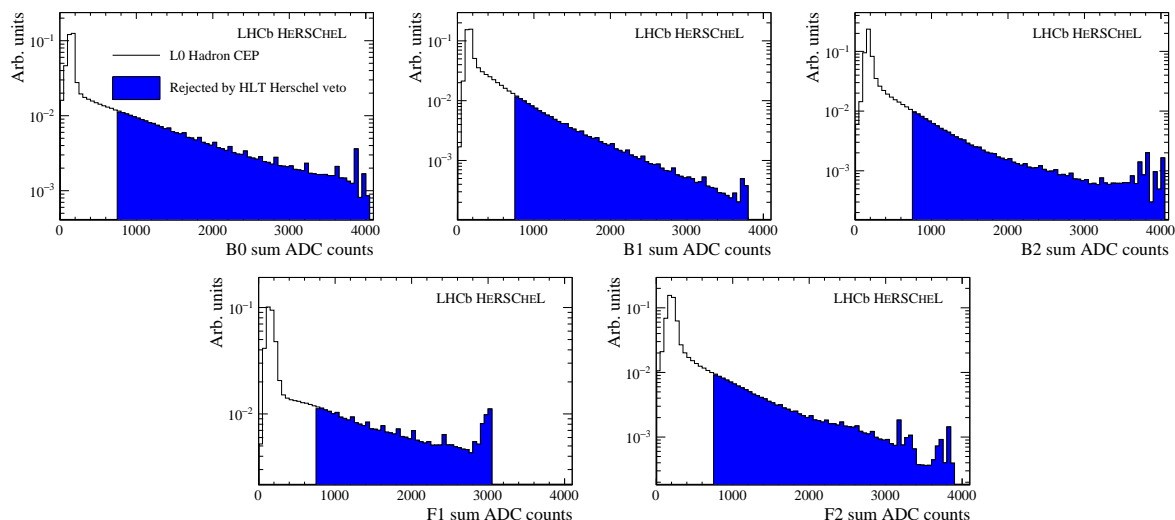


Figure 18: HERSCHEL in the LHCb software trigger. Distributions of the sum of ADC counts recorded by the four counters in each station. The portion of events rejected by the limit in each station is indicated by the filled region.

## 7 Conclusion and outlook

384

385 A new sub-detector has been constructed, installed and commissioned in the LHC tunnel  
 386 in order to extend the rapidity coverage of the LHCb experiment in the high-rapidity re-  
 387 gions either side of the interaction point during Run 2 of the LHC. Five stations have been  
 388 installed up to a maximum distance of approximately 114 m from the interaction point.  
 389 The HERSCHEL detector extends the LHCb forward coverage up to a pseudorapidity of  
 390 around 10.

391 The physics impact of the new detector has been described; in particular HERSCHEL  
 392 allows for significant improvement in the suppression of the most problematic back-  
 393 grounds in studies of Central Exclusive Production at LHCb, whilst maintaining high signal effi-  
 394 ciency. The new detector is already in use in the LHCb software trigger for CEP processes,  
 395 and will be integrated at the hardware level in the L0 trigger chain in the near future.

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