

1 Health hazard, cycling and thermal stability as key parameters when selecting a suitable Phase
2 Change Material (PCM)

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13 **Abstract**

14
15 From the literature review it was observed that there is no established methodology, neither a
16 common pattern, when selecting a phase change material for a thermal energy storage
17 application. Melting temperature and enthalpy have traditionally been the considered
18 thermal properties for the material selection. Therefore, the authors of this paper propose a
19 new method of investigation on the suitability of a PCM that takes into account not only
20 thermal properties but also health hazard and both cycling and thermal stability. Health hazard
21 is related with the handling of the material, and both cycling and thermal stabilities with
22 durability. This methodology is applied to five different PCM in the 150–200 °C range: salicylic
23 acid, benzanilide, d-mannitol, hydroquinone, and potassium thiocyanate. Results show that for
24 an application in the 150–200 °C range the suitable PCM are benzanilide and d-mannitol.
25 Moreover, hydroquinone is also suitable but only in closed systems.

26 Keywords: thermal energy storage (TES); methodology; phase change materials (PCM);
27 thermal stability; cycling stability; health hazard.

28 **1 Introduction**

29 Thermal energy storage (TES) technologies absorb and store energy for a period of time and
30 release it according to the energy supply needs. These systems can bridge temporal and
31 geographical gaps between energy supply and demand. According to the storage temperature
32 needed, TES technologies can be grouped as low, medium or high temperature systems. Some
33 of them have already showed significant levels of deployment [1]. In fact, there is an increasing
34 interest in the medium temperature level in which applications like solar cooling or industrial
35 waste heat can be found. Moreover, there is a gap in full characterised TES material in this
36 range.

37 Solar cooling technologies have become more and more important for human comfort since
38 the primary energy used, solar energy, is the cheapest and most extensively available
39 renewable energy. Moreover, there is a need to develop and promote environmentally
40 sustainable cooling technologies because of the high energy consumptions and the peak loads

41 caused by the conventional systems. There are different ways through which heat can be
42 stored in PCM in a solar cooling facility, such as: heat storage to be supplied to the generator,
43 produced cool energy storage and solar collectors heat storage for later use [2].

44 Waste heat represents a significant opportunity to improve the efficiency of global energy
45 systems. Its use is dependent of both energy demand and availability of TES networks in
46 nearby areas. PCM could be used to match the heat supply with the demand where temporal
47 or geographic gaps exist [1]. Technologies to recover and use industrial waste heat (IWH) have
48 been previously categorized by Brueckner et al. [3]. These technologies can be used for
49 recycling or reusing waste heat within an industry to heat or preheat other processes. In [3]
50 authors collected exhaust gas temperature from different industrial processes with the final
51 objective of detecting opportunities for IWH recovery. Based on this study Table 1 shows the
52 industrial processes in which PCM having a melting point in the 150–200 °C temperature range
53 could be applied for IWH recovery.

54 To design a real equipment involving PCM in this temperature range a previous and appropriate
55 characterization of the PCM needs to be done.

56 Table 2 lists the available highly pure PCM for the abovementioned processes [4][5], along
57 with their thermophysical properties, characterization technique, and stability study, if any. It
58 is important to highlight that composites are out of the scope of this paper.

59 A similar approach was published by Haillot et al. [6] for the 120-150 °C temperature range.
60 Authors based their methodology selection criterion on toxicity and ecological impact,
61 economics, hygroscopy, thermogravimetric analysis (TGA), and differential scanning
62 calorimetry (DSC) complemented with quadrupole mass spectrometry (QMS) gas analysis. The
63 paper also emphasizes the importance of measurement conditions to characterize PCM
64 (open/closed crucible, type of atmosphere) and suggest that long term stability tests are
65 required.

66 DSC characterization provides both phase change temperature and enthalpy at the melting
67 and solidification points. Moreover, melting/solidification cycles can be performed seeking for
68 thermal cycling stability. Nonetheless, other equipment can also be used for this purpose, such
69 as T-History [13] and thermal cyclers, [14]. In addition, besides checking the thermophysical
70 properties before and after cycling by the already explained means and to go deeper on the
71 study of PCM candidates, other techniques such as IR and TGA should be used. IR confirms if
72 the chemical structure of the material remains unchanged, thus, if the PCM will behave as
73 expected. TGA gives information about the decomposition temperature and when, if so, the
74 mass loss of a sample starts. This is relevant to know the maximum permissible temperature
75 the PCM can work at (see Section 3).

76 Table 2 shows that almost all published studies about experimental characterization of PCM in
77 the 150-200 °C temperature range use differential scanning calorimetry (DSC) as the
78 characterization technique. Only two studies, published by Rathgeber et al. [7][8], use another
79 calorimetric technique (T-History) with a different operational basis. Furthermore, only two
80 studies [9][10] perform stability tests coupled with infrared spectroscopy (IR), other two use

81 TGA [11][12], and another one performs cycling stability tests with a DSC-TGA coupled to a
82 QMS [6].

83 Therefore, from the literature review it has been observed that there is no established
84 methodology, neither a common pattern, when selecting a PCM in the 150-200 °C
85 temperature range.

86 The common methodology to select the suitable PCM for an application is mainly based on a
87 basic research on the supplier product specifications and a later DSC characterization. Only few
88 researchers perform thermal cycles to ensure PCM long life, neither IR to check chemical
89 degradation, if any, nor TGA to know the maximum operating temperature so that the
90 material does not decompose. These features are highly important to design a real application
91 involving PCM in this temperature range. Therefore, the main objective of this paper is to
92 provide a complete step-by-step methodology to follow when selecting a PCM, with a case
93 study in the 150-200 °C application range. Few PCM selection procedures are presented in the
94 literature for this working temperature range but there are many for higher temperatures
95 [19][20][21].

96 The authors of this paper consider the methodology proposed in this article as basic,
97 considering minimum requirements. Extra analysis could be helpful and recommendable.

98 **2 Materials**

99 Five PCM with melting temperatures between 150 to 200 °C are selected. As this case study
100 aims to be representative, they have been selected from different PCM groups: organic, sugar
101 alcohols, aromatic compounds, and inorganic. Their melting peak temperature, heat of fusion,
102 and purity provided by the manufacturer, are listed in Table 3.

103 **3 Proposed methodology**

104 It can be previously seen in the literature that a PCM can be characterised by using different
105 technologies [23]. Among all of them, authors propose to take into account a minimum of
106 three: health hazard, cycling stability and thermal stability. Extra analysis are recommendable
107 if possible. Figure 1 shows the diagram of the methodology here proposed. All the involved
108 stages are complementary to each other and they converge to a common goal which is the
109 proper material selection.

110 Moreover, thermal stability analysis have been performed both in sealed and in open/pierced
111 crucibles. The reasons why sealed and open/pierced experiments have been conducted are
112 first, because of equipment requirements of piercing crucible lids at temperatures around 200
113 °C and, second, because it is possible to detect the influence of the oxidation (contact with air)
114 of the materials proposed in this analysis.

115 **3.1 Health hazard**

116 One important parameter to take into account when performing a material screening for a
117 certain application is health hazard [6][19]. The degree of health hazard of a chemical or
118 material is based on the form or condition of the material, as well as its inherent properties.

119 Usually the manufacturer provides it in specific datasheets, called material safety data sheets
120 (msds), that reveal how hazardous the substance is. The degree of health hazard of a material
121 should indicate the degree of personal protective equipment required to work safely with the
122 material. This parameter needs to be accounted due to the specific standards to be
123 accomplished for each one of the different applications where the material is thought to be
124 implemented.

125 The National Fire Protection Association (NFPA) has developed a system to indicate the health,
126 flammability, reactivity and special hazards for many common chemicals through the use of
127 the NFPA 704 Diamond [24]. The standard "NFPA 704: Standard System for the Identification
128 of the Hazards of Materials for Emergency Response" is followed here, specifically the blue
129 indicator that corresponds to health hazard, which is graded from 0 to 4, being 0 non-
130 hazardous substances and 4 the ones that could cause death or major residual injury by very
131 short exposure.

132

133 **3.2 Thermal stability**

134 Thermal stability is another key factor when selecting a PCM for a specific application.
135 Thermogravimetric analyses (TGA) have been performed in order to understand the thermal
136 decomposition of the sample within the temperature range considered to be applied for solar
137 cooling applications.

138

139 The tests are run with open pans in a TGA, simulating an open system to ensure that the
140 material can be used in the application temperature range with no mass losses. The
141 thermogravimetric device used was a TA Instrument Simultaneous SDTQ600 under N₂
142 atmosphere. The heating rate used to perform the PCM decomposition tests was 10 K/min
143 from 30 °C to 250 °C and the opened 100 µL alumina crucibles were filled with around 20 mg
144 of material.

145

146 **3.3 Cycling stability**

147 When designing a heat storage unit, it is of great importance to ensure its long term
148 performance, that is, the long term stability of the material used. Its thermal properties
149 (melting temperature and enthalpy) need to remain almost constant during a specific number
150 of cycles to guarantee the efficiency of the unit. Therefore, the cycling stability is the other
151 essential parameter to be considered.

152 The study is done by DSC and as some of the materials can experience oxidation with air, the
153 first step was to decide whether the DSC pans needed to be pierced or closed. For this
154 purpose, two samples of each PCM were prepared, piercing the pan lid in all cases to check, if
155 so, the quantity of material lost due to the lid hole during cycling. The DSC measurements
156 were done using a dynamic mode method between 100 °C and 200 °C at a constant heating
157 rate of 0.5 K/min under a 200 ml/min N₂ flow rate. Ten minute isothermal stages were
158 programmed to stabilize the material temperature before and after each heating/cooling
159 segment. The materials underwent four complete heating/cooling cycles in this conditions.

160 In order to quantify the mass loss, the pans were weighed before and after each cycle. The
161 following Table 4 shows the results obtained after 1, 2, 3 and 4 cycles. Hydroquinone and
162 salicylic acid were the materials that experienced important mass losses due to the piercing of
163 the lid. These mass losses could also be consequence of possible oxidation with air. Mostly all
164 salicylic acid was lost within just two cycles. Oppositely and as it can be seen, none of the other
165 materials experienced important mass losses.

166 Therefore, these results made the authors decide to proceed the study with closed pans and
167 none pierced lids. At the same time and as a preventive measure against oxidation, it was
168 decided to fill the pans mostly to the top, leaving a thin layer of air inside the crucible;
169 therefore the oxygen presence in the crucibles would be very low and oxidation may be
170 neglected.

171 **3.3.1 Cycling characteristics**

172 The methodology is designed to study the cycling stability of all the PCM during 50 cycles. In
173 order to see the evolution of the thermal parameters along these 50 cycles, three
174 measurement points were established:

- 175 - 1st measurement (used as a reference) : 0 cycle
- 176 - 2nd measurement: 10th cycle
- 177 - 3rd measurement: 50th cycle

178

179 In order to achieve repeatability in the results, two samples of each one of the five PCM
180 candidates were prepared for each measurement point. That is, ten samples for the initial
181 measurements (cycle 0), ten samples to be cycled 10 times (10th cycle measurement point)
182 and ten samples to undergo 50 cycles (50th cycle measurement point). The thermophysical
183 properties were only measured at cycles 0, 10 and 50. 100 μ L aluminium pans 2/3 filled (to
184 avoid oxidation) and hermetically closed were used in the DSC. All materials were cycled in a
185 Mettler Toledo DSC 822e under 200 ml/min constant N₂ flow rate. Two heating/cooling rates
186 (Figure 2) were established in this procedure:

- 187 - 10 K/min rate was used to cycle the PCM
- 188 - 0.5 K/min rate was used in the last cycle to measure the thermal parameters of the
189 PCM

190

191 The authors run a previous cycle at 10 K/min rate to all samples in order to melt and crystallize
192 the PCM under the same conditions. Therefore, this cycle is considered as a pretreatment in
193 order that the initial study point is the same for every sample.

194

195 To cycle the PCM, a dynamic mode method between 100 °C and 200 °C was used to
196 characterize the materials. As Figure 2 shows, this method consists on a 10 min isothermal
197 stage at 100 °C, followed by a heating stage at constant rate where temperature is increased
198 from 100 °C to 200 °C and another 10 min isothermal stage at 200 °C to ensure the complete
199 melting of the PCM. Then the material is cooled at constant rate down to 100 °C and another
200 10 min isothermal stage is applied at this temperature to ensure the total solidification of the
201 material.

202

203 Based on the experience of the authors and study cases [13,26] low heating/cooling rates are
204 applied when measuring thermophysical properties of the PCM in order to obtain reliable
205 results.

206 **3.3.2 Chemical stability**

207 FT-IR spectroscopy has been performed in order to study the chemical stability of the selected
208 PCM after the cycling process. A Perkin Elmer MID Spectrum Two™ spectrometer that works in
209 the 400–4000 cm^{-1} wave number range was used, with a 4 cm^{-1} resolution accounting 4 IR
210 scans each analysis. Its functionality is based on the characteristic wave numbers at which the
211 molecules vibrate in infrared frequencies. This can be seen as characteristic peaks for each
212 substance. By using the FT-IR technique, the PCM degradation through the cycling stability test
213 described in former paragraphs can be followed. The disappearance of the characteristic
214 peaks, the appearance of new peaks or the characteristic peaks decrement can indicate that
215 the material is being oxidized or degraded.

216 **4 Results and discussion**

217 **4.1 Health hazard**

218 The health hazard rating following NFPA 704 of the materials under study is shown in Table 5.
219 Potassium thiocyanate presents the highest value, 3 out of 4, which stands for toxic or
220 corrosive material and skin contact or inhalation should be avoided. This PCM, and others
221 which may present hazardous values above 3, are suggested to be discarded at this step for
222 the selection. Nonetheless, if a specific application requires it, they can be used, but always
223 under the established safety measures. The other four PCM present low values of health
224 hazard which make them suitable for application.

225 **4.2 Thermal stability**

226 Thermal decompositions of the PCM under study are plotted in Figure 3. These curves show
227 that d-mannitol, benzanilide, and potassium thiocyanate are almost stable within the
228 temperature range, up to 250 °C with open crucibles (see the horizontal line around 100 %
229 weight loss), while hydroquinone and salicylic acid are degraded until the total decomposition
230 of the PCM mass (see curves from 100 % weight loss to 0 %).

231 The decomposition/degradation of hydroquinone starts at 150-160 °C, and for salicylic acid at
232 120-130 °C. Based on these results, the maximum working temperature in open systems for
233 hydroquinone and salicylic acid should be lower than 150 °C and 120 °C, respectively.

234 **4.3 Cycling stability**

235 **4.3.1 Phase change temperatures and enthalpies**

236 Two samples of a same PCM were cycled in each measurement point in order to
237 representatively measure the variability of the thermophysical properties with time. Errors of
238 10% for melting enthalpy and a 5% for melting temperature are stated as acceptable for the
239 DSC measurements [9][27] and are represented in the graphics with error bars.

240

241 Figure 4 shows melting and solidification enthalpies of the four PCM. D-mannitol is the PCM
242 with higher enthalpy values, over $200 \text{ kJ}\cdot\text{kg}^{-1}$ even after 50 cycles, though its storage capacity
243 seems to decrease a bit with the cycles. Hydroquinone is the other material that has enthalpies
244 larger than $200 \text{ kJ}\cdot\text{kg}^{-1}$ along the 50 cycles and its storage capacity remains quite constant
245 during this time. Benzanilide has lower heat of fusion but its values also remain constant
246 during the 50 cycles. Potassium thiocyanate has the lowest enthalpy values and presents an
247 unexpected pattern as its enthalpies increase with cycles. However, these differences are
248 within the process error, so values can be taken as reliable. Salicylic acid pans continued to
249 experience leaks despite being closed and non-pierced, therefore data could not be collected
250 along the 50 cycles and neither presented. Consequently, this material is not included neither
251 in Figure 4 nor in Figure 6.

252 Figure 5 shows the evolution of both melting and solidification temperatures of each PCM
253 along the 50 cycles. As displayed, hydroquinone is the PCM that does not show any kind of
254 temperature hysteresis on its values. The graph shows that both melting and solidification
255 temperatures remain constant along the test. On the other hand, d-mannitol presents
256 important hysteresis between the two temperatures and this difference becomes more
257 remarkable as the test comes to its end. Benzanilide also shows hysteresis between both
258 temperatures but not as large as d-mannitol one. Moreover and despite the hysteresis, both
259 phase change temperatures remained mostly constant during all the experimentation. Finally,
260 potassium thiocyanate shows a great increase of the melting temperature from the 10th cycle
261 on, while the solidification temperature does not suffer important changes along the cycles.
262 This value is off the error and some experimental error like traces of other material on the DSC
263 pan surface may explain it.

264 **4.3.2 Chemical stability**

265 Results obtained by FT-IR in order to follow the chemical degradation of the PCM under study
266 are presented in Figure 6. These results show that d-mannitol, benzanilide and hydroquinone
267 present almost no chemical degradation over cycles because the FT-IR spectrum show equal
268 characteristic peaks with similar profiles. However, K-thiocyanate undergoes a degradation
269 process which is reflected by the differences on the initial FT-IR spectrum and the signal
270 obtained after 10 cycles and 50 cycles, as shown in Figure 6. This agrees with the results
271 obtained with DSC where the thermophysical properties of K-thiocyanate also change.

272 Finally, Table 6 summarizes the thermal characterization performed in this study in order to
273 select the proper PCM for thermal systems in the $150\text{--}200 \text{ }^\circ\text{C}$ temperature range. In addition,
274 this table takes into account the configuration of the system (open or closed system) as well as
275 the maximum service temperature for each configuration and the importance of the health
276 hazard.

277 Summarizing the obtained results, salicylic acid is discarded as a useful PCM to be
278 implemented in both closed and open systems operating between $150\text{--}200 \text{ }^\circ\text{C}$. D-mannitol,
279 benzanilide, potassium thiocyanate, and hydroquinone are useful materials to be implemented
280 in closed systems operating in the $150\text{--}200 \text{ }^\circ\text{C}$ temperature range. D-mannitol, benzanilide and
281 potassium thiocyanate also fit properly for open systems operating in the same temperature
282 range, discarding hydroquinone and salicylic acid due to its impossibility to reach the

283 operational temperature service of these installations. Finally, it is of importance to notice
284 potassium thiocyanate toxicity, thus caution must be taken when selecting it as PCM and avoid
285 it if possible as better matches have been found.

286 **5 Conclusions**

287 From the literature review it was observed that there is neither a stablished methodology nor
288 a common pattern, when selecting a PCM for an application between 150-200 °C. Researchers
289 traditionally select a PCM looking only at literature melting temperature and enthalpy values
290 or often measuring these two properties in the laboratory. Facts show that the behaviour of a
291 PCM can change when located in the specific application.

292 This is why the authors of this paper propose a new method of investigation on the suitability
293 of a PCM. In this sense, a list of properties that can be studied when selecting a PCM is
294 presented. This methodology goes one step further incorporating health hazard and both
295 cycling and thermal stability in the minimum list of properties to be considered when selecting
296 a suitable PCM for a given application. Health hazard is important to be considered not only
297 for the health and safety measures to be implemented for the employees in contact with the
298 PCM but also for facilities design and maintainance. On the other hand, cycling and thermal
299 stability provide information regarding the durability of the PCM which is a key aspect when
300 selecting a material for an industrial application.

301 In order to validate the experimental methodology presented in this study, five PCM from
302 different PCM groups in the 150-200 °C temperature range were selected: salicylic acid,
303 benzanilide, d-mannitol, hydroquinone, and potassium thiocyanate. In this temperature range,
304 current research trends focus on solar refrigeration and industrial waste heat recovery as
305 suitable applications. Evaluations of melting temperatures, enthalpies, health hazards as well
306 as cycling and thermal stability for open and closed systems are performed in order to collect
307 the required information for an accurated PCM selection. According to this methodology, the
308 suitable PCM for an application in the range 150-200 °C are benzanilide and d-mannitol.
309 Hydroquine could also be considered in closed systems.

310 As a final conclusion, it can be said that the experimental methodology presented in this study
311 goes one step further in the complex process of collecting essential information for a suitable
312 PCM selection.

313 **6 Acknowledgements**

314 The research leading to these results has received funding from the European Commission
315 European Commission Seventh Framework Programme (FP/2007-2013) under Grant
316 agreement N° PIRSES-GA-2013-610692 (INNOSTORAGE) and from the European Union's
317 Horizon 2020 research and innovation programme under grant agreement No 657466
318 (INPATH-TES).The work is partially funded by the Spanish government (ENE2011-22722). The
319 authors would also like to thank the Catalan Government for the quality accreditation given to
320 their research group GREA (2014 SGR 123). Aran Solé would like to thank the Departament
321 d'Universitats, Recerca i Societat de la Informació de la Generalitat de Catalunya for her

322 research fellowship. Laia Miró would like to thank the Spanish Government for her research
323 fellowship (BES-2012-051861).

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