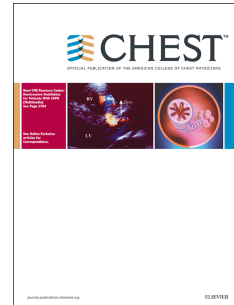


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Community-Acquired Pneumococcal Pneumonia in Virologically Suppressed HIV-Infected Adult Patients: A Matched Case-Control Study

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1 Community-Acquired Pneumococcal Pneumonia in Virologically**2 Suppressed HIV-Infected Adult Patients: A Matched Case-Control Study**

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25 **Abbreviations list**

26 Community-acquired pneumonia (CAP)

27 Human Immunodeficiency Virus (HIV)

28 Antiretroviral therapy (ART)

29 White blood cell (WBC) count

30 C-reactive protein (C-RP)

31 Acute respiratory distress syndrome (ARDS)

32 Tracheobronchial aspirates (TBAS)

33 Bronchoalveolar lavage (BAL)

34 Interquartile range (IQR)

35 Standard deviation (SD)

36 Mean (SD)

37 Confidence interval (CI)

38 Receiver operating characteristic (ROC)

39 Minimal inhibitory concentration (MIC)

40 Hepatitis C virus (HCV)

41 Lactate deshydrogensase (LDH)

42 Pneumonia Severe Index (PSI)

43 Consciousness, Urea, Respiratory rate, Blood pressure, 65 years old (CURB-65)

44 Chronic obstructive pulmonary disease (COPD)

45 Intensive Care Unit (ICU)

46 Length of stay (LOS)

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53 **ABSTRACT**

54 **Background:** The study aimed to investigate whether the clinical presentations and
55 outcomes (length-of-stay (LOS), intensive care unit (ICU) admission and 30-day
56 mortality) of pneumococcal pneumonia in virologically suppressed HIV-infected
57 patients on ART with a CD4+ T cell count >350 cells/mm³ are comparable to those seen
58 in non-HIV-infected patients, using a case-control design.

59 **Methods:** A case-control study was carried out in Hospital Clinic, Barcelona, Spain
60 (2001-2016). Controls were matched by age (± 10 years), gender, co-morbidities and
61 pneumonia diagnosis in the same calendar period. Clinical presentation and outcomes
62 of pneumococcal pneumonia in HIV-infected patients and non-HIV-infected patients
63 were compared.

64 **Results:** Pneumococcal pneumonia was studied in 50 cases (HIV-infection) and 100
65 controls (non-HIV-infection). Compared with the control patients, case patients had
66 higher rates of influenza (14% vs. 2%, $p=0.007$) and pneumococcal vaccination (10% vs.
67 1%, $p=0.016$). The group of cases also presented a higher rate of co-infection with HBV
68 (6% vs. 0%, $p=0.036$). Both groups presented similar ICU admission (18% vs. 27%,
69 $p=0.22$), need for mechanical ventilation (12% vs. 8%; $p=0.43$), length of stay (7 days
70 vs. 7 days, $p<0.76$) and 0% of 30-day mortality. No evidence was found of a more
71 severe presentation or a worse clinical outcome in cases than in controls.

72 **Conclusions:** Pneumococcal pneumonia episodes requiring hospitalization in
73 virologically suppressed HIV-infected patients with >350 CD4+ T cell count/mm³ were
74 neither more severe nor had worse prognosis compared with uninfected patients.
75 These results support the fact that such patients do not need treatment, admission or
76 care sites different to the general population.

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88 Introduction

89 Community-acquired pneumonia (CAP) is still an important complication in HIV-
90 infected patients, even in the era of combined antiretroviral therapy (ART)[1]. HIV-
91 infected patients are generally known to be more susceptible to pneumococcal
92 infections with a higher rate of bacteremia than non-HIV-infected patients[2]. Several
93 studies have examined microbial etiology and prognostic factors of CAP in HIV-infected
94 patients[3-6]. Despite the high rate of bacterial pneumonia in HIV-infected patients,
95 mortality rates are not higher than in non-HIV-infected patients.

96 The data on clinical presentation and outcomes of pneumococcal CAP in HIV-infected
97 patients are limited and conflicting, because these variables in HIV-infected patients
98 are difficult to compare with those of non-HIV-infected patients, as bacterial
99 pneumonia in non-HIV-infected patients often occurs in older subjects than in the HIV
100 population [7]. It is known that the incidence of bacterial pneumonia is related to
101 immunological status in HIV-infected patients; despite the introduction of ART,
102 however, the risk of invasive pneumococcal disease remains 35 times greater in HIV-
103 infected patients than in similarly aged non-HIV-infected adults[8]. Nevertheless, there
104 is no data on whether clinical presentation and outcomes of pneumococcal CAP in HIV-
105 infected patients with effective ART are similar to those of non-HIV-infected patients.
106 The hypothesis was that both clinical presentation and outcomes are similar. The aim
107 of this study, therefore, was to investigate whether the clinical presentations and
108 outcomes (LOS, ICU admission and 30-day mortality) of pneumococcal pneumonia in
109 virologically suppressed HIV-infected patients on ART with a CD4+ T cell count
110 >350 cells/mm³ are comparable to those seen in non-HIV-infected patients, using a
111 case-control design.

112 **Patients and Methods**

113 **Study Design and Patients**

114 A case-control study was carried out in Hospital Clinic, Barcelona, Spain. All
115 consecutive cases of adult patients with CAP diagnosed between January 2001 and
116 January 2016 were included. CAP was defined as the presence of a new infiltrate on
117 chest x-ray, together with clinical signs and symptoms suggestive of lower respiratory
118 tract infection. Mycobacterial and fungal infections (other than *Pneumocystis jiroveci*)
119 were also recorded but not included in the analysis. The diagnosis of pneumococcal
120 CAP was performed according to the following criteria. Definite diagnosis: positive
121 blood culture or positive pleural fluid culture or positive urinary antigen test for
122 pneumococcus; and presumptive diagnosis: positive culture of respiratory samples
123 (sputum, bronchoalveolar aspirate and bronchoalveolar lavage) in patients with
124 pulmonary infiltrates.

125 **Case Patients, Control Subjects and Matched Criteria**

126 Case patients were identified from our database of CAP patients. Case patients were
127 defined as HIV-infected adults (age, ≥ 18 years and ≤ 50 years) with a diagnosis of
128 pneumococcal pneumonia between 2001 and 2016, with a CD4 lymphocyte count of
129 ≥ 350 cells/mm³ and undetectable levels of HIV-RNA (copies/mL) on ART.

130 Two control cases of pneumococcal pneumonia patients without HIV-infection were
131 selected for each case patient and matched for the following variables: age (age of the
132 case patients at the time of pneumococcal CAP ± 10 years), sex, co-morbidities (chronic
133 respiratory disease, diabetes mellitus, neurological disease, chronic cardiovascular
134 disease, chronic liver disease, chronic renal disease) and CAP diagnosis in the same
135 calendar period.

136 This study was approved by the local Institutional Review Board (Register #2009/5451).
137 Patients remained anonymous and informed consent was waived due to the
138 observational nature of the study.

139 **Study Variables**

140 After case patients and control subjects were identified, the following data were
141 collected at the time of hospital admission: age, gender, HIV infection, AIDS-defining
142 criteria, CD4+ cell count, plasma HIV viral load within the previous three months of
143 admission, previous or current intravenous drug use, current smoking habits (>10
144 pack-years), alcohol habits (ingestion of an estimated amount of >80 g alcohol per day
145 for at least one year before presentation), co-morbidities (chronic respiratory disease,
146 diabetes mellitus, neurological disease, chronic cardiovascular disease, chronic liver
147 disease, chronic renal disease), antimicrobial treatment prior to hospital admission,
148 ART, duration of symptoms before the diagnosis of pneumonia, physical examination
149 clinical signs and symptoms (fever, cough, pleuritic pain, dyspnea, mental confusion,
150 and aspiration, blood pressure, body temperature, respiratory rate, and heart rate),
151 chest X-ray pattern (number of lobes affected, pleural effusion, and atelectasis), blood
152 analysis (hemoglobin level, white blood cell (WBC) count, platelet count, serum
153 creatinine levels, C-reactive protein (C-RP) levels, and other biochemical parameters),
154 pulmonary complications (empyema, acute respiratory distress syndrome (ARDS)
155 criteria, pleural effusion, surgical pleural draining), clinical events (cardiac arrhythmia,
156 septic shock, acute renal failure) and antimicrobial treatment at admission. Pneumonia
157 severity index (PSI) and CURB-65[9] scores were determined in all patients[10]. All
158 surviving patients were visited or contacted by telephone within 30 days after
159 discharge.

160 **Microbiological Evaluation**

161 Microbiological investigation was performed on sputum, urine, two blood samples and
162 nasopharyngeal swabs (see Supplementary Material). Pleural puncture,
163 tracheobronchial aspirates (TBAS) and bronchoalveolar lavage (BAL) fluid, where
164 available, were collected for Gram, Ziehl-Nielsen, May-Grünwald Giemsa and Gomori
165 methenamine silver stains and for cultures for bacterial, fungal and mycobacterial
166 pathogens.

167 Sputum and blood samples were obtained for bacterial culture before the start of
168 antibiotic therapy in the emergency department. Nasopharyngeal swabs for
169 respiratory virus detection and urine samples for *Streptococcus pneumoniae* and
170 *Legionella pneumophila* antigen detection were obtained within 24 hours of admission
171 to hospital. Blood samples for serology of atypical pathogens and respiratory virus
172 were performed at admission and at 4-6 weeks thereafter (see Supplementary
173 Material).

174 HIV-1 viral load was determined by Versant HIV-1 RNA 1.0 kPCR Siemens Diagnostics
175 (Lower Limit of Quantification: 37 copies/mL; Upper Limit of Quantification:
176 11,000,000 copies/mL) (Lower limit of quantification in the period 2007-09
177 <50 copies/mL and in the period 2010-12 lower limit <37 copies/mL).

178 **Statistical Analysis**

179 A total sample size of 150 patients (50 patients in the case group and 100 patients in
180 the control group, according to 1:2 allocation ratio) was estimated to provide at least a
181 80% power and a two-sided alpha value of 0.05 to detect as statistically significant a
182 difference of 25% in the percentage of patients admitted to ICU between groups (39%
183 cases vs. 14% controls[11]. Data are shown as number of patients (%) for categorical

184 variables and either median (interquartile range [IQR]) for continuous variables with
185 non-normal distribution or mean (standard deviation [SD]) for those with normal
186 distribution. Categorical variables were compared using the X^2 test or the Fisher exact
187 test. Continuous variables were compared using the t test or the nonparametric Mann-
188 Whitney test. Unconditional logistic regression analyses[12] were used to examine the
189 associations between outcomes (ICU admission and prolonged length of hospital stay [
190 LOS >7 days; cut-off value the median value of LOS]) and risk factors (see the full list of
191 variables in the Supplemental Material). First, each risk factor was tested individually,
192 second, all risk factors which showed an association in the univariate model ($p < 0.10$)
193 were added into the multivariate model, and finally, a backward stepwise selection
194 ($p_{in} < 0.05$, $p_{out} < 0.10$) was used to determine factors associated with ICU admission and
195 prolonged LOS, with adjustment for one predefined covariate (i.e., the case-control).
196 The odds ratio (OR) and 95% confidence interval (CI) were calculated. The Hosmer-
197 Lemeshow goodness-of-fit test was performed to assess the overall fit of the
198 models[13]. Internal validation of the prediction models was conducted using ordinary
199 nonparametric bootstrapping with 1,000 bootstrap samples and bias-corrected,
200 accelerated 95% CIs[14]. Receiver operating characteristic (ROC) curves were
201 constructed for the ability to predict ICU admission and prolonged LOS, using variables
202 derived from the multivariate logistic regression models. Simple imputations of
203 random effects were used, where necessary, for variables with missing values. The
204 level of significance was set at 0.05 (2-tailed). All analyses were performed using IBM
205 SPSS Statistics version 22.0 (Armonk, New York, USA).

206 **Results**

207 **General Patient Characteristics**

208 During the study period (January 2001 to January 2016), a total of 2,300 consecutive
209 patients with CAP were admitted to our hospital. Of them, 525 (23%) were HIV-
210 infected patients. Matching was successful in 50 cases and 100 control subjects with
211 CAP caused by *Streptococcus pneumoniae*.

212 **Cases Characteristics**

213 Of the 50 cases included, 35 (70%) were males, with a mean (SD) age of 46.5 (12.2)
214 years. All cases were on ART, with the three most frequent ART regimens being: two
215 nucleoside analogue reverse transcriptase inhibitors (NRTI) + one integrase strand
216 transfer inhibitor (INSTI) (18 cases [38%]), 2 NRTI + 1 protease inhibitor (PI) (16 cases
217 [33%]), and 2 NRTI + 1 non-nucleoside reverse transcriptase inhibitors (NNRTI) (13
218 cases [27%]). The median CD4+ cell count was 517.5 (403; 700) /mm³ and the
219 proportion of patients with undetectable viral load was 100%. Nadir CD4+ cell count
220 <200 cells/ μ l was 19 (39%). AIDS-defining illness was present in 23 (47%) of cases. The
221 majority of patients (76%) were classified as low risk class (PSI risk class I-III).
222 Seventeen patients (34%) presented co-infection with HCV, HBV or both viruses. The
223 most frequent complications were multilobar pneumonia (16 patients [32%]) and
224 bacteremia (24 patients [51%]). Nine patients (18%) were admitted to the ICU and six
225 of these (12%) required mechanical ventilation. The median (IQR) LOS was 7.0 (5.0;
226 11.0) days. The overall 30-day mortality was 0%.

227 **Comparison of Characteristics of Pneumonia Cases and Controls**

228 Baseline characteristics comparing cases and controls appear in Table 1. Cases and
229 controls did not differ significantly in terms of baseline characteristics. Compared with

230 the control cases, the case patients had higher rates of influenza and pneumococcal
231 vaccination. The group of cases also presented a higher rate of co-infection with HBV.
232 Also, the co-infection with HCV seemed to be higher in the case group than the control
233 group (Table 1) but no statistically significant difference was found ($p=0.059$). The
234 control group more frequently presented pleural pain as a symptom and pleural
235 effusion as a complication when compared with case patients.

236 **Microbiological Findings, Antimicrobial Resistance and Pneumococcal Serotypes**

237 With regard to microbiological diagnosis, 46 patients (92%) in the case group had a
238 definitive diagnosis of pneumococcal pneumonia and 4 patients (8%) were diagnosed
239 with presumptive diagnosis. In the control group, 90 patients (90%) had a definitive
240 diagnosis of pneumococcal pneumonia and 10 patients (10%) were diagnosed with
241 presumptive diagnosis. The percentages of patients with positive microbiological test
242 results are shown in Table 2.

243 We did not observe any association between pneumococcal vaccination and the
244 incidence of bacteremia in either HIV (23 [55%] vs. 1 [20%]; $p=0.19$) or non-HIV (37
245 [43%] vs. 1 [100%]; $p=0.43$) patients.

246 Minimal inhibitory concentration (MIC) testing was performed in 82 out of 121 of the
247 *S. pneumoniae* isolates (68%). A total of 11 pneumococcal isolates showed some
248 degree of penicillin non-sensitivity. In addition, 13 pneumococcal isolates were non-
249 susceptible to erythromycin and there was a significant difference in regard to the
250 erythromycin resistance between groups (9 cases [31%] vs. 4 controls [8%]; $p=0.005$).

251 Thirty-four out of 69 invasive isolates (49%) were available for serotyping. The most
252 frequent serotypes in the whole population were 1 ($n=10$, 29%), 7F ($n=7$, 21%), 9N
253 ($n=3$, 9%), 3 ($n=3$, 9%), 19A ($n=2$, 6%), 14 ($n=2$, 6%), 13 ($n=2$, 6%), and 4 ($n=4$, 6%), as

254 summarized in e-Table 1. Serotypes covered by the PCV13 (1, 3, 4, 5, 6A, 6B, 7F, 9V, 14,
255 18C, 19A, 19F, and 23F) vaccine represented 79% of all serotypes, and 21% were not
256 included in this vaccine. No significant differences were observed in the distribution of
257 serotypes covered by the PCV13 between cases and controls (7 cases [70%] vs. 20
258 controls [83%]; $p=0.38$). In 20 patients (83%) from the control group, however,
259 serotypes (1, 19A, 3, 14, and 7F) that are described in the literature as being associated
260 with complicated pneumococcal pneumonia were found[15]. Significant differences
261 were observed in the distribution of this group of serotypes between cases and
262 controls (4 cases [40%] vs. 20 controls [83%]; $p=0.034$) (e-Table 2). A further analysis
263 was performed comparing this group of serotypes (1, 19A, 3, 14 and 7F) in patients
264 with and without empyema; however, the numbers are smaller and differences were
265 not statistically significant (0 empyema cases in the case group vs. 7 cases in the
266 control group; $p=0.15$) (data not shown).

267 **Empirical Antibiotic Therapy**

268 The most frequent regimens were β -lactam plus macrolide (28 cases [56%] vs. 45
269 controls [45%]; $p=0.23$), β -lactam plus fluoroquinolones (10 cases [20%] vs. 19 controls
270 [19%]; $p>0.99$), fluoroquinolone monotherapy (5 cases [10%] vs. 21 controls [21%];
271 $p=0.093$), and β -lactam monotherapy (4 cases [8%] vs. 7 controls [7%]; $p>0.99$). All
272 regimens were administered similarly in case and control patients.

273 **Outcomes**

274 Rate of ICU admission was similar in case and control groups (18% vs. 27%; $p=0.22$).
275 Furthermore, the need for mechanical ventilation was similar for case and controls
276 (12% vs. 8%; $p=0.43$). The LOS was also similar between case and controls (7 [5; 11]

277 days vs. 7 [4; 161] days; $p=0.76$). None of the patients included in either group died
278 (Table 3).

279 **Prognostic Factors**

280 We performed univariate and multivariate analyses for ICU admission and prolonged
281 LOS. In the first multivariate logistic regression analysis, the following factors were
282 independently associated with ICU admission: PSI risk class IV-V, levels of LDH
283 <498 U/L and the presence of multilobar involvement (Table 4). The area under the
284 ROC curve was 0.81 (95% CI 0.72-0.89) for the model predictive of ICU admission.

285 In the second multivariate logistic regression analysis for prolonged LOS (>7 days), the
286 following factors were independently associated: chronic lung disease, LDH ≥ 498 U/L,
287 multilobar involvement, pleural effusion and invasive mechanical ventilation (Table 5).
288 The area under the ROC curve was 0.84 (95% CI 0.78 to 0.91) for the model predictive
289 of prolonged LOS (>7 days). HIV-infection was not associated with ICU admission or
290 increased LOS in the multivariate analysis, even after adjustments for case patient and
291 potential confounding factors.

292 Internal validation of the two logistic regression models was conducted using
293 bootstrapping with 1,000 samples. All the variables included in the models for ICU
294 admission and prolonged LOS demonstrated robust results, with small 95% CIs around
295 the original coefficients, except for invasive mechanical ventilation in the model for
296 prolonged LOS, which appeared to be less reliable with wider 95% CIs around the
297 original coefficients (e-Table 3 and e-Table 4).

298 **Discussion**

299 To the best of our knowledge, this is the first case-control study addressing the issue of
300 hospitalized pneumococcal CAP in virologically suppressed HIV-Infected patients with
301 >350 CD4+ T cells/mm³. The most important finding of this study is that hospitalized
302 HIV-infected patients with pneumococcal CAP did not have a more severe presentation
303 or worse clinical outcome than uninfected patients. Also, in multivariate analysis we
304 found no evidence that HIV-infection was a risk factor for ICU admission or longer LOS
305 (>7 days).

306 There is conflicting information regarding clinical presentation and outcomes of
307 hospitalized HIV-infected patients with CAP [4;16-18]. Some studies have shown that
308 mortality or LOS is unaffected by HIV infection whereas others have shown the
309 opposite. Feldman et al[19] reported significant differences in the clinical presentation
310 and outcome of bacteremic pneumococcal pneumonia when comparing HIV and non-
311 HIV patients. In this study, HIV-infected patients had more clinical symptoms,
312 especially among those with lower CD4 cell counts. In our study, case patients
313 presented with rates of bacteremia of nearly 50%. In a larger series from our group, on
314 HIV and CAP (331 patients), published in 2014, 100 patients had pneumococcal CAP
315 and 50% of them also had bacteremia[6]. Other series have reported rates of
316 bacteremia ranging from 23% to 75% [16;20;21]. In the same way, Mayaud et al[7]
317 reported differences in presentation of CAP between HIV-infected and uninfected
318 patients, high rates of bacteremia and pleural effusion are presented in HIV-infected
319 patients. Nevertheless, the study by Bordon et al[18] showed that clinical outcomes of
320 HIV-infected patients with CAP are not predicted by CD4+ T cell count or HIV-RNA
321 levels; they comment that management of CAP in patients with HIV infection should

322 not be based on CD4+ T cell count or HIV-RNA levels of the HIV infection. Also, a
323 secondary analysis of this data showed that the presence of HIV infection did not
324 influence the clinical outcomes of CAP[22]. According to this study, there was no
325 evidence that HIV-infected patients with pneumococcal pneumonia have a more
326 severe presentation or worse clinical outcomes than HIV-uninfected patients. Our
327 results are also in line with these findings. We found that clinical presentations and
328 outcomes are similar between virologically suppressed HIV-infected patients and
329 uninfected patients with pneumococcal pneumonia.

330 The patients in this study were young, with mainly low severity scores. The mortality
331 rate is not surprising and is low according to the PSI score, although this could be due
332 to the specific population analyzed. Differences were found in the presence of chest
333 pain and pleural effusion between case and controls. Controls presented higher
334 frequencies of chest pain and pleural fluid with statistical differences compared with
335 the case group. Also, we found that the serotypes (1, 19A, 3, 14 and 7F) described in
336 the literature as associated with complicated pneumococcal pneumonia[15] were
337 more frequently observed in the control group (40% vs. 83%; $p=0.034$). These results
338 explain the higher rate of chest pain, pleural effusion and the higher percentages of
339 empyema in the case group. Because the physician in charge of patients takes the
340 thoracentesis decision directly, we do not have a clear explanation as to the low rate
341 of thoracentesis in case patients. One possibility is the higher amount of radiographic
342 pleural fluid observed in cases compared to controls being probably due to the
343 concern of an alternative diagnosis in HIV-infected patients.

344 There are currently no specific guidelines or recommendations for virologically
345 suppressed HIV-infected patients on ART with >350 CD4 cells/mm³, and these results

346 support the approach whereby these patients do not need specific treatments,
347 hospital admission or sites of care that are different to the general population.

348 Despite a higher rate of vaccination in the case group, only 10% and 14% of HIV-
349 infected patients were vaccinated against pneumococcus and influenza, respectively.

350 We did not find any association between pneumococcal vaccination and the rate of
351 bacteremia in our study. This result highlights the importance of improving these
352 vaccinations in the HIV-population (as recommended by all international guidelines), in
353 particular because most of the pneumococcus serotypes in the case group were
354 included among those present in 13V pneumococcal vaccine.

355 Our study has a number of limitations. First, the defined sample size is too small to
356 make a robust analysis of all related questions. The sample-size calculation was based
357 on the percentage of patients admitted to ICU, where a total sample size of 150
358 patients (50 patients in the case group and 100 patients in the control group, according
359 to 1:2 allocation ratio) is large enough if the expected difference is 25%. However,
360 when comparing several characteristics between the two groups of patients, i.e.
361 outcomes, the current study was underpowered. Second, as the data were collected
362 from a single academic teaching hospital in Spain, the results might not generalize to
363 other patients admitted to other types of hospitals in other countries. Third, the study
364 took place over a long period; the protocols and microbiologic procedures have not,
365 however, substantially changed during those years. Finally, the low mortality ratio in
366 our study limits the generalization of our results. However, there are no data in the
367 literature showing that virologically suppressed HIV-infected patients on ART should be
368 treated in the same way as non-HIV infected patients.

369 In conclusion, this study describes a subpopulation of hospitalized virologically
370 suppressed HIV-infected patients on ART with >350 CD4 cells/mm³ and pneumococcal
371 pneumonia in whom clinical presentation and outcomes did not differ from uninfected
372 patients. The management of these HIV-infected patients should be the same as for
373 HIV-uninfected individuals and should be included in the CAP management guidelines.
374

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389 A.T. leader the study group, contributed to the design of the project, analysis and
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Table 1. Baseline Characteristics of the Whole Population

Variables	Case Patients	Control Patients	P value
	(HIV-infection) (n = 50)	(non-HIV-infection) (n = 100)	
Age, mean (SD), years	46.5 (12.2)	47.5 (11.5)	0.64
Male sex, n (%)	35 (70)	70 (70)	>0.99
Current smoker, n (%)	34 (68)	56 (56)	0.16
Current alcohol abuse, n (%)	13 (26)	24 (24)	0.79
Previous antibiotic, n (%)	3 (6)	10 (10)	0.55
Influenza vaccine, n (%)	7 (14)	2 (2)	0.007
Pneumococcal vaccine, n (%)	5 (10)	1 (1)	0.016
Length of symptoms, median (IQR), days	3.0 (2.0; 5.0)	3.0 (2.0; 5.0)	0.91
Comorbidity, n (%) ^a	13 (26)	26 (26)	>0.99
Chronic respiratory disease	7 (14)	13 (13)	>0.99
Diabetes mellitus	2 (4)	4 (4)	>0.99
Chronic renal disease	1 (2)	2 (2)	>0.99
Chronic liver disease	3 (6)	7 (7)	>0.99
Co-infection with HCV, n (%)	12 (24)	12 (12)	0.059
Co-infection with HBV, n (%)	3 (6)	0	0.036
Co-infection with HCV/HBV, n (%)	2 (4)	2 (2)	0.60
Calendar year diagnosis, n (%)			>0.99
2001-2008	13 (26)	26 (26)	
2009-2016	37 (74)	74 (74)	
Symptoms, n (%)			
Fever	42 (84)	89 (89)	0.43
Cough	38 (76)	78 (78)	0.83
Purulent sputum	28 (56)	51 (51)	0.60
Pleuritic pain	23 (46)	69 (69)	0.008
Laboratory findings, median (IQR)			
Creatinine, mg/dL	1.0 (0.9; 1.4)	1.1 (0.9; 1.4)	0.52
C-reactive protein, mg/dL	25.1 (13.8; 29.4)	25.0 (17.5; 29.7)	0.78

Variables	Case Patients (HIV-infection) (n = 50)	Control Patients (non-HIV-infection) (n = 100)	P value
White blood cell count, $\times 10^9$ cell/L	11.9 (8.9; 16.6)	13.3 (9.2-19.6)	0.54
LDH, UI/L	387 (306; 500)	450 (350; 618)	0.16
Sat O ₂ , %	92.7 (89.9; 95.2)	93.2 (89.3; 95.0)	0.98
PaO ₂ /FIO ₂	276.2 (228.6; 342.9)	279.5 (242.9; 314.3)	0.91
Pneumonia Severity Index risk class, n (%) ^b			0.57
I-III	38 (76)	80 (80)	
IV-V	12 (24)	20 (20)	
CURB-65 score, n (%) ^c			0.61
0-2	45 (94)	91 (94)	
3-5	3 (6)	6 (6)	
Multilobar involvement, n (%)	16 (32)	32 (32)	>0.99
Pleural effusion, n (%)	6 (12)	28 (28)	0.027
Respiratory distress, n (%)	6 (6)	4 (8)	0.73
Septic shock, n (%)	3 (6)	3 (3)	0.40
Acute renal failure, n (%)	21 (21)	12 (24)	0.68

Abbreviations: CURB-65 indicates consciousness, urea, respiratory rate, blood pressure, 65; HAART, highly active antiretroviral therapy; ICU, intensive care unit; IQR, interquartile range; LDH, lactate dehydrogenase; Sat O₂, oxygen saturation; SD, standard deviation.

^a Could have more than 1 comorbid condition.

^b Stratified according to 30-day risk mortality for community-acquired pneumonia: risk classes I-III (≤ 90 points) have low mortality and risk classes IV-V (>90 points) have the highest mortality.

^c Stratified according to 30-day risk mortality for community-acquired pneumonia: risk scores 0-2 have low mortality and risk scores 3-5 have the highest mortality.

Table 2. Microbial Diagnosis of Pneumococcal Pneumonia

Microbiological Test	Case Patients (HIV-infection)	Control Patients (non-HIV-infection)	P value
Blood culture	24 / 47 (51)	38 / 88 (43)	0.38
Pleural fluid	0 / 3 (0)	7 / 18 (39)	0.52
Urine pneumococcal antigen	38 / 43 (88)	72 / 85 (85)	0.57
Sputum	16 / 31 (52)	32 / 63 (51)	0.94
Bronchoalveolar lavage	1 / 5 (20)	3 / 7 (43)	0.58

Data are expressed as no. of patients with positive result / no. of patients tested (%).

Table 3. Clinical Outcomes

Variables	Case Patients	Control Patients	P value
	(HIV-infection) (n = 50)	(non-HIV-infection) (n = 100)	
ICU admission, n (%)	9 (18)	27 (27)	0.22
Mechanical ventilation, n (%)	6 (12)	8 (8)	0.43
Length of hospital stay, median (IQR), days	7.0 (5.0; 11.0)	7.0 (4.0; 11.0)	0.76
30-day mortality, n (%)	0 (0)	0 (0)	-

Abbreviations: IQR indicates interquartile range.

Table 4. Significant Univariate and Multivariate Logistic Regression Analyses for ICU Admission

Variable	Univariate			Multivariate ^a		
	OR	95% CI	P value	OR	95% CI	P value
Case Patients (HIV-infection)	0.59	0.25 to 1.38	0.23	0.60	0.23 to 1.59	0.30
C-reactive protein level ≥14.75 mg/dL ^b	6.63	1.50 to 29.24	0.012	-	-	-
LDH <498 U/L ^b	2.10	1.01 to 4.38	0.047	2.68	1.11 to 6.48	0.028
Pneumonia Severity Index risk class IV-V	4.90	2.11 to 11.39	<0.001	5.68	2.15 to 14.95	<0.001
Multilobar involvement	4.51	2.05 to 9.95	<0.001	5.32	2.18 to 13.00	<0.001
Pleural effusion	2.50	1.09 to 5.73	0.030	-	-	-
Acute renal failure	4.56	1.98 to 10.52	<0.001	-	-	-

Abbreviations: CI indicates confidence interval; ICU, intensive care unit; LDH, lactate dehydrogenase; OR, odds ratio. ^a Hosmer-Lemeshow goodness-of-fit test, p=0.39. ^b Optimal cut-off value of cases and controls using ROC curves.

Table 5. Significant Univariate and Multivariate Logistic Regression Analyses for Prolonged LOS (>7 days)

Variable	Univariate			Multivariate ^a		
	OR	95% CI	P value	OR	95% CI	P value
Case Patients (HIV-infection)	1.08	0.55 to 2.14	0.82	2.14	0.88 to 5.21	0.093
Chronic lung disease	3.39	1.22 to 9.39	0.019	6.88	2.06 to 22.94	0.002
LDH \geq 498 U/L ^b	2.13	1.09 to 4.14	0.026	3.06	1.26 to 7.43	0.014
Pneumonia Severity Index risk class IV-V	2.13	0.96 to 4.72	0.062	-	-	-
Multilobar involvement	4.40	2.11 to 9.19	<0.001	3.59	1.46 to 8.85	0.005
Pleural effusion	9.21	3.52 to 24.12	<0.001	17.76	5.60 to 56.32	<0.001
Acute renal failure	2.29	1.04 to 5.05	0.040	-	-	-
Invasive mechanical ventilation	11.12	1.35 to 91.31	0.025	12.81	1.41 to 116.57	0.024

Abbreviations: CI indicates confidence interval; LDH, lactate dehydrogenase; LOS, length of hospital stay; OR, odds ratio. ^a Hosmer-Lemeshow goodness-of-fit test, $p=0.94$. ^b Optimal cut-off value of cases and controls using ROC curves

e-Appendix 1.**METHODS****Statistical Analysis**

Receiver operating characteristic (ROC) curves were constructed to determine the best cut-points for C-reactive protein and lactate dehydrogenase (LDH) in relation to case-control patients. Youden's index[1] was defined for all points along the ROC curve, and the maximum value of the index was used as a criterion for selecting the optimum cut-off point. To identify factors associated with outcomes (intensive care unit [ICU] admission and prolonged length of hospital stay [LOS; LOS >7 days; cut-off value the median value of LOS]) unconditional logistic regression models were used[2]. Variables were included in the multivariate model when univariate comparisons yielded a level of significance of $p < 0.10$. The following variables were tested: age (<65 vs. ≥ 65 years), gender, previous antibiotic, influenza vaccination, pneumococcal vaccination, inhaled corticosteroids, systemic corticosteroids, chronic pulmonary disease, chronic cardiovascular disease, diabetes mellitus, neurological disease, chronic renal disease, chronic liver disease, pleuritic pain, fever, altered mental status, dyspnea, C-reactive protein (<14.75 vs. ≥ 14.75 mg/dL), white blood cell count (<10 vs. $\geq 10 \times 10^9$ cells/L), LDH (<498 vs. ≥ 498 U/L), Sat O₂ (<92% vs. $\geq 92\%$), PaO₂/FiO₂ (<250 vs. ≥ 250), multilobar affectation, pleural effusion, septic shock, and acute renal disease. To identify the problem of colinearity, the r coefficient of two variables were calculated. Where two independent variables were highly correlated ($r > |\pm 0.30|$), the variable with the largest variance was excluded from the multivariate analysis[3].

e-Table 1. Serotype Distribution

Pneumococcal Serotype	Case Patients	Control Patients	P value
	(HIV-infection) (n = 10)	(non-HIV-infection) (n = 24)	
1	0 (0)	10 (42)	0.017
3	0 (0)	3 (13)	0.54
4	2 (20)	0 (0)	0.080
5	1 (10)	0 (0)	0.29
7F	4 (40)	3 (13)	0.071
9N	1 (10)	2 (8)	>0.99
13	1 (10)	1 (4)	0.51
14	0 (0)	2 (8)	>0.99
19A	0 (0)	2 (8)	>0.99
22F	0 (0)	1 (4)	>0.99
29	1 (10)	0 (0)	0.29

Abbreviations: HIV indicates human immunodeficiency virus.

Data are expressed as no. of patients (%).

e-Table 2. Serotype Associated with Complicated Pneumococcal Pneumonia (1, 3, 7F, 14, and 19A) Versus Not Associated (4, 5, 9N, 13, 22 and 29)

Pneumococcal Serotype	Case Patients (HIV-infection) (n = 10)	Control Patients (non-HIV- infection) (n = 24)	P value
Serotype associated with complicated pneumococcal pneumonia: 1, 3, 7F, 14, and 19A	4 (40)	20 (83)	0.034
Serotype not associated with complicated pneumococcal pneumonia: 4, 5, 9N, 13, 22 and 29	6 (60)	4 (17)	

Abbreviations: HIV indicates human immunodeficiency virus.

Data are expressed as no. of patients (%).

e-Table 3. Internal Validation of the Multivariate Logistic Regression Model for ICU Admission Using Nonparametric Bootstrap Technique

Variable	Original	Bias	SE	95% BCa CI
Case Patients (HIV-infection)	-0.509	-0.040	0.549	-1.758 to 0.407
LDH <498 U/L ^a	0.986	0.049	0.473	0.088 to 2.005
Pneumonia Severity Index risk class IV-V	1.736	0.089	0.569	0.728 to 3.055
Multilobar involvement	1.672	0.082	0.510	0.732 to 2.768

Abbreviations: BCa indicates adjusted bootstrap; CI, confidence interval; HIV, human immunodeficiency virus; ICU, intensive care unit; LDH, lactate dehydrogenase; SE, standard error.

^a Optimal cut-off value of cases and controls using ROC curves.

e-Table 4. Internal Validation of the Multivariate Logistic Regression Model for Prolonged LOS Using Nonparametric Bootstrap Technique

Variable	Original	Bias	SE	95% BCa CI
Case Patients (HIV-infection)	0.762	0.053	0.498	-0.188 to 1.804
Chronic lung disease	1.929	0.155	0.691	0.840 to 3.558
LDH \geq 498 U/L ^a	1.118	0.086	0.530	0.147 to 2.341
Multilobar involvement	2.877	0.260	1.412	1.845 to 4.610
Pleural effusion	1.278	0.071	0.494	0.403 to 2.361
Invasive mechanical ventilation	2.550	6.644	9.466	0.380 to 22.329

Abbreviations: BCa indicates adjusted bootstrap; CI, confidence interval; HIV, human immunodeficiency virus; LDH, lactate dehydrogenase; LOS, length of hospital stay; SE, standard error.

^a Optimal cut-off value of cases and controls using ROC curves.

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