

What is the True Tuberculosis Mortality Burden? Differences in estimates by the World Health Organization and the Global Burden of Disease study

Journal:	<i>International Journal of Epidemiology</i>
Manuscript ID	IJE-2017-11-1350.R2
Manuscript Type:	Original Article
Date Submitted by the Author:	05-Jun-2018
Complete List of Authors:	García-Basteiro, Alberto; Centro de Investigacao em Saude de Manhica, Tuberculosis Brew, Joe; Instituto de Salud Global Barcelona Williams, Brian; South African Centre for Epidemiological Modelling and Analysis (SACEMA) Borgdorff, Martien; AMC Cobelens, Frank; Amsterdam Institute for Global Health and Development,
Key Words:	tuberculosis, mortality, epidemiology, estimates, burden

Table 1. Basic characteristics of the models and methodology used by the World Health Organization and the Global Burden of Disease study to obtain country specific and global TB mortality estimates.

	WHO	GBD
Overall model strategy	Several internally consistent models	Cause Of Death Ensemble approach (mix effects regression)
Data sources included in models:		
Vital registration data	Yes	Yes
Mortality surveillance data	Yes	Yes
Verbal autopsies	No	Yes
Prevalence surveys	Yes	No
Specific case fatality ratios	Yes	No
Data stratified by HIV status	Yes	Yes
Data stratified by age	Yes (two groups)	Yes
Data stratified by sex	Yes (adults)	Yes
Population	UN estimates	GBD estimates
Methods published?	Yes	Yes
Uncertainty incorporated	Yes	Yes

Table 2. Global absolute differences in TB attributable number of deaths during 2015, as estimated by the World Health Organization and the Global Burden of Disease Study, by sex, age group and HIV status.

		# deaths (GBD)	# deaths (WHO)	Difference	% difference (WHO ref)	% difference (GBD ref)
Total	HIV+TB only	211604	389042	177438	-84%	46%
	TB only	1111312	1379440	268128	-24%	19%
	Total TB	1322916	1768482	445566	-34%	25%
Adults	HIV+TB only	177567	348026	170458	-96%	49%
	TB only	1075691	1210620	134929	-13%	11%
	Total TB	1253257	1558645	305388	-24%	20%
Children	HIV+TB only	34037	41016	6979	-21%	17%
	TB only	35621	168821	133199	-374%	79%
	Total TB	69659	209837	140178	-201%	67%
Female*	HIV+TB only	78110	143496	65386	-84%	45%
	TB only	367764	352488	15276	4%	-4%
	Total TB	445874	495984	50110	-11%	10%
Male*	HIV+TB only	99457	204471	105013	-106%	51%
	TB only	707927	858132	150205	-21%	18%
	Total TB	807383	1062603	255219	-32%	24%

*Sex stratification was only possible among adults (WHO does not provide sex stratification in people <15 years of age).

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

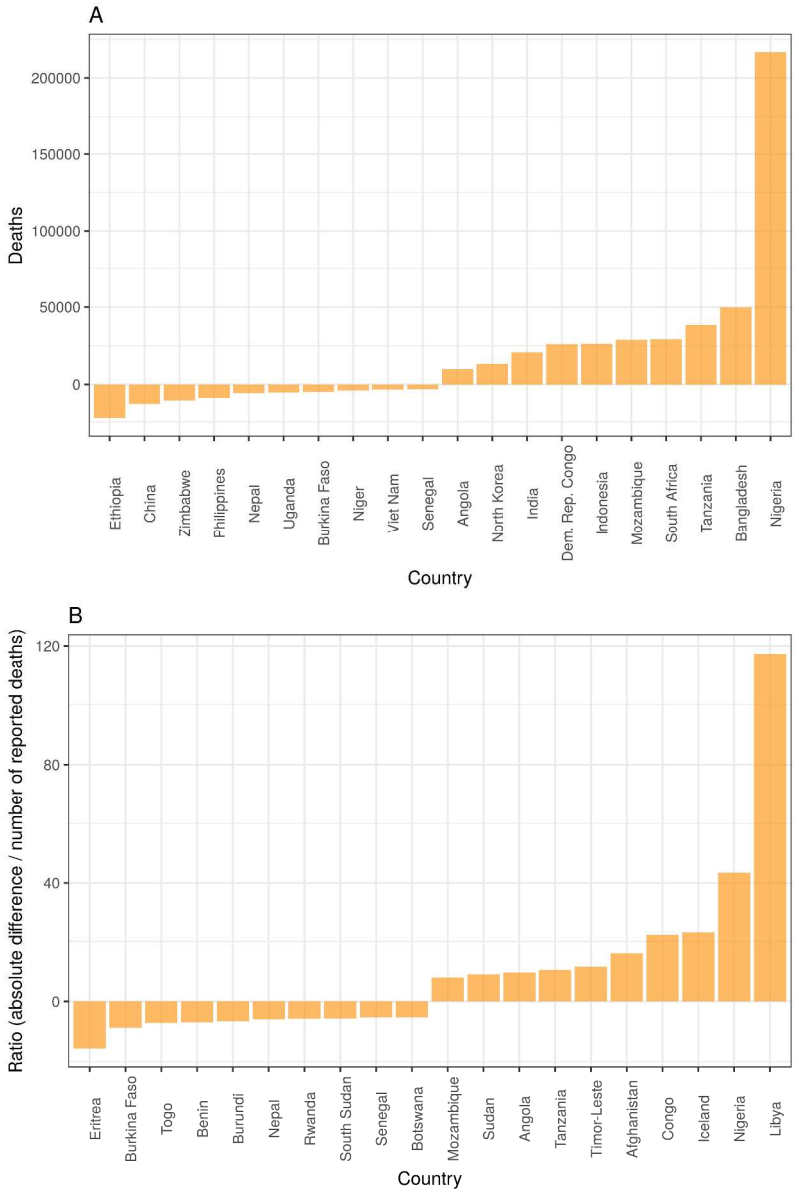
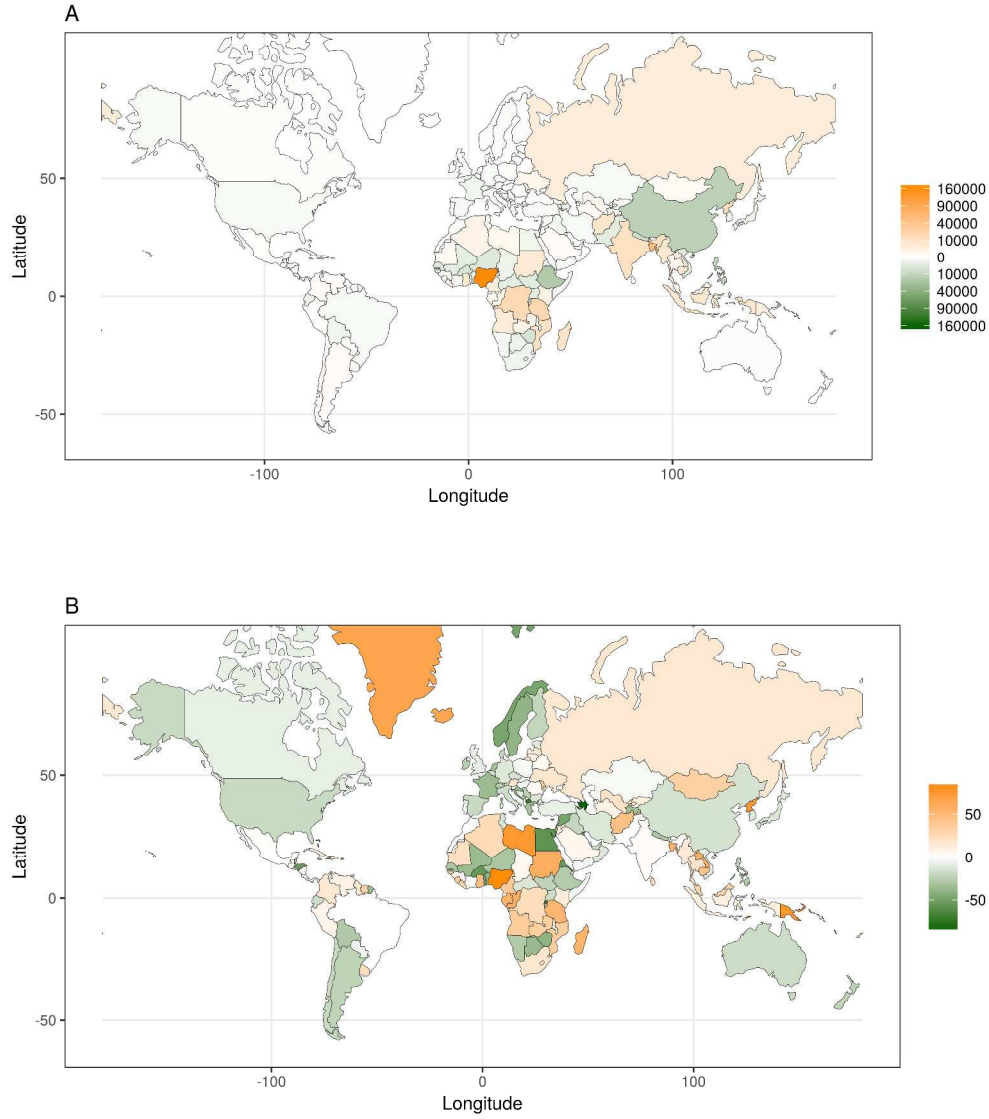


Figure 1. Ranking by (A) magnitude of absolute difference between World Health Organization and Global Burden of Disease study estimates and (B) the ratio of the absolute difference and number of reported deaths by country.



(A) Absolute differences (log scale) and (B) Standardized differences in World Health Organization's and Global Burden of Disease study's number of TB deaths estimates by country (year 2015).

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

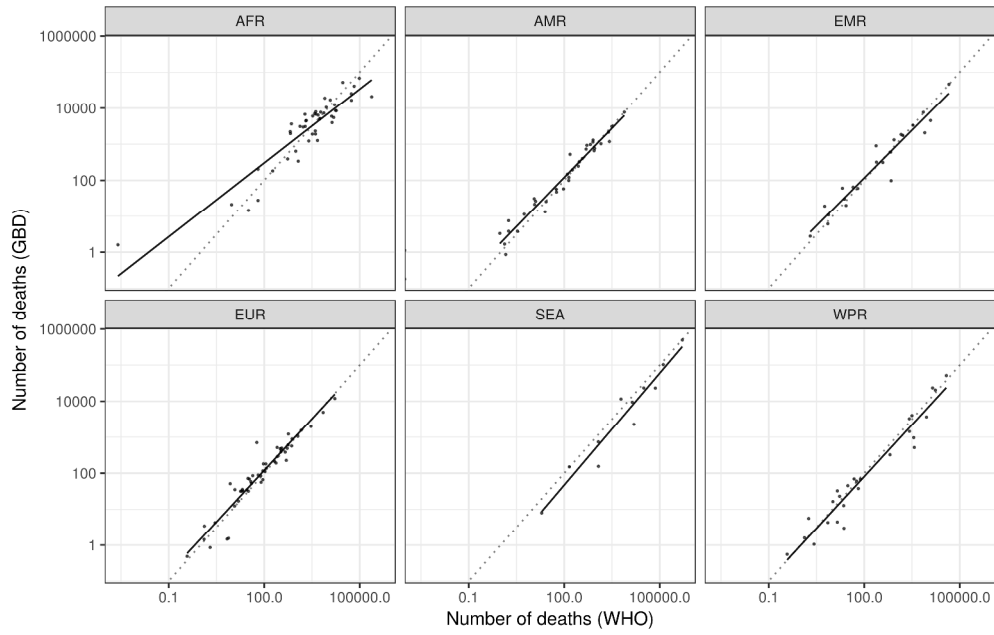


Figure 3. Correlation between World Health Organization's and Global Burden of Disease study's estimated number of TB deaths by UN world region.
*number of deaths in log scale.

Preview Only

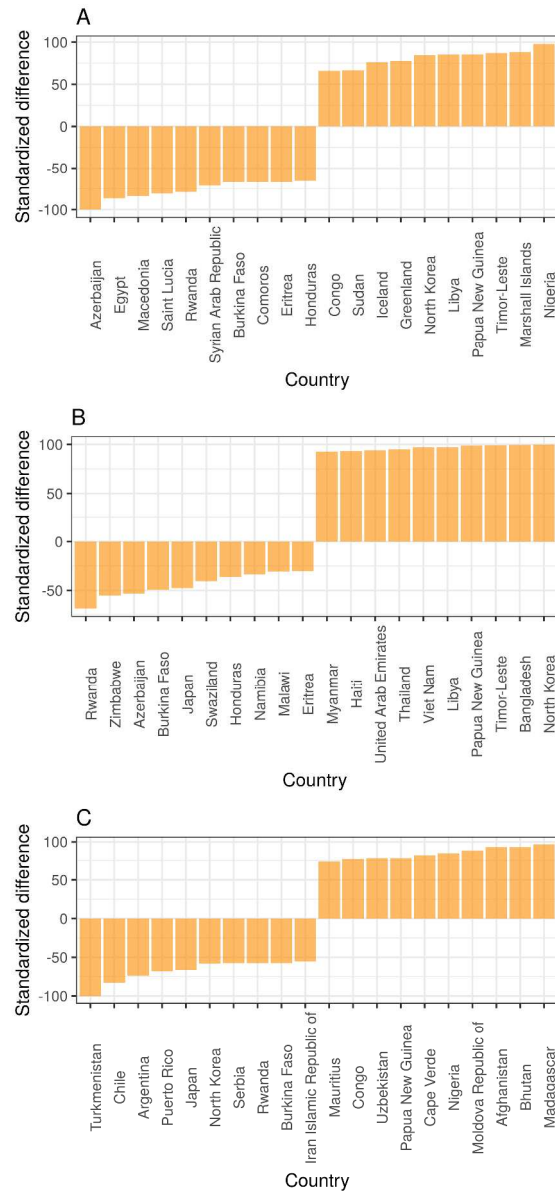


Figure 4. Ranking by magnitude of standardized difference (re-scaled) of World Health Organization and Global Burden of Disease Study TB mortality estimates among a) all tuberculosis deaths (all ages, all types) b) childhood TB deaths (all types) c) HIV-TB deaths (all ages) by country.

* When both WHO and GBD study estimated fewer than 5 deaths for a given subgroup, we removed those countries from the rankings of standardized difference.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

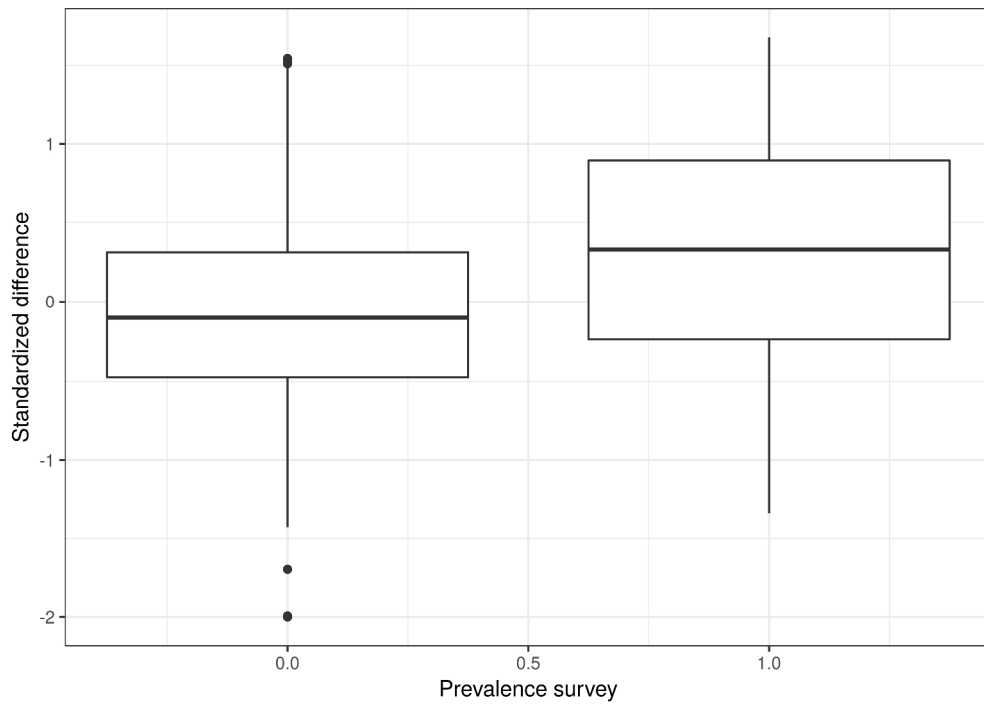


Figure 6. Standardized difference in mortality estimates by World Health Organization and Global Burden of Disease study by having had a nationwide prevalence survey in the country (2009-2015) (1) or not (0).
* Boxes represent 25th-75th percentile, horizontal line represents median value.

Only

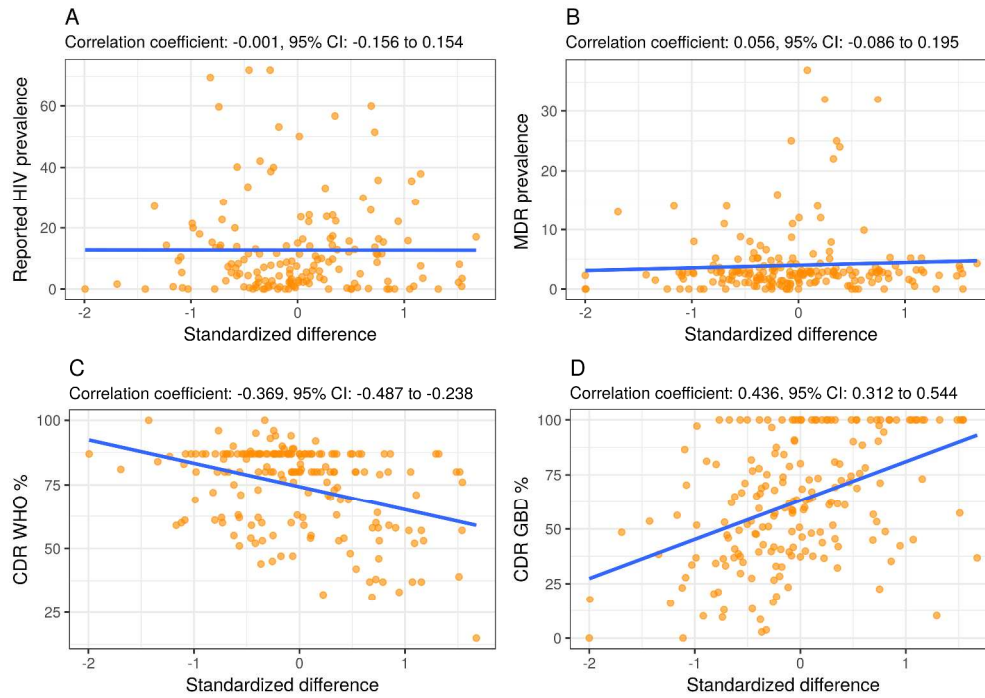


Figure 5. Ecological association of standardized difference in mortality estimates by the World Health Organization and Institute for Health Metrics and Evaluation with a) HIV prevalence b) MDR prevalence (WHO) c) Estimated Case Detection Rate by WHO d) Estimated case detection rate (based on GBD incidence data)

* Line represents linear regression line.

Only

1
2
3 **Title:** What is the True Tuberculosis Mortality Burden? Differences in estimates by the
4 World Health Organization and the Global Burden of Disease study
5
6
7

8
9 **Authors:**

10
11 Alberto L. García-Basteiro MD,^{1,2,3,5*} Joe Brew^{2,3} BSc, Brian Williams PhD⁴, Martien
12 Borgdorff PhD⁵, Frank Cobelens PhD^{1,5}
13
14
15

16
17
18 **Affiliations:**

19
20
21 ¹Amsterdam Institute for Global Health and Development (AIGHD) Amsterdam, The
22 Netherlands
23

24
25
26 ²Centro de Investigação em Saude de Manhiça (CISM). Rua 12, Cambeve CP 1929
27 Maputo, Mozambique
28

29
30
31 ³ISGlobal, Barcelona Ctr. Int. Health Res. (CRESIB), Hospital Clínic - Universitat de
32 Barcelona, Rossello, 132, 08036, Barcelona, Spain.
33

34
35 ⁴South African Centre for Epidemiological Modelling and Analysis (SACEMA)
36

37
38 ⁵Academic Medical Center, University of Amsterdam, Amsterdam, The Netherlands
39
40
41

42 ***Corresponding author:**

43
44 Alberto L. García-Basteiro (a.garcia-basteiro@aighd.org)
45

46
47 Amsterdam Institute for Global Health and Development (AIGHD)
48

49 Meibergdreef 9, 1105 AZ Amsterdam, The Netherlands
50

51 Telephone: +258 866575707
52
53
54
55
56
57
58
59
60

ABSTRACT

Background

The World Health Organization (WHO) and the Global Burden of Disease (GBD) study at Institute for Health Metrics and Evaluation (IHME) periodically provide global estimates of tuberculosis mortality. We compared the 2015 WHO and GBD tuberculosis mortality estimates and explored which factors might drive the differences.

Methods

We extracted the number of estimated tuberculosis-attributable deaths, disaggregated by age, HIV status, sex, and country from publicly available WHO and GBD datasets for the year 2015. We “standardized” differences between sources by adjusting each country’s difference in absolute number of deaths by the average number of deaths estimated by both sources.

Results

For 195 countries with estimates from both institutions, WHO estimated 1,768,482 deaths attributable to TB, whereas GBD estimated 1,322,916 deaths, a difference of 445,567 deaths or 29% of the average of the two estimates. The countries with the largest absolute differences in deaths were Nigeria (216,621), Bangladesh (49,863) and Tanzania (38,272). The standardized difference was not associated with HIV prevalence, prevalence of multidrug resistance or global region, but did show a correlation with the case detection rate as estimated by WHO ($r=-0.37$, 95%CI: -0.48; -0.24) or, inversely, with case detection rate based on GBD data ($r=0.42$, 95%CI: 0.31; 0.54). Countries with a recent national prevalence survey had higher standardized differences (higher estimates by WHO) than those without ($p=0.006$). After exclusion of countries with recent prevalence surveys the overall correlation between both estimates was $r=0.991$.

1
2
3
4
5 **Conclusions**

6 A few countries account for the large global discrepancy in TB mortality estimates. The
7 differences are due to the methodological approaches used by WHO and GBD. The
8 use and interpretation of prevalence survey data and case detection rates seem to play
9 a role in the observed differences.
10
11
12
13
14
15

16 Keywords: tuberculosis; mortality; death; burden; estimates; epidemiology; Global
17 Burden of Disease, World Health Organization;
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

For Review Only

KEY MESSAGES

- Given the contribution of tuberculosis as a global cause of death, being the main infectious cause of death in several settings, the precise assessment of its burden is critical to prioritize health interventions at national level and globally.
- We identify a list of countries for which tuberculosis mortality figures should be carefully reviewed. Our findings suggest that the methodology and different data sources used by WHO and GBD might be driving the differences in TB mortality estimates.
- A global difference of nearly 450,000 deaths (and country differences higher than 10,000 deaths) hinders the assessment of the End-TB programmatic targets in some countries.
- These findings urge both institutions to take a closer look at the modelling approaches where differences are largest, in order to understand the true burden of TB in those settings. These results also call for investment in the development and / or improvement of high quality vital registration systems around the world.

INTRODUCTION

Mycobacterium tuberculosis is the single infectious agent that caused the largest number of deaths in 2016. It has been a major cause of death in previous centuries and potentially, in the history of humankind.^{1,2} In the pre-chemotherapy era, the 10-year case fatality of smear-positive tuberculosis (TB) ranged from 53% to 86%, with 3 years duration on average from onset of disease to death.³ Since a considerable proportion of TB cases are not diagnosed and many of the deaths among diagnosed patients are not accurately assigned,⁴ global mortality figures are estimates derived from mathematical and statistical models. The World Health Organization (WHO) estimated that in 2015, there were 10.4 million new cases and 1.7 million deaths attributable to TB.² This alarming mortality burden attributed to TB in 2015 represents a 20% increase from 2014, driven not by a true upward trend, but based on newly available data from notifying countries and refinement of the modelling approach.^{2,5}

Over the last 20 years, the Institute of Health Metrics and Evaluation (IHME) at University of Washington in Seattle, has developed a methodology to quantify the burden of multiple communicable and non-communicable diseases, injuries and risk factors, with the underlying objective of guiding international and local policy making.⁶ The Global Burden of Disease (GBD) study, a broad international collaborative effort by IHME, periodically provides estimates on different key indicators of burden of disease assessment, including those related to TB. A comparison of the authoritative TB estimates by WHO and GBD for 2013 showed that global mortality figures were reasonably similar (WHO: 1.3 million deaths and GBD: 1.4 million deaths), although important differences existed at national and regional levels.^{7,8} Interestingly, global estimates for TB deaths among HIV-uninfected people were considerably different: 0.9 vs 1.3 million as estimated by WHO and GBD, respectively. The available information for the year 2015 shows bigger discrepancies. Recently released estimates by GBD for 2015 amount to 1.3 million deaths (1.1 among HIV negative cases, range 0.9-1.4),^{9,10}

1
2
3 which is significantly different to 1.8 million (1.4 among HIV negative cases, range 1.2-
4
5 1.6) estimated by WHO for the same year.

6
7 TB mortality estimates vary due to the different underlying assumptions used in the two
8
9 approaches. Although neither institution used to release much detail on their exact
10
11 methods, since 2015 the WHO has included a specific appendix in their annual Global
12
13 Tuberculosis Report in which the main assumptions underlying their TB mortality
14
15 models are specified.² General information on the GBD approach for mortality has
16
17 been published by GBD,^{9,11} and TB-specific methodology for their 2015 estimates has
18
19 recently been released.¹⁰

20
21 Burden of disease assessment is critical to prioritize health policy and planning at
22
23 country level and globally. We sought to provide a detailed comparison of the 2015
24
25 WHO and GBD TB mortality estimates and explore which factors might drive the
26
27 observed differences at national, regional and global level.
28
29
30

31 32 **METHODS**

33 34 *Data sources and management*

35
36 Data from the Global Burden of Disease 2015, GBD2015 iteration, were downloaded in
37
38 December 2016 using the data health tool, available at [http://ghdx.healthdata.org/gbd-
39
40 results-tool](http://ghdx.healthdata.org/gbd-results-tool). Variables included: number of deaths and mortality rate, disaggregated by
41
42 age, HIV status, sex, and country. Data from WHO were downloaded from
43
44 <http://www.who.int/tb/country/data/download/en/> (global TB burden, case notifications
45
46 and TB treatment outcomes datasets) in December 2016. Since WHO did not include
47
48 data stratified by sex and age, additional disaggregated data by these two variables
49
50 were requested and obtained from the Global TB Department.
51
52
53
54
55
56
57
58
59
60

1
2
3 A master dataset containing raw data from both sources was created and is freely
4 available online for the sake of transparency and reproducibility. All source code is also
5 freely available at www.github.com/joebrew/tb_mortality.
6
7

8 9 *Data analysis*

10
11 We described absolute differences in TB mortality estimates by both methods by age
12 (adults vs children), sex, HIV status and global region. In addition, we described the
13 differences in TB mortality estimates by both methods as the ratio between the
14 estimated and reported numbers of deaths. Since the absolute difference in deaths
15 might be driven by country's TB burden, we standardized the differences in mortality
16 estimates by adjusting each country's difference in absolute number of deaths by the
17 average number of deaths estimated by WHO and GBD using the formulae: $(a -$
18 $b)/((a+b)/2)$, where a and b are the numbers of deaths estimated by WHO and GBD
19 respectively. This standardization yielded a metric (standardized difference) that takes
20 into account the TB burden in the country. Since its scale cannot easily be interpreted,
21 for plotting country rankings we rescaled this metric to a -100 to +100 scale, using the
22 relative difference as a proportion of the maximum value obtained, yielding a positive
23 score for a given country when WHO estimates were higher than GBD's and vice
24 versa. Therefore, a score of +100 or -100 would represent the maximum difference in
25 TB deaths observed between WHO and GBD relative to the average number of
26 estimated deaths. When both estimated fewer than 5 deaths for a given subgroup, we
27 removed those countries from the rankings of standardized difference. In a sensitivity
28 analysis we explored whether the standardization of the absolute difference in number
29 of TB deaths (WHO-GBD) by reported number TB deaths yielded different results with
30 regards to potential drivers of the difference (**online supplementary material**).
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50

51 *Methods used by WHO and GBD to estimate TB mortality*

52
53
54 The methods by which WHO estimated TB mortality in 2015 have been published in
55 the 2016 Global TB report, released in October 2016.² A comprehensive explanation of
56
57
58
59
60

1
2
3 these methods is beyond the scope of this analysis. Briefly, the main data sources
4 included direct measurements of mortality from vital registration systems or mortality
5 surveys (145 countries) and indirect estimates obtained through estimated TB
6 incidence and estimated case fatality rates (CFRs) among untreated patients. Mortality
7 among HIV positive individuals was estimated using CFRs derived from previously
8 published HIV-specific CFR data and other assumptions, including being on TB or HIV
9 (antiretroviral) treatment.^{2,12-14}

10
11 The methodology used in the GBD study to estimate TB mortality has recently been
12 released.^{10,9} TB mortality has been estimated in a different fashion for HIV negative
13 and positive individuals. For HIV negative individuals, the GBD study uses data
14 sources from vital registration data, verbal autopsies and mortality surveillance data.
15 These data sources were then modelled using different modelling strategies (mixed
16 effects models and spatiotemporal Gaussian process regression models), as part of
17 the cause of death ensemble modelling (CODEm) strategy.¹⁰ Mortality among HIV-
18 positive individuals was estimated based on the calculation of on the fraction of TB/HIV
19 deaths among all TB deaths using data from countries with high quality vital registration
20 data. It entails estimating the proportion of HIV positive TB cases among all TB
21 patients, as well as the relative risks of TB death among patients TB and HIV. Further
22 details are given in the methods section as well as in the appendix 1 of the article on
23 global burden of TB from GBD2015.¹⁰ A general comparison of the different
24 approaches by WHO and GBD is given in **table 1**.

25
26 In order to explore what drivers could account for the observed differences, we
27 analysed the association of the per-country standardized difference metric with
28 potentially explanatory variables including case detection rate (CDR) as estimated by
29 WHO, calculated CDR based on GBD data using incident cases estimated by IHME
30 divided by the reported cases by countries, HIV burden using reported prevalence of
31 HIV among new TB cases, prevalence of multidrug resistance TB among new cases as
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2 reported by WHO, availability of recent nationwide prevalence survey results, and
3 WHO region. Correlation coefficients were calculated and regression lines were plotted
4 for each variable. Since all countries with available WHO and GBD estimates were
5 included, no random error was taken into account except for the correlations; neither
6 did we consider reported uncertainty for the per-country or aggregated estimates.
7
8
9
10
11
12
13
14

15 RESULTS

16
17 Mortality estimates for 2015 from both WHO and GBD were available for 195 countries.
18 WHO estimated TB mortality for 23 additional countries, which accounted for 238
19 deaths in total. Among those 195 countries with estimates from both institutions, WHO
20 estimated 1,768,482 deaths attributable to TB, whereas GBD estimated 1,322,916
21 deaths, resulting in a difference of 445,567 deaths (25.2% reduced mortality if taking
22 WHO as the reference, or 33.7% increased mortality if GBD is the reference). This
23 difference in TB mortality was higher in people living with HIV (211,604 by GBD vs
24 389,042 by WHO), where WHO estimated 84% more deaths attributable to TB than did
25 GBD. The relative difference in number of deaths was especially high for children (<15
26 years of age), where WHO estimated three times more deaths than did GBD (209,837
27 vs 69,659 number of deaths by WHO and GBD respectively). In both estimates there
28 were almost twice as many deaths estimated among adult men than among women
29 with the smallest relative differences among HIV negative women (**table 2**).
30
31
32
33
34
35
36
37
38
39
40
41
42
43

44 For 86 (44.1%) of 195 countries WHO estimated a higher number of TB deaths than
45 did GBD. The 10 countries with the largest absolute differences in total number of TB
46 deaths were (by decreasing magnitude of the difference): Nigeria (216,621 deaths
47 difference), Bangladesh (49,863), Tanzania (38,272), South Africa (29,108),
48 Mozambique (28,909), Indonesia (26,121), Democratic Republic of Congo (26,010),
49 India (20,696), North Korea (13,218), and Angola (9,910). The top-10 countries in
50 which GBD estimated higher number of deaths than WHO were: Ethiopia (22,650),
51
52
53
54
55
56
57
58
59
60

1
2
3 China (13,538), Zimbabwe (11,082), Philippines (9,436), Nepal (5,477), Uganda
4 (5,081), Burkina Faso (4,837), Niger (3,758), Viet Nam (3,252), and Senegal (3,147)
5
6 **(figure 1A)**. **Figure 2A** shows how the largest differences in terms of absolute number
7
8 of deaths were concentrated in few countries, with Nigeria alone accounting for almost
9
10 half of the difference in estimated global TB mortality between the two methods. In fact,
11
12 the correlation of TB mortality estimates between both methods was very good for most
13
14 countries and regions **(figure 3)**, with an overall correlation coefficient of 0.92.
15

16
17 After standardization, the countries with highest difference in estimates were
18
19 Azerbaijan (-100.0), Nigeria (99.9) and Marshall Islands (91.2). The differences in
20
21 absolute number of childhood TB deaths estimates were largest in India (59,508),
22
23 Nigeria (32,004) and Indonesia (12,752). After standardization, the magnitude of this
24
25 difference was greatest in North Korea (100.0), Bangladesh (99.4) and Timor Leste
26
27 (99.1). Regarding differences in TB-HIV deaths (all ages), Nigeria (52,805), South
28
29 Africa (29,594) and Indonesia (19,480) were the countries with highest differences in
30
31 absolute numbers, and Turkmenistan (-100), Chile (-82.7) and Argentina (-73.7)
32
33 showed the largest standardized differences **(figure 4 and supplementary table 1)**. In
34
35 nine countries, the difference between WHO and GBD estimates of number of deaths
36
37 was more than 10 times than the number reported by the country: Libya (117 times
38
39 higher), Nigeria (43), Iceland (23), Congo (22), Afghanistan (16), Eritrea (13), Timor-
40
41 Leste (11) and Tanzania (11) **(figure 1B)**. In the online supplementary material, the
42
43 **interactive map** shows all indicators of this descriptive analysis by country.
44

45
46 After standardization of the absolute differences in mortality estimates for the WHO-
47
48 GBD averaged estimate we found no associations with the following potential drivers of
49
50 this difference: reported HIV prevalence among new TB cases ($r = -0.001$, 95% CI: -
51
52 0.16; 0.15) and multidrug/rifampicin resistance prevalence ($r = 0.06$, 95% CI: -0.09;
53
54 0.20). There was an association with case detection rate (as estimated by WHO), ($r = -$
55
56 0.37, 95% CI: -0.49; -0.24) which showed an inverse association when using CDR
57
58
59
60

1
2
3 based on GBD-estimated number of incident cases ($r= 0.44$, 95% CI: 0.31; 0.54)
4 (**figure 5**) and with case fatality rate as estimated by WHO ($r= 0.37$, 95% CI: -0.49; -
5 .24). Countries that conducted a national prevalence survey between 2009 and 2015
6 had a higher median standardized difference than those that did not (0.330 vs -0.104,
7 respectively) (**figure 6**). In other words, in those countries for which national
8 prevalence survey data were available, WHO tended to estimate higher numbers of TB
9 deaths ($p=0.006$). For the 19 countries that had national prevalence surveys, WHO
10 estimated rather low CDRs, being below 75% for all except two countries (China and
11 Rwanda). Removing the 19 countries with a prevalence survey, the correlation
12 between WHO and GBD number of deaths estimates improved from $r=0.92$ to $r=0.99$.
13
14 Standardization of the absolute difference in number of TB deaths (WHO-GBD) by
15 reported number of deaths yielded associations with potential drivers of the mortality
16 difference of similar direction and magnitude (**Supplementary figure 1**). There was
17 again a negative correlation between standardized difference and WHO-estimated
18 CDR ($r=-0.32$, 95%CI -0.45;-0.18); the positive correlation with CDR based on GBD-
19 estimated number of deaths disappeared ($r= 0.09$, 95%CI -0.06; 0.24). Also countries
20 with prevalence surveys had a higher mean standardized difference (mean 3.5) than
21 those without (0.88) (**Supplementary figure 2**).
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41

42 DISCUSSION

43
44 Despite using different approaches, the latest estimates of TB mortality by WHO and
45 IHME are similar for most countries in the world. The global TB mortality estimates are
46 nonetheless quite different due to large differences for a small number of countries.
47
48 Twelve countries showed a difference in their TB mortality of more than 10,000 deaths:
49
50 Nigeria, Bangladesh, Tanzania, South Africa, Mozambique, Indonesia, Democratic
51 Republic of Congo, India, North Korea, Ethiopia, China, Zimbabwe. Only for the latter
52 three countries, IHME estimated higher numbers of deaths than did WHO. With the
53
54
55
56
57
58
59
60

1
2
3 possible exception of some countries with a large TB burden, such as China or India,
4 these absolute differences in estimated number of deaths likely reflect relevant effects
5 of differences in modelling methods and data sources used. This is further supported
6 by absolute differences in estimated numbers of deaths being >10 times larger than the
7 reported numbers of TB deaths for several of these countries. The absolute differences
8 in TB deaths found among HIV positive cases or children are also concentrated in
9 similar countries as for all TB with some exceptions. Nonetheless the standardized
10 differences are different, reflecting the specific HIV burden and demographic
11 characteristics of the countries. In addition, the lack of reliable data sources for children
12 adds uncertainty to paediatric TB death estimates.¹⁵

13
14 HIV prevalence among new TB cases, as a proxy for HIV/TB burden in the country and
15 prevalence of MDR-TB did not seem to be determinant factors for the differences
16 observed. We did find an association with the case detection rate as estimated by
17 WHO or GBD: the lower the CDR as estimated by WHO, the larger the standardized
18 difference between WHO and GBD estimates. This association also existed, but in
19 opposite direction, for CDR using GBD's-estimated numbers of cases. The association
20 with CFR as estimated by WHO is likely due to the association with CDR. In addition,
21 differences in the estimation of TB deaths seemed to be driven by the availability of
22 national prevalence survey data. When removing the countries with recent prevalence
23 survey data, the correlation of WHO and GBD estimates came close to 100%.

24
25 Several differences in methodology used by WHO and GBD may account for the
26 differences in mortality estimates. For countries with poor vital registration and disease
27 reporting systems, any method for estimating TB mortality has to deal with two
28 information gaps that cannot be directly observed: the number of individuals with TB
29 disease who are never diagnosed and/or reported, and the death rate due to TB
30 among these individuals. The former is reflected in the CDR that is generally expressed
31 as the ratio of the number of TB patients reported and the number of estimated incident

1
2
3 TB cases over a given period. The latter is referred to as the case fatality rate (CFR).
4
5 Both approaches (from GBD and WHO) have different ways of taking these two
6
7 variables into account.

8
9 The WHO uses three main strategies to account for the undiagnosed cases: for high
10
11 income countries, notifications are adjusted by a standard factor, and for low- and
12
13 middle-income countries, either data from prevalence surveys are used or notification
14
15 data are combined with expert opinion. Nine other countries use data from capture-
16
17 recapture analyses or from inventory studies. For 74 countries, expert opinion was
18
19 used. This approach, which is not based on observational data, has clear limitations
20
21 and introduces a high degree of uncertainty in the estimates. For 19 countries the CDR
22
23 was based on findings from national prevalence surveys (and for India from one
24
25 regional prevalence survey), which, according to WHO, represent 62% of all TB
26
27 incidence.² Prevalence surveys have generally lowered the case detection rate
28
29 estimated by WHO compared to the previous estimate.² In fact this happened for
30
31 Tanzania and Nigeria, two of the three countries with highest absolute differences. The
32
33 association of low CDR with higher mortality estimated by WHO might thus be driven
34
35 by the countries that had a prevalence survey.

36
37 The use of data from prevalence surveys also has implications for the way CFRs are
38
39 applied to obtain estimated numbers of deaths. Half or more of the patients detected in
40
41 prevalence surveys are asymptomatic², and their true CFR may be lower than that for
42
43 patients with TB symptoms. For Nigeria and Tanzania, which account for 57% of the
44
45 total global difference in TB mortality estimates, the CDR estimate was lowered as
46
47 result of their prevalence surveys. If TB mortality is lower among asymptomatic than
48
49 among symptomatic cases detected through prevalence surveys, WHO might be
50
51 overestimating true TB mortality in those countries. In addition, in countries without
52
53 reliable vital registration systems, case fatality rates are derived from the product of TB
54
55 incidence and CFR (for treated and untreated). However, no adjustment is made for
56
57
58
59
60

1
2
3 setting specific CFR, which we believe might vary depending on the specific country
4 health profile.
5

6
7 Estimating TB deaths among HIV patients is complex since people living with HIV who
8 die from TB are registered as HIV deaths, and the intermediate causes are not always
9 registered. For countries with VR systems or mortality surveys, GBD study uses
10 different algorithms that help to identify garbage codes from the death certificates
11 (assigned codes that are not real causes of death) and redistribute to the most
12 plausible causes. This process adds certain uncertainty to data even in countries with
13 good quality VR systems. In addition, GBD uses verbal autopsy data as one of the
14 sources for estimating TB mortality. Verbal autopsies provide poor quality estimates
15 and have limited sensitivity and specificity for TB against clinical diagnosis or autopsy
16 findings, especially in high HIV infection prevalence settings.^{4,16–18} Clinical (premortem)
17 diagnosis itself has shown a high degree of discrepancy with autopsy findings for TB
18 diagnosis.^{19–21} If clinicians fail to diagnose TB premortem, it is likely that verbal autopsy
19 data is even less accurate. Indeed, two recent studies comparing verbal autopsy and
20 classical autopsy findings for TB diagnosis showed that verbal autopsies over- or
21 underestimated the true burden of TB in two sub-Saharan settings.^{17,18} The decision to
22 not include verbal autopsy data from countries with HIV prevalence above 5% will
23 minimize this source of error, but further studies are needed to validate verbal autopsy
24 findings (against autopsy findings) and see whether its systematic use plays a role in
25 underestimating or overestimating TB mortality.
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44

45 This analysis has several limitations. The standardisation used for analysing potential
46 drivers of the differences in mortality estimates is based on the adjustment of those
47 estimates by the average number of deaths estimated by both institutions, as a proxy
48 of the true country mortality burden. However, this standardization approach assumes
49 both approaches are equidistant from the true number of TB deaths, which may not be
50 the case. Alternative standardisation by adjustment for reported number of deaths
51
52
53
54
55
56
57
58
59
60

1
2
3 showed less pronounced associations with GBD-estimated CDR and use by WHO of
4 national prevalence survey data. This standardization may also have introduced bias
5 because the departure of estimated number of deaths from reported number of deaths
6 strongly depends on the CDR and this would have not been taken into account.
7
8
9

10
11 Secondly, although in recent years more detail has been provided on the modelling
12 approaches and data sources used, we believe that neither WHO's nor GBD's
13 estimates are fully reproducible using publicly accessible data. This adds a layer of
14 uncertainty on how figures are obtained. Public availability of some input data sources
15 might conflict with confidentiality agreements established by countries or institutions. In
16 addition, GBD and WHO updated estimates supersede the previous ones for any
17 particular year, hindering a comprehensive understanding and retrospective analysis of
18 a specific year's estimates. Lastly, we have not been able to compare of mortality
19 estimates due to MDR-TB or XDR-TB (not provided by GBD), which is a growing
20 problem. We believe that a specific methodology to estimate mortality among this
21 subgroup needs to be incorporated.
22
23
24
25
26
27
28
29
30
31
32

33 The fact that two independent institutions make an important effort to come up with
34 global TB burden indicators must be welcomed and appreciated. We consider that it is
35 beneficial that there is not a single institution claiming full authority on TB or any
36 disease estimates, which allows to further discuss methods, and ultimately improve
37 estimates for relevant public health indicators. Nonetheless, there is a need to provide
38 a clearer picture about the magnitude of TB mortality for some specific countries, as
39 well as to analyse the reasons for the estimation differences between WHO and GBD.
40
41 There may be elements in both institutions' methodologies that may result in over- or
42 underestimating TB mortality. A global difference of nearly 450,000 deaths (and
43 country differences higher than 10,000 deaths) makes it difficult to assess progress on
44 control efforts directed at reducing mortality in some settings. We recommend both
45 GBD and WHO to take a closer look at the modelling approaches for the countries with
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3 highest absolute differences in TB mortality estimates by both institutions, as well as for
4 those countries which highest differences relative to the size of their reported mortality.
5
6 Likewise, there is an urgent need to invest in the creation and / or improvement of high
7
8 quality vital registration systems, which are lacking in many high TB burden countries.
9
10 Lastly, new tools to diagnose TB as cause of death need to be implemented. Since full
11
12 post-mortem examination is rarely performed in countries lacking vital registration
13
14 systems, alternative approaches for TB assessment at death, such as minimally
15
16 invasive tissue sampling (MITS) based tools, should be explored for monitoring TB
17
18 mortality surveillance.²²
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Contributors:

ALGB and FC designed the study. JB performed data management and visualizations. ALGB, FC, BW, MB contributed to data analysis and interpretation of the results. ALGB wrote the first version of the manuscript. All authors provided critical review and comments to the manuscript and agree with the content of the final version, as sent to the journal. All authors meet all four criteria for authorship in the ICMJE recommendations.

Declaration of interests:

ALGB is part of the GBD collaborator network. ALGB, FC and MB have participated in taskforces of the Global TB Program at the World Health Organization. BW is former employee of the Stop TB Department (now Global TB Program) at the World Health Organization.

Acknowledgements:

This work was partially supported by the Erasmus Mundus Joint Doctorate Program of the European Union through a training grant to ALGB. ISGlobal (ALGB) is a member of the CERCA Programme, Generalitat de Catalunya, Spain. The authors want to thank WHO and IHME for facilitating this analysis.

REFERENCES

- 1 Paulson T. Epidemiology: A mortal foe. *Nature* 2013; **502**: S2-3.
- 2 World Health Organization. Global Tuberculosis Report 2016. Geneva, Switzerland, 2016.
- 3 Tiemersma EW, van der Werf MJ, Borgdorff MW, Williams BG, Nagelkerke NJD. Natural history of tuberculosis: duration and fatality of untreated pulmonary tuberculosis in HIV negative patients: a systematic review. *PLoS One* 2011; **6**: e17601.
- 4 Korenromp EL, Bierrenbach a. L, Williams BG, Dye C. The measurement and estimation of tuberculosis mortality. *Int J Tuberc Lung Dis* 2009; **13**: 283–303.
- 5 World Health Organization. Global Tuberculosis Report 2015, WHO/HTM/TB. Geneva, Switzerland, 2015.
- 6 GBD 2015 Disease and Injury Incidence and Prevalence Collaborators T, Allen C, Arora M, *et al.* Global, regional, and national incidence, prevalence, and years lived with disability for 310 diseases and injuries, 1990-2015: a systematic analysis for the Global Burden of Disease Study 2015. *Lancet (London, England)* 2016; **388**: 1545–602.
- 7 Murray CJL, Ortblad KF, Guinovart C, *et al.* Global, regional, and national incidence and mortality for HIV, tuberculosis, and malaria during 1990–2013: a systematic analysis for the Global Burden of Disease Study 2013. *Lancet* 2014; **384**: 1005–70.
- 8 World Health Organization. Global Tuberculosis Report 2014. Geneva, Switzerland: WHO/HTM/TB/2014.08, 2014
http://www.who.int/tb/publications/global_report/en/.
- 9 Wang H, Naghavi M, Allen C, *et al.* Global, regional, and national life expectancy, all-cause mortality, and cause-specific mortality for 249 causes of death, 1980–2015: a systematic analysis for the Global Burden of Disease Study 2015. *Lancet* 2016; **388**: 1459–544.
- 10 GBD Tuberculosis Collaborators. The global burden of tuberculosis : results from the Global Burden of Disease Study 2015. 2017; **3099**: 1–24.
- 11 GBD 2013 Mortality and Causes of Death Collaborators. Global, regional, and national age–sex specific all-cause and cause-specific mortality for 240 causes

- 1
2
3 of death, 1990–2013: a systematic analysis for the Global Burden of Disease
4 Study 2013. *Lancet* 2015; **385**: 117–71.
5
- 6 12 Straetemans M, Glaziou P, Bierrenbach AL, Sismanidis C, van der Werf MJ.
7 Assessing tuberculosis case fatality ratio: a meta-analysis. *PLoS One* 2011; **6**:
8 e20755.
9
- 10
11 13 Corbett EL, Watt CJ, Walker N, *et al.* The growing burden of tuberculosis: global
12 trends and interactions with the HIV epidemic. *Arch Intern Med* 2003; **163**:
13 1009–21.
14
15
- 16 14 Mukadi YD, Maher D, Harries A. Tuberculosis case fatality rates in high HIV
17 prevalence populations in sub-Saharan Africa. *AIDS* 2001; **15**: 143–52.
18
- 19
20 15 Dodd PJ, Yuen CM, Sismanidis C, Seddon JA, Jenkins HE. The global burden of
21 tuberculosis mortality in children: a mathematical modelling study. *Lancet Glob
22 Heal* 2017; **5**: e898–906.
23
24
- 25 16 Maraba N, Karat AS, McCarthy K, *et al.* Verbal autopsy-assigned causes of
26 death among adults being investigated for TB in South Africa. *Trans R Soc Trop
27 Med Hyg* 2016; **110**: 510–6.
28
29
- 30 17 Karat AS, Tlali M, Fielding KL, *et al.* Measuring mortality due to HIV-associated
31 tuberculosis among adults in South Africa: Comparing verbal autopsy, minimally-
32 invasive autopsy, and research data. *PLoS One* 2017; **12**: e0174097.
33
34
- 35 18 Murithi S, Sitienei J, Mitchell E, *et al.* TB mortality measurement: comparing
36 verbal autopsy methods to necropsy in a setting of high HIV prevalence in Siaya
37 County, Kenya. In: HIV and TB: snapshot. The 46th Union World Conference on
38 Lung Health. 20.
39
40
- 41
42 19 Ordi J, Ismail MR, Carrilho C, *et al.* Clinico-pathological discrepancies in the
43 diagnosis of causes of maternal death in sub-Saharan Africa: retrospective
44 analysis. *PLoS Med* 2009; **6**: e1000036.
45
46
- 47 20 Bates M, Mudenda V, Shibemba A, *et al.* Burden of tuberculosis at post mortem
48 in inpatients at a tertiary referral centre in sub-Saharan Africa: a prospective
49 descriptive autopsy study. *Lancet Infect Dis* 2015; **15**: 544–51.
50
51
- 52 21 Gupta RK, Lucas SB, Fielding KL, Lawn SD. Prevalence of tuberculosis in post-
53 mortem studies of HIV-infected adults and children in resource-limited settings.
54 *AIDS* 2015; **29**: 1987–2002.
55
56
57
58
59
60

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

22 Castillo P, Martínez MJ, Ussene E, *et al.* Validity of a Minimally Invasive Autopsy for Cause of Death Determination in Adults in Mozambique: An Observational Study. *PLOS Med* 2016; **13**: e1002171.

For Review Only

Tables and Figures

Table 1. Basic characteristics of the models and methodology used by the World Health Organization and Institute of Health Metrics to obtain country specific and global TB mortality estimates.

Table 2. Global absolute differences in TB attributable number of deaths during 2015, as estimated by the World Health Organization and Institute of Health Metrics and Evaluation, by sex and age group.

Figure 1. Ranking by (A) magnitude of absolute difference between World Health Organization and Global Burden of Disease study estimates and (B) the ratio of the absolute difference and number of reported deaths by country.

Figure 2. (A) Absolute differences (log scale) and (B) Standardized differences in World Health Organization's and Global Burden of Disease study's number of TB deaths estimates by country (year 2015).

Figure 3. Correlation between World Health Organization's and Global Burden of Disease study's estimated number of TB deaths by UN world region.

*number of deaths in log scale.

Figure 4. Ranking by magnitude of standardized difference (re-scaled) of World Health Organization and Global Burden of Disease Study TB mortality estimates among a) all tuberculosis deaths (all ages, all types) b) childhood TB deaths (all types) c) HIV-TB deaths (all ages) by country.

* When both WHO and GBD study estimated fewer than 5 deaths for a given subgroup, we removed those countries from the rankings of standardized difference.

Figure 5. Ecological association of standardized difference in mortality estimates by the World Health Organization and Institute for Health Metrics and Evaluation with a) HIV prevalence b) MDR prevalence (WHO) c) Estimated Case Detection Rate by WHO d) Estimated case detection rate (based on GBD incidence data)

* Line represents linear regression line.

Figure 6. Standardized difference in mortality estimates by World Health Organization and Global Burden of Disease study by having had a nationwide prevalence survey in the country (2009-2015) (1) or not (0).

* Boxes represent 25th-75th percentile, horizontal line represents median value.