

Single-Molecule Transport of Fullerene-based Curcuminoids

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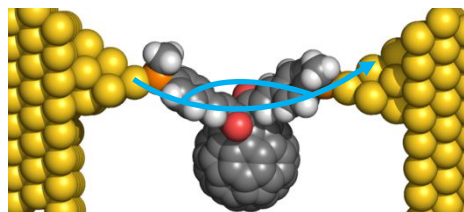
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12 ABSTRACT
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14 We present experimental and theoretical studies of the single-molecule conductance
15 through non-planar fullerocurcuminoid molecular dyads in ambient using the
16 mechanically controllable break junction technique. We show that molecular dyads with
17 bare fullerenes form configurations with conductance features related to different
18 transport channels within the molecules, as identified with filtering and clustering
19 methods. The primary channel corresponds to charge transport through the
20 methylthio terminated backbone. Additional low-conductance channels involve one
21 backbone side and the fullerene. In fullerenes with attached four equatorial diethyl
22 malonate groups the latter transport pathway is blocked. Density Functional Theory
23 calculations corroborate the experimental observations. In combination with Non-
24 Equilibrium Green Functions the conductance values of the fullerocurcuminoid
25 backbones are found to be similar to those of a planar curcuminoid molecule without
26 a fullerene attached. In the non-planar fullerocurcuminoid systems the highest-
27 conductance peak occurs partly through space, compensating for the charge delocalization loss
28 present in the curcuminoid system.
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46 KEYWORDS: molecular electronics, single-molecule conductance, quantum charge
47 transport, curcuminoids, fullerenes, quantum chemistry calculations.
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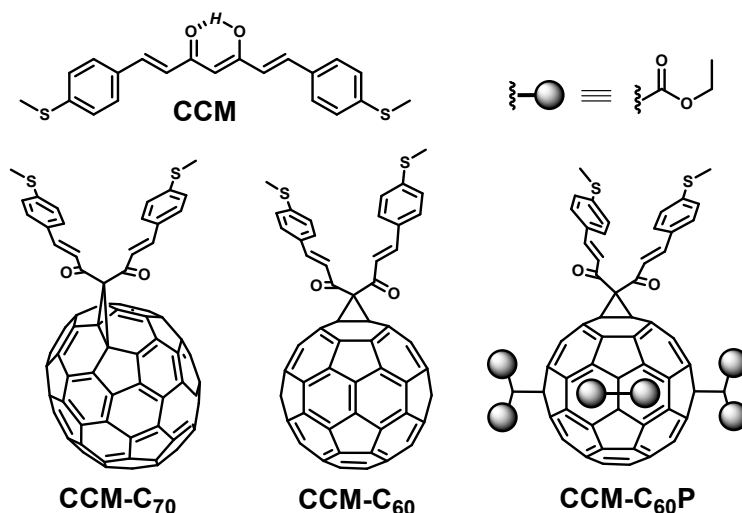


Due to their unique electronic properties, C_{60} and its derivatives represent ideal candidates for molecular-based devices.^{1,2} Thus far, the synthesis of a wide variety of fullerene derivatives have been reported and their potential applications as electronic, magnetic, catalytic, biological and optical materials have been investigated.³⁻⁹ At the single-molecule level, establishing robust electronic functionalities in fullerene derivatives connected to gold electrodes have, however, proven to be a challenging task. Pristine C_{60} has been studied using both scanning tunneling microscope break-junctions¹⁰ and mechanically controllable break junction (MCBJ) techniques.^{11,12,13} Conductance values around $0.1 G_0$ have been reported, where G_0 is the quantum of conductance equaling $2e^2/h = 77.48 \mu S$, where e is the elementary charge and h is Planck's constant, in agreement with theoretical calculations.^{14,15}

Taking advantage of its affinity to metals, various groups have studied 'dumbbell' benzene-difullerene derivatives in which C_{60} 's are placed at both ends of the molecule and act as the anchoring groups to the metal leads.^{16,17,18} A conductance value around $10^{-4} G_0$ was reported in these cases. The lower conductance value compared to that of pristine C_{60} was attributed to a charge transport limiting barrier created by the nitrogen atoms of the pyrrolidine bridging the benzene backbone to the C_{60} end groups. A more recent study of a dumbbell fullerene derivative shows two different electronic transport configurations, one assigned to transport through the molecular

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3 bridge and the second, at higher conductance values, ascribed to a single C_{60} anchoring
4 group trapped between the two adjacent electrodes.¹⁹ Additional experimental studies
5 of amino²⁰ and diazofluorene²¹ C_{60} terminated derivatives also show multiple
6 conductance configurations.
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11 Here, we present experimental and theoretical charge transport studies of the single-
12 molecule conductance through fullerocurcuminoid (CCM- C_{70}/C_{60}) molecular dyads (see
13 Fig. 1). Me-S terminated CCM's were chosen because they form stable and well-defined
14 molecular junctions.^{22,23} Three systems with the CCM skeleton connected to a C_{70} , C_{60} and
15 to a C_{60} fullerene all-equatorial tetramalonate derivative (CCM- C_{70} , CCM- C_{60} and
16 CCM- $C_{60}P$, respectively) have been investigated. In the latter, four equatorial diethyl
17 malonate groups were added to the C_{60} . The ethyl esters in these groups are expected
18 to have a high contact resistance and low affinity for gold, thus modifying the
19 interaction between the fullerene moiety and the electrodes in comparison with the
20 non-equatorially modified molecule (CCM- C_{60}). We find that the presence of the
21 fullerenes attached to the CCM backbone lowers the conductance only slightly with
22 respect to the single CCM's without fullerenes attached to them. A priori the results
23 were unexpected, due to the dramatic conformational changes that occur to the
24 curcuminoid backbone in CCM- C_{70} , CCM- C_{60} and CCM- $C_{60}P$, where the CCM
25 structure changes from almost planar to one with a distinct V-shape in the
26 fullerocurcuminoid systems, with a corresponding change in charge transfer pathway
27 (see Fig. 1). In addition, the two unprotected fullerene-based derivatives show
28 multiple junction configurations at lower conductance values, attributed to junction
29 formation between one of the Me-S groups and the respective fullerene moiety; this
30 second charge transport pathway is not found for CCM- $C_{60}P$, showing that the diethyl
31 malonate groups effectively disrupt the direct interaction of the fullerene to the gold
32 electrodes.
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20 **Figure 1.** Chemical structures of the curcuminoid (CCM) derivatives used in this
21 study.
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25 CCM-C₇₀ and CCM-C₆₀ were synthesized following a reported procedure.²⁴ CCM-C₆₀P
26 was synthesized in a multistep topologically controlled approach,²⁵ see supporting
27 information for the detailed synthesis. The conductance of the CCM-fullerenes (Fig. 2)
28 was measured in air at room temperature using the MCBJ technique explained in more
29 detail elsewhere.^{26,27} Briefly, a narrow gold constriction is fabricated by e-beam
30 lithography on a flexible substrate, underetched and subsequently mounted in a set-
31 up equipped with a three-point bending mechanism. Bending the substrate causes the
32 suspended gold wire to stretch until a single gold atom connects two thicker parts of
33 the gold wire. Further bending results in a breaking of the wire thereby forming two
34 sharp electrodes. The single-gold atom termination can be observed in a conductance
35 vs. electrode displacement trace (breaking trace) as a short plateau around 1 G₀. A
36 sudden drop in conductance to about 10⁻³ G₀ signals the rupture of the gold wire. This
37 point is defined as the zero displacement in a breaking trace. After the initial opening
38 of the junction, the electrodes are moved further apart, and the conductance is
39 recorded until the measured value reaches the noise level. When this sequence has
40 been finished, the electrodes are fused forming a continuous wire again with a
41 conductance of more than 20 G₀ and the whole process is repeated in an automated
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3 Prior to the deposition of the molecule of interest, each experiment starts by
4 characterizing the bare device. A bias voltage of 0.1 V is applied across the gold wire
5 and the current passing through it is measured while repeatedly opening and closing
6 the junction. Devices are used for molecule measurements only if they show just
7 vacuum tunneling behavior and a clear sign of single-gold atom contact, i.e., a clear
8 $1 G_0$ plateau. A 30 μM solution with the molecule under investigation is then prepared
9 by dissolving the starting compound in dichloromethane. Two 2 μL droplets of the
10 solution are drop-casted and dried on the freshly characterized device; subsequently,
11 thousands of consecutive breaking traces are measured in an automated way.
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23 To facilitate the identification of molecular traces, we applied two types of statistical
24 analyses: a filtering method (method I), based on conductance plateau recognition, and
25 an unsupervised clustering²⁸ method (method II), both described in the SI. Figure 2
26 displays filtered (method I) two- (Fig. 2a,c,e) and one- (Fig. 2b,d,f) dimensional
27 conductance histograms for CCM-C₇₀, CCM-C₆₀ and CCM-C₆₀P, respectively. Datasets
28 are composed of breaking traces from individual junctions recorded with the same
29 settings. Insets of Fig. 2a,c,e represent 2D histograms constructed from the traces that
30 were excluded in the filtering procedure displaying the empty junctions. The sum of
31 the two histograms, thus, constitutes the complete data sets, which can be found in
32 Fig. S7.
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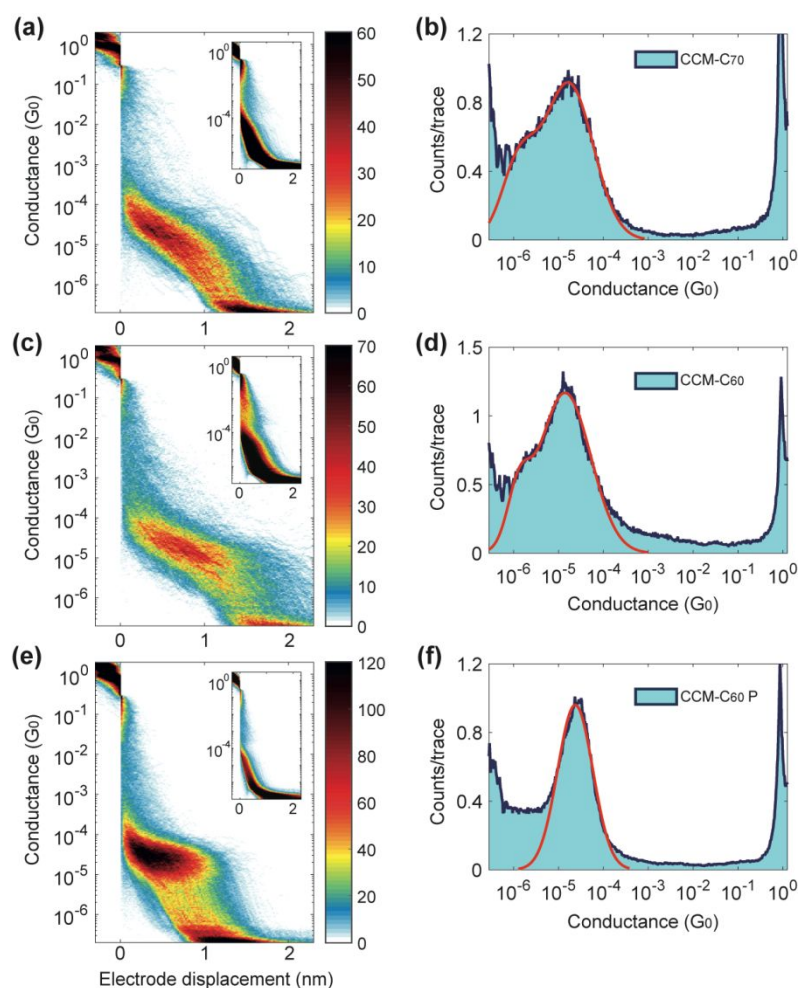


Figure 2. a), c), e). Two-dimensional conductance histograms built from filtration of 10.000 consecutive breaking traces recorded after drop-casting a solution containing CCM-C₇₀, CCM-C₆₀ and CCM-C₆₀P, respectively. For the selection of the traces, method I was used. The insets show all the traces that were excluded in the filtering procedure. The applied bias voltage was 100 mV and the measurement speed was 6.0 nm/s. b), d), f). Normalized one-dimensional histograms obtained by integrating the breaking traces along the displacement axis of the filtered data in the corresponding 2D histograms (light blue areas) of CCM-C₇₀, CCM-C₆₀ and CCM-C₆₀P, in that order. The red lines correspond to log-normal fits, which define the most probable conductance values listed in Table S1.

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3 The 2D histograms in Fig. 2a,c,e show high-counts regions between 10^{-4} and $10^{-5} G_0$,
4 which extend up to lengths of 1–1.5 nm. For CCM-C₇₀, the counts are mostly
5 concentrated around two values and the log-normal fit to its one-dimensional
6 histogram (Fig. 2b) indicates that the corresponding most probable conductance values
7 (using method I, see Table S1) are 1.7×10^{-5} and $1.3 \times 10^{-6} G_0$. Log-normal fits of the
8 one-dimensional histogram of CCM-C₆₀ (Fig. 2d) result in two similar values for the
9 most probable conductances: 1.4×10^{-5} and $1.3 \times 10^{-6} G_0$. In contrast, for CCM-C₆₀P, the
10 1D histogram of Fig. 2f only shows one clear peak, with a conductance value of $2.4 \times$
11 $10^{-5} G_0$. The analysis of conductance histograms shows that the most prominent and
12 highest conductance peak found for all three molecular junctions is the one close to 2
13 $\times 10^{-5} G_0$. We attribute this value to the conductance through the Me-S terminated flat
14 CCM backbone which equals $3.9 \times 10^{-5} G_0$.²²

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16 Initially, the close resemblance of the conductance values to the original CCM, without
17 fullerene substituents, was unexpected considering the different conformation of the
18 fullerene substituents, was unexpected considering the different conformation of the
19 fullerene-based CCM skeleton (see Fig. 1 and optimized structures in Figs. S16).
20 Specifically, in the CCM flat molecule with an enol structure, the two oxygen atoms
21 are close due to the intramolecular hydrogen bonding (Fig. 1, top). Instead, the CCM-
22 C₆₀ system presents a di-keto moiety (same for CCM-C₇₀ and CCM-C₆₀P) displaying a
23 V-shape. The central carbon of this CCM skeleton is the apex and in opposite direction
24 the two remaining halves of the molecule contain each a keto group (Figs. 1 and S16).
25 It is also worth to note that the central carbon of the isolated CCM is involved in a
26 delocalized π bond while it has sp^3 hybridization in the fullerocurcuminoid systems.
27 Analysis of conductance traces (Fig. S12) corresponding to the fullerocurcuminoid
28 systems are slightly shorter than those of the CCM molecule, in agreement with their
29 modified V-shape structures. The additional lower-conductance peaks in CCM-C₇₀
30 and CCM-C₆₀ are longer and more slanted therefore they may be attributed to
31 molecular junctions formed between one side of the Me-S backbone and the fullerene
32 moieties. CCM-C₆₀P traces, on the other hand, show more straight plateaus (see Fig.
33 S12).
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5 Additional insight from the conductance histograms can be obtained by performing a
6 clustering analysis on the breaking-trace data sets (method II, see Table S2). For the
7 three cases, the highest yield class (class 1) for each molecule corresponds to the
8 conductance values close to those extracted using method I in the region of $1-4 \times 10^{-5}$
9 G_0 . It is worth noting that the highest yield class, considering the three systems, is class
10 1 of CCM-C₆₀P, probably helped by the non-coordinative nature of bulky substituent
11 leading to a higher number of measurements with the electrodes anchored to the two
12 Me-S groups. In CCM-C₆₀ (Fig. S10c) the traces identified in the class 3 exhibit a broad
13 distribution with conductance traces that decrease in value upon stretching (see Fig.
14 S13 for example, breaking traces). Together with the small displacement involved and
15 the relatively high conductance, this may indicate that the junction forms
16 configurations mainly via the fullerene cage, most involving its sliding along the gold
17 electrode. For CCM-C₇₀ similar high conductance features are found albeit at lower
18 yield. Interestingly, the clustering does not show an additional low conductance
19 feature as found in the filtering with method I. Further clustering of class one may be
20 needed but for the analysis presented, however we have restricted the analysis to a
21 maximum of four classes. The most important observation is the absence of clear low-
22 or high conductance features for the CCM-C₆₀P derivative thereby reinforcing the
23 hypothesis that the pathway through the fullerene moieties is obstructed.
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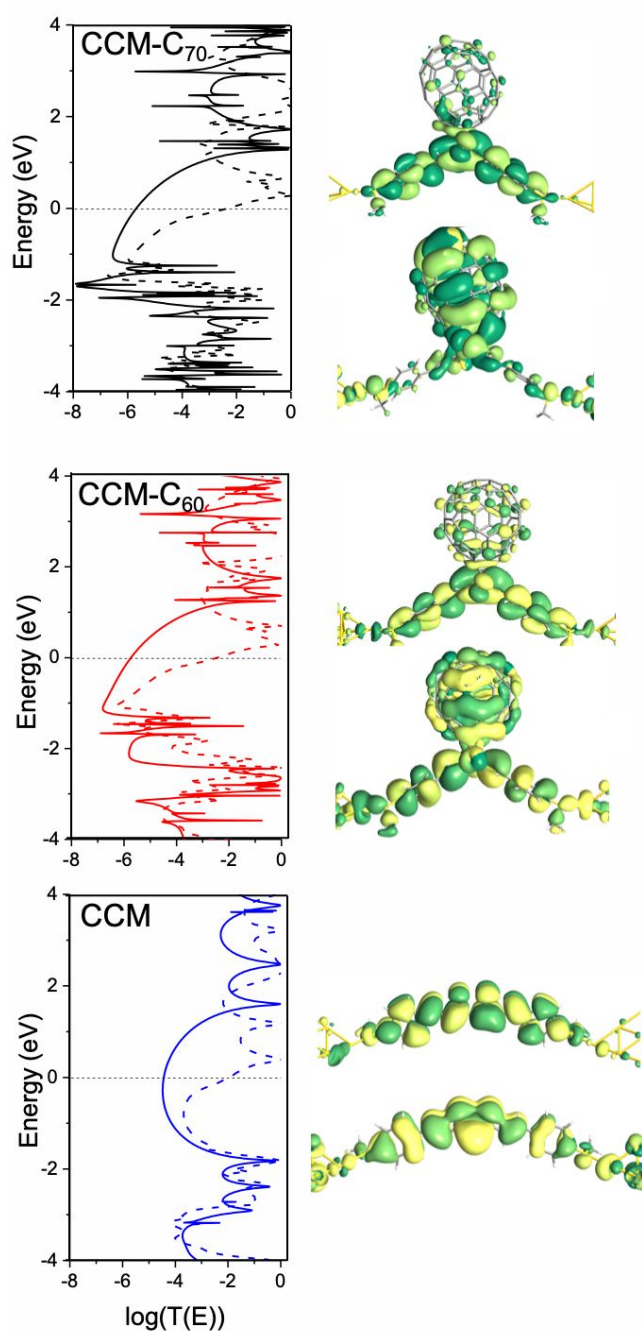
45 DFT and non-equilibrium Green Function (NEGF) calculations (see Computational
46 details section) were performed to understand why the highest conductance values of
47 the CCM-fullerene family are similar to that of the CCM system. Table S3 shows the
48 calculated conductance values for the CCM-C₇₀, CCM-C₆₀ and CCM systems. A well-
49 known drawback of the used PBE functional is that it provides small HOMO-LUMO
50 energies, resulting in an overestimation of the conductance values, but giving reliable
51 trends. The calculated conductance values for the most stable conformation in the
52 junction through the two methylthio groups of the CCM for the CCM-C₇₀, CCM-C₆₀
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3 and CCM systems show similar values, being slightly larger for the single CCM, in
4 agreement with the experimental data. A V-shaped curcuminoid without the fullerene
5 was also calculated, giving the same conductance value as the complete structure. This
6 result corroborates the fact that the fullerene only plays a structural role distorting the
7 planar CCM moiety while its contribution to the current is negligible. The
8 perpendicular orientation of the methyl groups at the ends of the CCM species in all
9 cases is the most stable structure when the molecules are coordinated to the electrode
10 (see Figs. S15 and S16), while the coplanar orientation is the most favorable
11 conformation for the isolated molecules.

12
13 Figure 3 displays the transmission curves and transmission eigenfunctions showing
14 that the transport is mainly due to the LUMO channel. Transmission eigenfunctions with
15 a defined transmission ($0 \leq T \leq 1$) which are obtained from the diagonalization of the
16 transmission matrix. The total transmission is the sum of the eigenvalues of all transmission
17 eigenfunctions.²⁹ As expected, the calculated transmission curves using non-periodic
18 models and hybrid B3LYP functional (see Fig. 3) show a larger HOMO-LUMO gap,
19 however, the LUMO is still mainly responsible for the transport properties. The
20 position of the LUMO orbital with respect to the Fermi level for all the systems is
21 relatively similar (Fig. 3), and consequently, they lead to comparable conductance
22 values (see Table S3 and details in SI). In the case of the CCM molecule, the analysis of
23 the transmission eigenfunctions corresponding to the LUMO shows a delocalized π
24 distribution along the whole molecule. For the CCM-fullerene systems, delocalization
25 is interrupted by the central sp^3 carbon. The transmission eigenfunction appears
26 delocalized along the whole backbone consistent with the conductance values found
27 for such types of organic systems.

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29 In addition, a detailed analysis of the calculated conductance values in Table S3 reveals
30 two noticeable features: (i) There is an important influence of the relative orientation
31 of the terminal methyl groups for the transport properties (see transmission curves
32 calculated with the PBE functional for both conformers, coplanar and perpendicular
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for CCM-C₇₀, CCM-C₆₀ and CCM, Fig. S17). Basically, there is a shift to low energies of all the levels corresponding to the perpendicular conformer. Thus, the LUMO level is closer to the Fermi level for the perpendicular orientations leading to an increase of the conductance when compared with the coplanar case. In this regard, we have already shown that the orientation of the methyl groups of the anchoring Me-S ligands plays a key role in some systems.²² (ii) In the CCM system the central keto-enol unit favors π -delocalized systems with an odd number of atoms in the backbone showing π delocalization over the whole molecule due to the central keto-enol (C₃O₂H) moiety.



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3 **Figure 3.** Transmission curves for CCM-C₇₀, CCM-C₆₀ and CCM derivatives calculated
4 with the Artaios code using a non-periodic model with a wide band limit approach
5 and B3LYP functional (continuous line) and with the ATK code using periodic models
6 and the NEGF approach and PBE functional (dashed line). Transmission
7 eigenfunctions of the PBE results were plotted for the frontier orbitals. The
8 transmission eigenfunctions are obtained by diagonalizing the transmission matrix
9 and the corresponding eigenvalues indicate the importance of each eigenstate in the
10 transport. As it is a complex wavefunction, the color map indicates the phase of the
11 function, represented from 0 to 2π by dark green to yellow colors. The isovalue
12 employed for the isosurface was 0.3.
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27 We have also analyzed the transmission pathways (Fig. 4) to gain insight into the
28 transport mechanism considering the structural differences observed among the
29 systems. Transmission pathways are calculated by the projection of the total transmission in
30 pair contributions between atoms employing localized orbitals. This decomposition can be
31 represented in real space by arrows indicating forward and backward current flow.³⁰ We find
32 that the transport mechanisms are different for the flat CCM in comparison to the non-
33 planar fullerocurcuminoid systems. In the CCM system, transport is directly through
34 the neighboring carbon atoms but with some loss of current due to the two oxygens
35 coordinated to the carbon backbone (destructive interference). However, in the
36 fullerocurcuminoid systems transport through each keto unit is maintained as in the
37 flat molecules, but with a direct tunneling pathway in the central region between the
38 two external carbons of the C₃O₂ diketo unit (Fig. 4). This pathway, assisted by the
39 shorter S...S distance due to the bending of the CCM system compensates for the non-
40 flat structure of the CCM and results in a similar conductance value to that of the flat
41 CCM system. In short, in the conjugated regions the electrons are travelling through
42 the bonds, but in the non-conjugated parts of the molecule, i.e., the central carbon of
43 the fullerocurcuminoid, the electrons are flowing around the bonds.³¹
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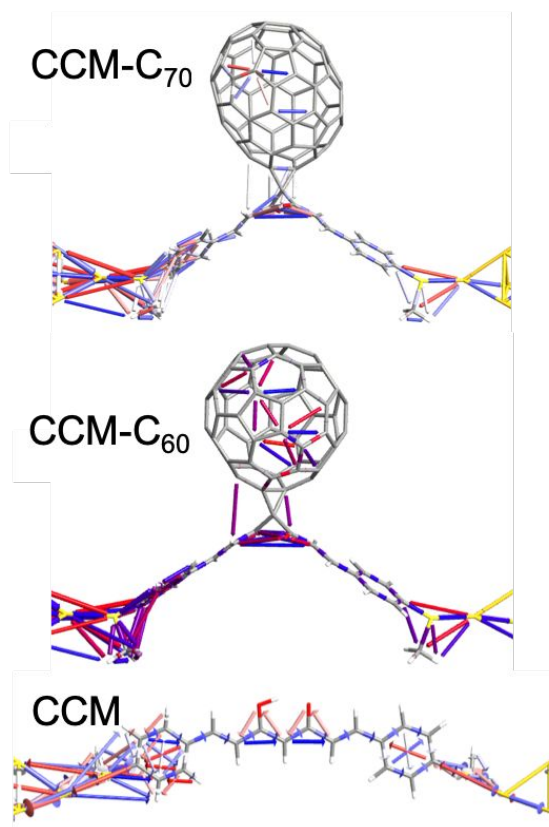


Figure 4. Transmission pathways for the systems based on CCM-C₇₀, CCM-C₆₀ and CCM. The color of the arrows (in CCM) indicates the angle of the direction of the transport, blue (0°, forwards) and red (180°, backwards), respectively and intermediate colors (0-180°). The width of the arrow is proportional to the magnitude of the transport. A threshold value of 0.0005 was employed.

Finally, the lowest conductance region has been explored by analyzing the sliding process of the gold electrode on the fullerene surface. For that, a total of three optimized structures taking into account the displacement of electrodes with respect to the molecule have been calculated (see Fig. S18). The decrease found in the calculated conductance values reproduces the experimental trend for CCM-C₇₀ and CCM-C₆₀; such values decrease while the electrode-molecule distance increases, with the fullerene and one of the branches of the V-shaped CCM attached to the gold electrodes (Fig. S18). Logically, the sliding process is not found in the CCM-C₆₀P system as it is blocked by the malonate addends in agreement with the presence of horizontal plateaus in the experimental breaking traces plotted in Fig. S12.

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5 In conclusion, we demonstrated that fullerocurcuminoid molecular dyads can form
6 multiple stable molecular junction configurations with well-defined conductance
7 values and that equatorial diethyl malonate groups attached to the fullerene can block
8 the transport pathway through it. A surprising finding is that both, C_{70} and C_{60} , distort
9 the planarity of the Me-S terminated CCM molecule drastically, however the
10 conductance values through the dyads are very similar to the conductance of the
11 planar CCM (around $10^{-5} G_0$); our theoretical findings are in agreement with this
12 observation. On one hand, CCM is planar and conjugated but there is a loss of current
13 due to the two oxygens coordinated to the carbon backbone, which leads to destructive
14 interference. On the other, in the CCM-fullerene systems there is a direct pathway
15 between the two external carbons of the C_3O_2 diketo unit, assisted by the shorter S...S
16 distance due to the bending of the CCM system, which compensates for the non-flat
17 structure of the CCM. The stability of the MCBJ technique in combination with the
18 filtering and clustering methods applied have been key in unravelling these features
19 connecting structure with transport pathways.
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43 COMPUTATIONAL DETAILS

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47 Full consistent non-equilibrium Green function (NEGF) calculations^{32,33} were
48 performed using the ATK program (2016.3 version).³⁴ The PBE exchange-correlation
49 functional³⁵ was used together with numerical wavefunction of double- ζ quality with
50 polarization for all the atoms except the gold atoms using a single- ζ quality with
51 polarization. To calculate the conductance values assuming a linear regime, the
52 experimental bias value used in the break-junction measurements was 0.10 V. We have
53 thus corroborated the linear regime between current and bias, and therefore the
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3 conductance can be easily obtained as one calculated value for a non-zero bias. The
4 electrode structure employed in the calculations was a 2D 4x4 gold superlattice
5 including a tip of 10 gold atoms on each electrode while a 4x8 superlattice was
6 employed for the fullerene systems (see Fig. S16). A total number of 138 k-points was
7 used to calculate the energy and wavefunction properties, while a 23x15 2D grid was
8 used for transport properties. The distances between the anchoring S atoms to the gold
9 atom of the tip was fixed to a value of 2.49 Å for all the molecules. The molecules were
10 optimized with Gaussian09 code³⁶ using B3LYP functional³⁷ with a 6-311G* basis set.
11 The position of the methyl of the anchoring group is coplanar for the geometry
12 optimization of the isolated molecules but to reduce the repulsion with the gold
13 electrodes a perpendicular disposition to the molecular plane was adopted. To confirm
14 the role of the frontier orbitals in the transport (because GGA functionals show
15 limitations to calculate HOMO-LUMO energies), we performed non-periodic
16 calculations using hybrid density functionals. The transmission function was obtained
17 in a post-processing routine through the Artaios^{38,39} program. Hamiltonian and
18 overlap matrices needed for the calculation of the transmission function were obtained
19 using ORCA 4.0.1⁴⁰ (B3LYP functional/def2-SVP basis set.^{41,42,43} This transport code
20 allows calculations with more accurate hybrid functionals improving the orbital
21 energies but using non-periodic models with a rather simpler wide-band limit
22 approximation.⁴⁴ The fullerene-electrode contacts for the sliding process were
23 optimized by using the tight-binding approach proposed by Grimme *et al.*⁴⁵

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20 21 22 ABBREVIATIONS

23
24 CCM-C₇₀/-C₆₀ fullerocurcuminoid molecular dyads; CCM/C₆₀P, all-equatorial
25 tetramalonate C₆₀ fullerocurcuminoid molecular dyad; Me-S methylthio; DFT Density
26 Functional Theory; NEGF
27 Non-Equilibrium Green Functions; MCBJ mechanically controllable break junction.

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