

Master Course  
in Organic Chemistry

2018-19

methods and design  
in organic synthesis



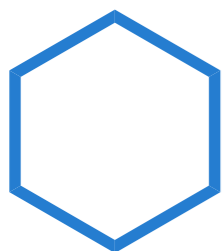
Pere Romea

**My precious !**

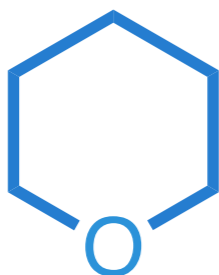


Gollum/Sméagol  
in  
The Lord of the Rings

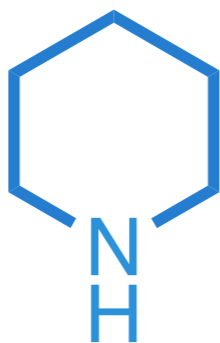
## **6.1. Rings**



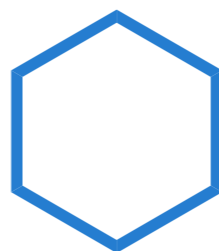
**carbocycle**



**heterocycle**



**saturated**



**unsaturated**



**aromatic**



**spiro rings**

*spiro[5.5]undecane*



**fused rings**

*decaline*



**bridged rings**

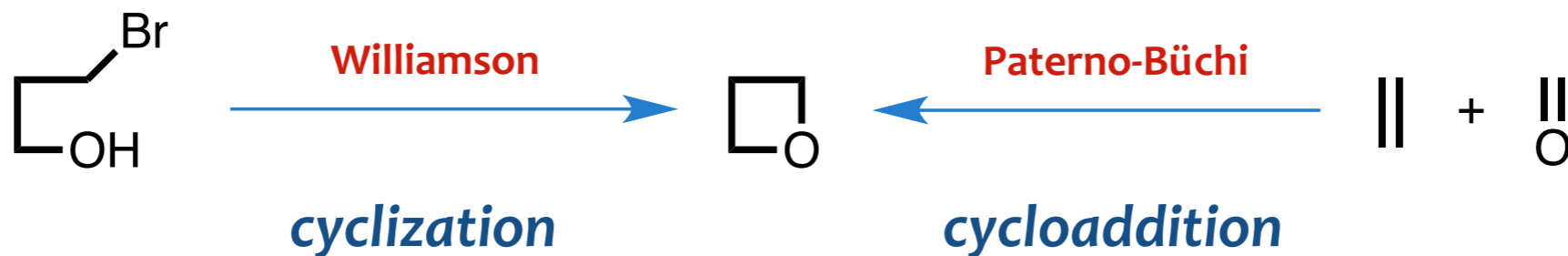
*bicyclo[2.2.2]octane*

Rings can be prepared by **expansion/contraction** from other rings ...



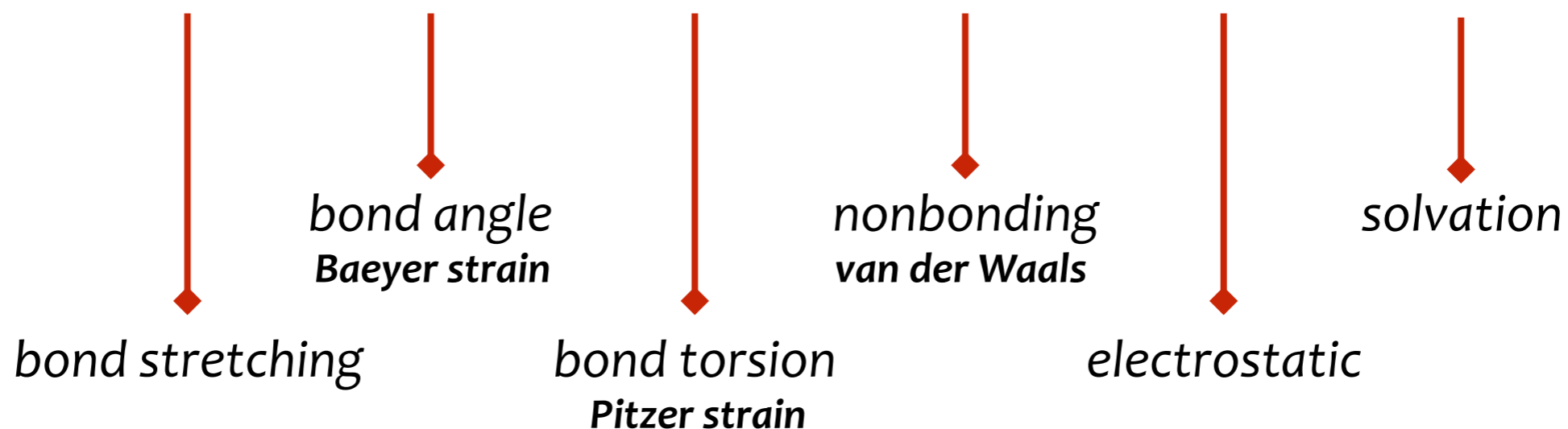
... or by **cyclization** of a chain or acyclic compounds.

A **cycloaddition** corresponds to a ring closure in which the resultant adduct contains all the atoms of the initial component(s).  
No losses of atoms or small molecules



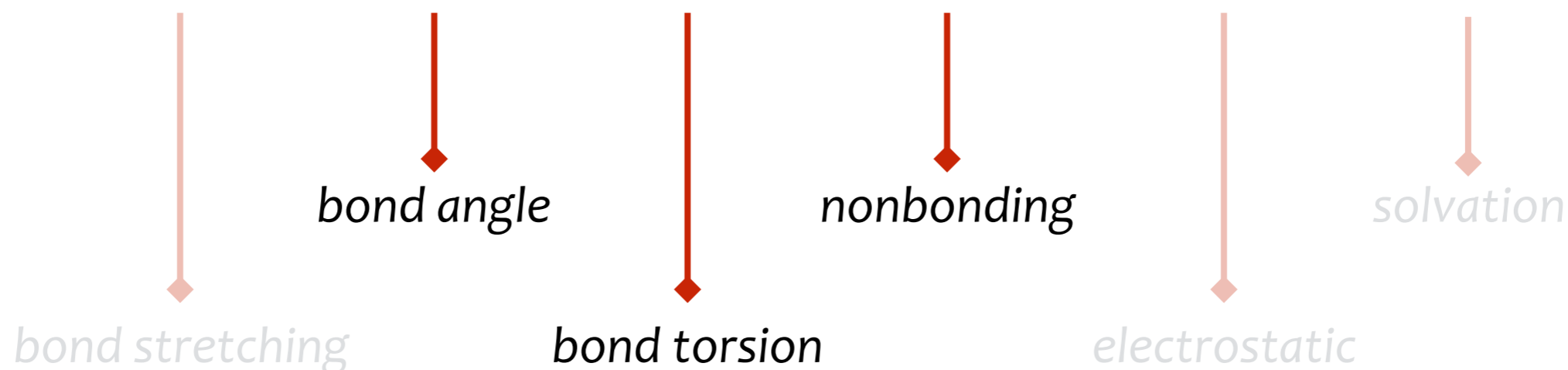
**STRAIN ENERGY** refers to  
the excess energy of a given array of atoms and (as yet hypothetical)  
molecule over the minimum array that the array would possess  
if certain kinds of interactions were “turned off”

$$V = V_s + V_a + V_t + V_{nb} + V_E - V_{sol}$$

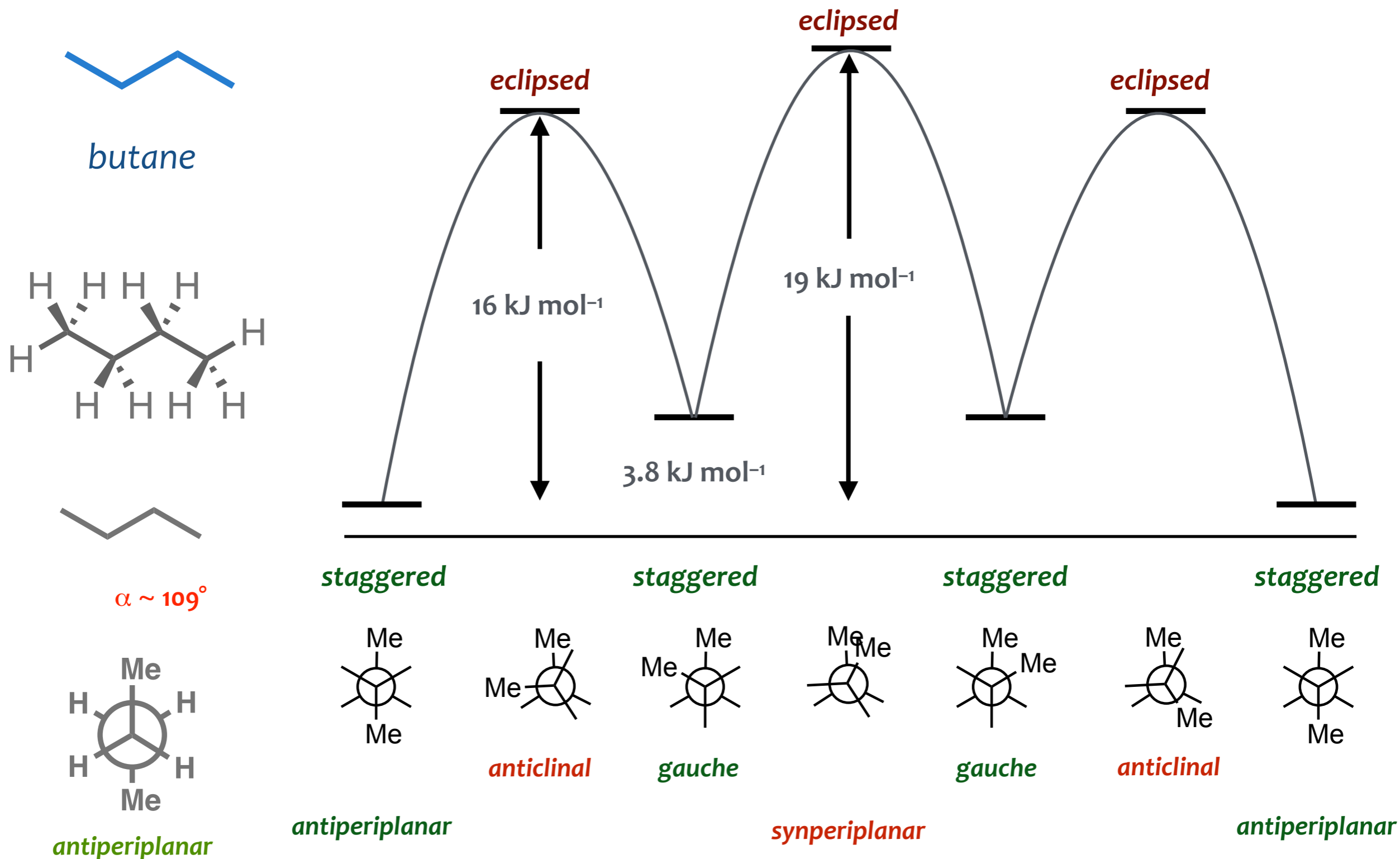


**RING STRAIN (ENERGY)**  
for a cycloalkane refers to the excess energy relative  
to the parent acyclic alkane

$$V = V_s + V_a + V_t + V_{nb} + V_E - V_{sol}$$



# Thermodynamics: Ring Strain



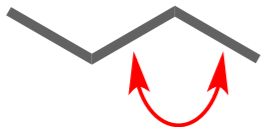
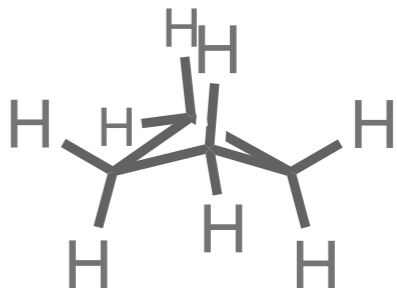
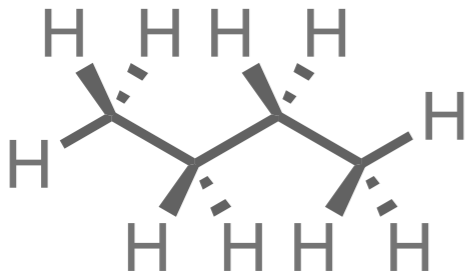
# Thermodynamics: Ring Strain



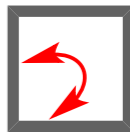
butane



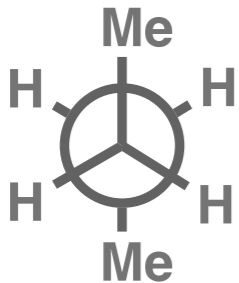
cyclobutane



$\alpha \sim 109^\circ$



$\alpha \sim 88^\circ$



antiperiplanar



eclipsed





butane

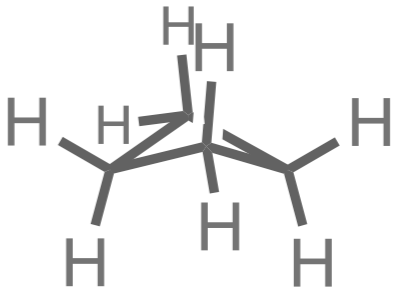
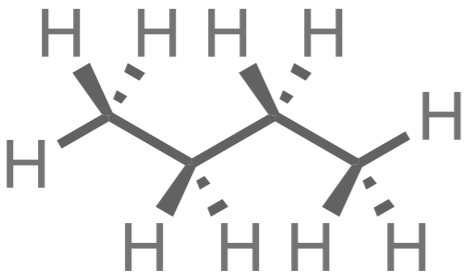
ring strain



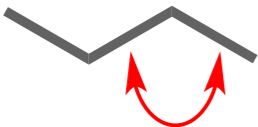
cyclobutane

$\Delta\Delta G^{\circ}_f$

$\Delta\Delta G^{\circ}_{\text{combustion}}$



bond angle

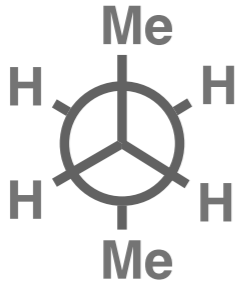


$\alpha \sim 109^{\circ}$



$\alpha \sim 88^{\circ}$

torsion

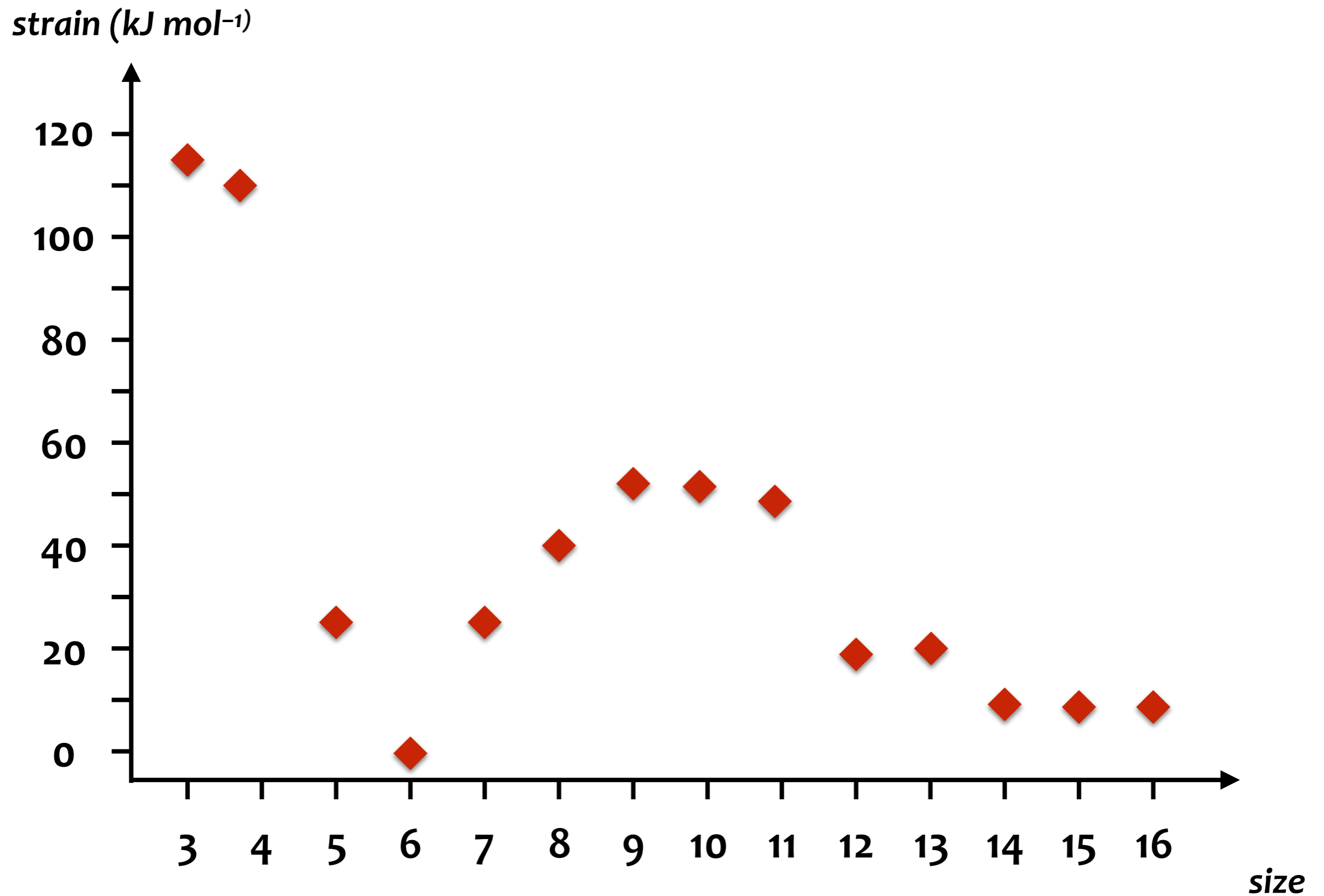


antiperiplanar

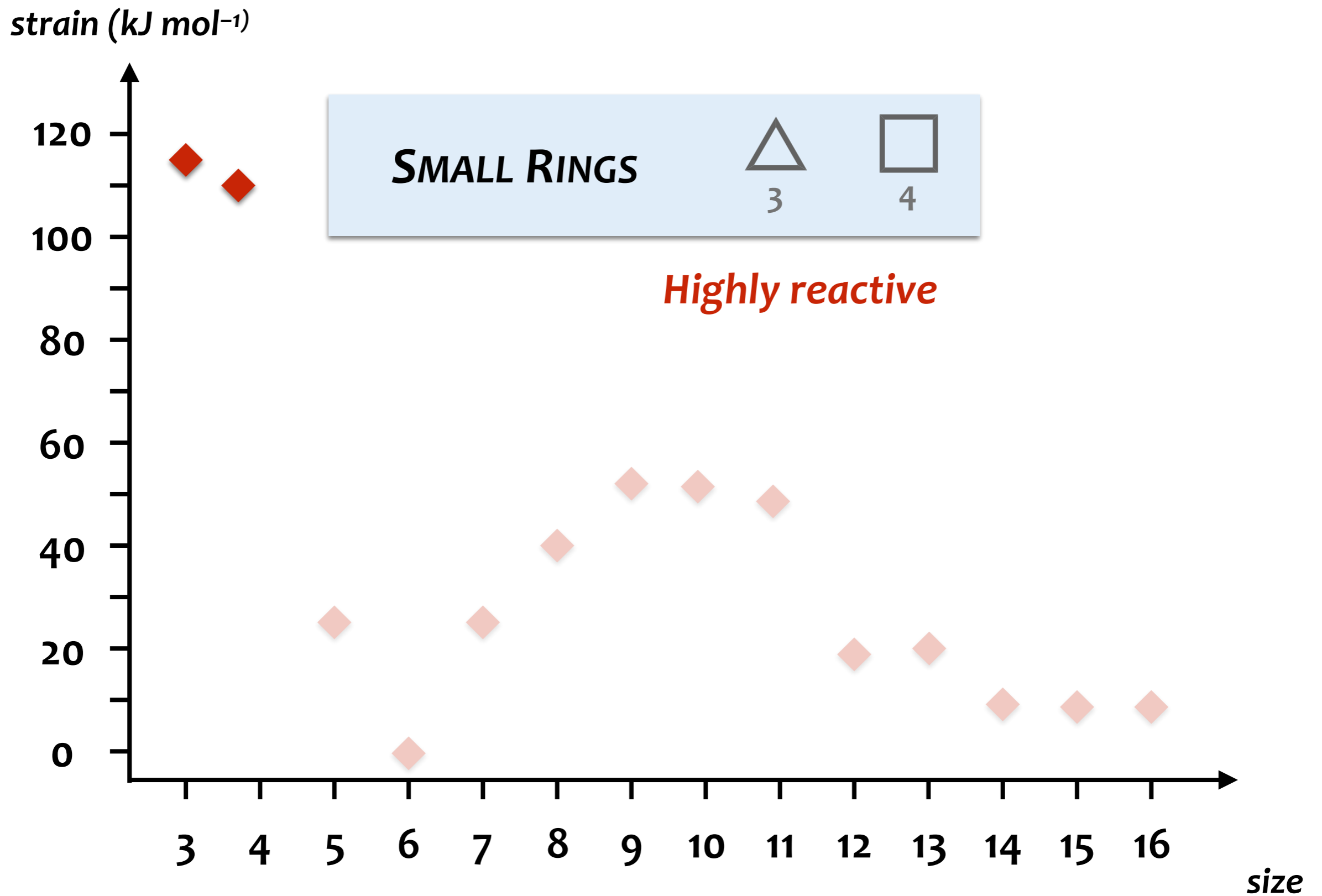


eclipsed

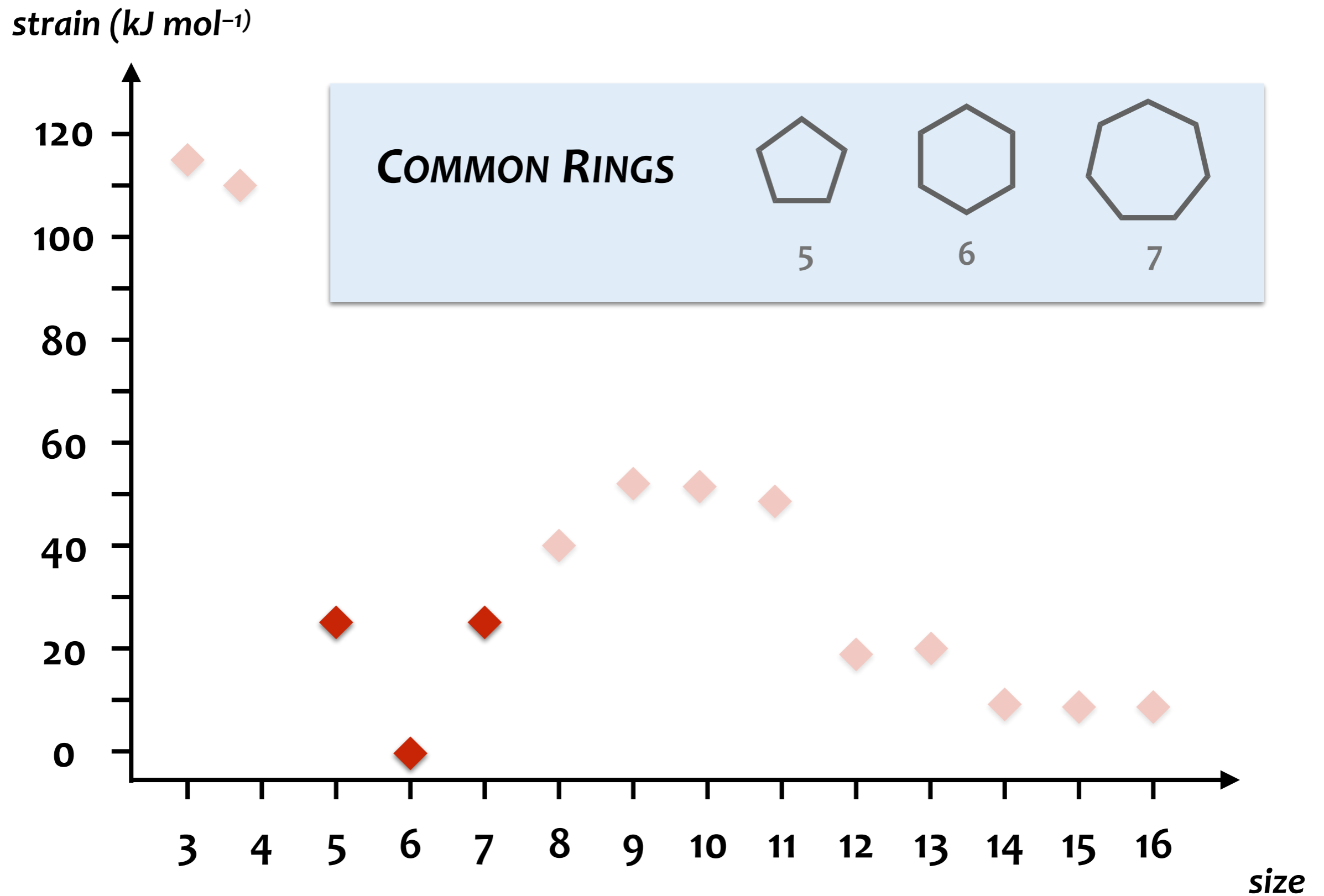
# Thermodynamics: Ring Strain in Cycloalkanes



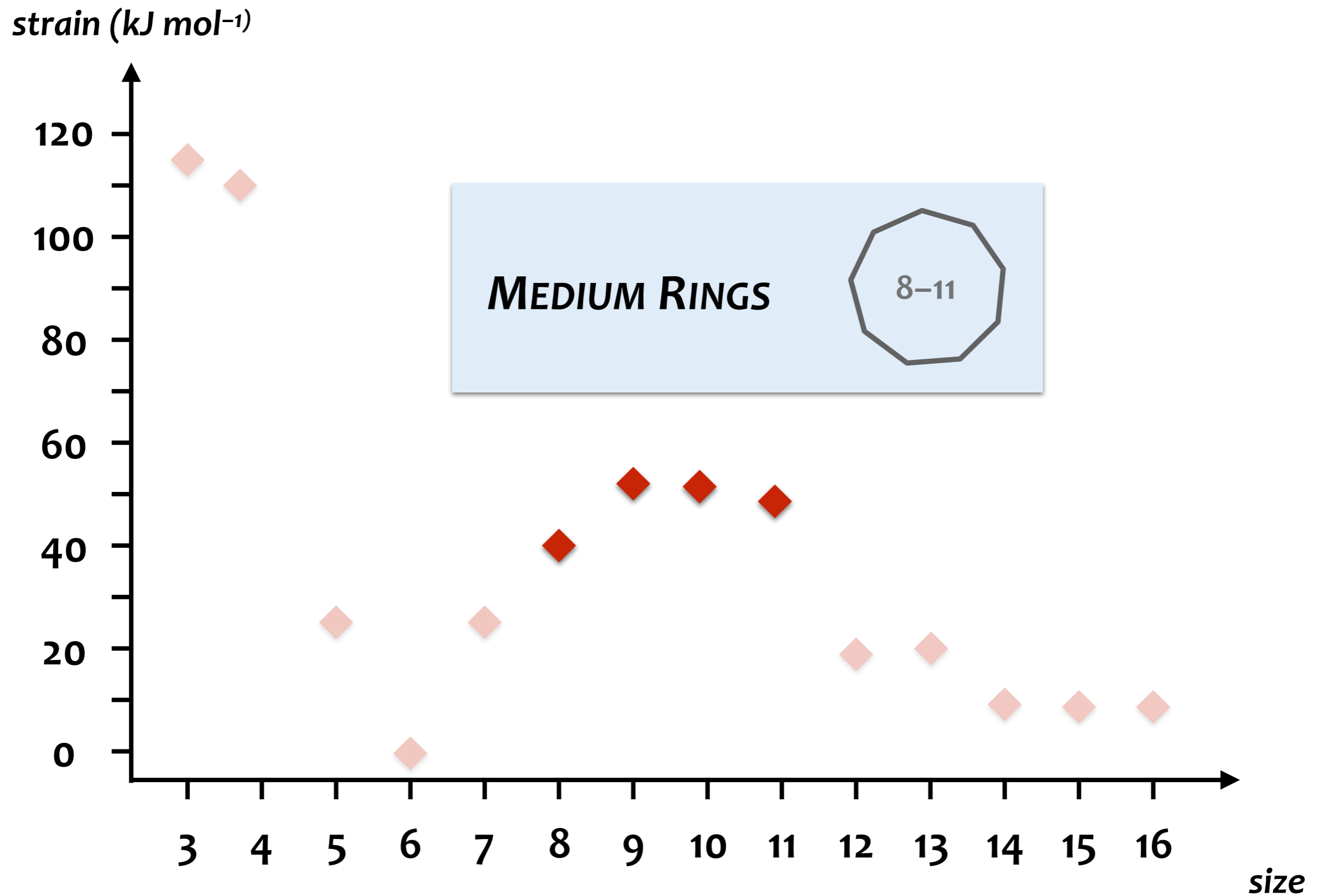
# Thermodynamics: Ring Strain in Cycloalkanes



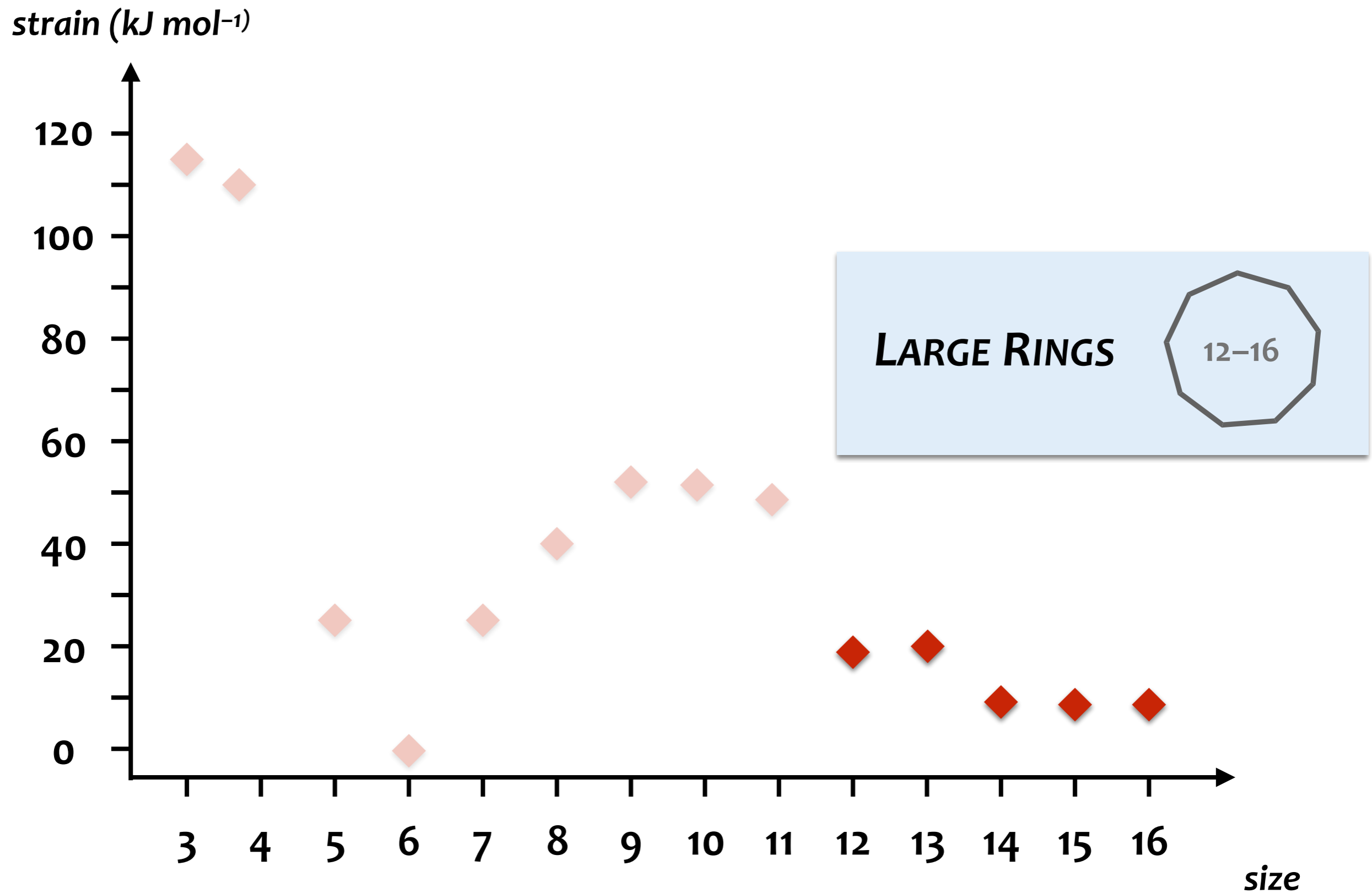
# Thermodynamics: Ring Strain in Cycloalkanes



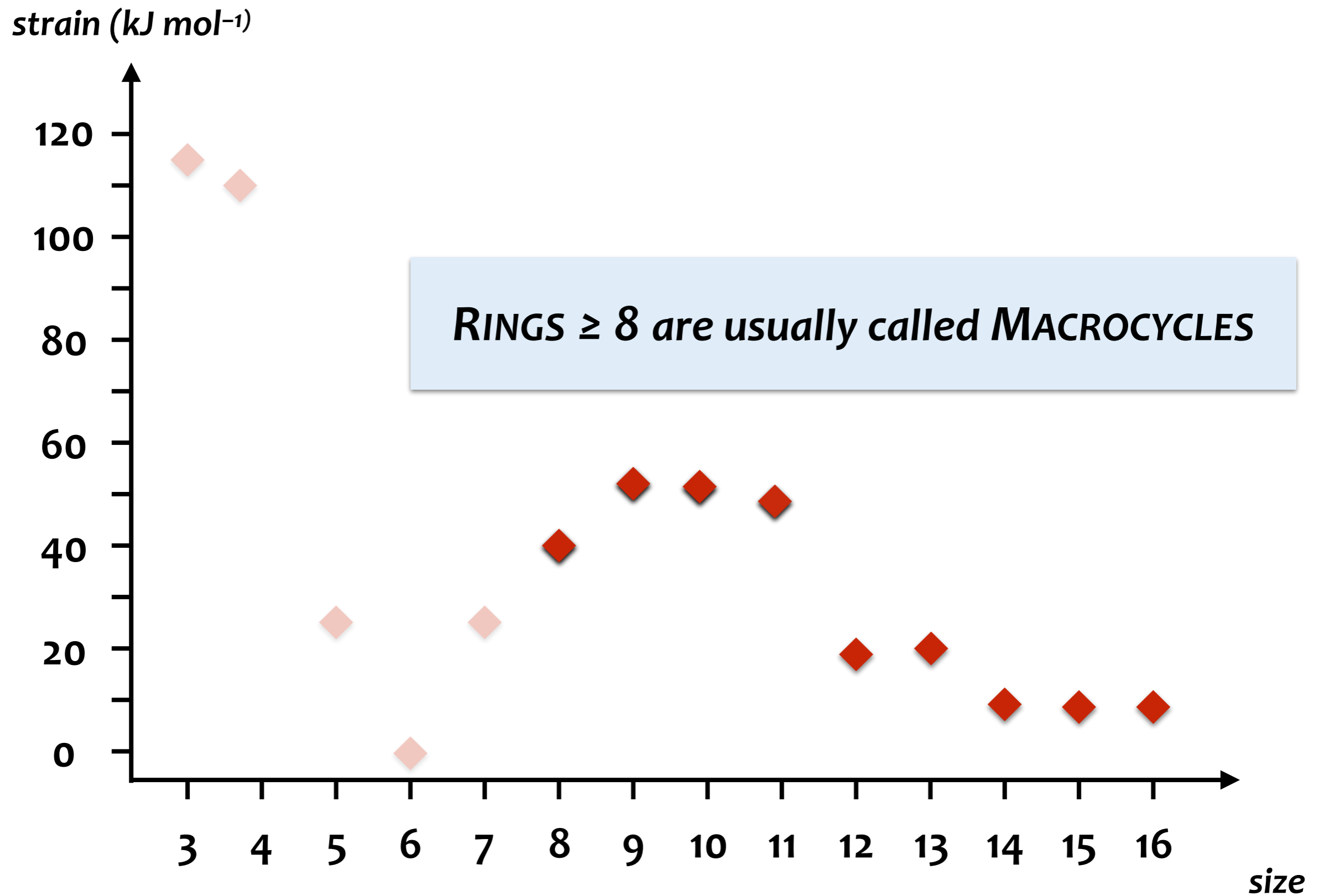
# Thermodynamics: Ring Strain in Cycloalkanes



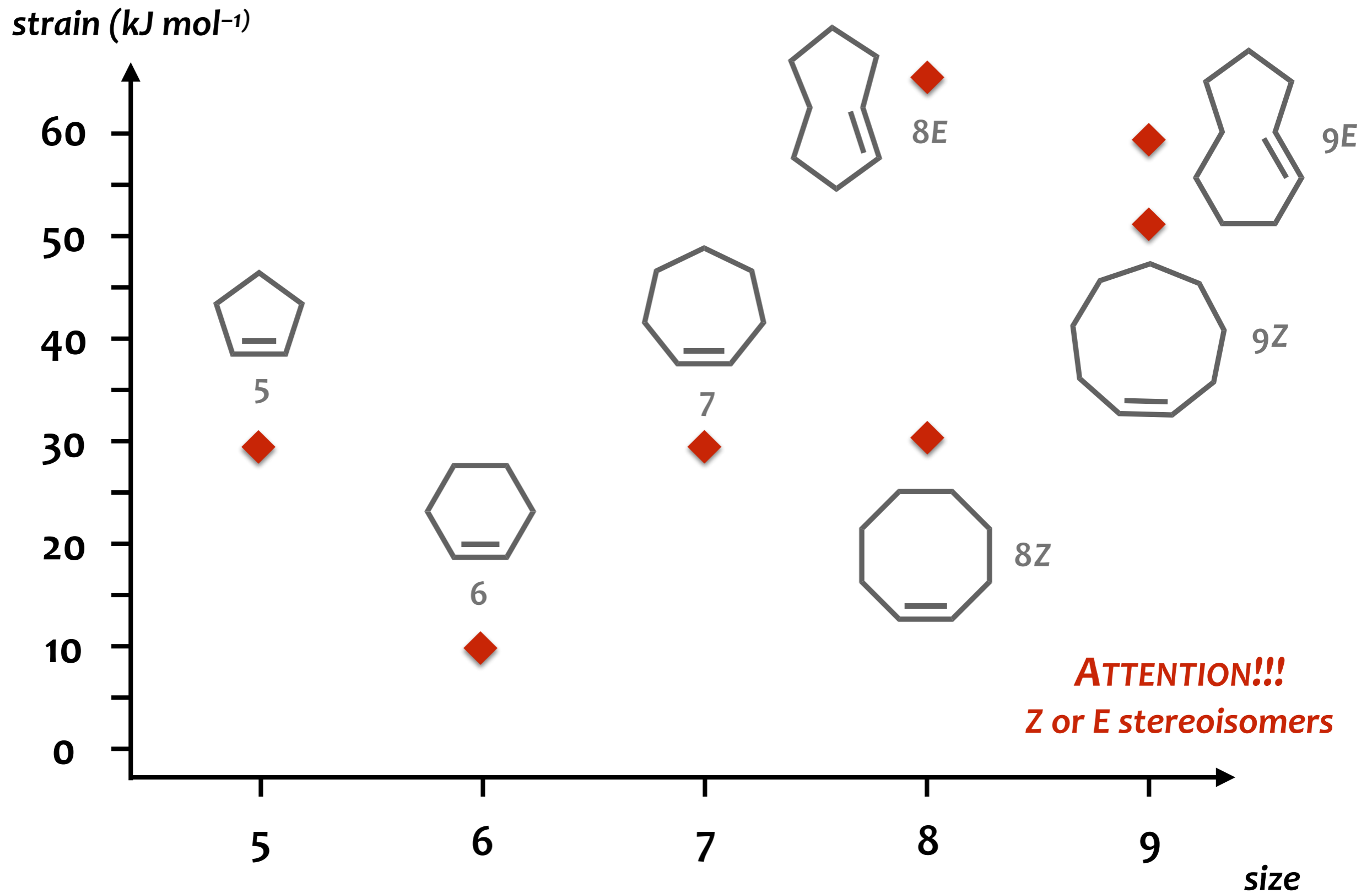
# Thermodynamics: Ring Strain in Cycloalkanes



# Thermodynamics: Ring Strain in Cycloalkanes



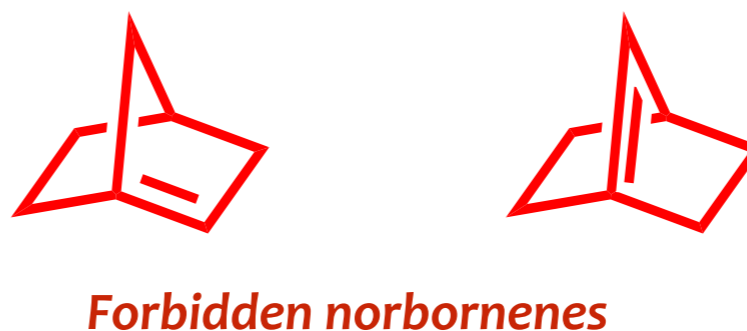
# Thermodynamics: Ring Strain in Cycloalkenes



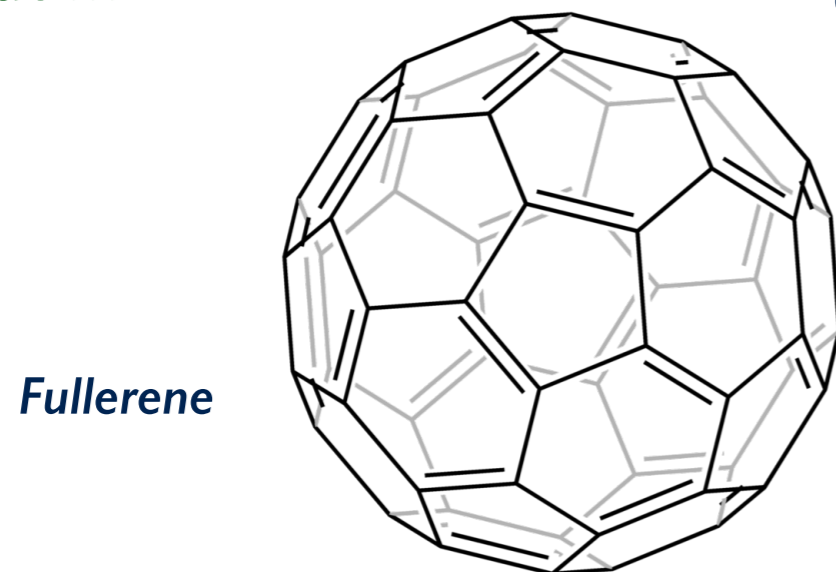


## Bicyclic alkenes require a special attention

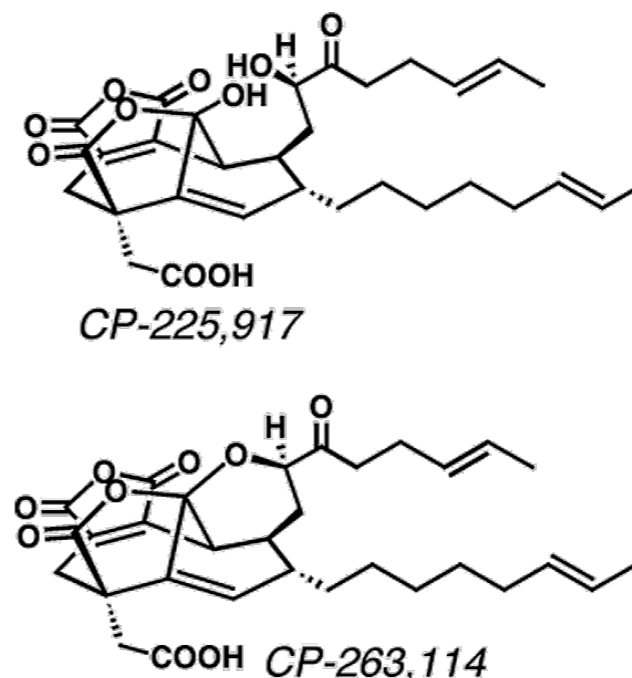
These substrates are subject to strain resulting from distortion of the  $sp^2$  carbon centers. The angle strain is the basis of **Bredt's rule**, which dictates that bridgehead carbon atoms are not incorporated in alkenes because the strain angle



but ...

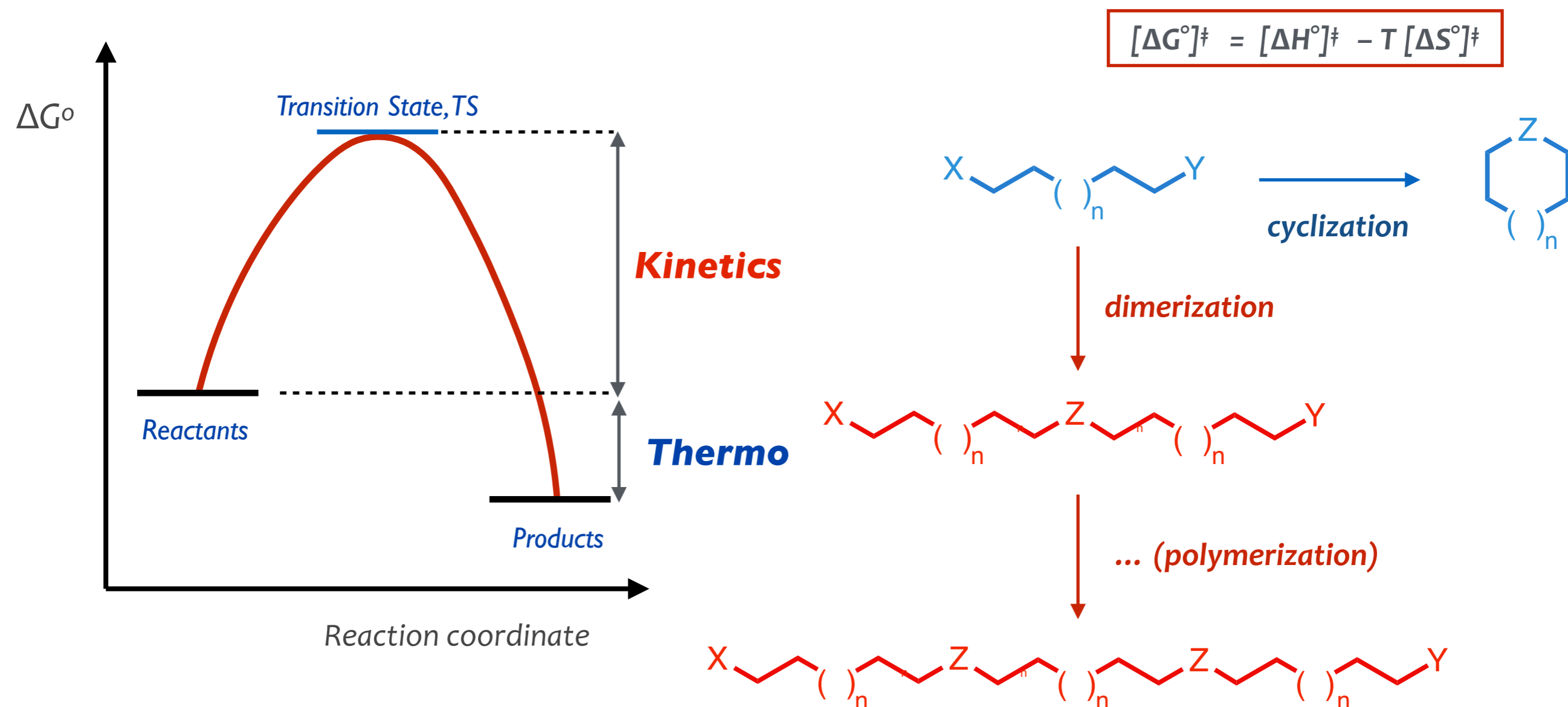


CP-Molecules

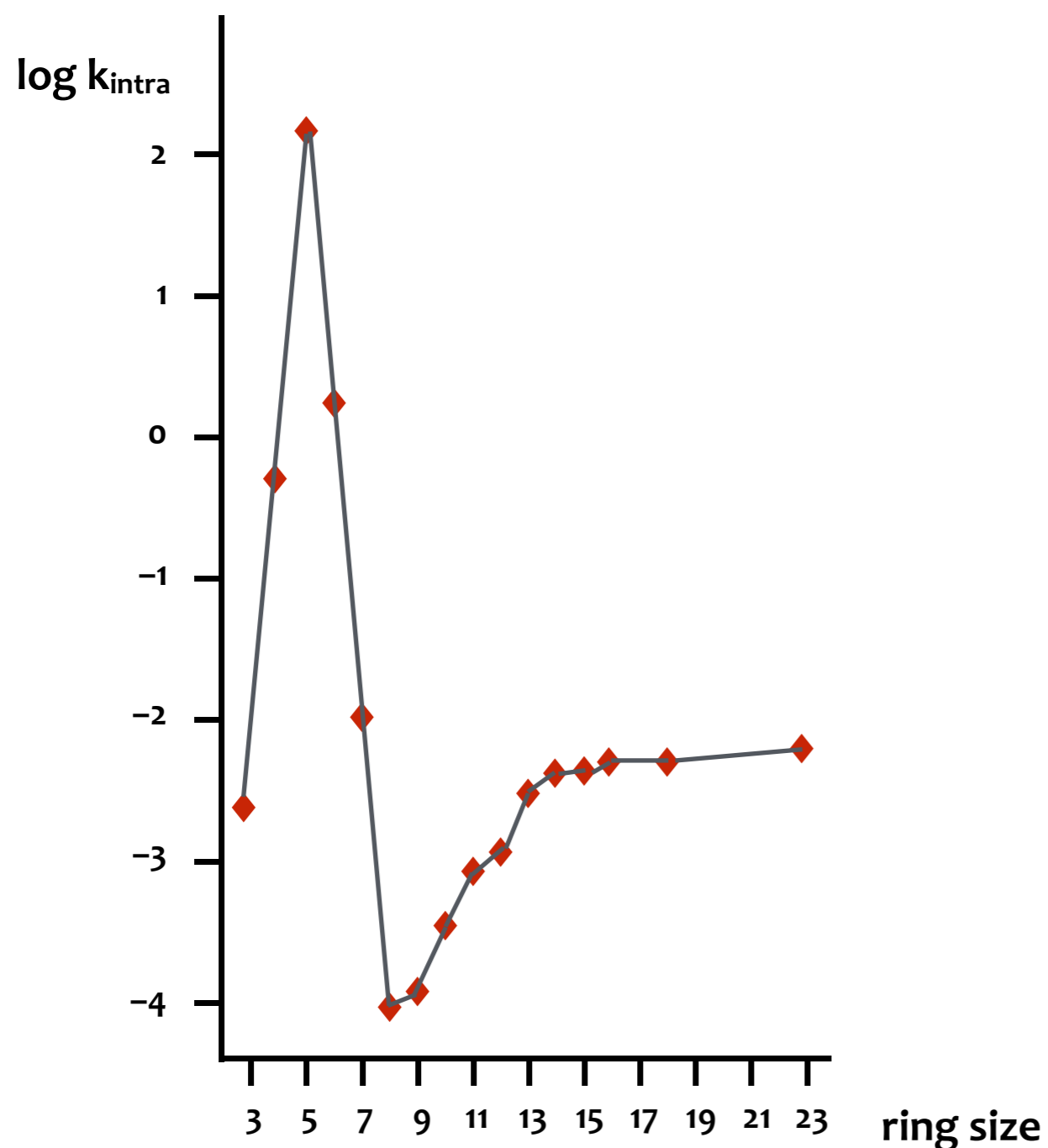


or Taxol  
(see Chapter 1)

The kinetics of the cyclization is associated with  $[\Delta G^\ddagger]$



The kinetics of the cyclization is associated with  $[\Delta G^\ddagger]$



$$[\Delta G^\ddagger] = [\Delta H^\ddagger] - T [\Delta S^\ddagger]$$

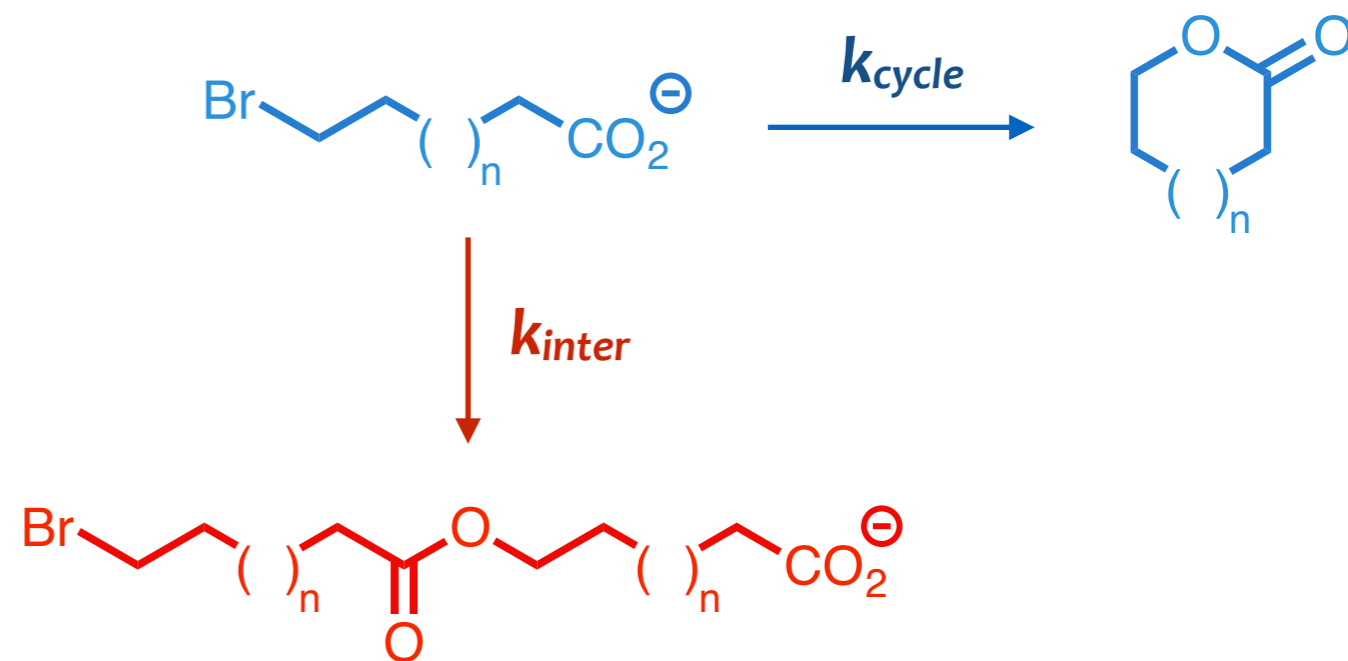
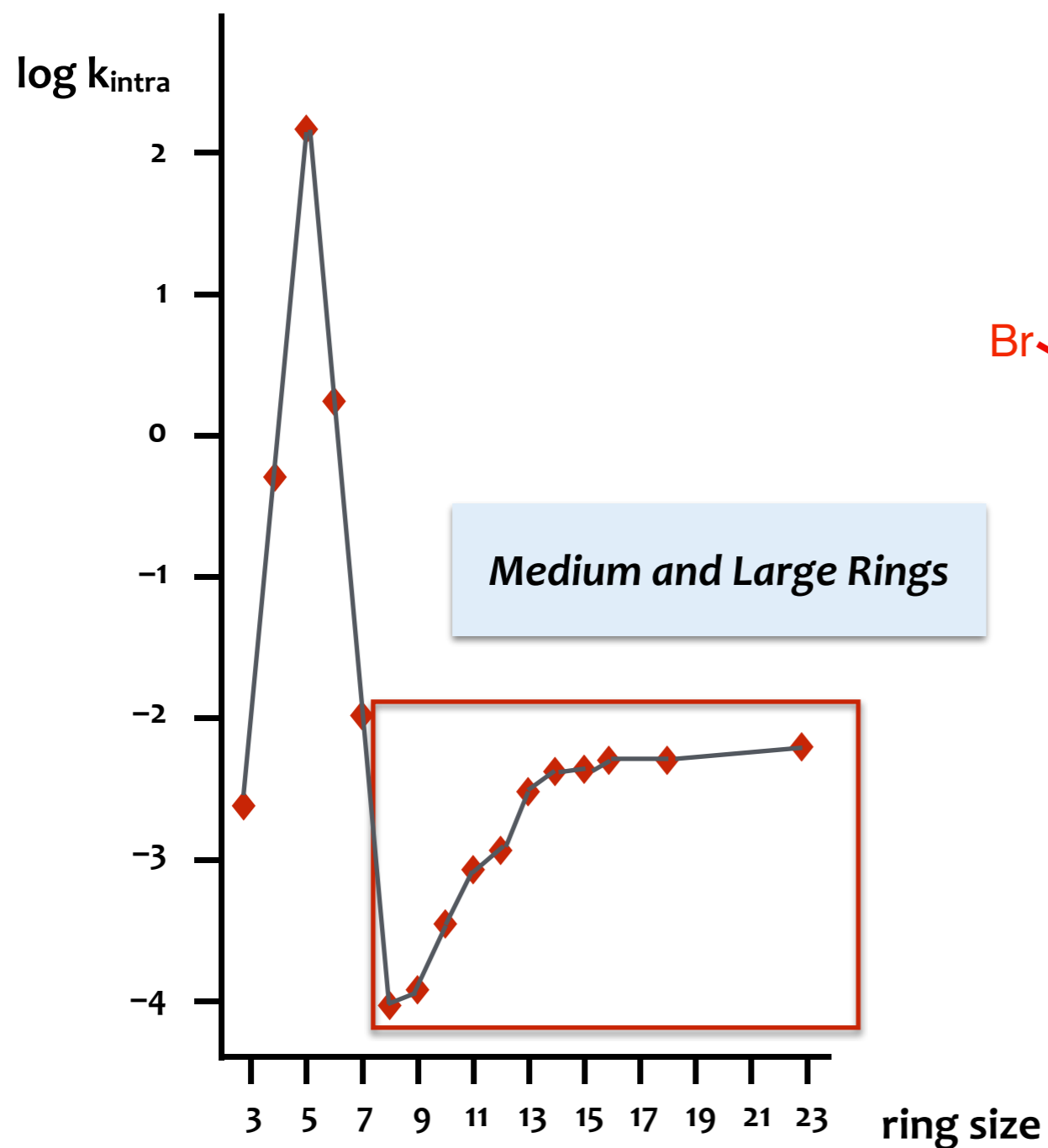


$[\Delta H^\ddagger] > 0$  provides a measure of the strain

$[\Delta S^\ddagger] < 0$  provides a measure of the probability of end-to-end (intramolecular reaction)

Entropy decreases as the chain length increases





$$\frac{V_{cycle}}{V_{inter}} = \frac{k_{cycle} [S]}{k_{inter} [S]^2} = \frac{k_{cycle}}{k_{inter} [S]}$$

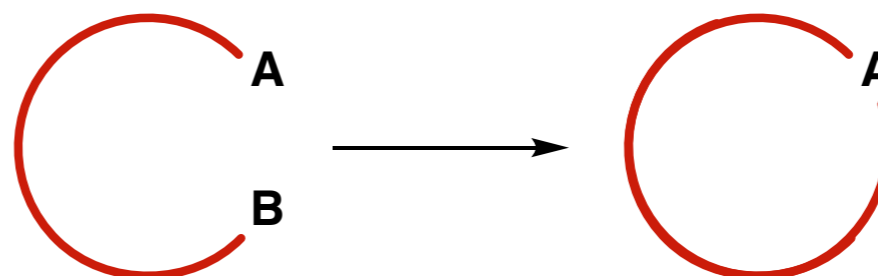
$$\frac{V_{cycle}}{V_{inter}} \uparrow \implies [S] \rightarrow 0$$

High dilution is required

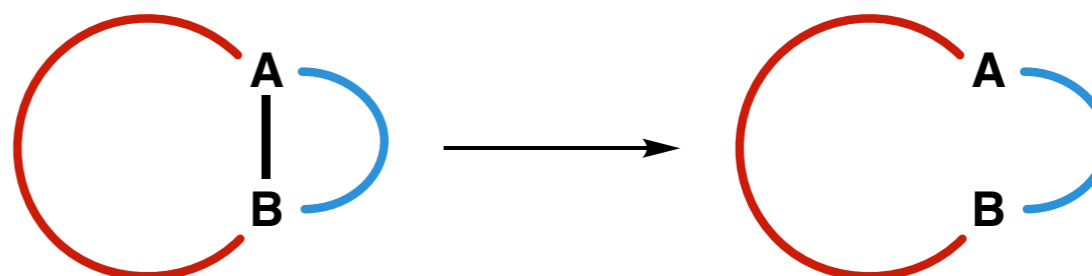
*The synthesis of medium- and large-sized cyclic compounds is a daunting challenge mainly due to unfavorable enthalpies and entropic factors*

Three main strategies can be deployed to attempt their synthesis

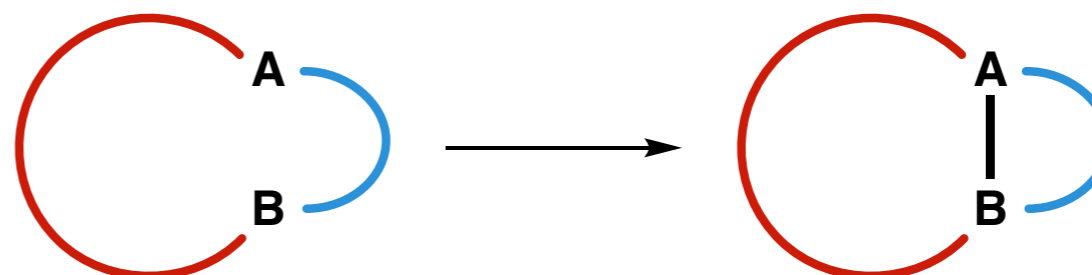
■ **Cyclization**



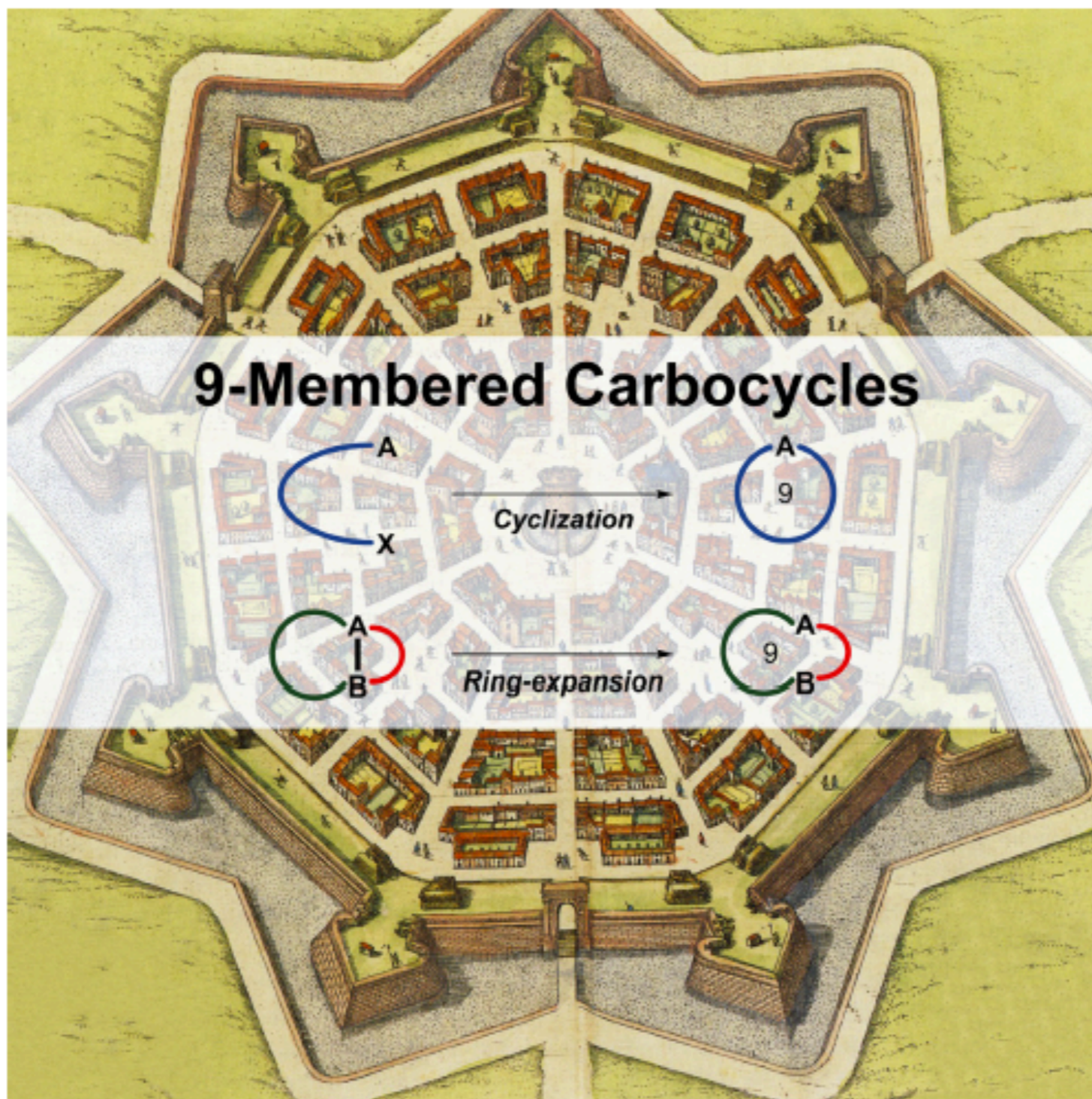
■ **Ring Expansion**



■ **Ring Contraction**

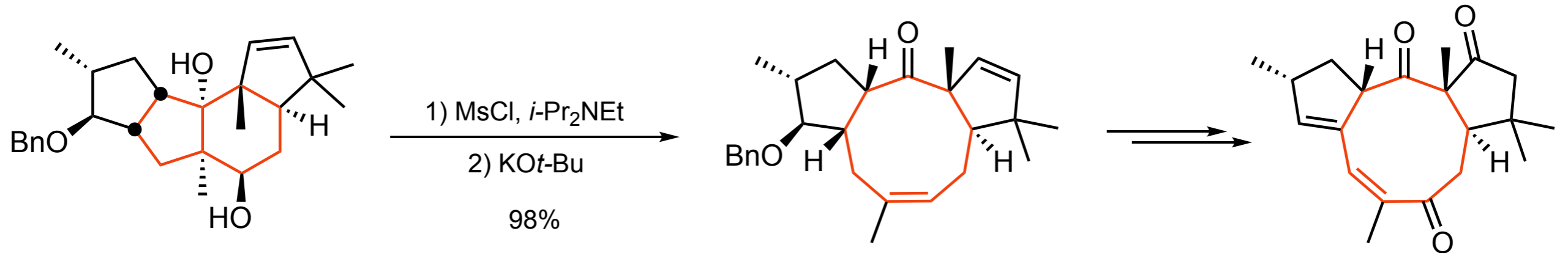


A representative example involves the construction of nine-membered carbocycles



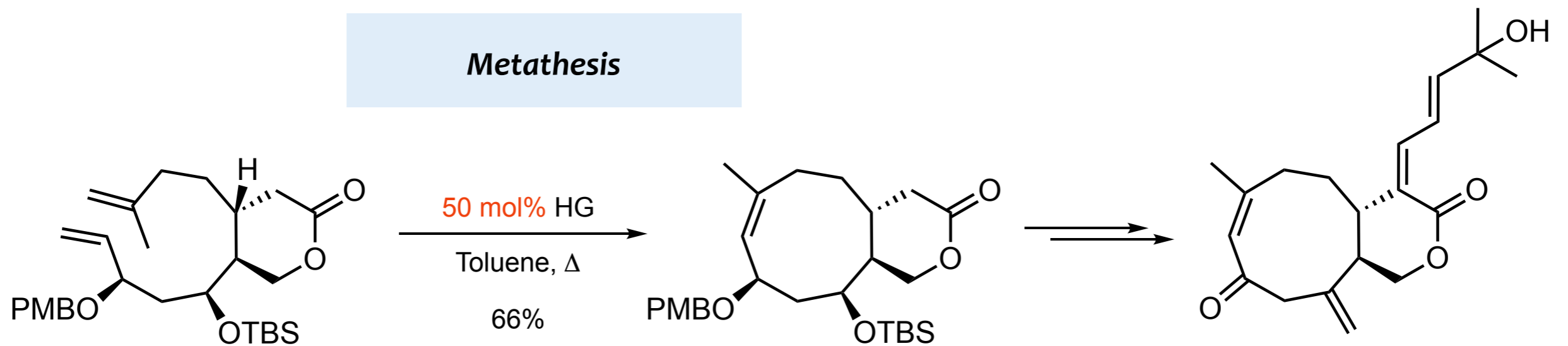
# Synthesis of 9-Membered Carbocycles

## Grob fragmentation



Paquette, L. *JACS* **2003**, *125*, 1567

## Metathesis

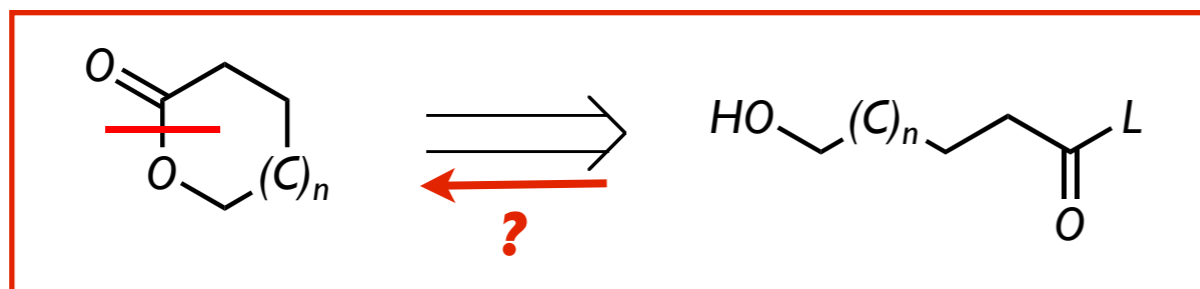
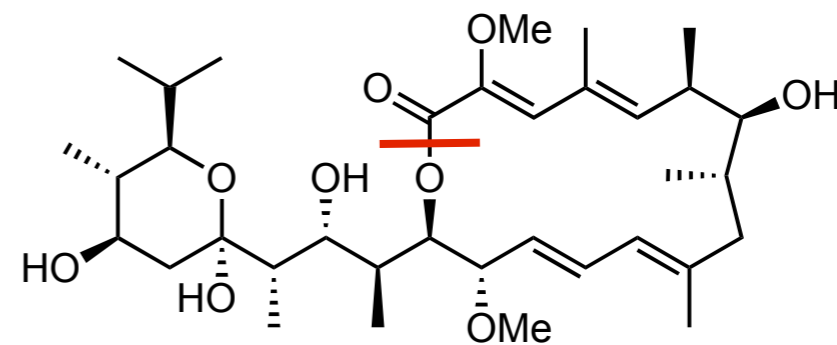
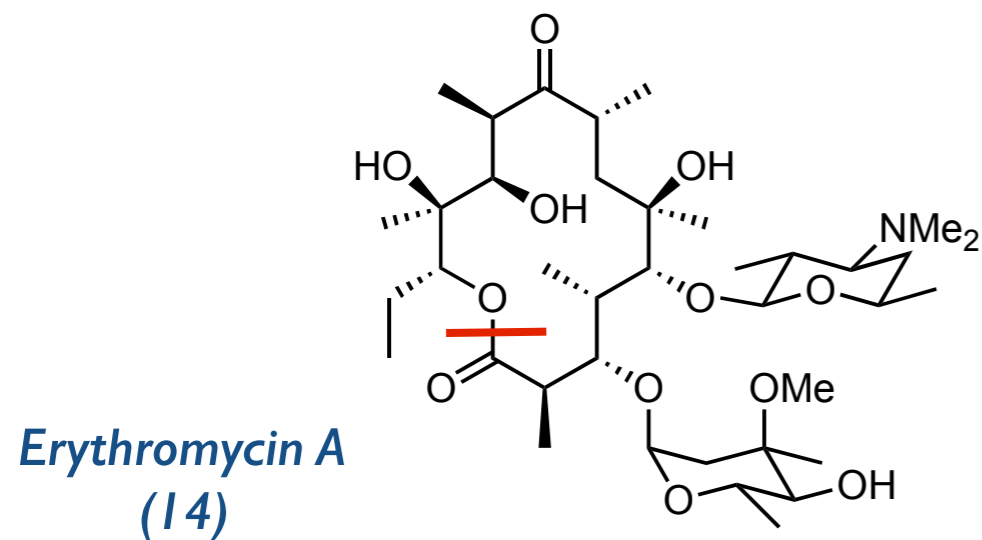
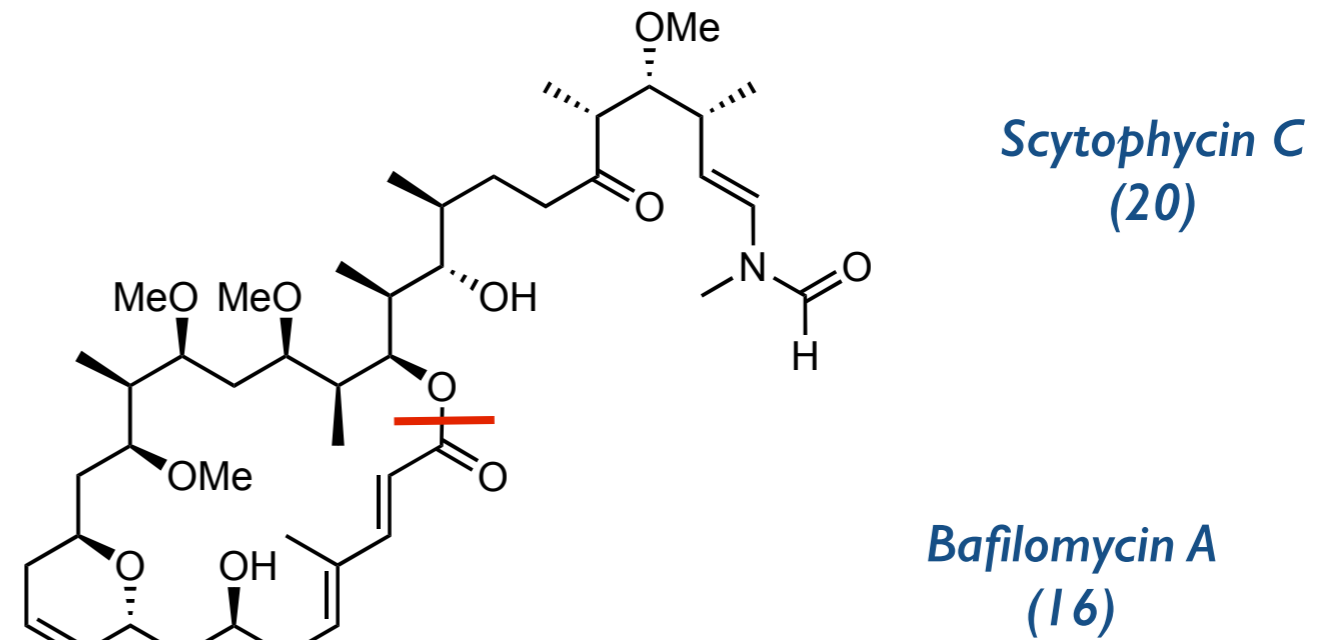
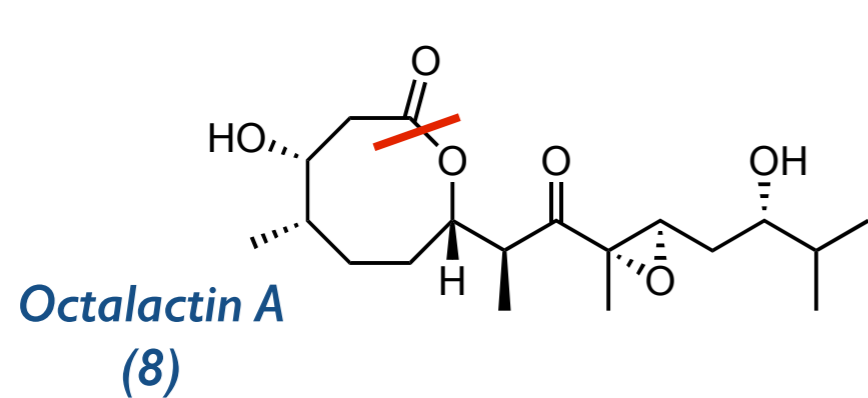


Altman, K. H. *ACIE* **2008**, *47*, 10081



# Synthesis of Medium and Large Rings: Macrolactones

Lactones (cyclic esters) are a common structural motif in natural products

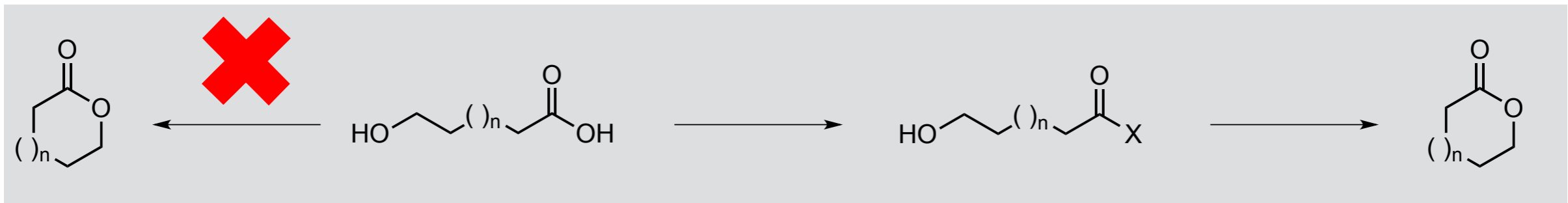


# Synthesis of Medium and Large Rings: Macrolactones

The COOH is not active enough to facilitate the synthesis of an ester

It needs to be activated ...

... to provide the macrolactone

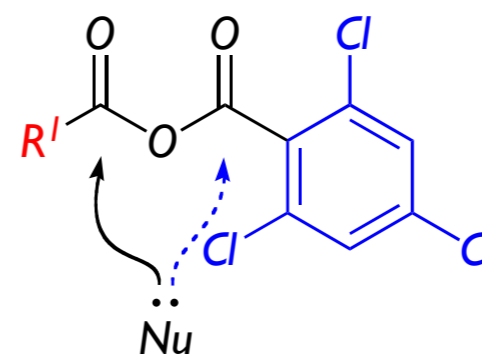


The COOH must be activated chemoselectively to complete the cyclisation under high dilution conditions

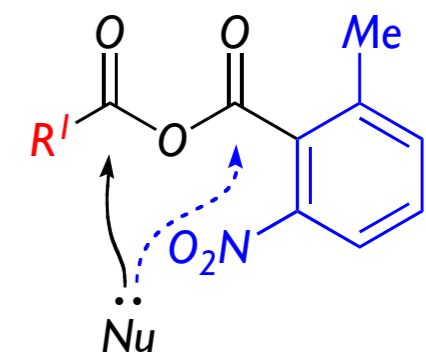
## Acylation with mixed anhydrides

Mixed anhydrides are usually prepared quantitatively from acid chlorides or other anhydrides.

They are not isolated.



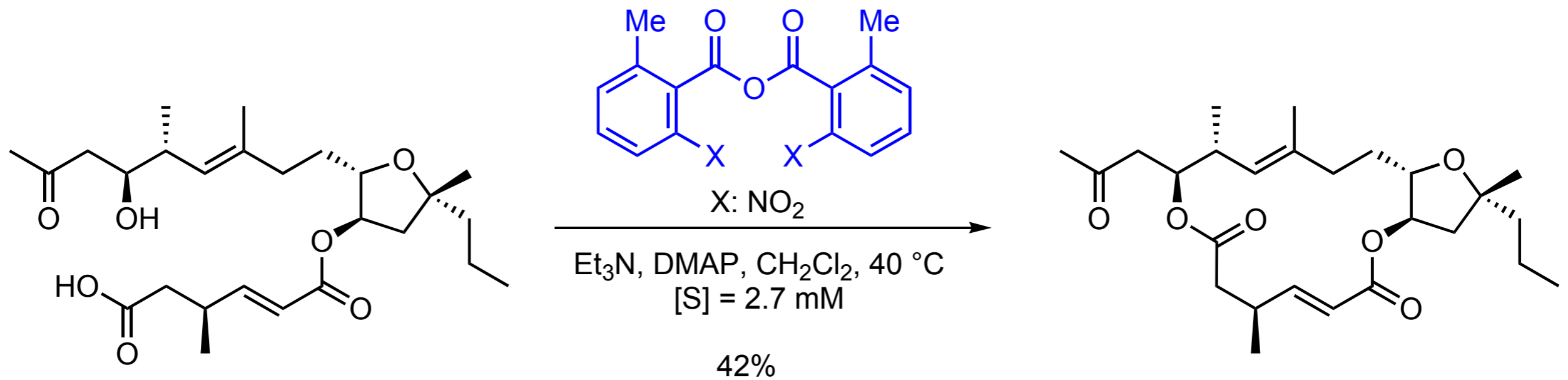
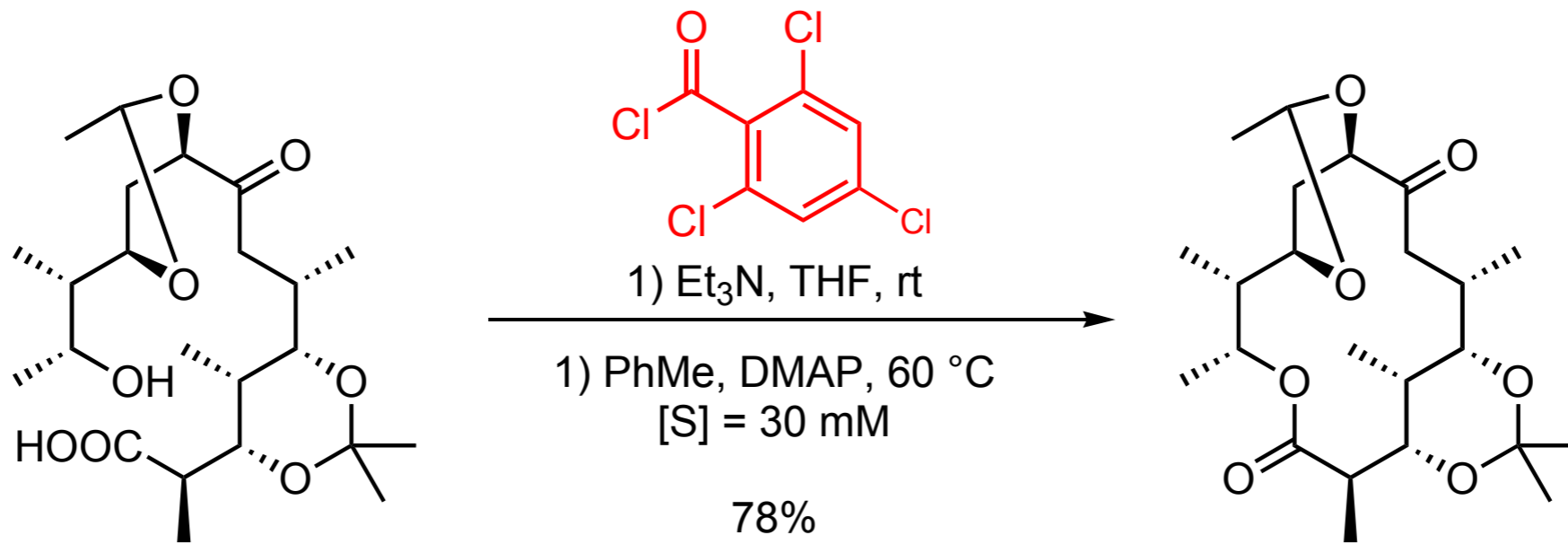
**Yamaguchi Method**



**Shiina Method**

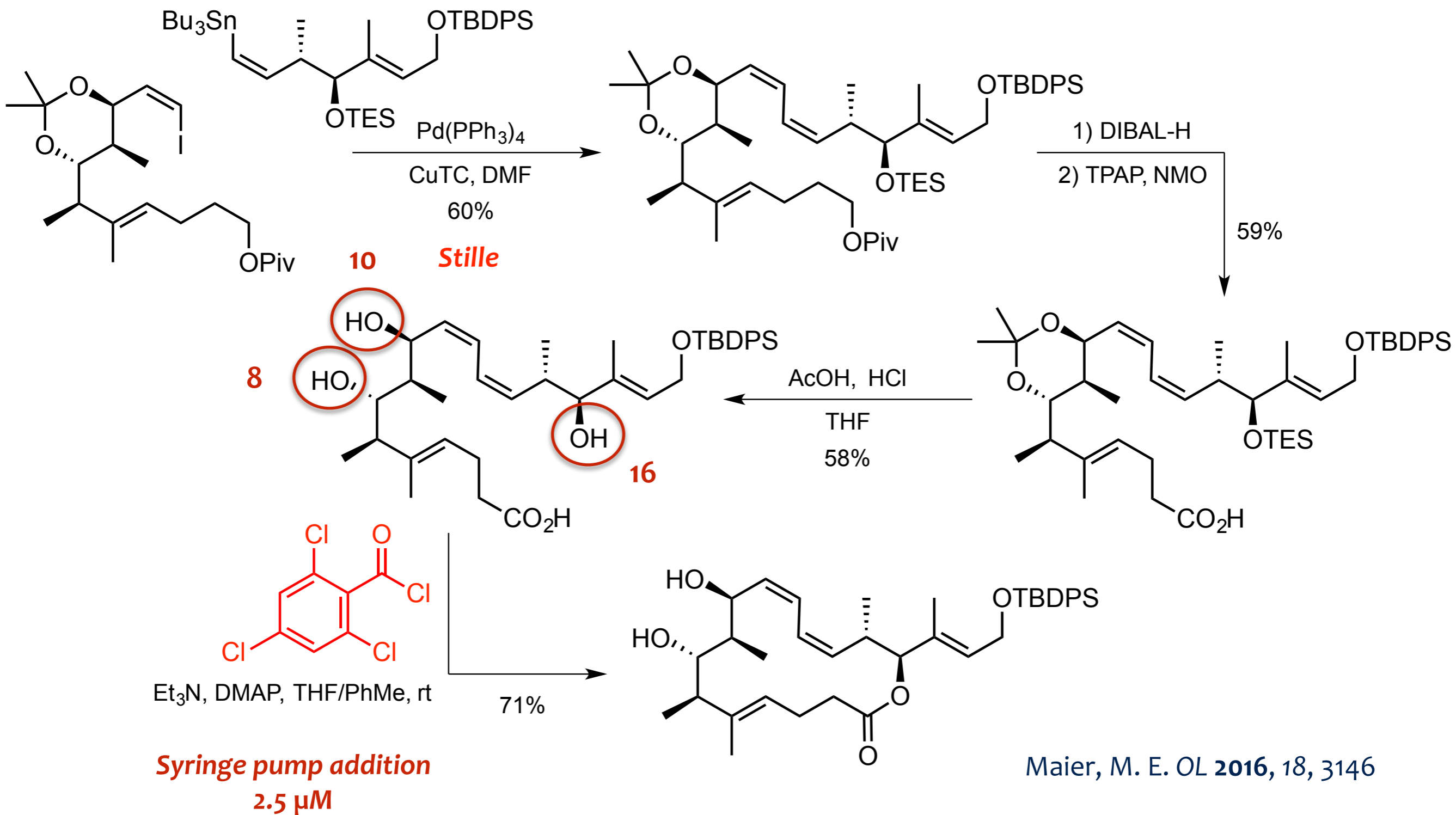
JOC 2004, 69, 1822

# Synthesis of Medium and Large Rings: Macrolactones



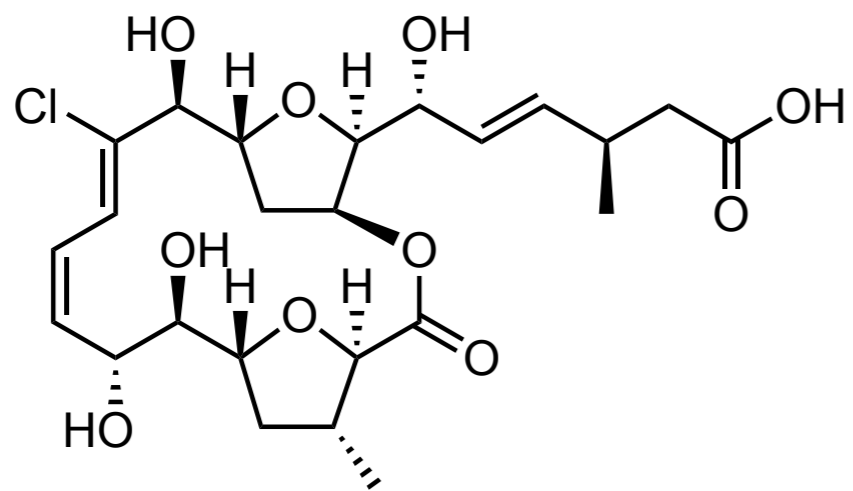
# Synthesis of Medium and Large Rings: Macrolactones

*This kind of macrolactonization can be very site selective*



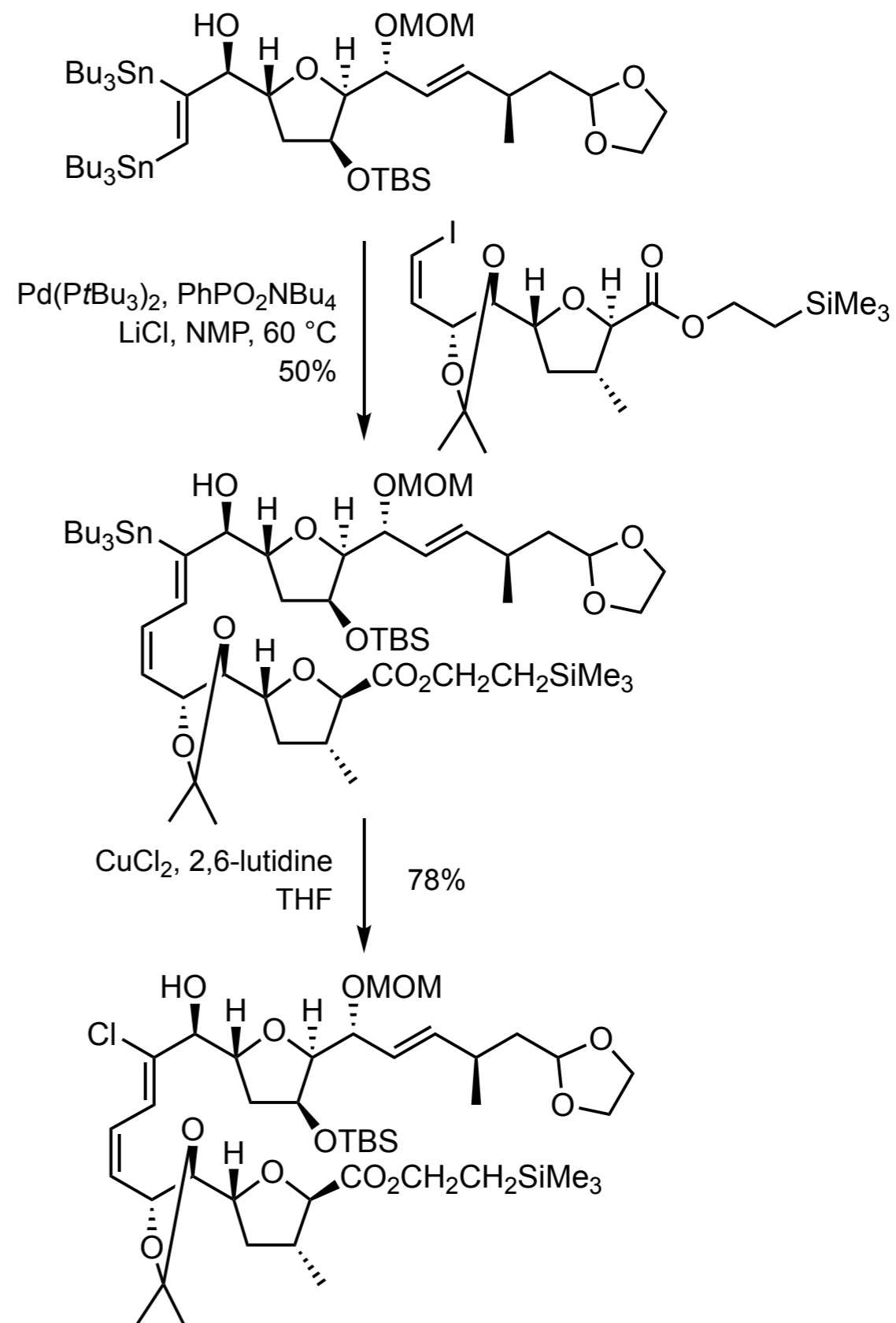
# Synthesis of Medium and Large Rings: Macrolactones

Although competing macrolactonization reactions usually favor the larger ring, occasionally the outcome may be different depending on structural features



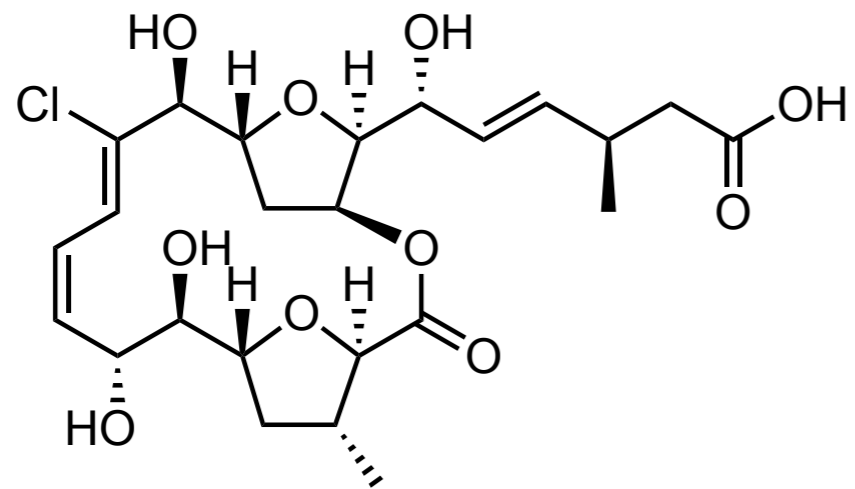
*Chagosensine*

Fürstner, A. *ACIE* 2018, 57, 13575



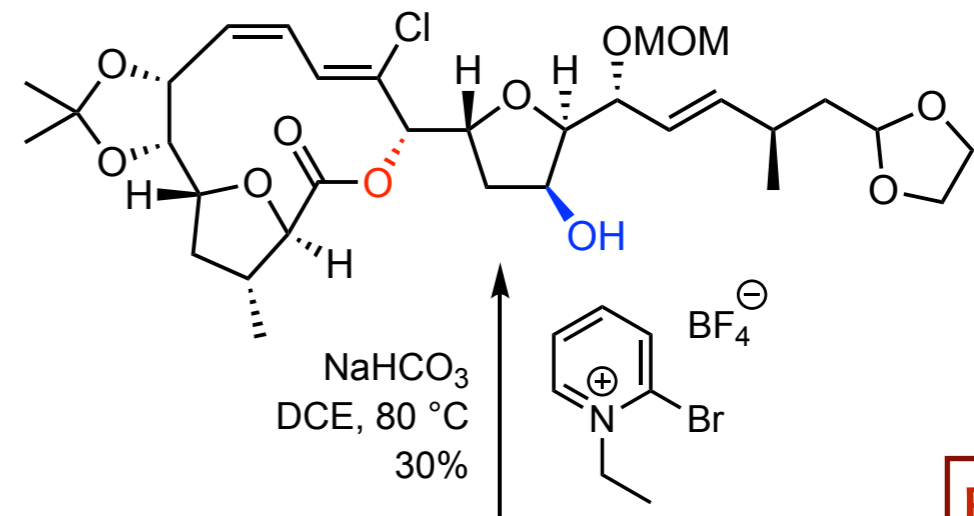
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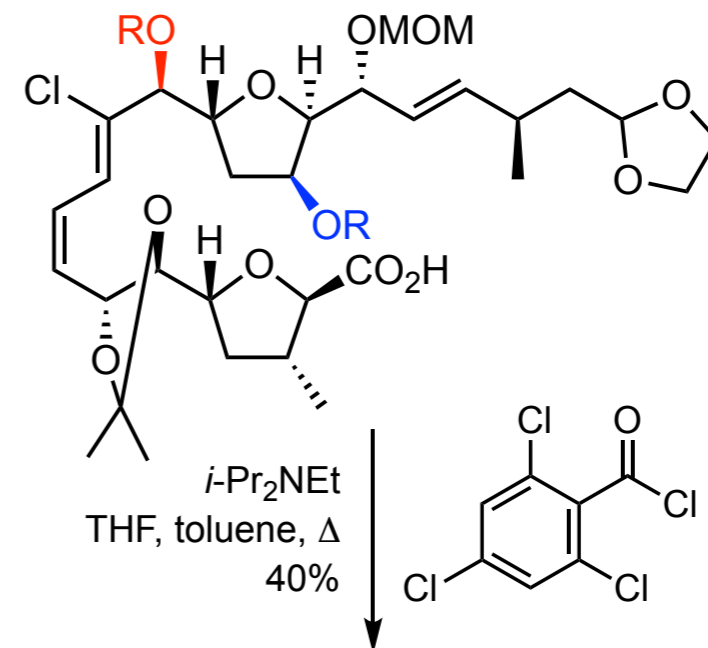


Chagosensine

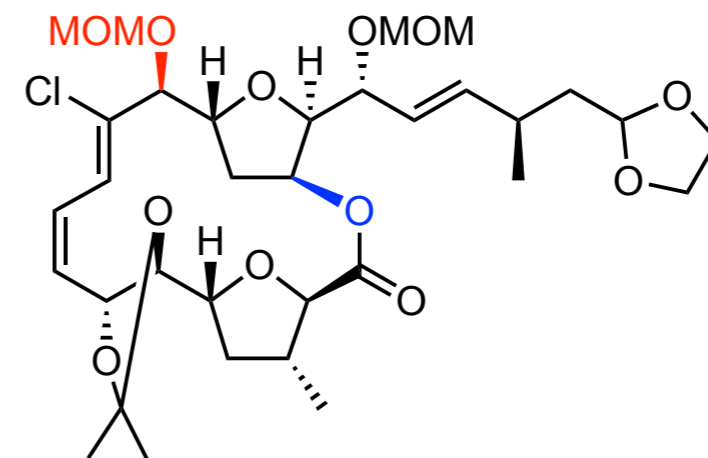
Fürstner, A. *ACIE* 2018, 57, 13575



R = R = H



R = MOM  
R = H

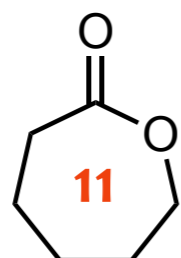
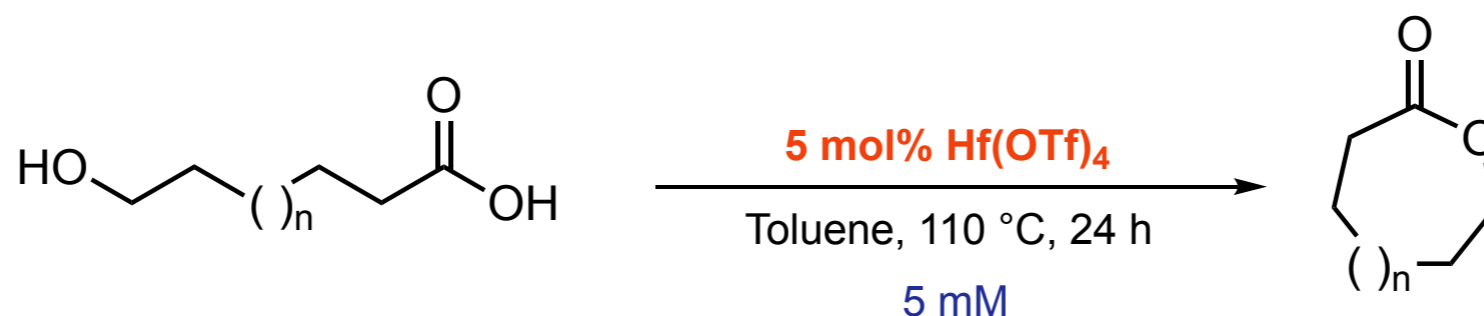


# Synthesis of Medium and Large Rings: Macrolactones

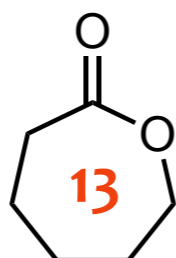
Current macrolactonization reactions from seco acids involve **stoichiometric** activation of the carboxylic acid and cyclization conducted at high dilution conditions

Is it possible to run a direct macrolactonization under catalytic conditions ...?

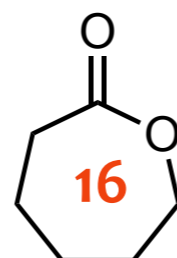
Two **main problems**: equilibrium open/cyclic & polymerization  
so *efficient catalytic direct macrolactonization of seco acids are rare*



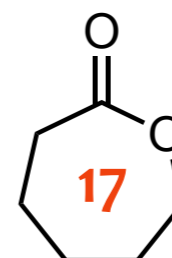
< 5%



55%



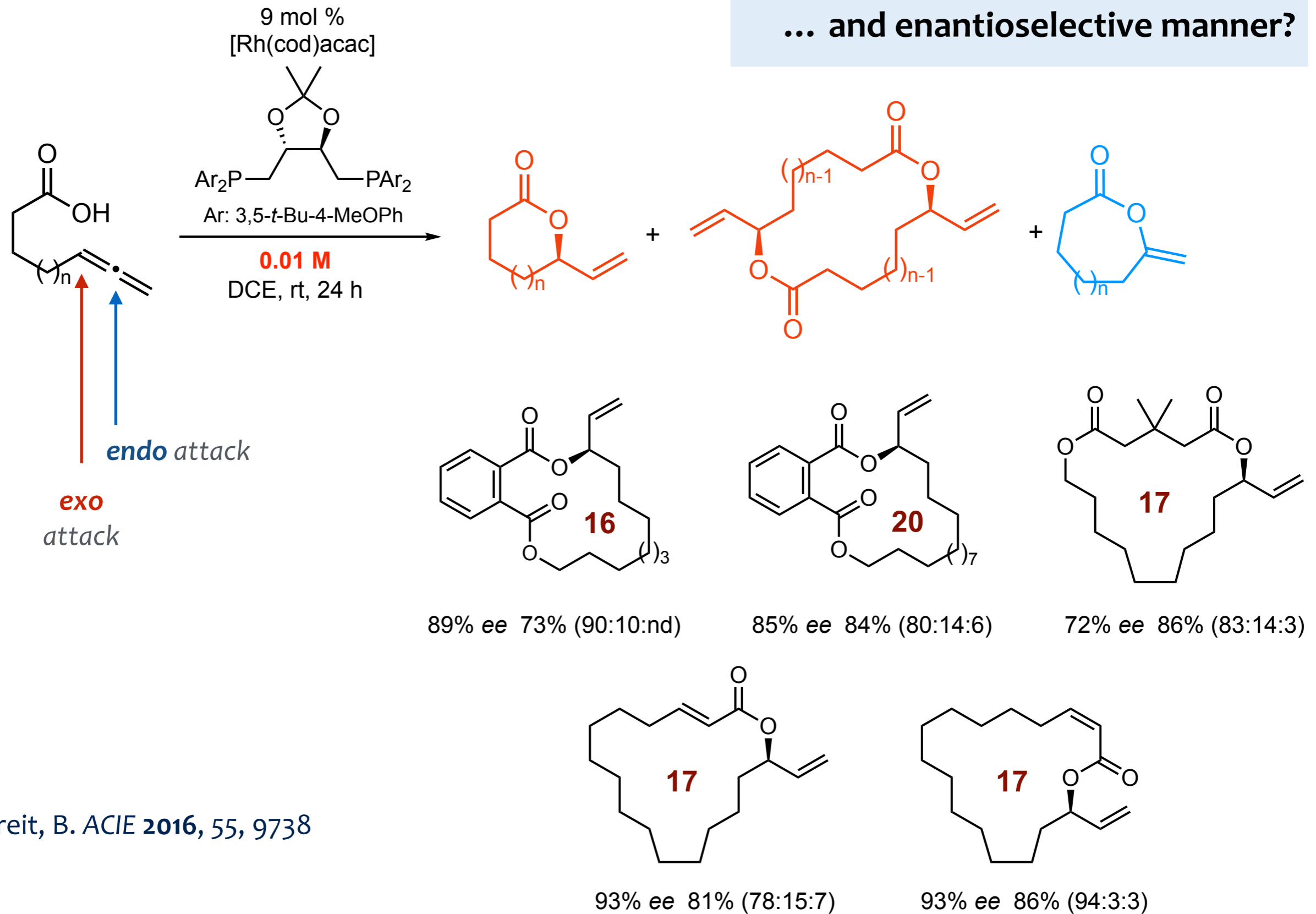
83%



87%

# Synthesis of Medium and Large Rings: Macrolactones

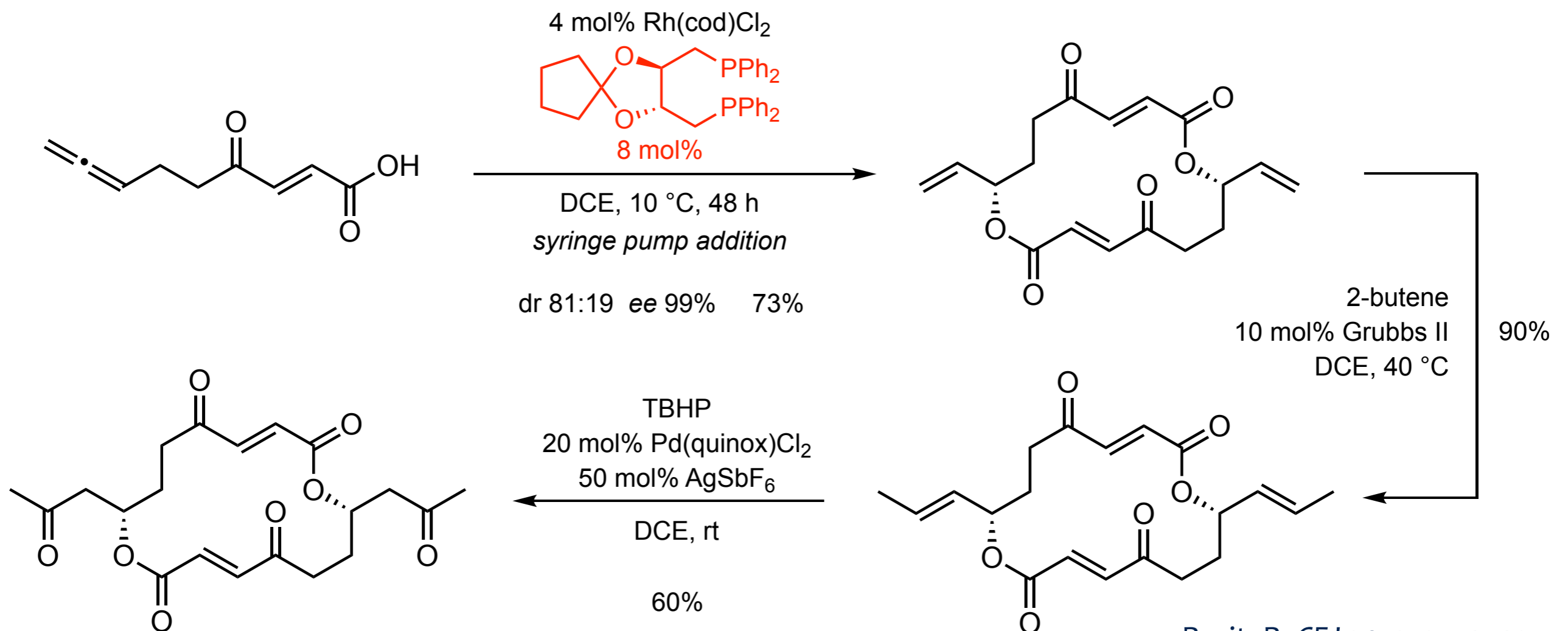
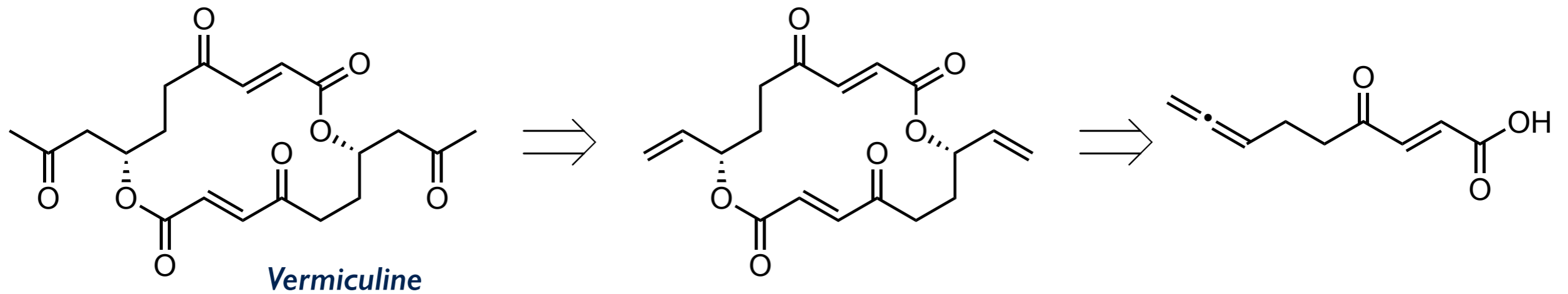
... and enantioselective manner?



Breit, B. *ACIE* 2016, 55, 9738



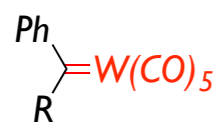
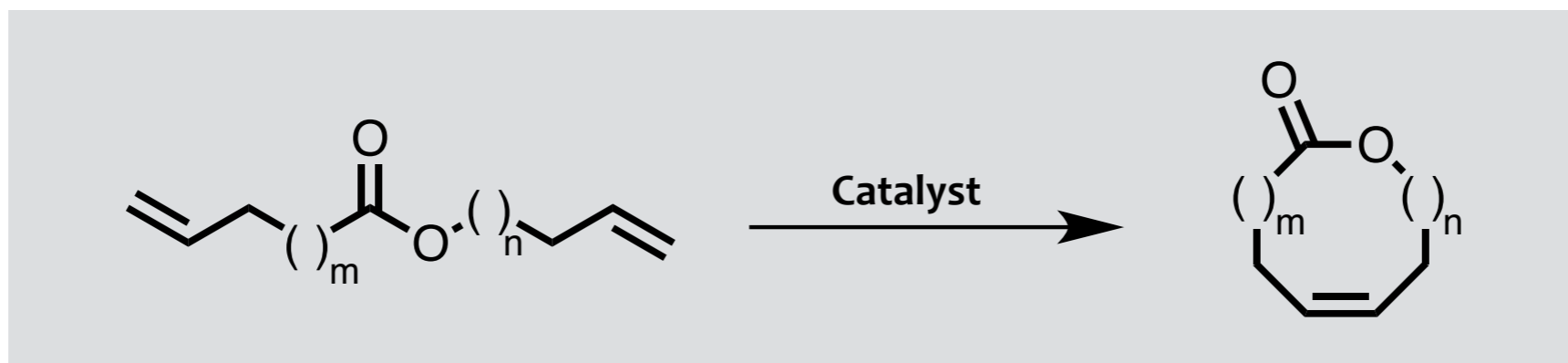
# Synthesis of Medium and Large Rings: Macrolactones



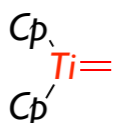
# Synthesis of Medium and Large Rings: Ring Closing Metathesis

**Ring Closing Metathesis (RCM) is a powerful reaction to obtain macrocycles**

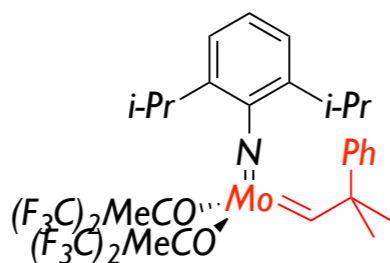
*For instance, it can be used to prepare macrolactones*



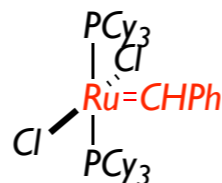
Katz 1976



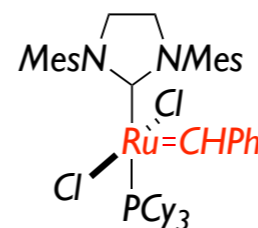
Tebbe 1978



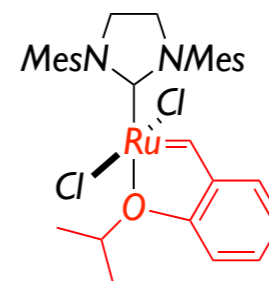
Schrock 1990



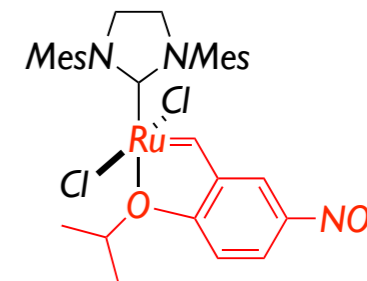
Grubbs I 1995



Grubbs II 1999

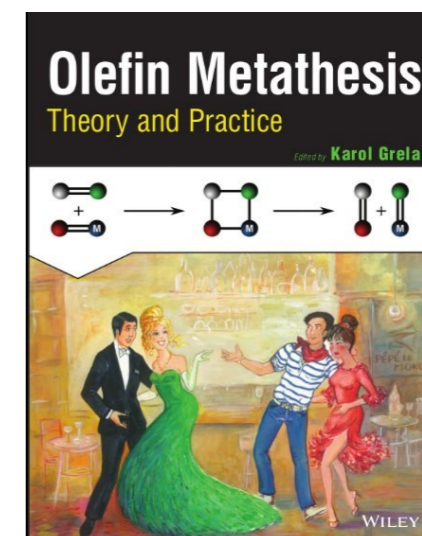


Hoveyda 2000

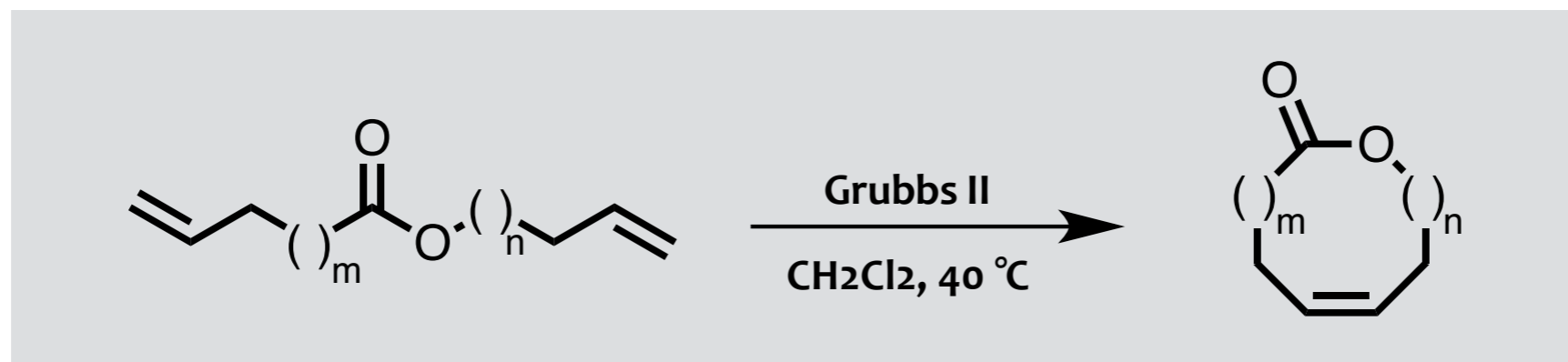


Grela 2002

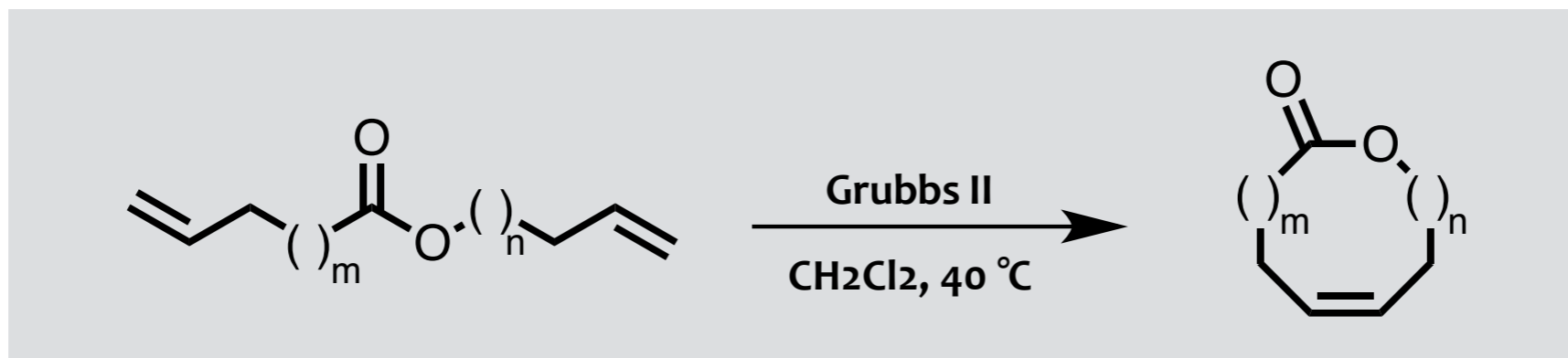
Grela K.  
*Olefin Metathesis. Theory and Practice.*  
Wiley



# Synthesis of Medium and Large Rings: Ring Closing Metathesis

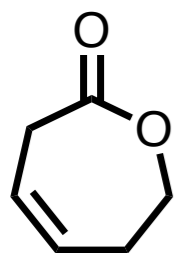


# Synthesis of Medium and Large Rings: Ring Closing Metathesis

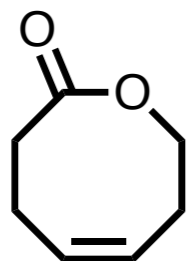


High dilution conditions are often required

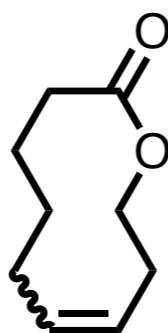
**PROBLEM: CONTROL OF THE Z/E CONFIGURATION**



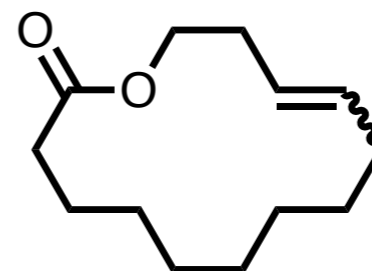
7



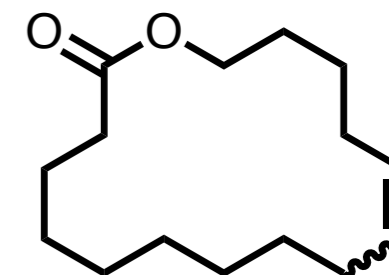
8



10



14



16

5 mM 29%  
0.5 mM 70%



5 mM 41%  
0.5 mM 95%

5 mM 94%

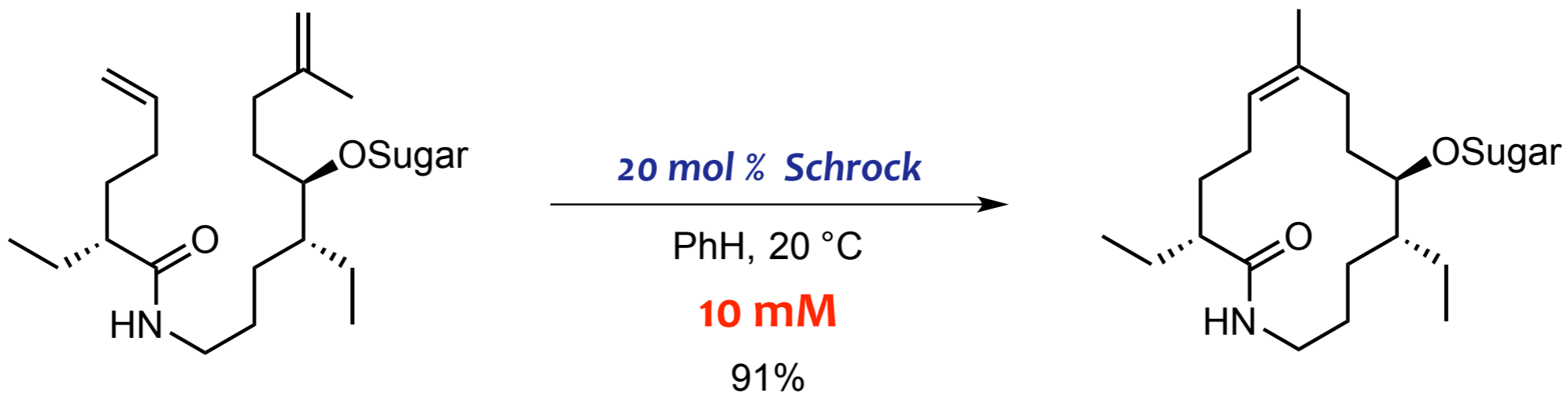
5 mM 99%

E/Z 41:59

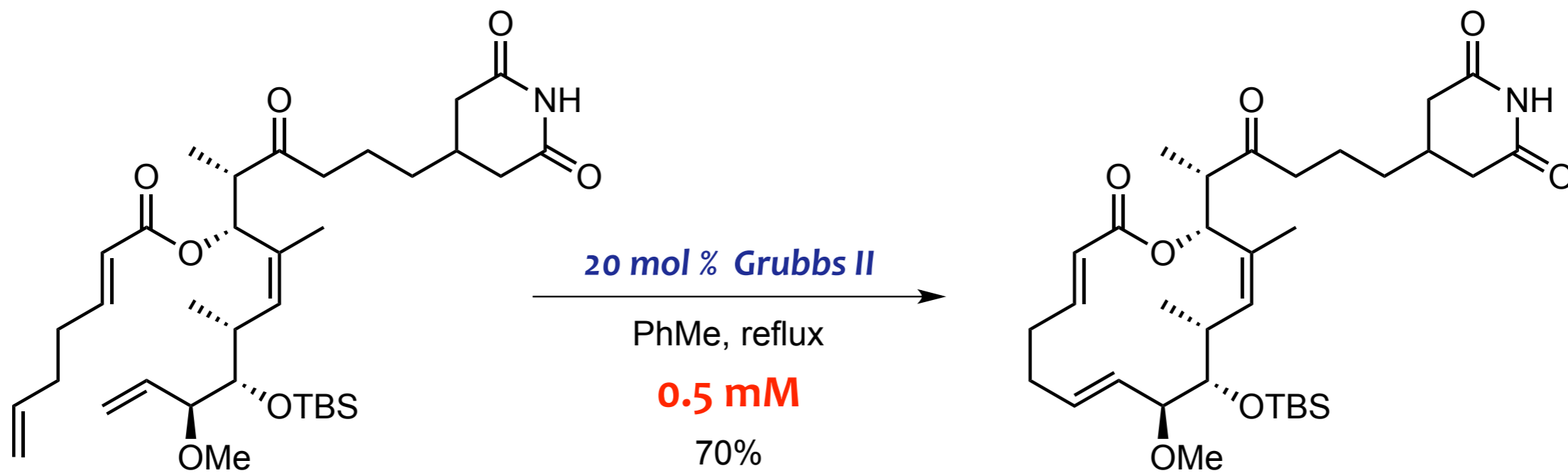
E/Z 89:11

E/Z 72:28

# Synthesis of Medium and Large Rings: Ring Closing Metathesis

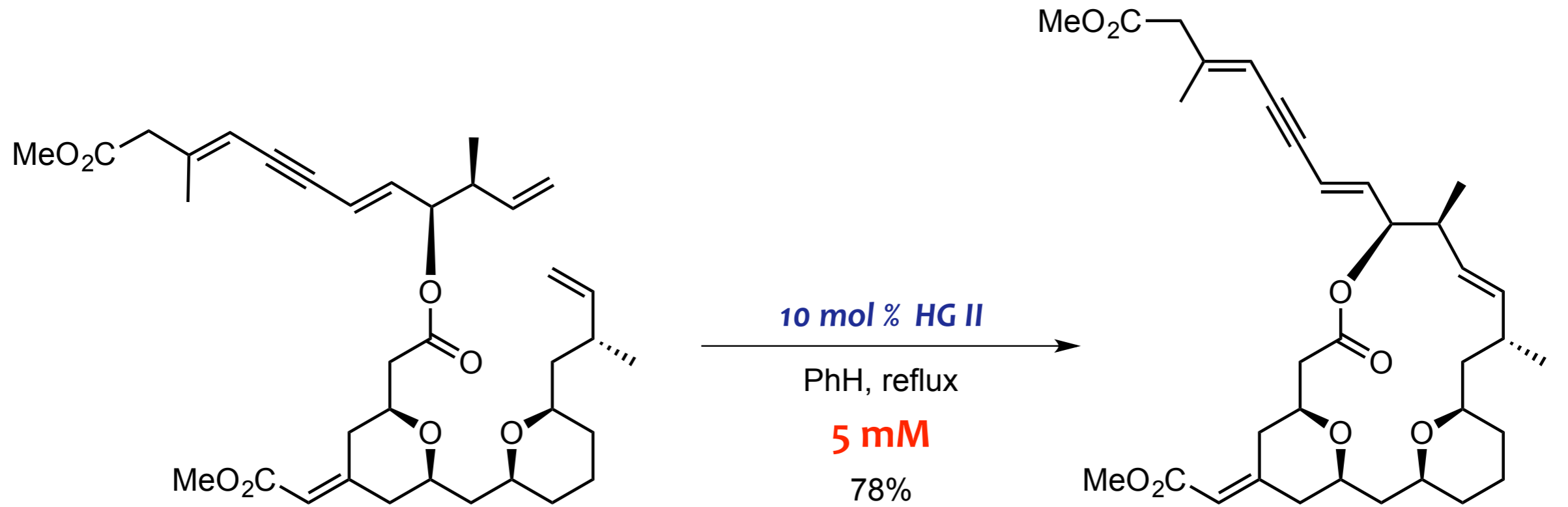


Hoveyda, A. H. *JACS* 1997,119, 10302

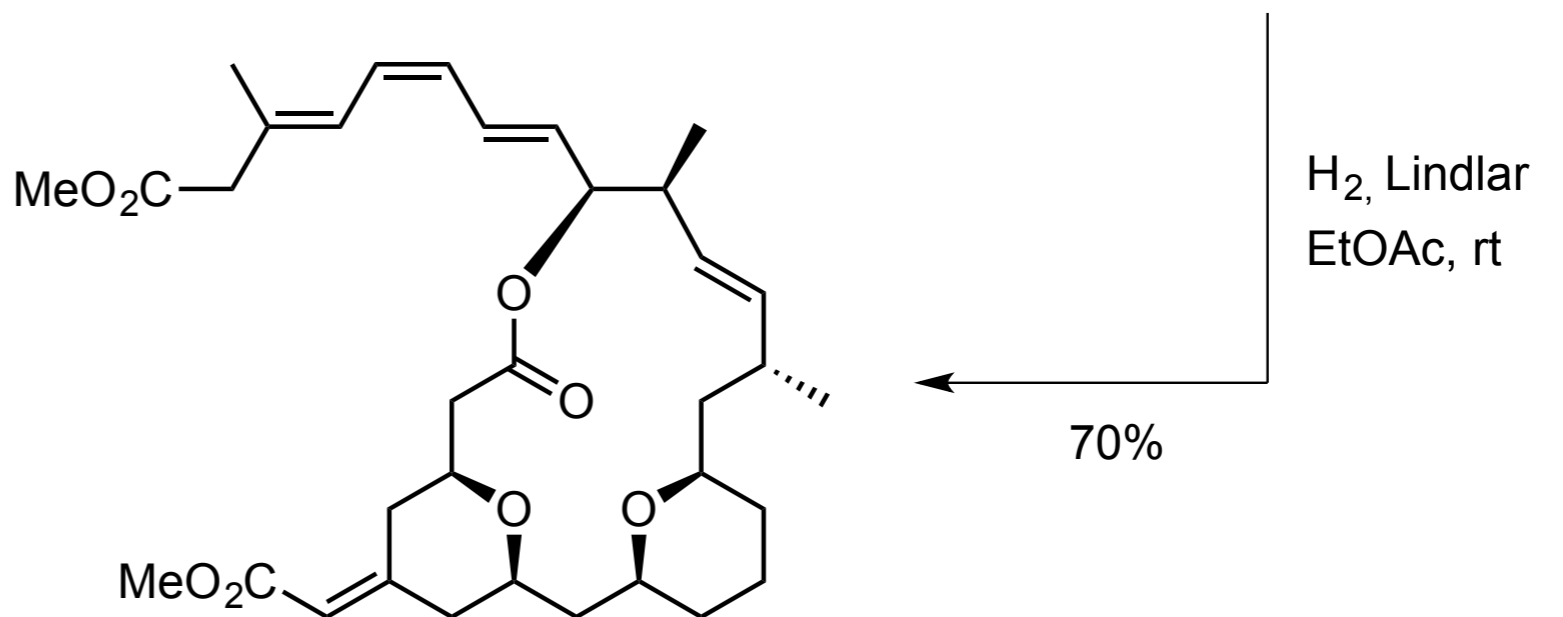


Danishefsky, S. *JACS* 2003,125, 6042

# Synthesis of Medium and Large Rings: Ring Closing Metathesis

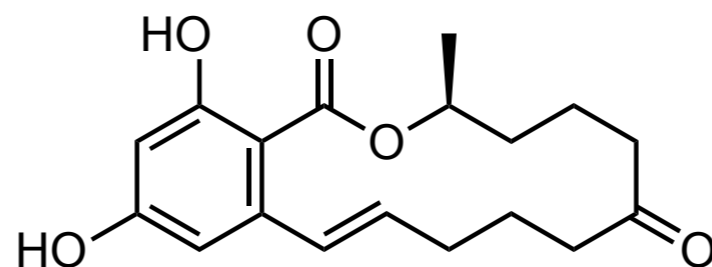
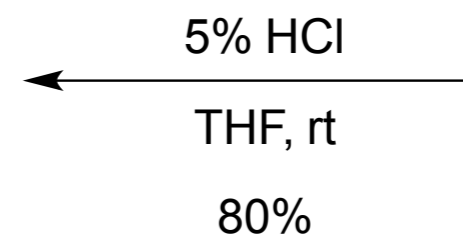
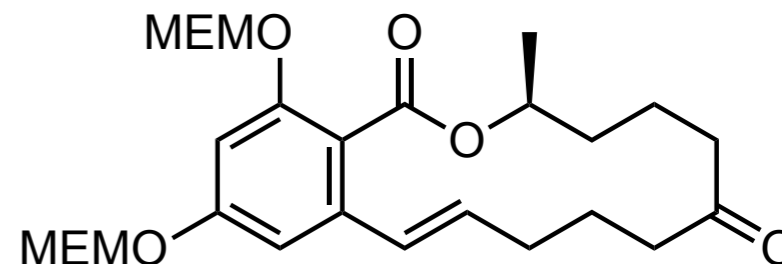
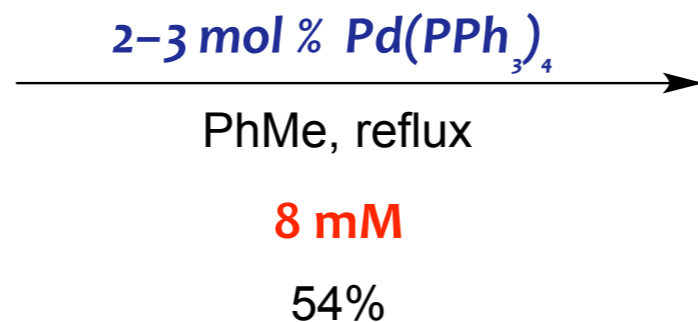
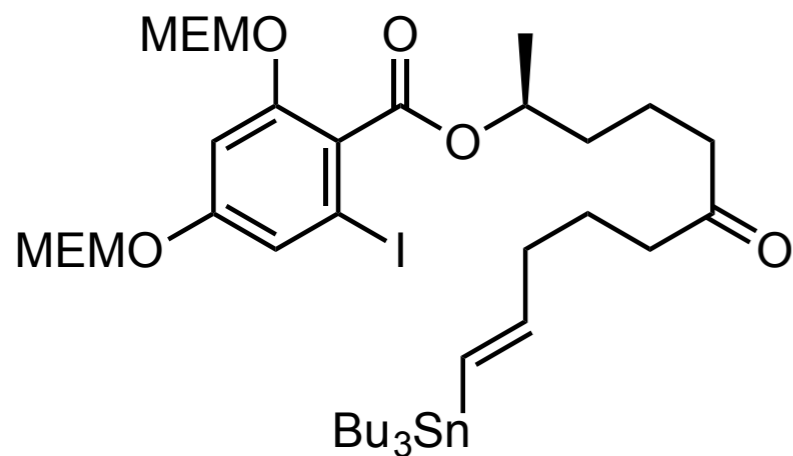
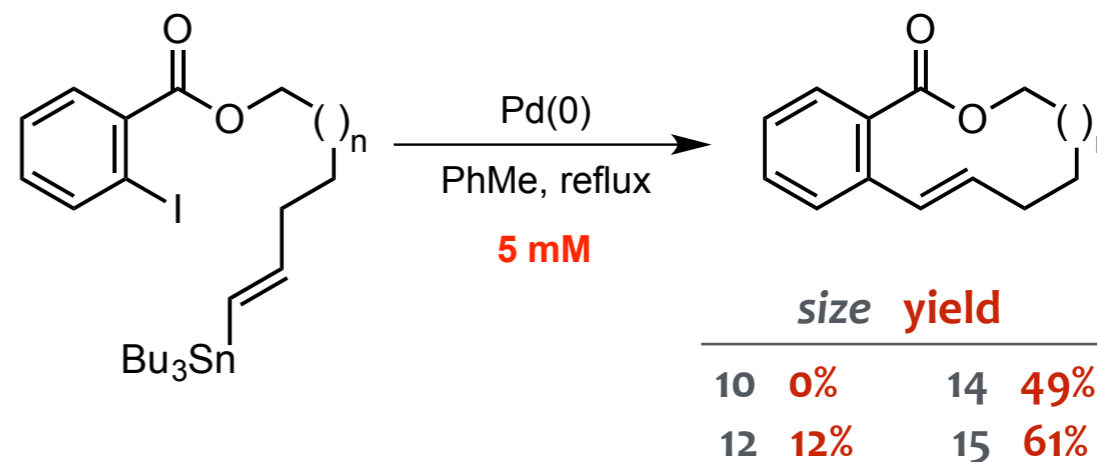
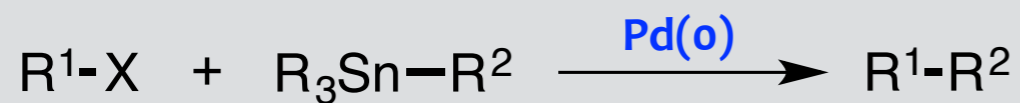


(-) Exiguolide



# Synthesis of Medium and Large Rings: C–C bond formation

For instance, the **STILLE REACTION**  
has been used for the synthesis of macrocycles

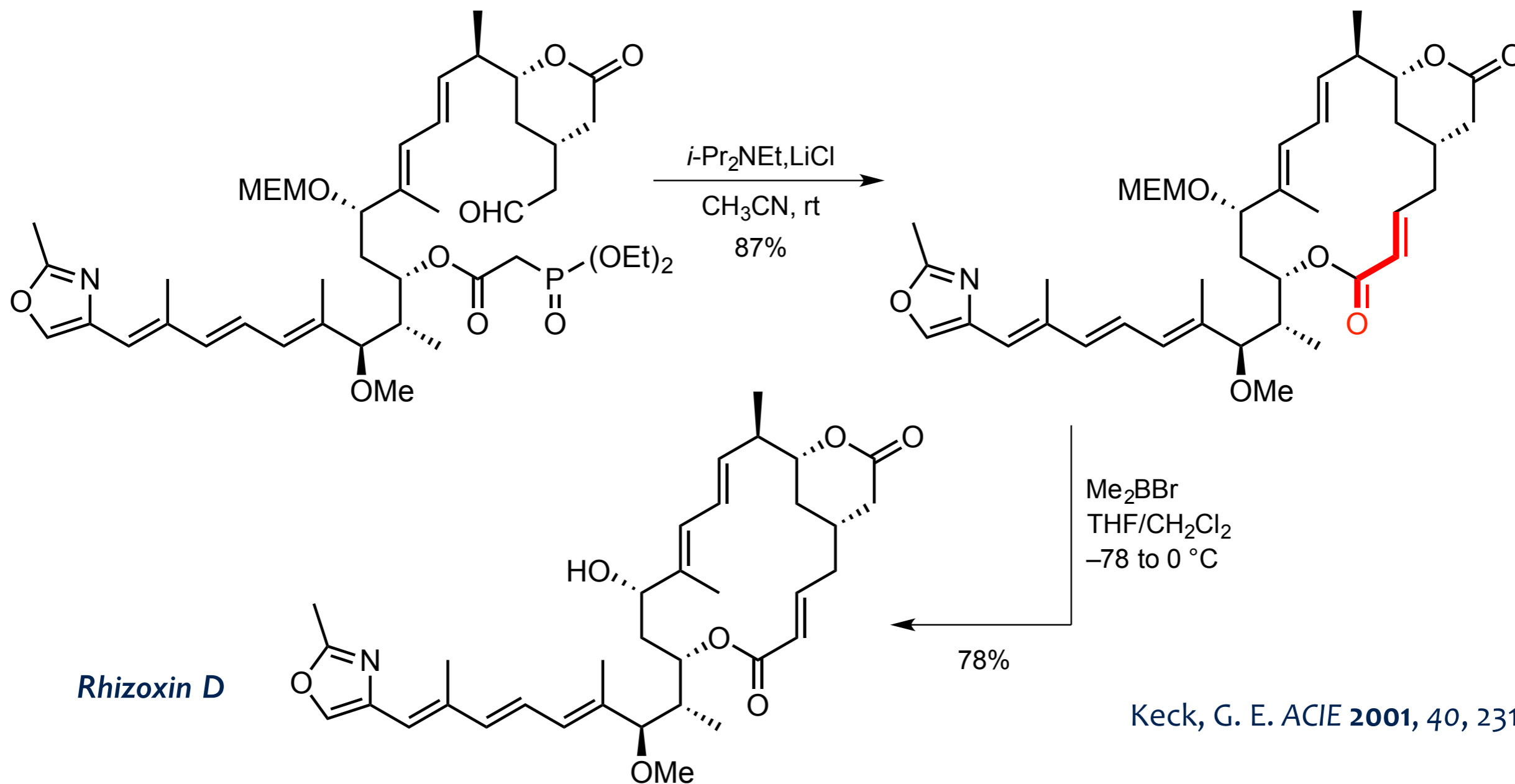
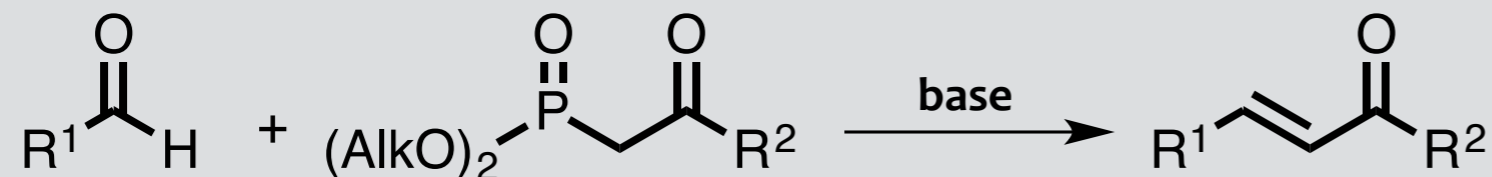


(S) Zearalenone

Hegedus, L. S. *JOC* **1991**, 56, 2883  
Nicolaou, K. C. *ACIE* **1998**, 37, 2534

# Synthesis of Medium and Large Rings: C=C bond formation

The **HORNER-WADSWORTH-EMMONS** has also been used for the synthesis of macrocycles

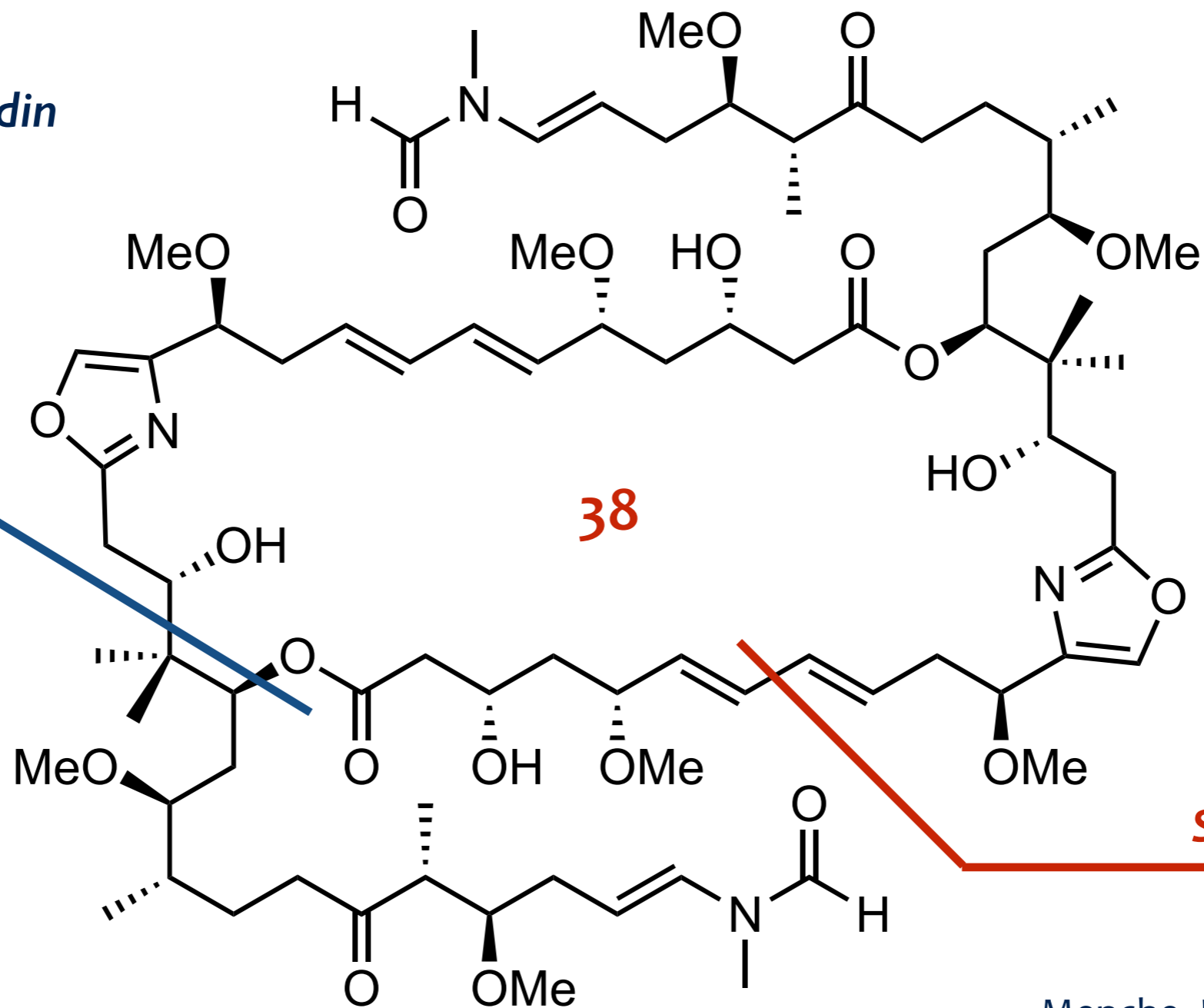


Keck, G. E. *ACIE* 2001, 40, 231



# Synthesis of Large Rings. A Case Study: Rhizopodin

(-)-Rhizopodin



Yamaguchi

(Paterson)

Suzuki, Heck

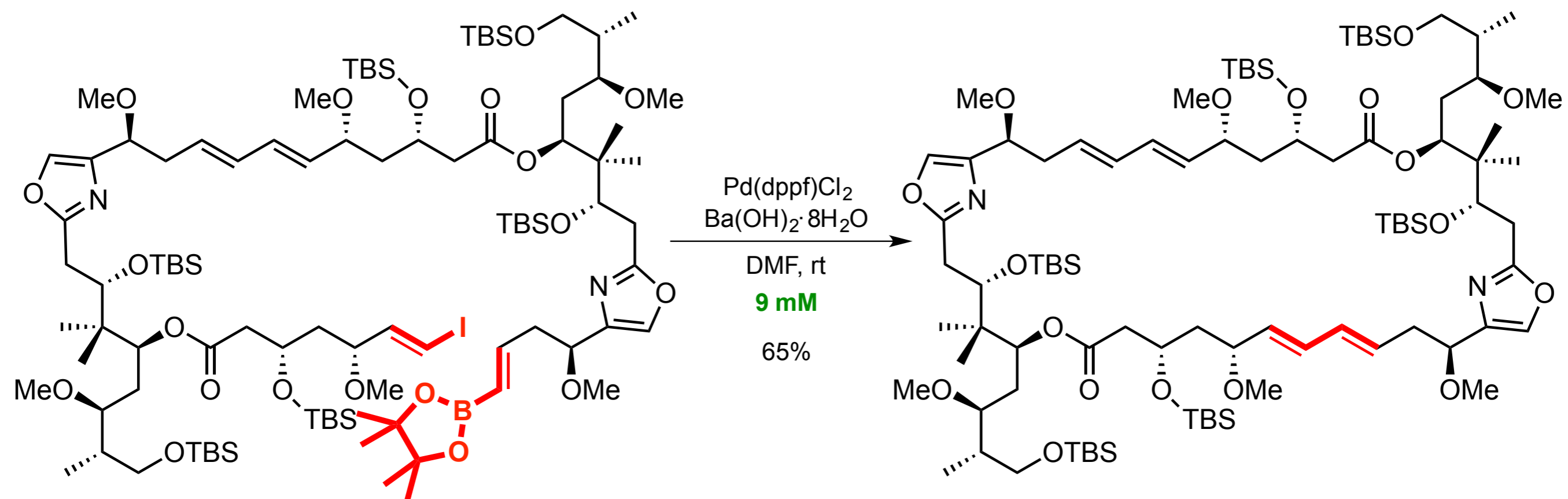
(Menche)

Menche, D. *ACIE* **2012**, 51, 5667

Menche, D. *JOC* **2012**, 77, 10782

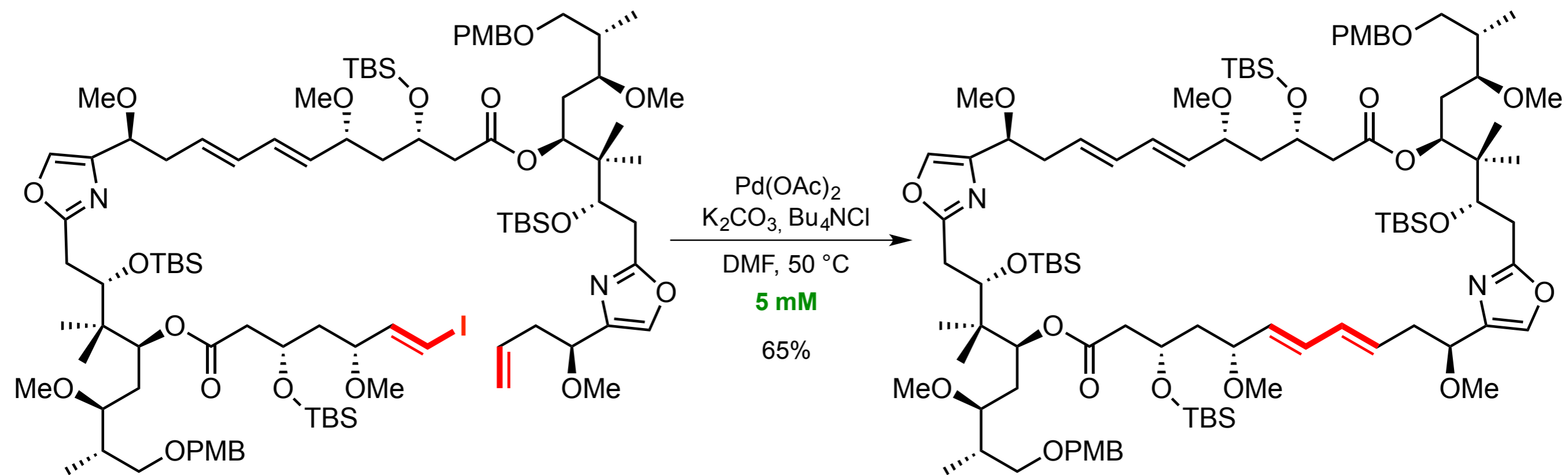
Paterson, I. *ACIE* **2013**, 52, 6517

# Synthesis of Large Rings. A Case Study: Rhizopodin



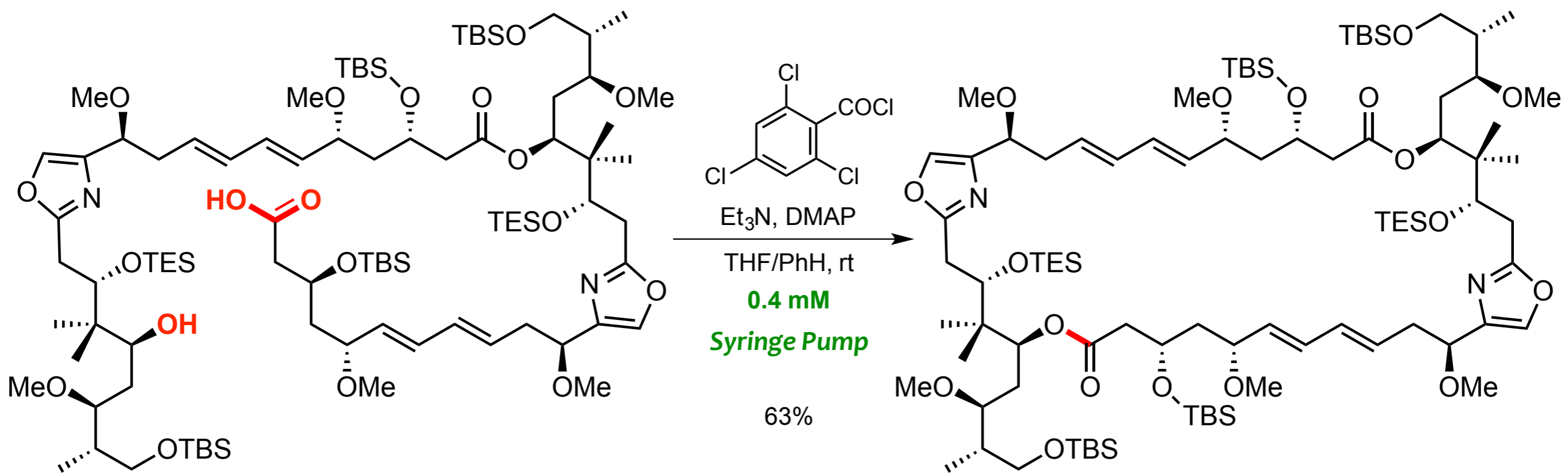
**Cyclization through a Suzuki reaction**

# Synthesis of Large Rings. A Case Study: Rhizopodin



**Cyclization through a Heck reaction**

# Synthesis of Large Rings. A Case Study: Rhizopodin



*Cyclization through a Yamaguchi reaction*

Three Rings for the Elven-kings under the sky,  
Seven for the Dwarf-lords in their halls of stone,  
Nine for Mortal Men doomed to die,  
One for the Dark Lord on his dark throne  
In the Land of Mordor where the Shadows lie.  
**One Ring to rule them all, One Ring to find them,  
One Ring to bring them all and in the darkness bind them**  
In the Land of Mordor where the Shadows lie.  
The Lord of the Rings



## 6.2. Baldwin Rules

1970

NOBEL PRIZE 1969



Derek H.  
Barton

Odd  
Hassel

*For their contribution to the development of the concept of conformation and its application in chemistry*

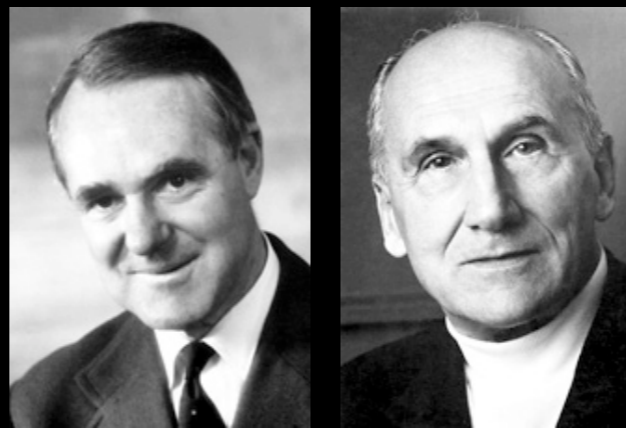
ANGEWANDTE CHEMIE  
*International Edition* VOLUME 8 · NUMBER 11  
NOVEMBER 1969  
PAGES 781–932

The Conservation of Orbital Symmetry<sup>(\*\*\*\*)</sup>

By R. B. Woodward<sup>(\*)</sup> and Roald Hoffmann<sup>(\*\*)</sup>

The Conservation of Orbital Symmetry  
Woodward, R. B.; Hoffmann, R.  
ACIEE 1969, 8, 781

NOBEL PRIZE 1975



John W.  
Cornforth

Vladimir  
Prelog

*For their research into the stereochemistry of organic molecules and reactions*



Stereochemistry of Reaction Paths  
at Carbonyl Centres

Bürgi, H. B.; Dunitz, J. D.; Lehn, J. M.; Wipff, G  
Tetrahedron 1974, 30, 1563

1980

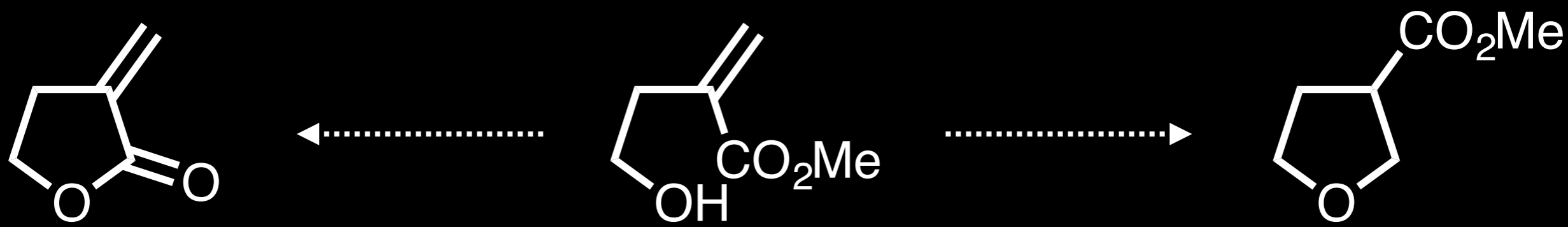
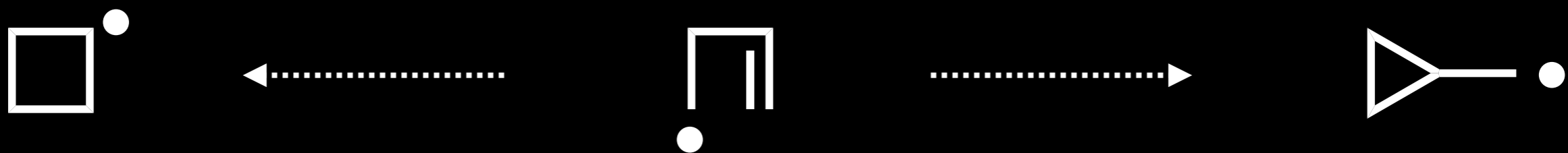
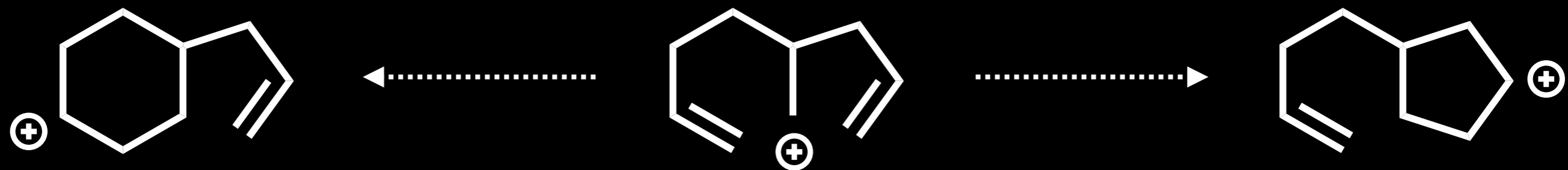
NOBEL PRIZE 1981

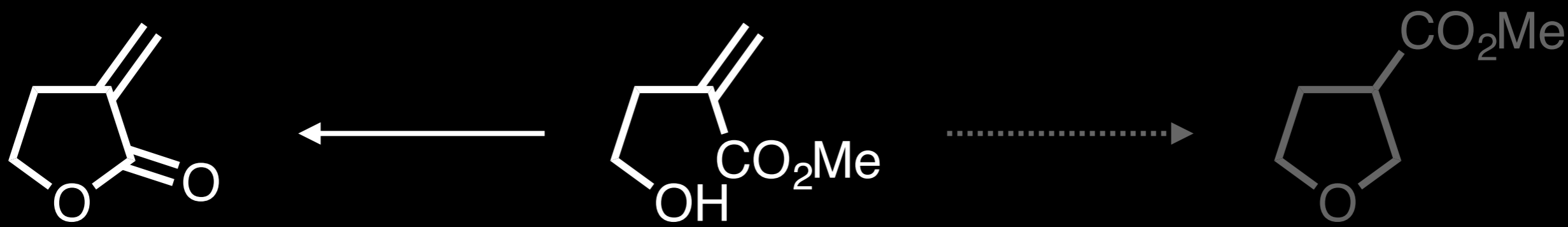
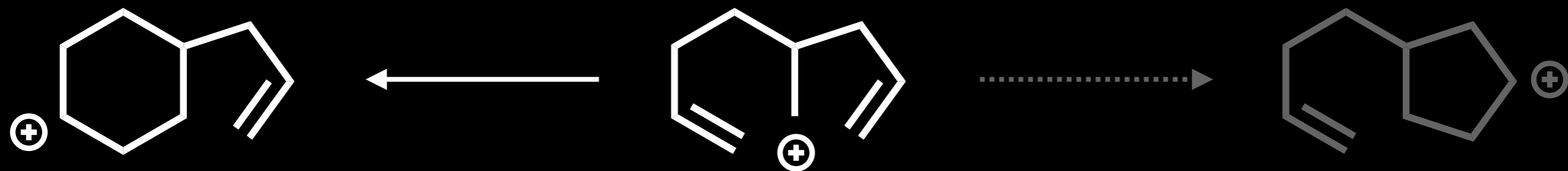


Kenichi  
Fukui

Roald  
Hoffmann

*For their theories concerning the course of chemical reactions*







1970

NOBEL PRIZE 1969



Derek H.  
Barton

Odd  
Hassel

*For their contribution to the development of the concept of conformation and its application in chemistry*

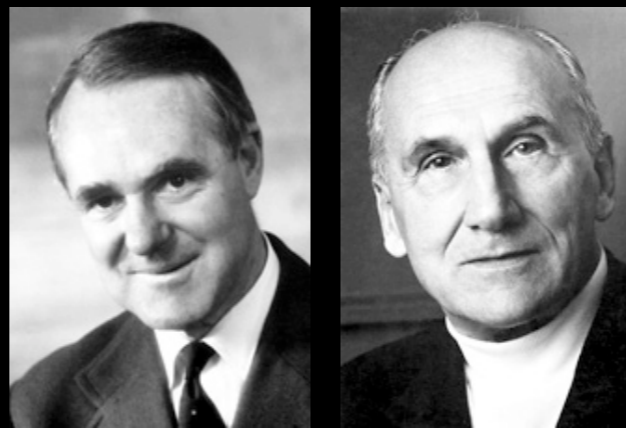
ANGEWANDTE CHEMIE  
*International Edition* VOLUME 8 · NUMBER 11  
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The Conservation of Orbital Symmetry<sup>(\*\*\*\*)</sup>

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The Conservation of Orbital Symmetry  
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ACIEE 1969, 8, 781

NOBEL PRIZE 1975



John W.  
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*For their research into the stereochemistry of organic molecules and reactions*



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NOBEL PRIZE 1981



Kenichi  
Fukui

Roald  
Hoffmann

*For their theories concerning the course of chemical reactions*

1976  
Baldwin Rules

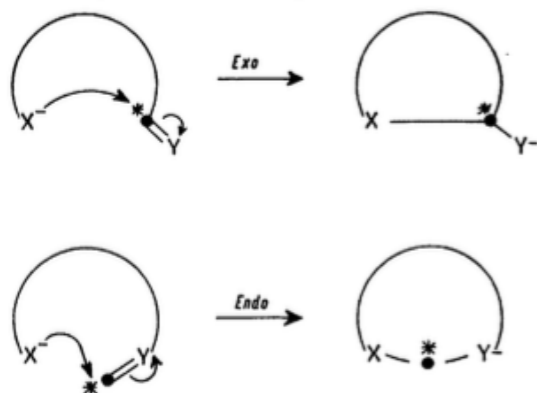
## Rules for Ring Closure

By JACK E. BALDWIN

(Chemistry Department, Massachusetts Institute of Technology, Cambridge, Massachusetts 02139)

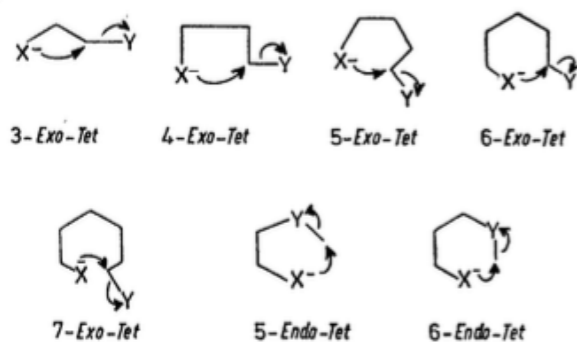
**Summary** Three rules which have been found useful, on an empirical basis, to predict the *relative facility* of ring forming reactions are presented; the physical bases of such rules are described.

RING-FORMING reactions are important and common processes in organic chemistry. I now adumbrate a set of simple rules which I have found useful in predicting the relative facility of different ring closures. I believe these



SCHEME 1

will be useful to organic chemists, especially in planning syntheses. Also these rules indicate certain experiments which may be helpful to define more precisely their limits. The rules are of a stereochemical nature and it is likely that unambiguous cases of all the possibilities I will discuss are as yet unknown.



SCHEME 2: Tetrahedral

I will describe a ring-forming process with the prefix *Exo*, when the breaking bond is exocyclic to the *smallest so formed ring* and *Endo* correspondingly, as in Scheme 1.

Further, I shall use a numerical prefix to describe the ring size, being the number of atoms constituting the skeleton of the cycle, and finally, the suffixes *Tet*, *Trig*, and *Dig*, to indicate the geometry of the carbon atom undergoing the ring-closure reaction (asterisk, Scheme 1). The suffixes refer to tetrahedral, trigonal, and digonal carbon atoms respectively. The various possibilities are shown in Schemes 2—4.

The Rules are as follows:—

**Rule 1: Tetrahedral Systems:** Scheme 2.

- (a) 3 to 7-*Exo-Tet* are all favoured<sup>1</sup> processes with many literature precedents;<sup>2</sup>  
 (b) 5 to 6-*Endo-Tet* are disfavoured.<sup>3</sup>

**Rule 2: Trigonal Systems:** Scheme 3.

- (a) 3 to 7-*Exo-Trig* are all favoured processes with many literature precedents;<sup>4</sup>  
 (b) 3 to 5-*Endo-Trig* are disfavoured;<sup>5</sup> 6 to 7-*Endo-Trig* are favoured.

**Rule 3: Digonal Systems:** Scheme 4.

- (a) 3 to 4-*Exo-Dig* are disfavoured processes; 5 to 7-*Exo-Dig* are favoured;<sup>6</sup>  
 (b) 3 to 7-*Endo-Dig* are favoured.<sup>7</sup>

As a consequence of the larger atomic radii and bond distances in atoms of the second Periodic row the geometric restraints on disfavoured ring closures may be bypassed.<sup>5</sup> Therefore a condition to the application of these rules is that *X must be a first row element* (Scheme 1—4).

The physical bases of the rules lie in the stereochemical requirements of the transition states for the various tetrahedral, trigonal, and digonal ring closure processes. Since the linking chain restricts the relative motion of the terminal groups X and Y (Scheme 1) then the nature and length of this chain, and hence the ring size, will determine whether X and Y can attain the required transition-state geometry and hence the facility, or otherwise, of ring closure. For closures to a carbon atom (asterisk, Scheme 1) the favoured paths to the transition states are represented



Sir Jack E. Baldwin

*I now adumbrate a set of simple rules  
 which  
 I have found useful  
 in predicting the relative facility  
 of different ring closures*

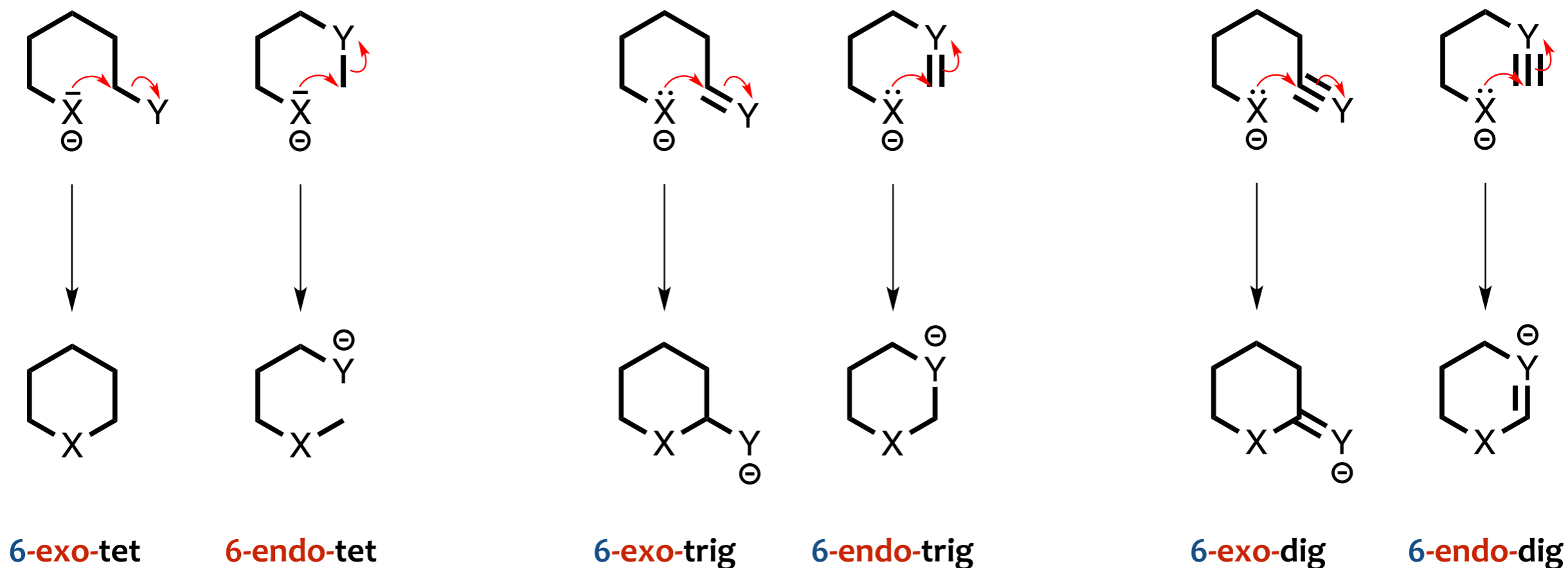
**More than 1300 cites**

JCS Chem. Commun. 1976, 734

# Ring Forming Processes

A nucleophilic ring-forming process can be described with the prefix **Exo** when the breaking bond is exocyclic to the smallest so formed ring and **Endo** correspondingly.

A numerical prefix is used to describe the ring size and a suffix indicate the hybridization of the electrophilic carbon atom undergoing the ring-closure reaction (tetrahedral or  $sp^3$ : **Tet**, trigonal or  $sp^2$ : **Trig**, and digonal or  $sp$ : **Dig**)



*These rules also apply to homolytic and cationic processes*

Baldwin, J. E. *JCS Chem. Commun.* **1976**, 734, 736; *JOC* **1977**, 42, 3846.

Johnson, C. D. *ACR* **1993**, 26, 476

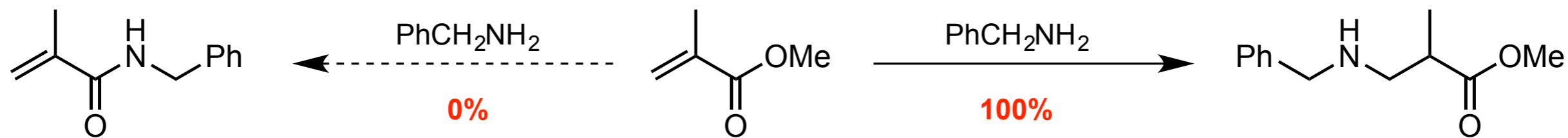
# Baldwin Rules

		<b>Exo</b>			<b>Endo</b>		
	<i>easy</i>						
	<i>difficult</i>	Tet	Trig	Dig	Tet	Trig	Dig
3							
4							
5							
6							
7							

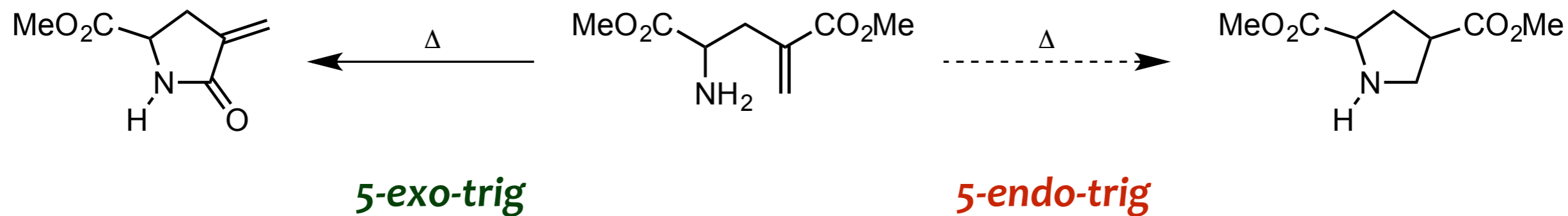
Baldwin, J. E. *JCS Chem. Commun.* **1976**, 734, 736; *JOC* **1977**, 42, 3846.

Johnson, C. D. *ACR* **1993**, 26, 476

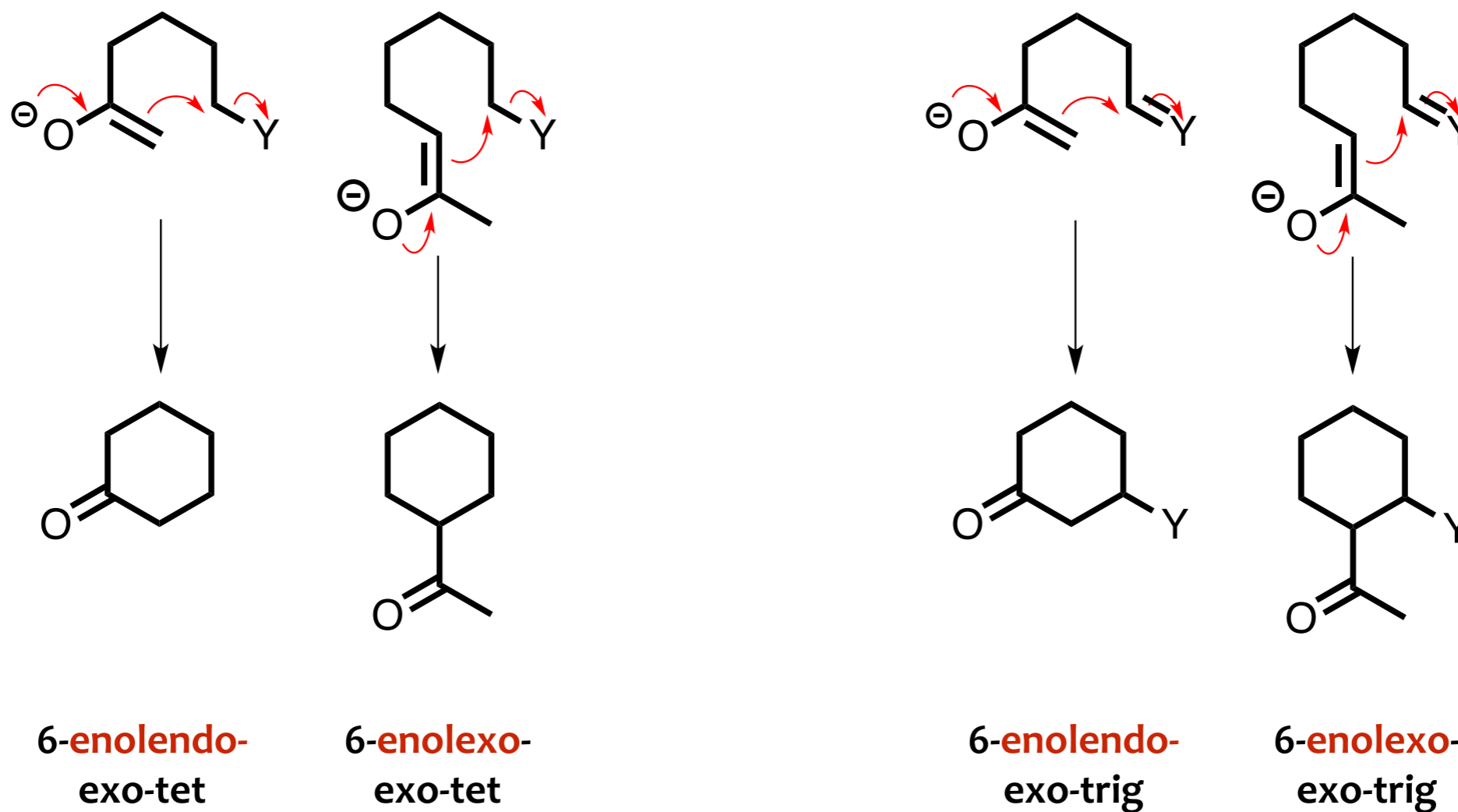
# Baldwin Rules



**However**



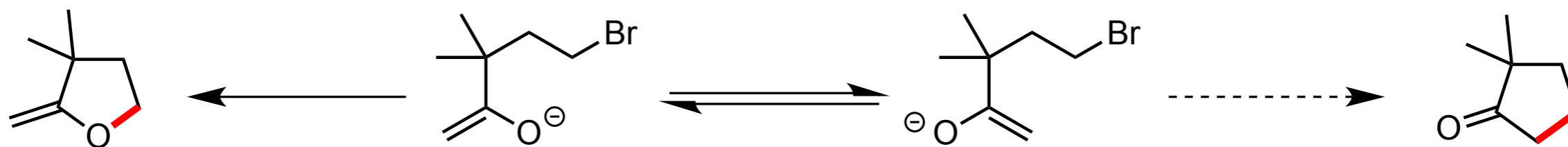
For cyclization involving a trigonal carbon, a bit more complicated analysis has been proposed



Such a model can be applicable to **enamines** and other allylic and heteroallylic systems, as well as electrophilic cyclization where a cationic center is stabilized by the overlap with an adjacent lone pair, for example, oxycarbenium or iminium ions.

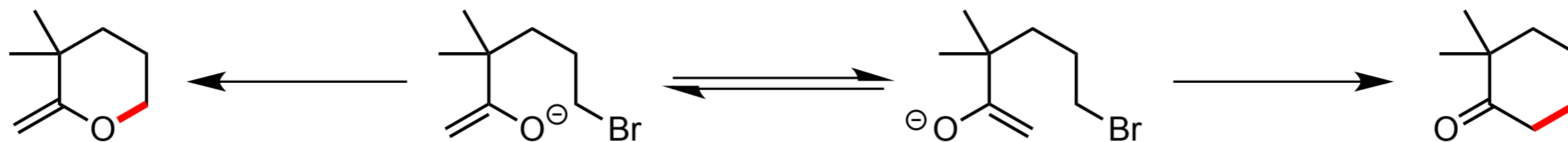
*easy**difficult***Enolendo-Exo****Enolexo-Exo**

	Tet	Trig	Tet	Trig
3	✗	✗	✓	✓
4	✗	✗	✓	✓
5	✗	✗	✓	✓
6	✓	✓	✓	✓
7	✓	✓	✓	✓



**5-exo-tet**

**5-enolendo-exo-tet**



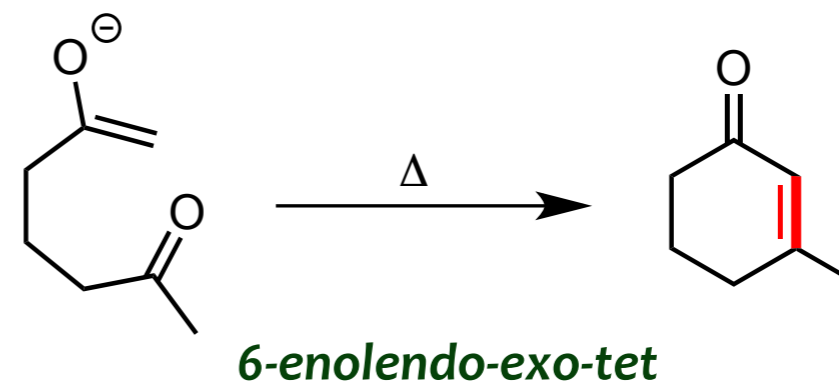
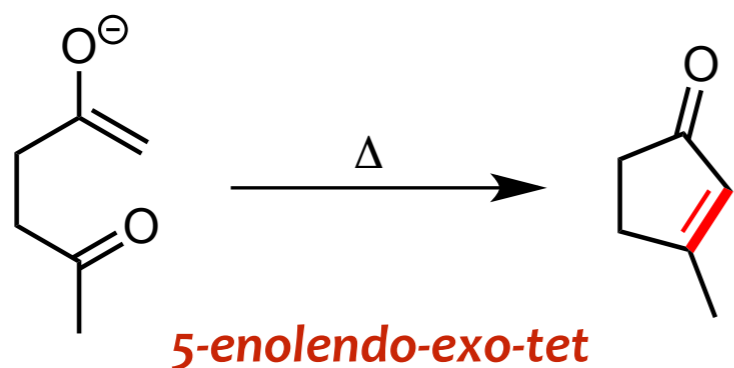
**6-exo-tet**

**6-enolendo-exo-tet**

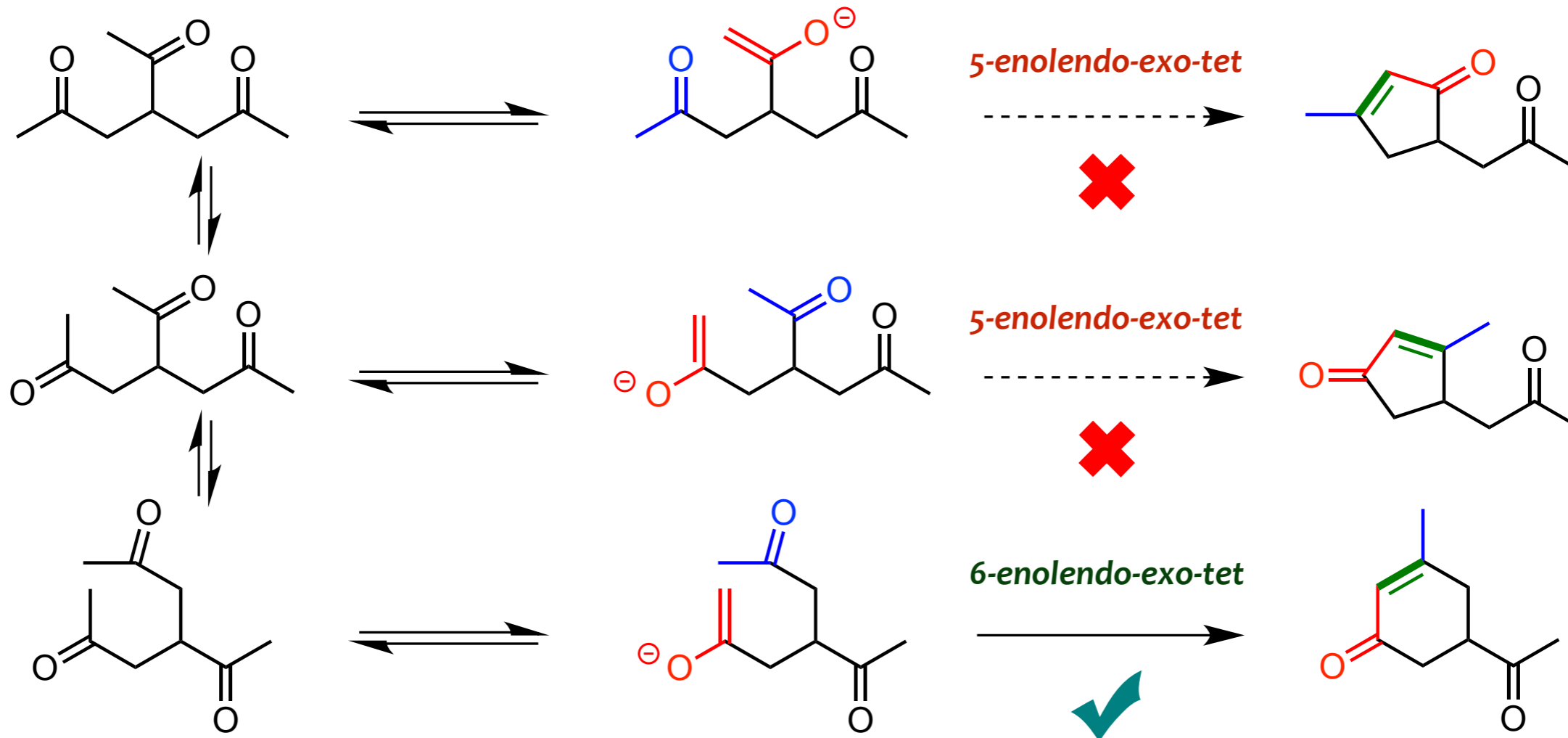




# Baldwin Rules



...but



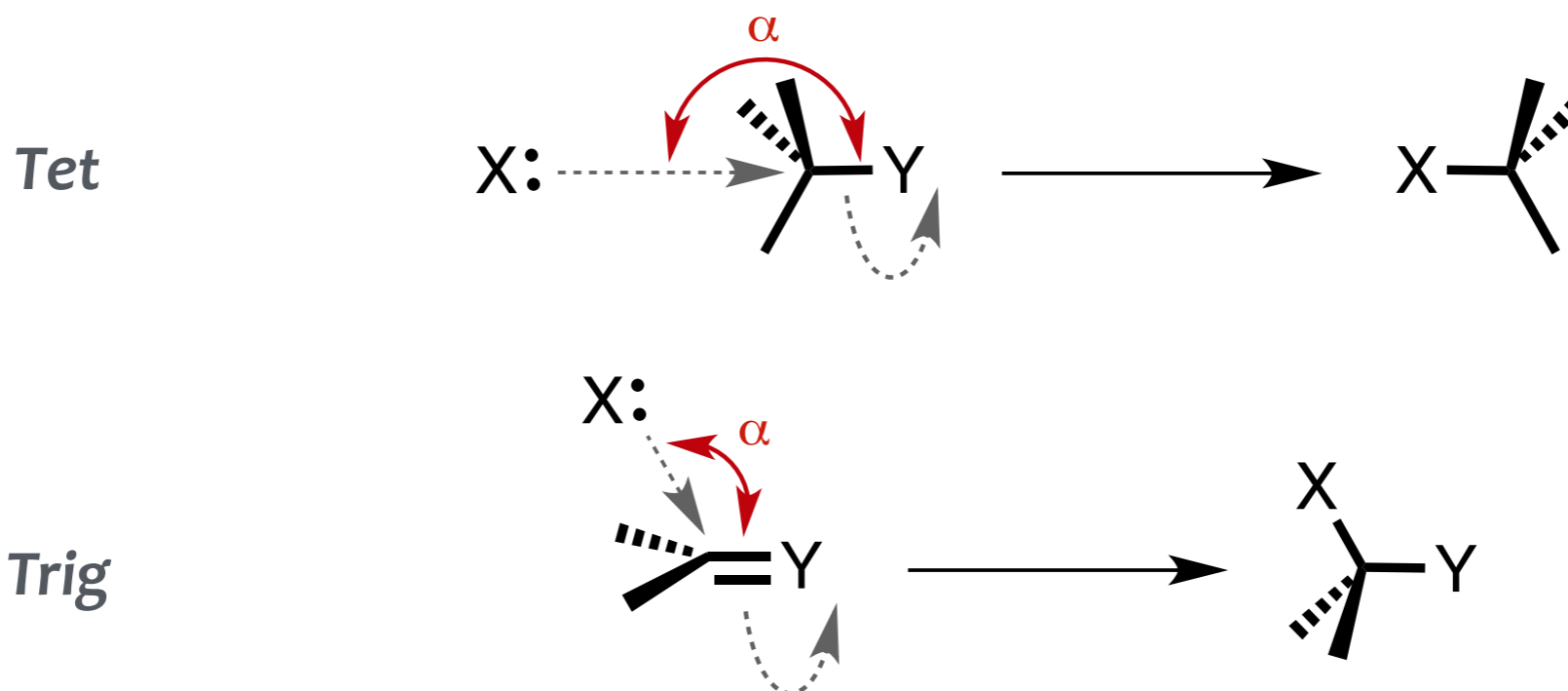
Caution: the ring forming is not the RDS

the synthesis of the six-membered ring is favored

The **favored** ring-closing reactions are those in which the length and the nature of the linking chain enable the terminal atoms to achieve the **proper geometry** for the reactions.

The **disfavored** ring closing processes require distortions of bond angles rendering these reactions pathways higher in energy.

The physical bases of the rules lie in the stereochemical requirements of the transition states for the various tetrahedral, trigonal, and digonal ring closure processes. In each case, the subtended angle is maintained during the reaction pathway

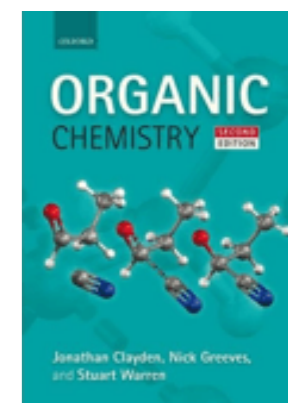


*These ideas are rooted on stereoelectronic grounds ...*

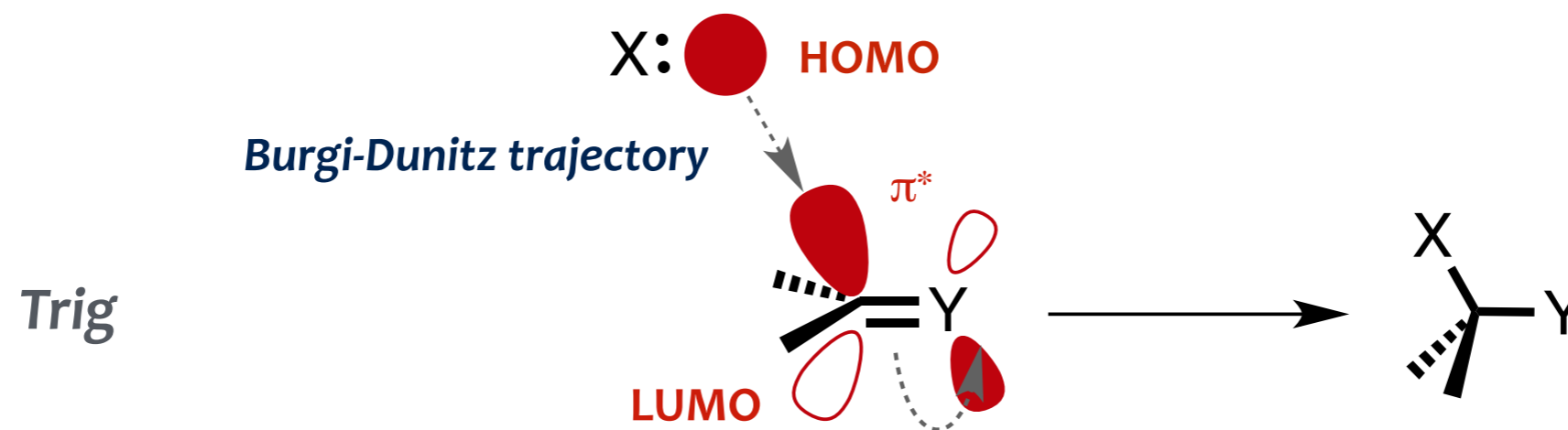
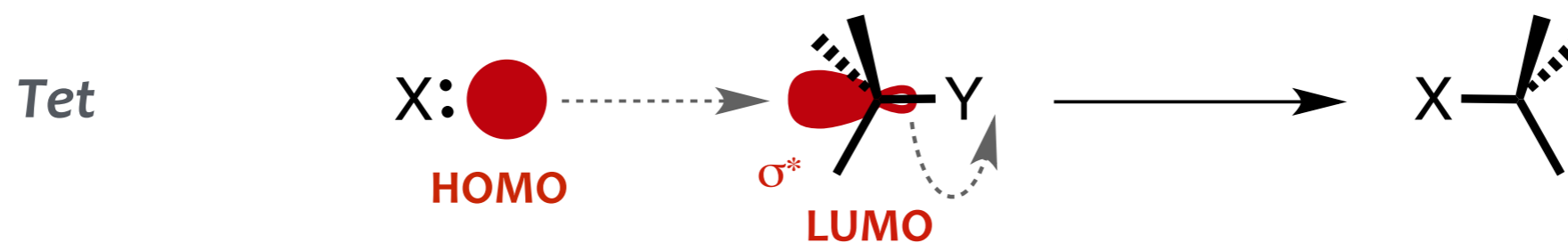


● **For a reaction to take place, molecules must:**

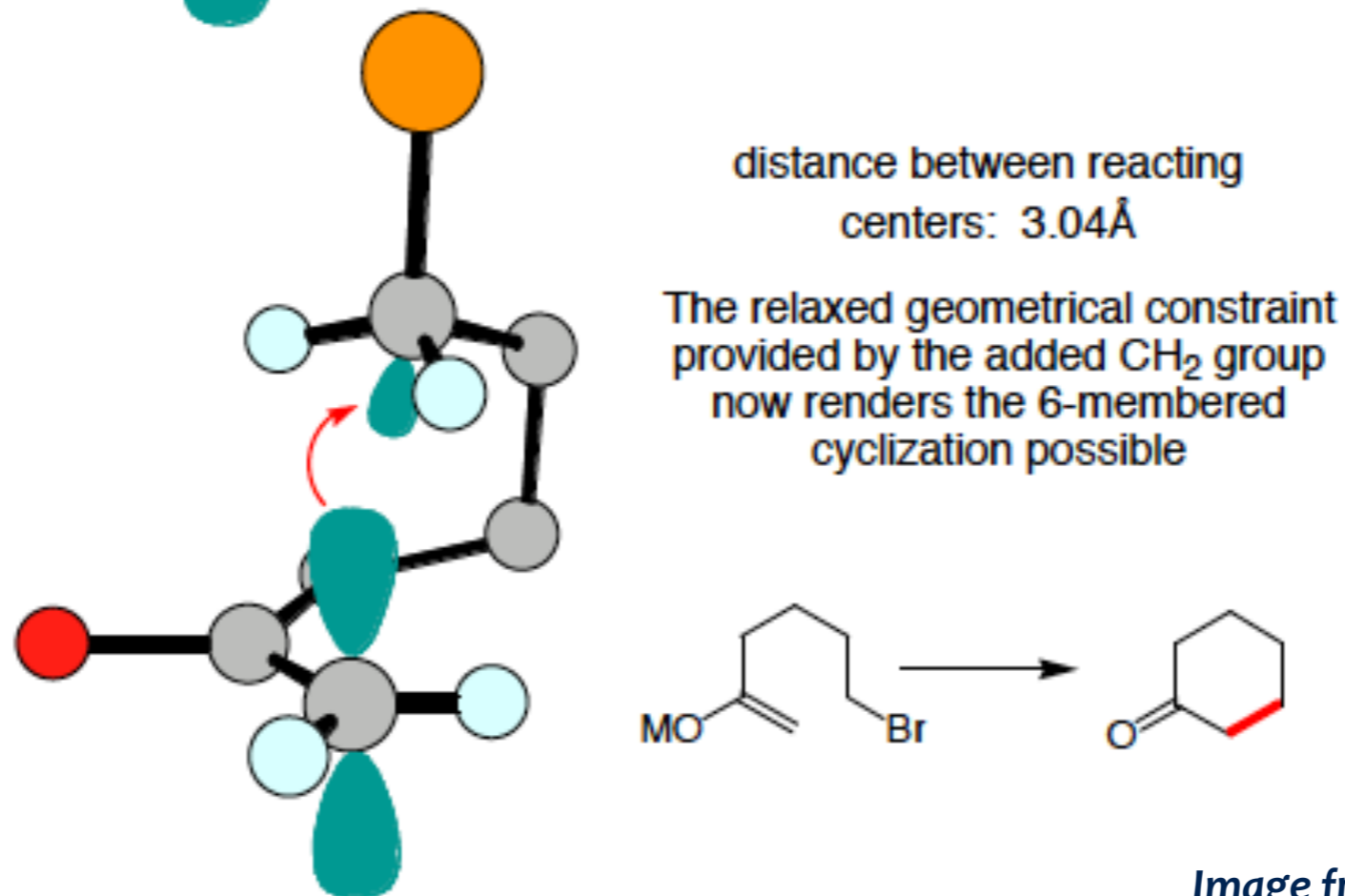
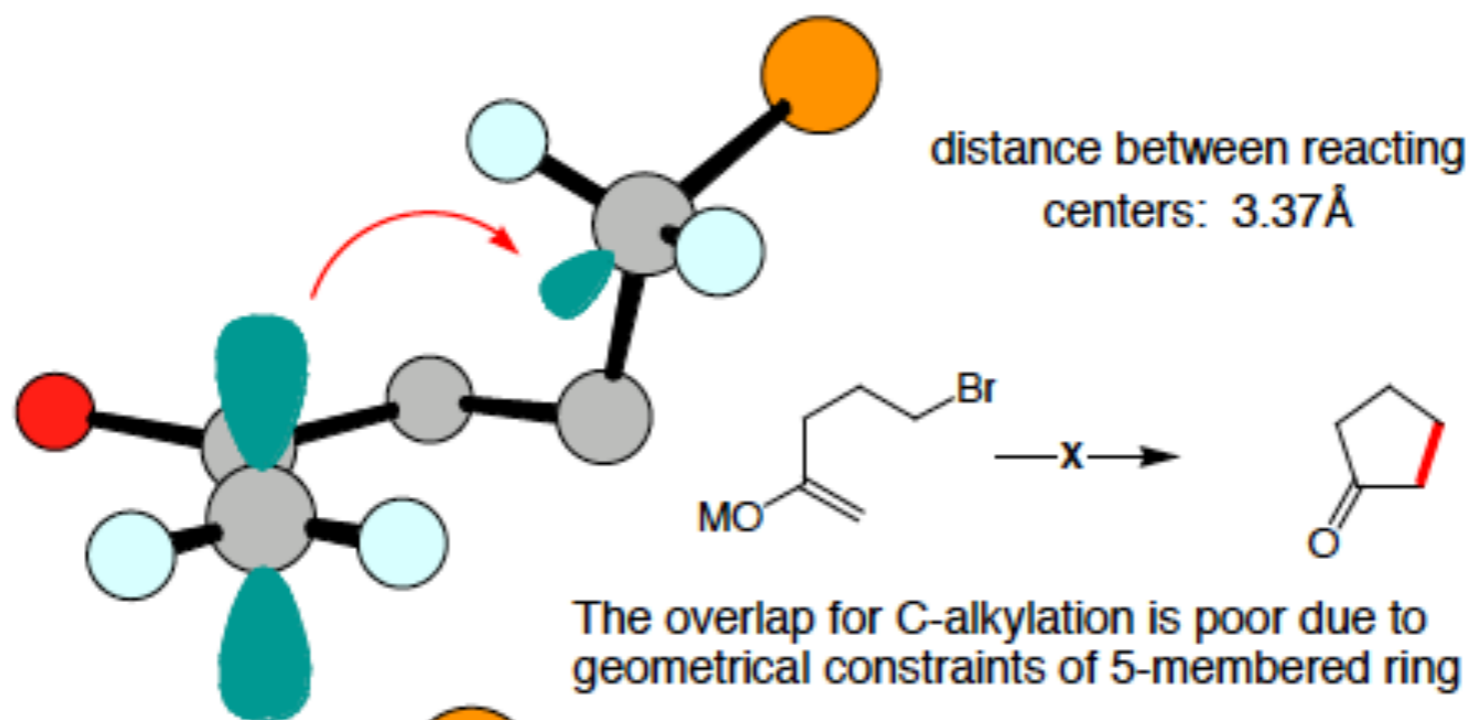
- *overcome their electronic repulsion by charge attraction and/or orbital overlap*
- *have orbitals of appropriate energy to interact: a filled orbital on the nucleophile and an empty orbital on the electrophile*
- *approach each other such that these orbitals can overlap to form a bonding interaction*



For instance, they are associated with the  $S_N2$  and the ionic additions to carbonyls



... or the alkylation of enolates ...



---

PROS | CONS

*Simple*

*First line of analysis*

*Useful for the study of mechanisms*

*Synthetic language*



*Some caveats and “violations”  
should be considered  
to avoid wrong predictions*

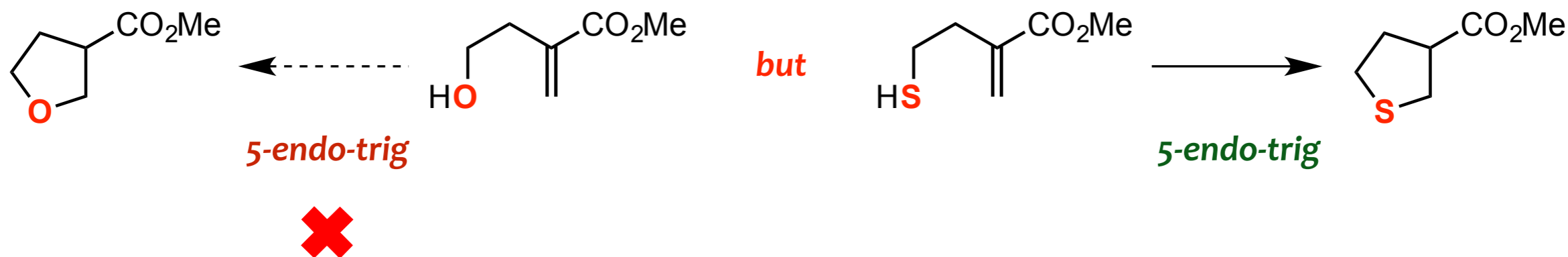
*Don't forget that Baldwin rules only predict the relative facility of different ring closures*

● **Caveats to be considered:**

1. Do not apply to equilibrium processes: the RDS should be the ring forming step

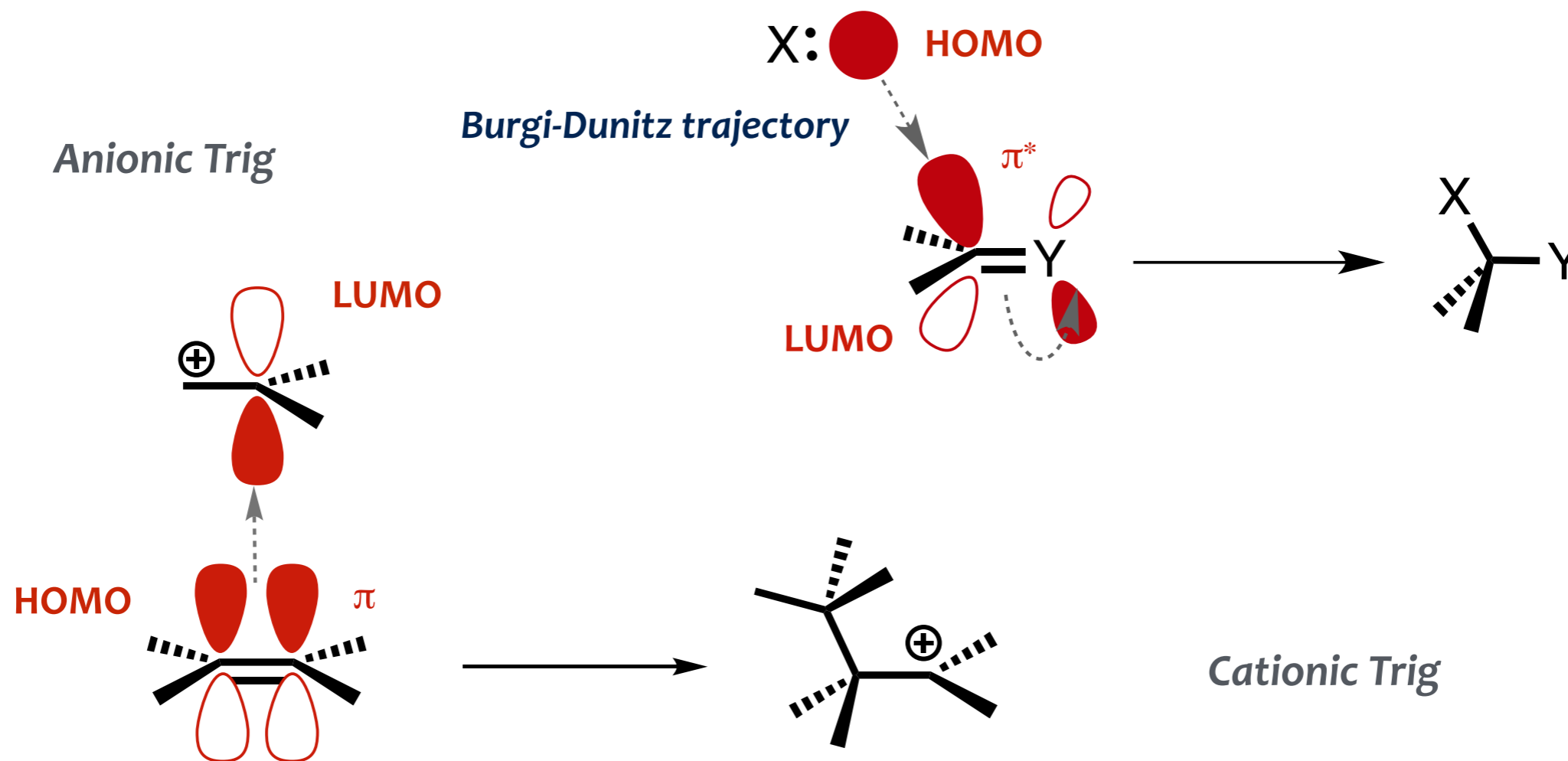
2. Pericyclic reactions are not affected

3. Atoms involved must be in the first row: C, N, O. **Sulfur and hydrogen, for instance, NO**



● **Caveats to be considered:**

4. *Anionic, radical, and cationic processes are not ruled by the same stereoelectronic patterns*



*Attack trajectories for electrophilic reagents are quite different from the nucleophilic trajectories*

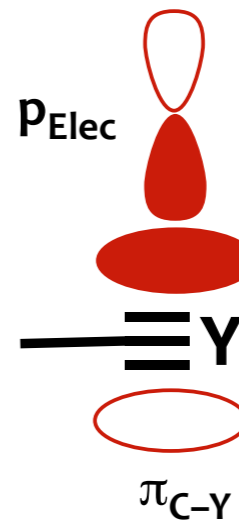
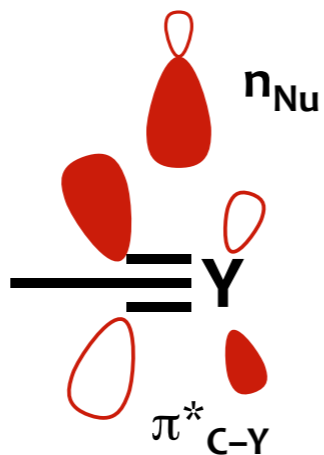
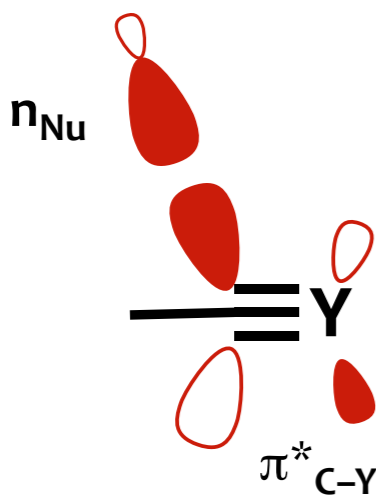
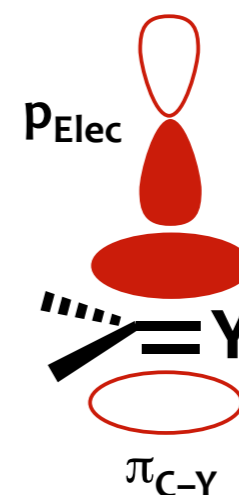
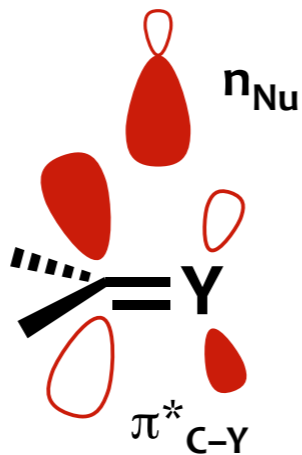
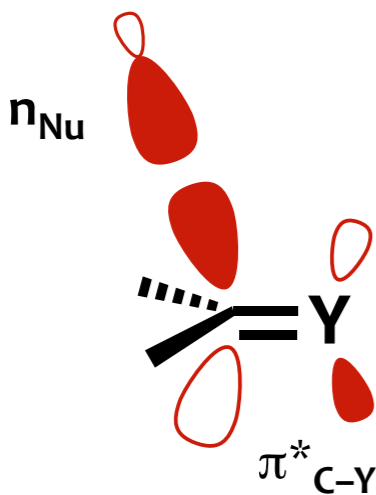
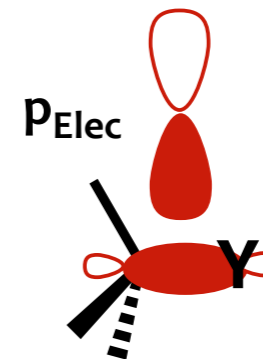
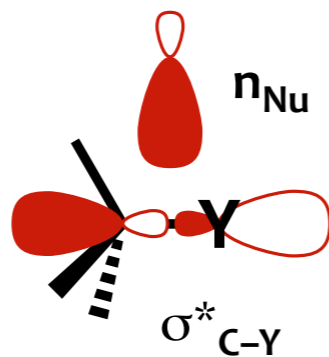
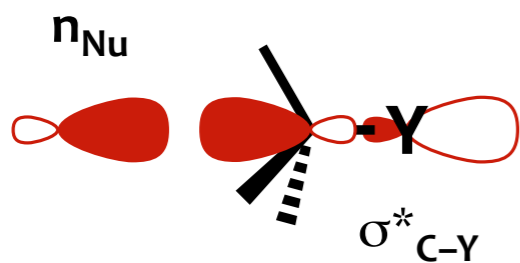


## Nucleophilic

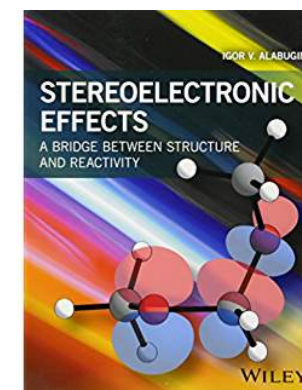
Target: LUMO

## Electrophilic

Target: HOMO



Alabugin, I. V.



 **Caveats to be considered:****4. Anionic, radical, and cationic processes are not ruled by the same stereoelectronic patterns**

Some trends in radical cyclization were summarized by Beckwith as follows:

1. *Intramolecular additions under kinetic control in lower alkenyl and alkynyl radicals occur preferentially in the exo mode*
2. *Substituents on an olefinic bond disfavor radical addition to the substituted position*
3. *Homolytic cleavage is favored when the bond concerned lies close to the plane of an adjacent semi-occupied orbital, or an adjacent filled non-bonding orbital, or  $\pi$ -orbital*

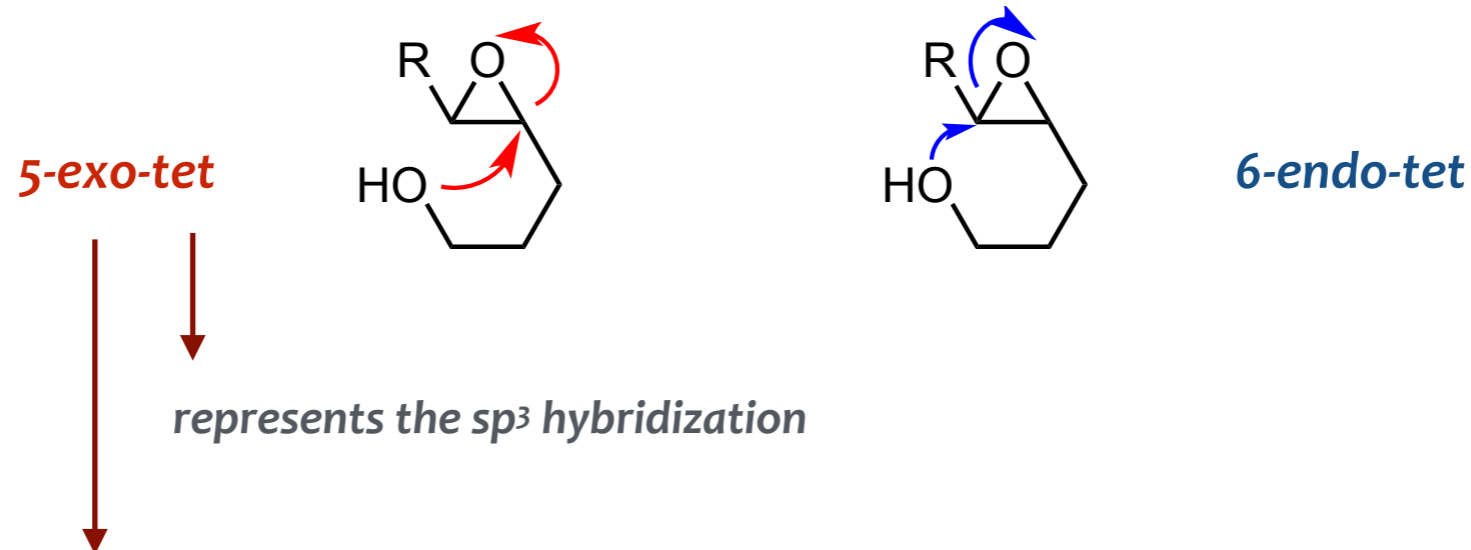
Beckwith, A. L. J. *JCS Chem. Commun.* **1980**, 482. See also Alabugin, I. V. *JACS* **2011**, 133, 12608

***Cationic and radical 5-endo cyclization are often observed***

For recent examples, see Smith, M. D. *Nature Chemistry* **2015**, 7, 171; Bonjoch, J. *OL* **2017**, 19, 878

● **Caveats to be considered:**

5. *Intramolecular epoxide openings tend to follow rules that lie between those for ten and trig*



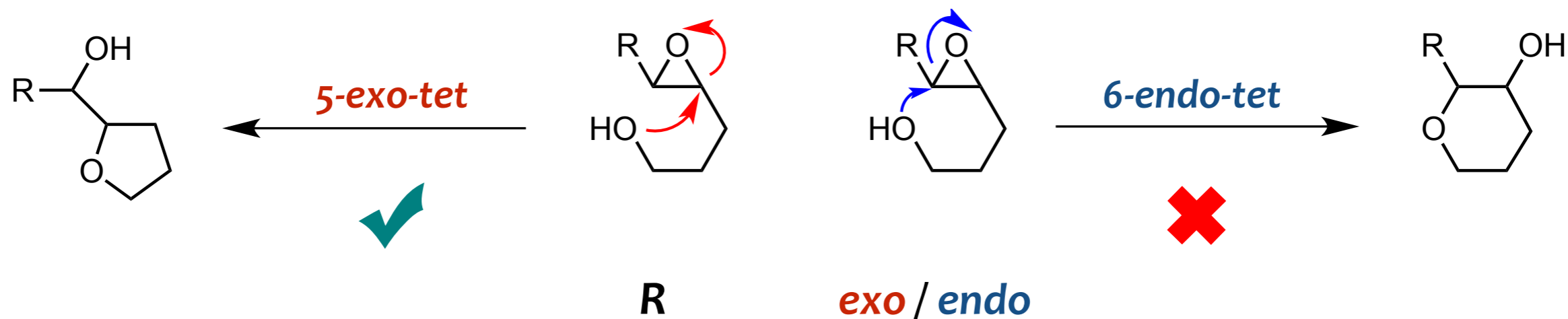
represents the  $sp^3$  hybridization

adopts a double bond notation :

from a strict point –a tet cyclization– of view, both approaches should be exo

Jamison, T. F.  
Mar. Drugs **2010**, *8*, 763

Normally, exo processes are favored



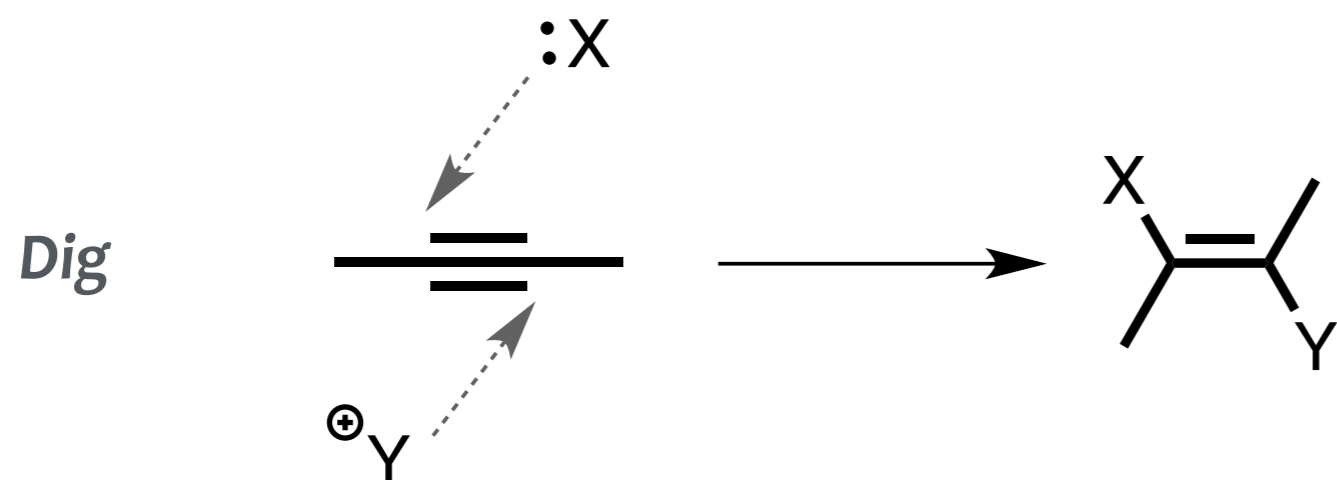
	<b>100 / 0</b>
	<b>40 / 60</b>
	<b>0 / 100</b>

Nicolaou, K. C.  
*JACS* **1989**, 111, 5330

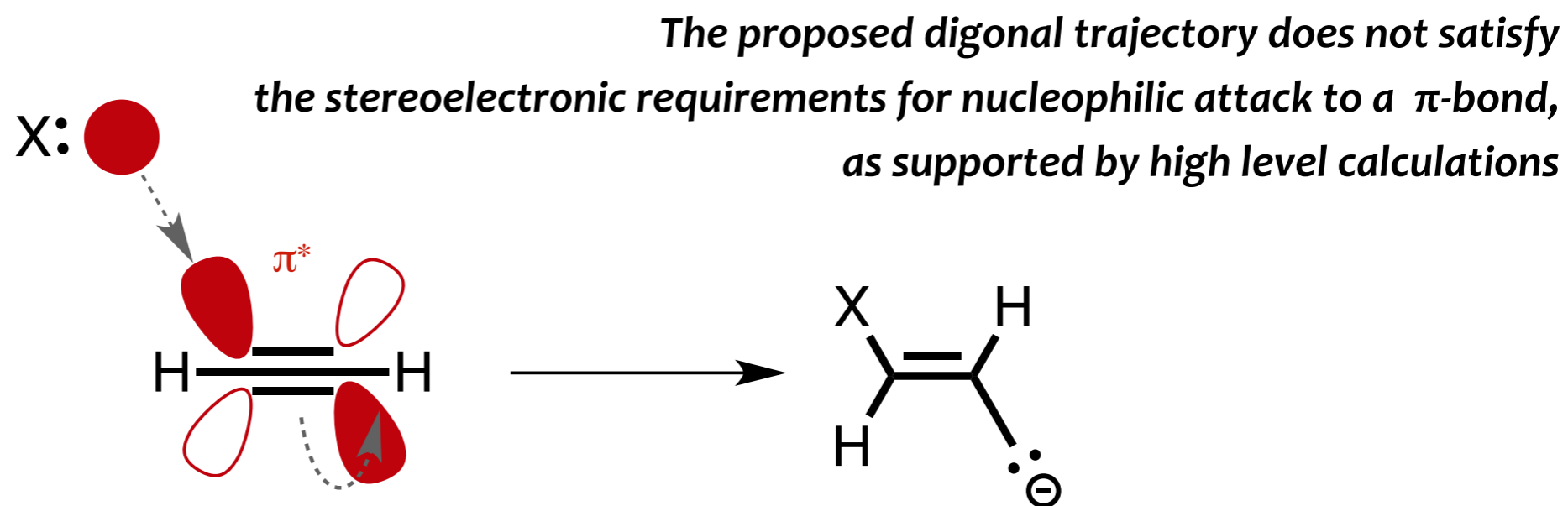
An anti-Baldwin pathway may become competitive when  
 it is possible to lower the  $\Delta\Delta G^\ddagger$

● **Caveats to be considered:**

6. Alkynes require a new set of rules



*The original Baldwin's proposal  
is not supported by  
theoretical calculations*



	Exo			Endo		
	Tet	Trig	Dig	Tet	Trig	Dig
3	✓	✓	≈	✗	✗	✗
4	✓	✓	≈	✗	✗	✗
5	✓	✓	✓	✗	✗	≈
6	✓	✓	✓	✗	✓	✓
7	✓	✓	✓	✗	✓	✓



*easy*



*borderline*



*difficult*