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HALFCANONICAL SERIES ON ALGEBRAIC CURVES

by

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0. Introduction

Let m_g be the moduli space of smooth, complete curves of genus g over the complex field C . We try to investigate the subloci m_g^r of m_g . These are defined as the loci of curves having a θ -characteristic (i.e. a line bundle L such that $L \otimes L = K_C$), of (projective) dimension at least r and of the same parity as r .

By Clifford's Theorem, it is clear that m_g^r is empty if $r > 1/2(g-1)$. On the other hand, one can easily see that hyperelliptic curves have theta-characteristics of all dimensions r with $0 \leq r \leq 1/2(g-1)$.

In [H], Harris proves

(0.1) Theorem. (cf. [H] Th. (1.10)). Let $X \rightarrow S$ be a family of curves and L a line bundle on X such that the restriction $L(s)$ to every fiber $X(s)$ satisfies $L^2(s) \simeq X(s)$. Then the subset of S $\{s \in S \mid h^0(X(s), L(s)) \geq r+1 \text{ and } h^0(X(s), L(s)) \equiv r+1 \pmod{2}\}$ has codimension at most $1/2 r(r+1)$ in S at all of its points.

Combining this with the above facts, one obtains:

(0.2) Theorem (Harris). The locus m_g^r is empty if and only if $r > (1/2)(g-1)$. If $r \leq (1/2)(g-1)$, then any component of m_g^r has codimension at most $(1/2) r(r+1)$ in m_g .

One could ask if the lower bound of Harris for the dimension of the components of m_g^r is in fact an equality. This is not always the case, even for those components of m_g^r whose general point corresponds to a curve with a halfcanonical series without fixed points, of dimension exactly r and



giving a birational morphism of C in \mathbb{P}^r . A counterexample is provided, for instance, by the work of Accola [A], on curves of genus $3r$ which possess a (necessarily halfcanonical) simple series of dimension r .

In this case however g is very small compared with r , as these are Castelnuovo extremal curves. In his paper, Harris asks whether the situation becomes regular when g grows. Here we give an affirmative answer for $r \leq 4$.

In the first place, we find an upper bound for the dimension of the components of m_g^r , namely $3g - 2r + 2$. This is sharp in the sense that for every r , there is one g ($g = 2r + 1$), for which it is attained. In this case m_g^r is the hyperelliptic locus.

For $r = 1$ and 2 , the upper bound coincides with the lower bound of Harris. It follows from this that m_g^2 has pure codimension 3 in m_g as well as the classical result that m_g^1 is a divisor in m_g (see [F], [B]).

For $r \geq 3$, the upper bound is sharp only in the case mentioned above, namely $g = 2r + 1$. As a consequence, m_g^3 has codimension 6 in m_g when $g \geq 8$. For $r \geq 4$ the upper bound may be refined when $g \gg r$ and from this refinement the solution in case $r = 4$ follows.

We show moreover that, for $r \leq 4$ and $g \gg r$, a generic point of a component of m_g^r has only one halfcanonical series of this dimension which is simple and that for $r = 1$ and 2 it has no fixed points.

The proof uses the deformation theory developed by Arbarello and Cornalba in [A, C] 1, 2 combined with some ideas inspired by recent work of Díaz [D].

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Definitions and preliminaries

We recall first a few well known facts and introduce notations that we are going to use throughout the paper.

In this work, C will always denote a projective, non-singular curve of genus g defined over the complex field \mathbb{C} . If F is a sheaf on C , the cohomology groups $H^i(C, F)$ will often be written

$H^1(F)$. If $f: X \rightarrow S$ is a morphism of schemes, $X(s)$ will denote the fiber over $s \in S$. For any scheme S , $T_S(s)$ will mean the tangent space to S at s , T_S the tangent sheaf on S .

(1.1) With C as above, there exist irreducible, non-singular varieties X, S, S quasi-projective and a flat projective morphism $p: X \rightarrow S$ such that

- a) any fiber of p is a non-singular curve of genus g and one of them is C .
- b) For every s in S , the Kodaira-Spencer map

$$T_S(s) \rightarrow H^1(X(s), T_{X(s)})$$

is an isomorphism

- c) p has a section.

For such a family, there exists a Picard scheme $\text{Pic}^d(X/S)$ (that we shall write Pic^d for short), together with a Poincaré bundle on $X \times_S \text{Pic}^d$. This parametrizes line bundles of degree d on the fibers of p .

There is also a scheme G_d^r parametrizing linear series on the fibers of p (see [A. C 1] § 2). If t is a point in G_d^r corresponding to a curve C , a line bundle L on C and a subspace of dimension $r + 1$ in $H^0(L)$, then there is an exact sequence ([A. C 1] p. 17-18).

$$(1.2) \quad 0 \rightarrow \text{Hom}(W, H^0(L)/W) \rightarrow T_{G_d^r}(t) \rightarrow H^1(\sum_L) \rightarrow \text{Hom}(W, H^1(L))$$

Here \sum_L denotes the sheaf of differential operators of order at most one acting on L . The space $H^1(\sum_L)$ is naturally identified with $T_{\text{Pic}}^{g-1}(L)$ and the last morphism is given by cup-product.

(1.3) **Definition.** We define a scheme P by means of the following pull-back diagram

$$\begin{array}{ccc}
 P & \rightarrow & \text{Pic } g-1 \\
 \downarrow & & \downarrow \\
 S & \rightarrow & \text{Pic } 2g-2
 \end{array}$$

where the morphisms from $\text{Pic } g-1$ and S to $\text{Pic } 2g-2$ are obtained by means of the universal property of $\text{Pic } 2g-2$ by using the square of the Poincaré bundle and the dualizing sheaf respectively.

The scheme P parametrizes curves of the family p and theta-characteristics on them so it projects onto S with degree 2^{2g} . It is known ([M] Th. p. 184), that the parity of a theta-characteristic is locally constant. Therefore, P decomposes into two parts P^0 and P^1 corresponding to even and odd theta-characteristics respectively.

We define T^r by means of the pull-back diagram

$$\begin{array}{ccc}
 T^r & \rightarrow & G_{g-1}^r \\
 \downarrow & & \downarrow \\
 P^{r+1} & \rightarrow & \text{Pic } g-1
 \end{array}$$

where the superindex in P^{r+1} is understood modulo 2.

The scheme T^r is closed in G_{g-1}^r and parametrizes semicanonical series of dimension r on $X \rightarrow S$ whose corresponding bundle L satisfies $h^0 L = r+1$ (2). It projects onto $m_g^r \cap h(S)$, where $h: S \rightarrow m_g$ is the classifying morphism induced by p .

(1.4) **Proposition.** Let g and r satisfy $g > (1/2)(r^2 + r + 2)$. Let M be a component of m_g^r . Then a generic point C of M cannot be a covering of a curve of genus $g \geq 1$. Moreover, if $g > (1/2)(r^2 + 3r + 2)$, $r \geq 2$, then C has only simple halfcanonical series of dimension r .

Proof. Assume the first statement were false i.e. C is a covering of degree $t \geq 2$ of a curve of genus $g' \geq 1$.

The curves of genus g which are coverings of degree t of some curve of genus g' depend on $2g-2-(2t-3)(g'-1)$ moduli (see [L] Satz 1). Therefore, by using (0.2), one finds

$$2g-2 \geq 2g-2-(2t-3)(g'-1) \geq 3g-3-(1/2)r(r+1)$$

which contradicts the hypothesis on g .

Assume now that $g \geq (1/2)(r^2 + 3r + 2)$, $r \geq 2$ and C has a non-simple semicanonical series of dimension r . So this series should give rise to a morphism in \mathbb{P}^r which could be factored $C \rightarrow C' \rightarrow \mathbb{P}^r$, where the first morphism has degree $t \geq 2$ and C' is a rational curve contained in no hyperplane of \mathbb{P}^r . This latter condition implies that the degree of C' is at least r i.e. $(1/t)(g-1-k) \geq r$ where k is the number of fixed points of the semicanonical series. Hence

$$(1.4. a) \quad t \leq (1/r)(g-1-k) \leq (1/r)(g-1).$$

Moreover, as C is a covering of degree t of a rational curve, M is contained in the set of t -gonal curves and one has the inequality of dimensions (cf. (0.2)) $2g-2+2t-3 \geq 3g-3-1/2r(r+1)$. Therefore

$$(1.4. b) \quad t \geq (1/2)(g+2) - (1/4)r(r+1)$$

From (1.4.a) and (1.4.b), in case $r > 2$ one finds

$$g \leq (1/2)(r^2 + 3r + 2)$$

which contradicts the hypothesis.

In the case $r = 2$, from (1.4. a) and (1.4. b) one finds

$$k = 0 \quad t = (1/2)(g-1)$$

Hence g is odd and for a generic point of the set of t -gonal curves of genus g (which is irreducible of dimension $3g-6$), the line bundle L giving rise to the unique linear series of degree t

and dimension one satisfies $4L = K$. This cannot be true: for a hyperelliptic curve C , consider the line bundle $L = L_2 \otimes \mathcal{O}((1/2)(g-5)P)$ where L_2 is the sheaf defining the g^1_2 and P is not a Weierstrass point in C . Then $4L \neq K$. Moreover, for a family as in (1.1), having C as a fiber, it can be seen that G^1_t is irreducible (see the Appendix). So, the condition on the restriction of the Poincaré bundle $4L = p_1^* \omega_{X/S}$ will fail in an open (and therefore dense) neighborhood of (C, g^1_d) in G^1_t , which projects onto a dense subset of the variety of t -gonal curves.

The following lemma is implicit in [A,C] 2. We include a proof here for the convenience of the reader.

(1.5) Lemma. (Arbarello-Cornalba). Let M be a subvariety of m_g of dimension at least g , $p: X \rightarrow U$ a family of curves such that the classifying map projects U onto an open dense subset of M and let

$$(1.5.1) \quad \begin{array}{ccc} X & \rightarrow & S \times U \\ & & \downarrow \\ & & U \end{array}$$

be a family of birational morphisms from the fibers of p in a non singular algebraic surface S . Then, for a generic point u in U , the normal bundle N to the morphism $X(u) \rightarrow S$ satisfies $h^1 N = 0$.

Proof: The normal sheaf N to f is defined by means of the exact sequence

$$(1.5.2) \quad 0 \rightarrow T_C \rightarrow f^* T_S \rightarrow N \rightarrow 0$$

Let D be the ramification divisor of f and N' the invertible rank one sheaf which fits in the exact sequence

$$0 \rightarrow T_C(D) \rightarrow f^* T_S \rightarrow N' \rightarrow 0$$

There is a commutative diagram

$$\begin{array}{ccccccc}
 & & & & & & 0 \\
 & & & & & & \downarrow \\
 & & & & & & H \\
 & & & & & & \downarrow \\
 & & & 0 & & & \\
 & & & \downarrow & & & \\
 & & & 0 \rightarrow T_C & \rightarrow & f^* T_S & \rightarrow N \rightarrow 0 \\
 (1.5.3) & & & \downarrow & & \parallel & \downarrow \\
 & & & 0 \rightarrow T_C(D) & \rightarrow & f^* T_S & \rightarrow N' \rightarrow 0 \\
 & & & \downarrow & & & \\
 & & & T_C \otimes \mathcal{O}_D(D) & & & \\
 & & & \downarrow & & & \\
 & & & 0 & & &
 \end{array}$$

and clearly

$$(1.5.4) \quad H \simeq T_C \otimes \mathcal{O}_D(D) \simeq \mathcal{O}_D$$

let t be a general point of U . Consider the Horikawa map $T_U(t) \rightarrow H^0(N)$ associated to the family of morphisms (1.5.1). By lemma (1.4) in [A, C 2], the image of this map intersects $H^0(H)$ in 0 and so it maps injectively in $H^0(N')$. Moreover, it is standard that the composition of the Horikawa morphism with the natural map

$$H^0(N) \rightarrow H^1(T_C)$$

deduced from (1.5.2), is the Kodaira-Spencer map associated to $X \rightarrow U$. (See [Ho]. As t is general in U , the dimension of the image of this map is at least $\dim M$. So, because of the hypothesis on M)

$$h^0(C, N') \geq \dim M > g.$$

As N' is a line bundle on a curve of genus g , this implies that it is non-special and so $h^1(N') = 0$. Then, from (1.5.3), (1.5.4) it follows $h^1(N) = 0$ as stated.

(1.6) Corollary. Let g be at least 6. For a generic point C of a component M of m_g^2 any halfcanonical linear series of dimension 2 on C is simple and gives rise to a morphism in P^2 whose associated normal sheaf N satisfies $h^1(N) = 0$.

Proof: For $g = 6$, if L has fixed points, then C is hyperelliptic and (0.2) contradicts the genericity of C . If L has no fixed points, as $g-1 = 5$ is prime, L is necessarily simple.

If $g \geq 7$, (1.4) gives the first assertion.

Then use (0.2) and (1.5).

§ 2 Infinitesimal study of T^r and applications.

I Some considerations about the tangent space to T^r .

Let $p: X \rightarrow S$ be a family of curves satisfying the conditions of (1.1). Let t be a point in T^r corresponding to a curve C , a theta-characteristic L on C and a subspace W of dimension $r-1$ (of $H^0(L)$). Because of the definition of T^r (cf. (1.3.)), there is a pull-back diagram

(2.1)

$$\begin{array}{ccc} T^r(t) & \rightarrow & T_{G_{g-1}}^r(t) \\ \downarrow & & \downarrow \\ T_S(C) & \rightarrow & T_{\text{Pic}^{2g-1}}(C, K) \end{array}$$

As the morphism of Pic^{g-1} in Pic^{2g-2} given by tensor square is étale, it induces an isomorphism of tangent spaces. So, one finds

$$H^1(\sum_L) \simeq T_{\text{Pic}^{g-1}}(C, L) \simeq T_{\text{Pic}^{2g-2}}(C, K) \simeq H^1(\sum_K).$$

We recall that, by hypothesis (cf (1.1.b)), $T_S(C)$ is isomorphic to $H^1(T_C)$. The image of $T_S(C)$ in $H^1(\sum_K)$ are those first order infinitesimal deformations K_ϵ of K which give the canonical sheaf on the corresponding deformation C_ϵ of C , i.e those deformations of K which maintain the g sections.

Therefore, by using (1.2), diagram (2.1) becomes

$$\begin{array}{ccccccc}
 & & & & 0 & & \\
 & & & & \downarrow & & \\
 & & & & \text{Hom} (W & H^0 (L/W) & \\
 & & & & \downarrow & & \\
 0 & \rightarrow & T_r(t) & \rightarrow & T_r(t) & & \\
 & & T & & G_{g-1} & & \\
 & & \downarrow & & \downarrow & & \\
 0 & \rightarrow & H^1(T_C) & \rightarrow & H^1(\sum_L) \simeq H^1(\sum_K) & \rightarrow & \text{Hom} (H^0(K), H^1(K)) \rightarrow 0 \\
 & & & & \downarrow & & \\
 & & & & \text{Hom} (W, H^1(L)) & &
 \end{array}$$

where the square is a pull-back diagram.

It can be checked that the isomorphism $H^1(\sum_L) \simeq H^1(\sum_K)$ sends a cocycle $(s_{ij}) \in H^1(\sum_L)$ to $(\text{Id} \otimes s_{ij} + s_{ij} \otimes \text{Id}) \in H^1(\sum_L)$. So, the composed map.

$$\begin{array}{ccc}
 T_r(t) & \rightarrow & \text{Hom} (H^0(K), H^1(K)) \\
 G_{g-1} & & \\
 \downarrow & & \\
 & &
 \end{array}$$

factors through

$$\text{Hom} (H^0(K)/W \cdot W, H^1(K))$$

where $W \cdot W$ denotes the image of $W \otimes W$ in $H^0(K)$ by means of the Petri morphism.

One finds a diagram

$$\begin{array}{ccccccc}
& & 0 & & 0 & & \\
& & \downarrow & & \downarrow & & \\
& & \text{Hom}(W, H^0(L)/W) & = & \text{Hom}(W, H^0(L)/W) & & 0 \\
& & \downarrow & & \downarrow & & \downarrow \\
0 & \rightarrow & T & (t) & \rightarrow & T & (t) & \rightarrow & \text{Hom}(H^0(K)/W \cdot W, H^1(K)) & \rightarrow & 0 \\
& & \downarrow & & \downarrow & & \downarrow & & & & \\
& & T^r & & G^r & & & & & & \\
& & & & g-1 & & & & & & \\
(2.2) & & \downarrow & & \downarrow & & \downarrow & & & & \\
0 & \rightarrow & H^1(T_C) & \rightarrow & H^1(\sum_L) \cong H^1(\sum_K) & \rightarrow & \text{Hom}(H^0(K), H^1(K)) & \rightarrow & 0 \\
& & & & \downarrow & & \downarrow & & \\
& & & & \text{Hom}(W, H^1(L)) & \rightarrow & \text{Hom}(W \cdot W, H^1(K)) & & \\
& & & & & & \downarrow & & \\
& & & & & & 0 & &
\end{array}$$

This is exact, exactness in the upper row being deduced from the fact that the left lower square is a pull-back.

We shall repeatedly consider the following situation:

(2.3). Let t be a point of T^r corresponding to a curve C a theta-characteristic L on C and an $(r+1)$ -dimensional subspace W of $H^0(L)$. Let D be the fixed part of the series corresponding to W , k its degree, q an equation for D , $L' = L \otimes \sigma_C(-D)$, W' the subspace of $H^0(L')$ whose image by the natural inclusion

$$q: H^0(L') \rightarrow H^0(L)$$

is W . Let $t' = (C, L', W')$ be the corresponding point in G^r_{g-1-k} . Denote by f the morphism of C in P^r associated to W' .

Consider the following diagram (cf. [A,C, 1] (4.1))

$$\begin{array}{ccccc}
 & & & & 0 \\
 & & & & \uparrow \\
 & & P & & \uparrow \\
 W' \otimes q^2 W' & \rightarrow & W' \otimes H^0(K-L) & \rightarrow & H^0(K) \\
 \parallel & & \parallel & & \uparrow \\
 (2.4) & & & & m_1 \\
 W' \otimes q^2 W' & \rightarrow & W' \otimes H^0(K-L) & \rightarrow & H^0(K \otimes \sum^* L) \\
 & & \uparrow & & \uparrow \\
 & & \text{Ker } P & \xrightarrow{m} & H^0(2K) \\
 & & & & \uparrow \\
 & & & & 0
 \end{array}$$

Here P is the Petri morphism, the vertical sequence in the right is exact, m_1 is the dual of the natural contraction map and m is obtained from m_1 by restriction.

Consider also the following diagram of exact sequences (cf. [A. C 1] 5.1)

$$\begin{array}{ccccccc}
 0 & & 0 & & & & \\
 \downarrow & & \downarrow & & & & \\
 \sigma_c & = & \sigma_c & & & & \\
 \downarrow & & \downarrow & & & & \\
 0 \rightarrow \sum_{L'} & \rightarrow & W'^* \otimes L' & \rightarrow & N & \rightarrow & 0 \\
 \downarrow & & \downarrow & & \parallel & & \\
 0 \rightarrow T_c & \rightarrow & f^* T_{P^2} & \rightarrow & N & \rightarrow & 0 \\
 \downarrow & & \downarrow & & & & \\
 0 & & 0 & & & &
 \end{array}$$

where N is the normal sheaf to f , the vertical sequence in the middle is obtained by pulling-back to C the Euler sequence in P^2 and the morphism of $\sum_{L'}$ in $W'^* \otimes L' = \text{Hom}(W', L')$ is defined by contraction.

Taking homology one obtains

$$\begin{array}{ccccccc}
& & H^1(\sigma_C) & = & H^1(\sigma_C) & & \\
& & \downarrow & & \downarrow p^* & & \\
H^0(N) & \rightarrow & H^1(\sum_L) & \rightarrow & \text{Hom}(W, H^1(L)) & \rightarrow & H^1(N) \rightarrow 0 \\
\parallel & & \downarrow & & \downarrow & & \parallel \\
H^0(N) & \rightarrow & H^1(T_C) & \rightarrow & H^1(f^* T_{Pr}) & \rightarrow & H^1(N) \rightarrow 0 \\
& & \downarrow & & \downarrow & & \\
& & 0 & & 0 & &
\end{array}$$

Therefore $H^1(f^* T_{Pr})$ is identified with the dual of $\text{Ker } P$. Moreover, because of (1.2), the image of $T_r(t)$ in $H^1(\sum_L) = T_{\text{Pic}}^{g-1-k}(L)$ is the image of the morphism above from $H^0(N)$ to $H^1(\sum_L)$. So one obtains the following diagram ([A, C 1] p. 35)

$$(2.5) \quad \begin{array}{ccccccc}
& & h & & & & \\
T_r(t) & \rightarrow & H^1(\sum_L) & \rightarrow & \text{Hom}(W, H^1(L)) & \rightarrow & H^1(N) \rightarrow 0 \\
\downarrow G_{g-1-k} & & \downarrow g_L & & \downarrow & & \parallel \\
T_r(t) & \rightarrow & H^1(T_C) & \rightarrow & (\text{Ker } P)^* & \rightarrow & (\text{Ker } m)^* \rightarrow 0 \\
\downarrow G_{g-1-k} & & \downarrow & & \downarrow & & \\
& & 0 & & 0 & &
\end{array}$$

Suppose now that the point t corresponds to a complete theta-characteristic of dimension r , i.e. $W = H^0(L)$ and hence $W^* = H^0(L)$.

Assume that t is a generic point of a component of T^r . Then, up to a finite base-change, there are k sections $s_1 \dots s_k$ of $p: X \rightarrow S$ defined in a neighborhood of C in S such that, when restricted to the image of T^r in S they give rise to the fixed points of the theta-characteristic in the fibers of p .

One obtains then a commutative diagram

$$\begin{array}{ccccc}
 T & \xrightarrow{r} & G_{g-1-k}^r & \rightarrow & G_{g-1}^r \\
 \downarrow & & & & \downarrow \\
 S & & \rightarrow & & \text{Pic}^{g-1}
 \end{array}$$

Taking tangent spaces, one has a factorization of one the morphisms in (2.2)

$$\begin{array}{ccccccc}
 0 & & 0 & & 0 & & 0 \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 0 \rightarrow T_{T_r(t)} & \rightarrow & T_{T_r(t)} & \rightarrow & T_{T_r(t)} & \rightarrow & \text{Hom}(H^0(K)/W, W), H^1(K) \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 0 \rightarrow H^1(T_C) & \rightarrow & H^1(\sum_L) \simeq H^1(\sum_L) & \rightarrow & H^1(\sum_K) & \rightarrow & \text{Hom}(H^0(K), H^1(K)) \rightarrow 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 & & \text{Hom}(W, H^1(L)) & \rightarrow & \text{Hom}(W, H^1(L)) & \rightarrow & \text{Hom}(W, W, H^1(K)) \\
 & & \downarrow & & & & \downarrow \\
 & & H^1(N) & & & & 0 \\
 & & \downarrow & & & & \\
 & & 0 & & & &
 \end{array}
 \tag{2.6}$$

where the isomorphism $H^1(\sum_L) \simeq H^1(\sum_L)$ is the differential of the isomorphism from Pic^{g-1-k} to Pic^{g-1} given by tensor product with the sheaf $\mathcal{O}_X(s_1(S) + \dots + s_k(S))$.

As the upper left rectangle is a pull-back, so is the upper left square.

From the commutative diagram

$$\begin{array}{ccccc}
 \text{Pic}^{g-1-k} & \rightarrow & \text{Pic}^{g-1} & \rightarrow & \text{Pic}^{2g-2} \\
 \downarrow & & \downarrow & & \downarrow \\
 & & S & &
 \end{array}$$

one obtains, by taking tangent spaces

$$\begin{array}{ccccccc}
 & & & & H^1(\tau_C) & & \\
 & & & & \downarrow i & & \vdots \\
 H^1(\Sigma_L) & \simeq & H^1(\Sigma_L) & \simeq & H^1(\Sigma_K) & & \vdots \\
 & & & & & & \vdots \\
 g_L \downarrow & & g_L \downarrow & & g_K \downarrow & & \vdots \\
 H^1(\tau_C) & = & H^1(\tau_C) & = & H^1(\tau_C) & < \cdot & \cdot & \circ
 \end{array}$$

Therefore i (intepreted through the isomorphisms in the upper row) is a section of g_L . Hence, with the notations of (2.5),

$$(2.7) \quad j(\tau_{Tr}(t)) \subset g_L \cdot (h(\tau_r(t))) = \text{Ker } m^* \subset G_{g-1-k}$$

where the last equality follows from (2.5).

Then, one finds

$$(2.8) \quad \dim \tau^r \leq \dim \tau_r(t) \leq \dim j(\tau_r(t)) \leq \dim \text{Ker } m^* = 3g-3 - \dim \text{Ker } P + \dim \text{Ker } m$$

we recall now that, by hypothesis, $2L = K$, i.e. $K-L = L$. Therefore, if s and s' are elements in W , $s \otimes q^2 s' - s' \otimes q^2 s$ belongs to $\text{Ker } P$. In particular, $\text{Ker } P$ has dimension at least $(1/2)r(r+1)$, as it contains the independant elements $s_i \otimes q^2 s_j - s_j \otimes q^2 s_i, 0 \leq i < j \leq r$, for a basis s_i of W .

(2.9). *Definition.* The elements of $\text{Ker } P$ of the form $s \otimes q^2 s' - s' \otimes q^2 s$ will be called decomposable. The set of decomposable elements will be denoted by G and its projectivization by G' .

We point out that the point in G' coming from $s \otimes q^2 s' - s' \otimes q^2 s$ depends only on the one-dimensional linear subseries of (L', W') generated by s and s' . In fact G' is isomophic to the Grasmannian of lines in P^r canonically immersed in a linear subspace of dimension $1/2 r(r+1) - 1$ of the projectivization of $\text{Ker } P$.

(2.10) *Lemma.* Let t be a generic point of a componenmt of τ^r corresponding to a complete theta-characteristic of dimension r . Assume $\text{Ker } m$ intersects $\langle G \rangle$ in \mathbb{C} (cf. (2.9)). Then,

$$\dim \text{Ker } P = 1/2 r(r+1) + \dim \text{Ker } m$$

and the image of T_{T^f} in $H^1(T_C)$ is the Kernel of m^* .

Proof: As $\langle G \rangle \cap \text{Ker } m = 0$, $\dim \text{Ker } P \geq \dim \langle G \rangle + \dim \text{Ker } m = (1/2) r(r+1) + \dim \text{Ker } m$. Then, (2.8) gives $\dim T^f \leq \dim \text{Ker } m^* \leq 3g-3-\dim \text{Ker } P + \dim \text{Ker } m \leq 3g-3-(1/2) r(r+1)$. On the other hand, by (0.1), $\dim T^f \geq 3g-3-(1/2) r(r+1)$. Therefore all the inequalities are equalities and the result follows using (2.7).

II. Non-existence of fixed points

(2.11) **Proposition.** If the generic point of a component of T^f is a complete halfcanonical series of dimension r such that the associated morphism m (cf. 2.4) satisfies $\text{Ker } m = 0$, then the halfcanonical series has no fixed points.

Proof: The hypothesis in (2.10) is satisfied. Therefore, taking into account that $(\text{Ker } m)^* = H^1(N)$ (cf. (2.5)), diagram (2.6) may be completed to.

$$(2.6) \quad \begin{array}{ccccccc} & 0 & & 0 & & 0 & \\ & \downarrow & & \downarrow & & \downarrow & \\ 0 & \rightarrow & T_{T^f}(t) & \rightarrow & T_{G^{g-1-k}}(t') & \rightarrow & \text{Hom}(H^0(K)/W, W), H^1(K) \\ & & \downarrow & & \downarrow & & \downarrow \\ & & H^1(T_C) & \rightarrow & H^1(\sum L_i) & \rightarrow & \text{Hom}(H^0(K), H^1(K)) \rightarrow 0 \\ & & \downarrow m^* & & \downarrow & & \downarrow \\ 0 & \rightarrow & (\text{Ker } P)^* & \rightarrow & \text{Hom}(W, H^1(L_i)) & \rightarrow & \text{Hom}(W, W, H^1(K)) \\ & & \downarrow & & \downarrow & & \downarrow \\ & & 0 & & 0 & & 0 \end{array}$$



Here the 0 in the lower row is obtained by diagram chasing, using the fact that the upper left square is a pull-back.

By the Snake's lemma and the exactness of the left column, $\text{coker } 1 = 0$. By (2.10), $\dim P = (1/2) r(r+1)$. Then, a computation using the first row and column gives

$$\dim T_r(t) = 4g - 3 - (r+1)^2 - k$$

From the central column

$$\dim T_r(t) = 4g - 3 + (r+1) h^1(L) = 4g - 3 - (r+1)^2 - k + (r+1)$$

where last equality follows by Riemann-Roch because of the hypothesis of the series being complete.

Therefore $k = 0$.

III. An upper bound in the dimension of the components of m_g^r

(2.12) **Lemma.** Completing notations in (2.3), let V' be a two-dimensional linear subspace of W' , F the fixed part of the corresponding one-dimensional series and R the ramification divisor of the morphism $C \rightarrow P^1$ it induces. Then, the image by m (cf. 2.4) of the one-dimensional subspace of $\text{Ker } P$ associated to this series (cf. (2.9)) is the one dimensional linear space in $H^0(2K)$ corresponding to the divisor $R + 2D + 2F$.

Proof: Choose a basis a, b of V' so that b has no multiple zeros outside F . Write $a = f b$ with f a meromorphic function. Then f , as a morphism of C in P^1 , is unramified at infinity.

By definition (cf. (2.4)), m is obtained from m_1 by restriction and m_1 is the dual of the natural contraction

$$H^1(\sum L_i) \rightarrow \text{Hom}(W', H^1(L)) = \text{Hom}(W' \otimes H^0(K-L), H^1(K))$$

More explicitly, once an affine covering U_i of C has been chosen, any element in $H^1(\Sigma_L)$ is represented by a cocycle (s_{ij}) .

Then
$$m^* \left((s_{ij}) \right) (w) = (s_{ij}(w)) \in H^1(L)$$

if $w \in W'$ or

$$m^* \left((s_{ij}) \right) (w \otimes \omega) = (s_{ij}(w) \omega) \in H^1(K)$$

if $w \in W'$, $\omega \in H^0(K-L)$.

In particular, if v_{ij} is the derivation associated to s_{ij} , then $m^* \left((s_{ij}) \right) (a \otimes q^2 b - b \otimes q^2 a) = (s_{ij}(a) \cdot q^2 b - s_{ij}(b) q^2 a) = v_{ij}(f) q^2 b^2$.

Therefore, the dual of the restriction of m to the subspace $a \otimes q^2 b - b \otimes q^2 a$ operates as contraction with f

$$H^1(T_C) \rightarrow \langle a \otimes q^2 b - b \otimes q^2 a \rangle^* \cong H^1(K)$$

$$(v_{ij}) \rightarrow v_{ij}(f) q^2 b^2$$

Hence,

$$m(a \otimes q^2 b - b \otimes q^2 a) = (df) q^2 b^2$$

Denote by D_a and D_b the divisors of zeros of a and b respectively.

By the choice of a and b , df is a meromorphic differential whose divisor of zeros is R and whose divisor of poles is twice the divisor of poles of f i.e. $2(D_b - F)$. Therefore the divisor of $df \cdot q^2 b^2$ is

$$R - 2(D_b - F) + 2D + 2D_b = R + 2F + 2D$$

as asserted.

(2.13) **Theorem.** Any component M of \mathfrak{m}_g^r has dimension at most $3g - 2r - 2$. For $r \geq 3$ equality holds only for $g = 2r + 1$ and in this case M is the hyperelliptic locus. For $r \geq 4$ and $g \geq \max(12r - 22, (1/2)(r^2 + 3r + 2))$, one has $\dim M \leq 3g - 4r + 3$.

(2.14) **Corollary** For $r = 3$ and $g \geq 8$ and for $r = 4$ and $g \geq 26$, at a generic point of a component of T^r projecting onto M , $\text{Ker } m \cap \langle G \rangle = 0$ (cf. (2.9) (2.4) for the notations) .

Proof of (2.13), (2.14): A general point of M is a curve which has a complete semicanonical series of dimension $r + 2k$, $k \geq 0$. If $k > 0$, M is a component of \mathfrak{m}_g^{r+2k} . As the upper bound we try to prove is a decreasing function of r , we may assume $k = 0$.

Let T be a component of T^r projecting onto M and t a generic point of T . By (2.12) the set G defined in (2.9) cuts $\text{Ker } m$, in 0 . Hence G' does, not intersect the linear subspace $L = P(\text{Ker } m)$.

Therefore,

$$\dim \text{Ker } m + \dim G' \leq \dim \text{Ker } P - 1$$

As G' is a grassmannian of lines in P^r , it has dimension $2(r-1)$. So,

$$\dim \text{Ker } m \leq \dim \text{Ker } P - 2(r-1) - 1$$

and (2.8) gives

$$(2.13.1) \quad \dim j \left(T_r(t) \right) \leq 3g - 3 - \dim \text{Ker } P + \dim \text{Ker } m \leq 3g - 2r - 2$$

which proves the first assertion in (2.13).

Assume now

$$a) \quad r \geq 3, \quad g > 2r + 1 \quad \text{and} \quad \dim M = 3g - 2r - 2$$

or

$$b) \quad r \geq 4, \quad g \geq \max((1/2)(r^2 + 3r + 2), 12r - 22), \quad \text{and} \quad \dim M > 3g - 4r + 3$$

Condition a) implies that L has the maximal dimension of a linear subspace in P not intersecting G' . Therefore the linear space generated by L and a generic point in G' intersects G' in other points.

Condition b) implies (cf. (2.13.1)) that $\dim \text{Ker } m \geq \dim \text{Ker } P - 4r + 7$. Therefore L meets the variety of chords of G' (which has dimension $4r - 7$).

In both cases there is a pair of points in G having the same image by m . In case a) one of the points in the pair (and hence also the other) may be assumed to be generic in G .

Using (2.12) we find two one-dimensional linear subseries g_1, g_2 of (L, W) with fixed parts F_1, F_2 and ramification divisors R_1, R_2 such that

$$(2.13.2) \quad R_1 + 2F_1 = R_2 + 2F_2$$

Let R be the greatest effective divisor contained in R_1 and R_2 and write $R_i = R + A_i$. Then $A_1 + 2F_1 = A_2 + 2F_2$ and A_1, A_2 have no points in common, so $A_2 \leq 2F_1$ (2.13.3).

Consider the morphism $(f_1, f_2): C \rightarrow P^1 \times P^1$ obtained as the product of the two morphisms associated to the two one-dimensional linear series considered above.

This morphism is ramified at the points shared by the two ramification divisors of f_1 and f_2 i.e. at R . Hence, one finds a diagram defining N' (cf. (1.5.3))

$$\begin{array}{ccccccc} 0 & \rightarrow & T_C & \rightarrow & f_1^* T_{P^1} \oplus f_2^* T_{P^1} & \rightarrow & N \rightarrow 0 \\ & & \downarrow & & \parallel & & \downarrow \\ 0 & \rightarrow & T_C(R) & \rightarrow & f_1^* T_{P^1} \oplus f_2^* T_{P^1} & \rightarrow & N' \rightarrow 0 \\ & & \downarrow & & & & \downarrow \\ & & T_C \otimes \mathcal{O}_R(R) & & & & 0 \\ & & \downarrow & & & & \\ & & 0 & & & & \end{array}$$

By Hurwitz's Formula, $f_i^* T_{P^1} \simeq T_C(R_i)$. Therefore, computing with the lower row, one

finds

$$N' \simeq T_C(R_1) \otimes T_C(R_2) \otimes (T_C(R))^\vee = T_C(R_1 + A_2)$$

Hence
$$h^1(N') = h^0(K - N') = h^0(2K - R_1 - A_2) \geq h^0(2K - R_1 - 2F_1) \geq 1$$

where the first inequality comes from (2.13.3) and the last from the fact (see (2.12))

$$R_1 + 2F_1 + 2D \equiv 2K$$

As $\dim M > g$ and $h^1(N') = h^1(N)$, this means by (1.5) that the morphism (f_1, f_2) is composed with an involution.

In case b) (1.4) asserts that C is not a covering of a curve of genus $g \geq 1$ and that the morphism $C \rightarrow \mathbb{P}^r$ induced by the halfcanonical series, is simple.

In case a) a proof as in (1.4) using the conditions $\dim M = 3g - 2r - 2$ and $g > 2r + 1$ gives the same result.

The morphism f_1 is obtained from a one-dimensional linear subseries of $(L, H^0(L))$. Equivalently, f_1 is obtained by composing f with a projection from a codimension 2 subspace X_1 in \mathbb{P}^r . The condition for (f_1, f_2) to be composed with an involution of degree k is that f_1 and f_2 should be composed with the same involution. This means that the intersection of the hyperplanes generated by X_1 and a generic point of C contains $k - 1$ further points.

In case a), by the genericity of X_1 and the principle of general position, this implies $k \leq r - 1$. As the Hurwitz-scheme of coverings of degree $r - 1$ and genus g of \mathbb{P}^1 has dimension $2g + 2(r - 1) - 2$, one obtains

$$3g - 2r - 2 + 2(r - 1) = \dim M + \dim \text{Gr}(r - 2, \mathbb{P}^r) \leq 2g + 2(r - 1) - 2$$

so $r \geq g/2$ which contradicts a)

Consider now case b). We are assuming that the morphism (f_1, f_2) given by the two linear

subseries g_1, g_2 of $(L, H^0(L))$ considered above may be factored

$$C \rightarrow \mathbb{P}^1 \rightarrow \mathbb{P}^1 \times \mathbb{P}^1$$

where the first morphism has degree k and the second bidegree (n_1, n_2) with $k n_i \leq g - 1$. Hence the dimension of M must be at most the dimension of the set of k -gonal curves, namely $2g + 2k - 5$.

Assume $n_i \geq 3$, then $k \leq (1/3)(g-1)$ and $\dim M \leq 2g + (2/3)(g-1) - 5$ which contradicts b).

If $n_1 = n_2 = 1$, then $R_1 = R_2$ and by (2.13.2) $F_1 = F_2$. So, $g_1 = g_2$ which is not the case.

If $n_1 = n_2 = 2$, then g_1, g_2 are one-dimensional subseries of $l^* H^0(\mathcal{O}_{\mathbb{P}^1(2)} + F_1)$ and $l^* H^0(\mathcal{O}_{\mathbb{P}^1(2)} + F_2)$ respectively. As, by hypothesis, the line bundle for both series is the same, one obtains $F_1 \equiv F_2$. If $F_1 = F_2$, because $l^* H^0(\mathcal{O}_{\mathbb{P}^1(2)})$ is 3-dimensional, g_1 and g_2 would share a section. But this implies that the line in $\langle G' \rangle$ joining the points corresponding to g_1 and g_2 is entirely contained in G' . By assumption, the image by m of the 2-plane of G corresponding to this line is a line in $H^0(2K)$, so G would cut $\text{Ker } m$ and this contradicts (2.11).

If $F_1 \neq F_2$, then $h^0(F_1) \geq 2$ and C is $(g-1-2k)$ gonial. Therefore, by b)

$$2g + 2 + 2(g-1-2k) - 5 > 3g - 4r + 3$$

$$2g + 2 + 2k - 5 > 3g - 4r + 3$$

But these inequalities are incompatible with b).

If $n_1 = 1, n_2 = 2$, then $g_1 = l^* H^0(\mathcal{O}_{\mathbb{P}^1(1)} + F_1), g_2 \subset l^* H^0(\mathcal{O}_{\mathbb{P}^1(2)} + F_2)$; so $R_2 = R_1 + A_1 + A_2$ where $A_i \in l^* H^0(\mathcal{O}_{\mathbb{P}^1(1)})$ are the pull-back of the ramification points of the double covering $\mathbb{P}^1 \rightarrow \mathbb{P}^1$. From (2.13.2)

$$A_1 + A_2 + 2F_2 = 2F_1$$

As A_1, A_2 have disjoint supports, this implies that all points in the support of A_i have even multiplicity in A_i and are in the support of R_1 and l is ramified over at most $2g + k - 2$ distinct points. Hence C depends on at most $2g + k - 5$ moduli. As $k \leq (1/2)(g-1)$, this contradicts b) and ends the proof of (2.13).

We point out that, in case $r = 3$ and $g \geq 8$ and in case $r = 4$ and $g \geq 26$, we have proved that $\text{Ker } m$ intersects the variety of chords of G in 0 and in both cases this chordal variety coincides with the span $\langle G \rangle$ of G in $\text{Ker } P$. Hence (2.14) is also proved.

(2.15) **Corollary.** Let M be a component of m_g^r , C a generic point in M . If $r \leq 3$ or $r = 4$ and $g \geq 38$, then C has no halfcanonical linear series of dimension greater than r .

Proof: Assume that C had a semicanonical series of dimension greater than r . Then M would be contained in a component of m_g^{r+1} or m_g^{r+2} . So, by (2.13), $\dim M \leq 3g - 2r - 4$, and $\dim M \leq 3g - 17$ if $r = 4$ and $g \geq 38$. But this contradicts (0.2).

IV Uniqueness of the halfcanonical series

(2.16) **Theorem.** For $r = 1$, $r = 2$ and $g \geq 6$, $r = 3$ and $g \geq 9$ or $r = 4$ and $g \geq 38$, a generic point of any component M of m_g^r has only one halfcanonical series of dimension r .

Proof: Let M be a component of m_g^r with g and r satisfying the hypothesis. Let C be a generic point in M . From (2.15), a halfcanonical linear series on C is complete. Assume C had two of them, then they would correspond to two different line bundles L_1 and L_2 on C . Let t_1 and t_2 be the corresponding points in T^r . By the genericity of C in M and the fact that the image in $H^1(T_C)$ of the tangent spaces to T^r at both points has dimension equal to the dimension of M (cf. (2.8), (2.10), (2.14)), these images must be the same. Moreover they are the kernels of the

corresponding morphisms $m = m_L$ (cf. (2.10)). By duality, the images of the morphisms m_L are also the same.

From (2.5) $(\text{Ker } m)^*$ is identified with $H^1(N)$ and this is zero when $r = 1$ and also when $r = 2$ (cf. (1.6)). When $r = 3$ or 4 , $\text{Ker } m$ intersects the space $\langle G_L \rangle$ in 0 (cf. (2.14)).

For $r = 1$ or 2 $\langle G_L \rangle = G_L$. For $r = 3$ (resp. 4), G_L has dimension 4 (resp. 6) and $\langle G_L \rangle$ has dimension 6 (resp. 10). As $\langle G_L \rangle \cap \text{Ker } m = 0$, these are also the dimensions of the images of G_L and $\langle G_L \rangle$ by m . Therefore G_L and G_L must intersect. By (2.12), this means

that there are one dimensional linear subseries of $(L_i H^0(L_i))$ such that the corresponding fixed parts F_i and ramification divisors R_i satisfy

$$(2.16.1) \quad R_1 + 2 F_1 + 2 D_1 = R_2 + 2 F_2 + 2 D_2$$

Where D_i denotes the fixed part of $(L_i, H^0(L_i))$. Moreover for $r = 1$ or 2 the one-dimensional series may be assumed to be generic in $H^0(L_i)$, so $F_i = 0$.

This pair of linear series gives rise to the morphism $(f_1, f_2) : C \rightarrow P^1 \times P^1$ ramified over the divisor R of points shared by R_1 and R_2 . All we need to prove is that this morphism is birational. Then the proof is finished as in (2.13) by application of (1.5).

By (1.4) (f_1, f_2) is not composed with a non-rational involution.

In case $r = 1$ and 2 , $D_1 = D_2 = 0$ by (2.11) and we found already $F_1 = F_2 = 0$. If the morphism were composed with a rational involution, then $L_1 = L_2$ contradicting the hypothesis.

We study now the case $r = 3$, the case $r = 4$ being similar will be left to the reader. Assume (f_1, f_2) could be factored as

$$C \xrightarrow{I} P^1 \rightarrow P^1 \times P^1$$

where I has degree k and the second morphism has bidegree (n_1, n_2) .

If $n_1 = n_2 = 1$, then $R_1 = R$ and from (2.16.1) $F_1 + D_1 = F_2 + D_2$. As

$$L_i = 1^* \sigma_{\mathbb{P}^1}(1) + F_i + D_i,$$

this gives $L_1 = L_2$ and contradicts the hypothesis.

If $n_1 = 1, n_2 \geq 2$, then $R_2 = R_1 + \sum A_i$ where each A_i is the divisor of a fiber of L and there are at least two different A_i in the summation. Now (2.16.1) is

$$2F_1 + 2D_1 = \sum A_i + 2F_2 + 2D_2,$$

therefore all points in A_i are counted with multiplicity at least 2 and so they appear in the ramification divisor of l . It follows that the set of coverings of \mathbb{P}^1 such as l depend on at most $2g + k - 5$ moduli. As $k \leq (1/2)(g-1)$ and $\dim M \geq 3g - 9$, this implies $g \leq 9$ and in this case $k = 4$. Now for $g = 9$, one would have $L_2 = 1^* \sigma_{\mathbb{P}^1}(2)$. Therefore C would be contained in a quadric cone in \mathbb{P}^3 . But this is impossible by a moduli count (cf. (0.2) and [A, C] 3, Lemma (3.13)).

If $n_1, n_2 \geq 2$, then $L_i = \pi^* \sigma_{\mathbb{P}^1}(2) + T_i$ where T_i is effective. As $L_1 \neq L_2$ and $2L_1 = K = 2L_2$, $T_1 \neq T_2$ and $2T_1 \equiv 2T_2$. So C is $(g-2k)$ -gonal. This implies $2g - 5 + 2(g-1-k) \geq 3g - 9$. As C is also k -gonal, one finds $2g - 5 + 2k \geq 3g - 9$. Hence $k \geq 3, g \neq 9, g \leq 10$ and if $g = 10$ for all trigonal curves of genus 10, $6g^1_3 = K$ which is not the case (see the proof of (1.4)).

V. Conclusions for $r \leq 4$

(2.17) **Theorem.** The locus m^1_g (resp m^2_g) has pure codimension 1 (resp. 3) in m_g if $g \geq 3$ (resp $g \geq 5$) and a generic point of any of its components is a curve which has only one halfcanonical series of dimension 1 (resp 2 if $g \geq 6$). Moreover this halfcanonical series is not composed with an involution (resp. if $g \geq 6$) and has no fixed points.

Proof: The dimensionality statement follows from (0.2) and (2.13). The uniqueness of the halfcanonical series from (2.16).

For $r = 1$, (1.4) says that the series cannot be composed with a non-rational involution. If it were composed with a rational involution, then the dimension of the series would be at least 2, contradicting (2.15). For $r = 2$ the simplicity of the series is contained in (1.4).

From (2.5), the condition $\text{Ker } m = 0$ is equivalent to $h^1(N) = 0$. From (1.6) this is satisfied for $r = 2$ and it is obviously satisfied for $r = 1$. Then (2.11) gives the non-existence of fixed points.

We have obtained similar results for $r = 3$ and 4 that we sum up in the following theorem. We point out however that the bounds given on the genus for $r = 4$ are not the best possible and could be improved by ad hoc methods.

(2.18) **Theorem.** The locus m^3_g (resp. m^4_g) has pure codimension 6 (resp. 10) if $g \geq 8$ (resp. 26). If $g \geq 9$ (resp. $g \geq 38$), a generic point in a component of this locus has only one half-canonical series of dimension 3 (resp. 4) and this gives rise to a birational morphism in P^3 (respectively P^4).

Appendix. Irreducibility of G^1_d

We include a proof of this fact here because we have not been able to find a proper reference in the literature.

Let $p : X \rightarrow S$ be a family as in (1.1). Choose a d such that $\rho(g, d, 1) = 2d - g - 2 < 0$. We want to prove that G^1_d is irreducible. We shall assume that this is not the case and reach a contradiction.

(3.1) It is known that G^1_d is non-singular and has dimension $2d + 2g - 5$ if $g \geq 2$ (cf. [A, C] 1, p. 35).

As the set of d -gonal curves $m^1_{g,d}$ is irreducible of dimension $2g + 2d - 5$ if $g \geq 2$ and a generic d -gonal curve has only one linear series g^1_d ([A, C] 2 Th. 2.6), there is exactly one component of G^1_d projecting onto $m^1_{g,d}$.

Consider a component G of G^1_d not projecting onto $m^1_{g,d}$. We claim that a generic point of

G is a linear series without fixed points. Otherwise if k were the number of fixed points of a generic series in G , then $\dim G = \dim G^1_{d,k} + k$ and this contradicts (3.1).

Replace S by the image of G in the S above by means of the natural map and denote by q the morphism $G \rightarrow S$. Now $\dim S \leq \dim m^1_{g,d} - 1 \leq 2g + 2d - 6$, and therefore, by (3.1) the dimension of the fibers of q is $a \geq 1$.

Write $G \times_S G = \cup T_i$ the irreducible components of the product. The fibers of the surjective morphism $G \times_S G \rightarrow S$ are the product of the fibers of q , hence their generic dimension is $2a$. Therefore there is one T_i , say T , projecting onto S with generic fiber of dimension $2a$. Consider the pull-back diagram

$$\begin{array}{ccc}
 & & q_1 \\
 & T & \rightarrow G \\
 q_2 \downarrow & & \downarrow q \\
 G & \rightarrow & S \\
 & & q
 \end{array}$$

As T projects onto S , so does the image of T by q_2 . Therefore the dimension of the generic fiber of q_1 is at most the dimension of the fibers of q . Hence,

$$\begin{aligned}
 \dim S + 2a = \dim T &\leq \dim q_1(T) + \dim \text{fiber } q_1 \leq \dim q_1(T) + \dim \text{fiber } q = \dim q_1(T) + a \leq \\
 &\leq \dim G + a = \dim S + 2a.
 \end{aligned}$$

Hence $q_1(T) = G$ and similarly $q_2(T) = G$ and as generic point of T corresponds to a pair of linear series which have no fixed points (as this happens for the generic point in G). Moreover, as $\dim T = G + 2a > \dim G$, T is not contained in the diagonal of $G \times G$ and the two linear series in the pair are different.

Consider the morphism $f: C \rightarrow P^1 \times P^1$ associated to this pair of linear series.

Assume f were birational. Then, by [A, C] 2, prop. (2.4), $\dim T = g + 4d - 7$. Hence $\dim G = g + 4d - 7 - a$. This, together with (3.1), gives $1 \leq a = 2d - g - 2$ contradicting the hypothesis $\rho < 0$.

Therefore f is composed with an involution, i.e., f may be factored

$$C \xrightarrow{I} C' \rightarrow \mathbb{P}^1 \times \mathbb{P}^1$$

where I has degree $m \geq 2$, C' has genus g' and the two rulings of $\mathbb{P}^1 \times \mathbb{P}^1$ cut linear series on C' of degree d/m whose pull-back to C are the two series on C considered above. Hence

$$\dim T \leq \dim T' + [2g - 2 - m(2g' - 2)]$$

where T' is a component of $G^1_{d/m} \times G^1_{d/m}$ for curves of genus g' whose general point gives rise to a birational morphism of C' in $\mathbb{P}^1 \times \mathbb{P}^1$ and the second summand is the number of moduli of an m -cover of C' of degree g .

If $g' \geq 2$, by [A, C] 2 prop (2.4)

$$\dim T' = g' + 4d/m - 7$$

Hence $\dim G = \dim T - a \leq 2g + (1 - 2m)(g' - 1) + 4d/m - 9 < 2g + 2d - 5$ and this contradicts (3.1).

If $g' = 0$, $C' = \mathbb{P}^1$. Then $m < d$ because, otherwise $g^1_d = \pi^* \sigma \mathbb{P}^1(1) = h^1_d$.

Hence $\dim G \leq \dim G^1_m + \dim G^1_{d/m}(\mathbb{P}^1) - 2g + 2m - 5 + 2(d/m - 1) < 2g + 2d - 5$.

Similarly, if $g' = 1$, C' is elliptic and

$$\dim G \leq \dim m_1 + \dim G^1_{d/m}(C') + 2g - 2 = 2g + 2d/m < 2g + 2d - 5.$$

in both cases this contradicts (3.1).



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