

## The LEAD (Lung, Heart, Social, Body) Study: Objectives, Methodology, and External Validity of the Population-Based Cohort Study

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### ABSTRACT

**Background:** The Lung, hEart, sociAl, boDY (LEAD) Study (ClinicalTrials.gov; NCT01727518; <http://clinicaltrials.gov>) is a longitudinal, observational, population-based Austrian cohort that aims to investigate the relationship between genetic, environmental, social, developmental and ageing factors influencing respiratory health and comorbidities through life. The general working hypothesis of LEAD is the interaction of these genetic, environmental and socioeconomic factors influences lung development and ageing, the risk of occurrence of several non-communicable diseases (respiratory, cardiovascular, metabolic and neurologic), as well as their phenotypic (ie, clinical) presentation.

**Methods:** LEAD invited from 2011–2016 a random sample (stratified by age, gender, residential area) of Vienna inhabitants (urban cohort) and all the inhabitants of six villages from Lower Austria (rural cohort). Participants will be followed-up every four years. A number of investigations and measurements were obtained in each of the four domains of the study (Lung, hEart, sociAl, boDY) including data to screen for lung, cardiovascular and metabolic diseases, osteoporosis, and cognitive function. Blood and urine samples are stored in a biobank for future investigations.

**Results:** A total of 11,423 males (47.6%) and females (52.4%), aged 6–80 years have been included in the cohort. Compared to governmental statistics, the external validity of LEAD with respect to age, gender, citizenship, and smoking status was high.

**Conclusions:** In conclusion, the LEAD cohort has been established following high quality standards; it is representative of the Austrian population and offers a platform to understand lung development and ageing as a key mechanism of human health both in early and late adulthood.

**Key words:** population based cohort; epidemiology; respiratory diseases

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### INTRODUCTION

Chronic respiratory diseases, such as chronic obstructive pulmonary disease (COPD) and asthma, are among the most prevalent, severe and costly human diseases. COPD currently is the 4<sup>th</sup> cause of death worldwide and it is expected to be the 3<sup>rd</sup> in a decade.<sup>1</sup> It affects about 10% of the adult population in European countries. However, estimates in Austria almost triple that figure.<sup>2,3</sup> Tobacco smoking is the main risk factor for COPD

in developed countries but recent epidemiological studies indicate that about a quarter of individuals with chronic airflow limitation are never-smokers, and that early life events can also contribute to its pathogenesis.<sup>4</sup> The influence of other environmental exposures either at work or at home, in urban and rural populations, is unclear.<sup>5</sup> Likewise, asthma is the most prevalent chronic disease in childhood and affects about 5% of the population (children and adults).<sup>6</sup> It is estimated that there are 300 million asthma patients worldwide.<sup>7</sup>

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Recently, attention has focused on lung developmental issues during pregnancy, infancy, and adolescence as important drivers of respiratory diseases later in life.<sup>8</sup> Both genetic<sup>9</sup> and environmental factors, such as active<sup>10</sup> and passive smoking,<sup>11</sup> environmental pollution,<sup>12</sup> recurrent bronchopulmonary infections,<sup>13</sup> socioeconomic status,<sup>14</sup> occupation, and diet, can alter normal lung. Low birth-weight is associated with lower lung function later in life.<sup>15,16</sup> Yet, the potential elements and pathogenic mechanisms that control and influence lung development are not well established.<sup>13,17,18</sup> The Framingham cohort study, among others, has shown that suboptimal lung function is the best predictor of respiratory and, of note, cardiovascular health during adulthood,<sup>19</sup> but the relationship between respiratory health and other health conditions is unclear.<sup>20</sup>

Non-communicable diseases (NCDs) are the major global health problem of the XXI century.<sup>21</sup> NCD's are caused by complex gene-environment interactions across the lifespan, from fetus to old age<sup>22,23</sup> and include, among others, chronic respiratory diseases, cardiovascular diseases, metabolic diseases, osteoporosis, and neuropsychiatric diseases. NCDs often coexist in the same patient.<sup>24</sup> For instance, cardiovascular diseases, skeletal muscle dysfunction, osteoporosis, metabolic syndrome, and depression are highly prevalent in patients with COPD<sup>25</sup> and contribute significantly to limit their quality of life and prognosis.<sup>26–30</sup> Asthma is also associated with increased prevalence of comorbidities, albeit they frequently remain undiagnosed.<sup>31</sup>

The LEAD (Lung, hEart, sociAl, boDy) study (ClinicalTrials.gov; NCT01727518; <http://clinicaltrials.gov>) is a longitudinal, observational, population-based cohort that aims to investigate the relationship between genetic, environmental, developmental, and ageing factors influencing respiratory health and comorbidities through life. The general working hypothesis of LEAD is that the interaction of gene-environmental and socioeconomic factors influences lung development, the risk of occurrence of several major respiratory and cardiovascular diseases and other NCD's, as well as their phenotypic (ie, clinical) presentation. Accordingly, the general goal of LEAD is to provide valid scientific information that contributes to better understand how genetic, environmental and socioeconomic factors influence: (1) the normal and pathologic lung growth, development and ageing (ie, the natural history of lung function in normal and pathological conditions); (2) the risk of development of major NCD's like COPD, asthma, cardiovascular diseases, metabolic diseases (as diabetes and metabolic syndrome), osteoporosis, and neuropsychiatric diseases (mental disorders including anxiety, depression and impaired cognitive function); and, (3) the phenotypic heterogeneity and complex of chronic respiratory diseases, COPD in particular, and their relation with coexisting comorbidities. Within this general framework, different specific projects of LEAD will develop their own working hypothesis and specific goals in four domains (Lung, hEart, sociAl, boDy). Here, we describe in detail the methodology used and the external validity (ie, representativeness of the general Austrian population) of LEAD.

## METHODS

### Ethics

The local Ethics committee of Vienna approved the study (protocol number: EK-11-117-0711). Participants signed informed consent; those for children under the age of 18 had to be signed by their parents/legal representative.

### Study design

LEAD (ClinicalTrials.gov; NCT01727518; <http://clinicaltrials.gov>) is a longitudinal, observational, population based cohort study with stratified samples from Vienna (urban population) and Lower Austria (rural population). Recruitment and first study visit started in February 2012 and finished in September 2016. A flow chart with numbers for initial recruitment, inclusion, and main measurements is presented as Figure 1. In total, 11,423 male and female, aged 6–80 years participated and completed the first visit, including main measurements as described in detail in Table 1.

LEAD is directed by a Steering Committee, consisting of four Austrian academic clinical physicians (Marie-Kathrin Breyer, Robab Breyer-Kohansal, Sylvia Hartl, and Otto Burghuber). An international advisory board of five international respiratory experts (Alvar Agusti, Torben Sigsgaard, Michael Studnicka, Claus Vogelmeier, and Emiel Wouters) was convened. All of them discussed and agreed on the design of the study and interpretation of the results and have full access to the LEAD database.

### Recruitment strategy

For the urban cohort, we used the national inhabitants' register to invite a randomized stratified sample (by age, gender, and residential area) of Vienna inhabitants to participate. For the rural cohort, due to the low total inhabitants' number, every registered inhabitant of six villages from Lower Austria was invited. Selected urban and rural participants received a personalized invitation letter. If the selected person did not respond within 30 days, a maximum of two other personalized invitation letter were sent at regular intervals. Due to the national Austrian data protection act, we did not have access to information on other contact details (eg, telephone number). Exclusion criteria were pregnancy, current breast feeding, or poor German language skills. Individuals participated voluntarily without any reimbursement.

### Follow-up

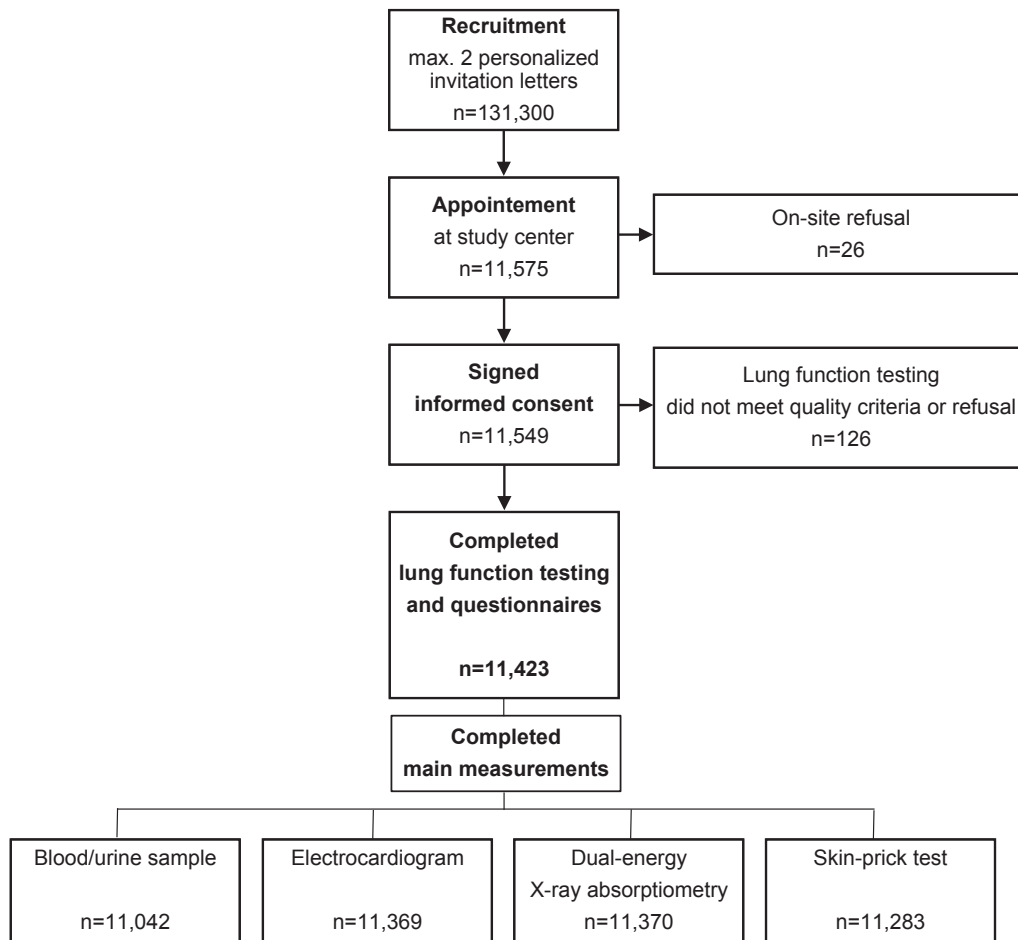
Every participant will be assessed every 4 years from individual's first study visit with all measurements described in detail in Table 1. In case of loss to follow-up, the national inhabitants' register provides information on emigration or death. As the study is designed longitudinally, the numbers of loss to follow-up will be substituted with re-recruitment (by age, gender, and residential area).

### Measurements

All measurements were performed at the LEAD study centre of the Ludwig Boltzmann Institute for COPD and Respiratory Epidemiology at the Otto Wagner Hospital in Vienna, Austria. Table 1 details all measurements obtained in each participant according to her/his age. To screen for major NCD's, a number of investigations and measurements were obtained in each of the four domains of the study (Lung, hEart, sociAl, boDy), as detailed in Table 1 and only briefly discussed below. Blood and urine samples were analyzed for routine clinical measurements and stored in a biobank for future investigations.

### Lung domain

Lung function measures included pre- and post-bronchodilation spirometry and static lung volumes, effort-independent measures



**Figure 1.** Flow chart of study population including invitation, inclusion, and main measurements.

of oscillatory resistance, and carbon monoxide lung diffusing capacity (DLCO). Measurements were obtained according to international recommendations<sup>32</sup> and reference values used correspond to those of the Global Lung Function Initiative (GLI).<sup>33</sup> Skin Prick Tests for major allergens (see Table 1) were obtained in every participant. Smoking history and exposure to environmental tobacco smoke (ETS) was recorded. History of respiratory diseases, allergy, and related medication from the individual and spouses, as well as respiratory symptoms, were collected using a questionnaire.

To investigate COPD and asthma in more detail, a subgroup of participants was invited for additional measurements. Every participant with a positive Skin Prick Test or doctor-diagnosed asthma or allergy or elevated blood eosinophils was re-invited for bronchial provocation and fractional exhaled nitric oxide (FeNO) testing and an Asthma Control Test<sup>TM</sup> (subgroup 1). Every participant with an forced expiratory volume in the first second (FEV<sub>1</sub>)/forced vital capacity (FVC) below Lower Limits of Normal by GLI<sup>33</sup> or below 70% was re-invited for a 6-minute walking test, COPD and health related questionnaires, and Alpha 1-Antitrypsin testing (subgroup 2). Details are explained in Figure 2.

### HEart domain

Cardiovascular measurements included arterial blood pressure, automated electrocardiogram, carotid femoral-pulse wave velocity, and blood pressure measurements at both the upper and the lower extremities. History of cardiovascular diseases and events

and related medication from the individual and spouses were collected using a questionnaire.

### Social domain

To evaluate environmental risk factors for chronic respiratory diseases, data from the Environment Agency Austria of seventeen monitoring units was used to determine the average concentrations of particulate matter 10 (PM10) and Nitrogen oxide (NO<sub>x</sub>) within 10 m<sup>3</sup> of every participant's home and workplace. A map showing the monitoring units in Vienna and the average exposure on PM10 and NO<sub>x</sub> in the year 2015 is presented as Figure 3. Socioeconomic status (income, education, occupation) of the individual or parents/legal representative (if underage) was collected. To study the presence of neuropsychiatric diseases such as anxiety, depression, and impaired cognitive function, we used standardized questionnaires and test modules (as detailed in Table 1).

### Body domain

To determine the presence of diabetes and metabolic syndrome, we measured fasting glucose in peripheral venous blood, glycated hemoglobin (HbA1c), body mass index, waist circumference, fat mass/fat free mass, blood lipid profiles, and blood pressure. The presence of osteoporosis was determined using dual-energy X-ray absorptiometry. History of diabetes, metabolic syndrome, and osteoporosis and related medication from the individual and spouses were collected using a questionnaire.

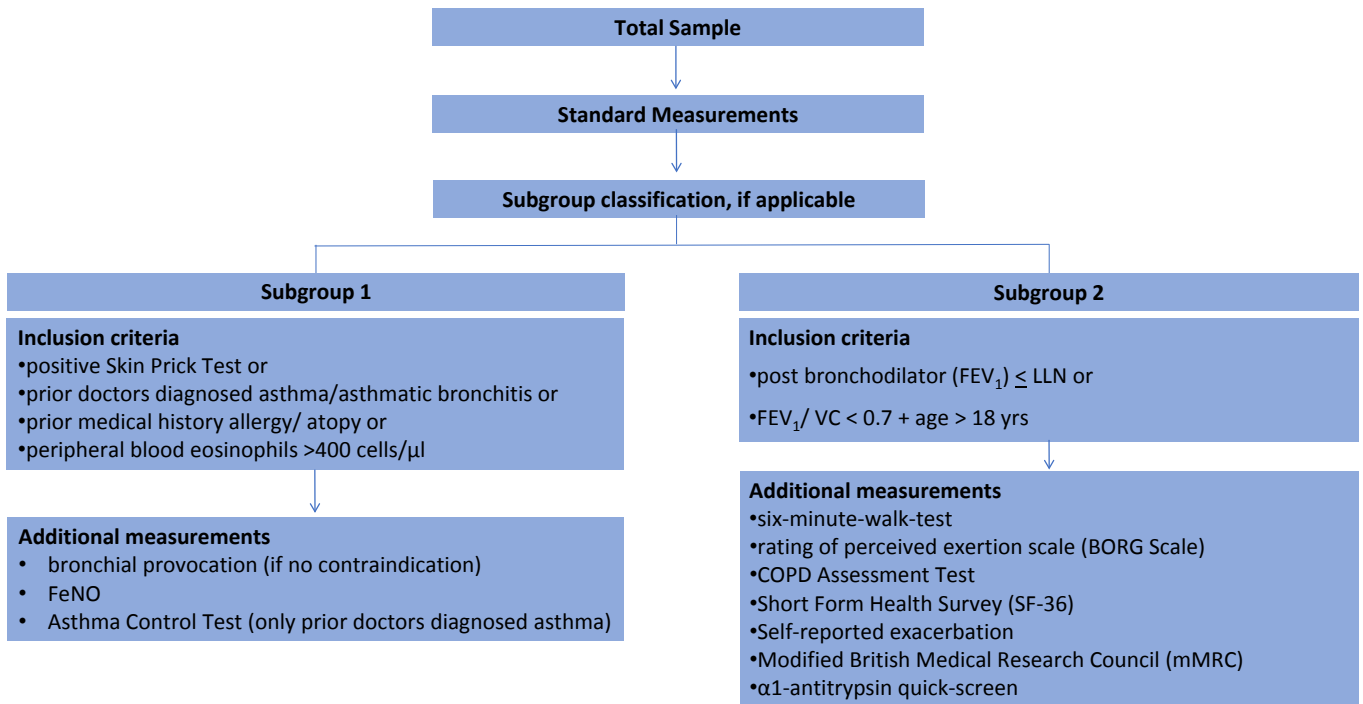
**Table 1.** Measurements and methods used in LEAD

Measurement	Description	Equipment
<b>Demographics and Anthropometrics</b>		
	Age, Gender, Height, Weight, waist circumference	Questionnaire
	Socioeconomic status (Income, education, occupation, religion, migration)	Questionnaire
	Community (Urban/Rural)	
	height, weight, waist circumference	
Pregnancy test (female, 12–60 years)	Human chorionic gonadotropin (hCG) in urine	Alere HCG R-20™
<b>Birth and infancy data</b>		
	Birth-weight	Questionnaire
	Prematurity	Questionnaire
	Diseases and co-morbidities in family (non-communicable diseases, Allergy/Atopy)	Questionnaire
	Other: father/mother's socioeconomic status, migration status, life-style, smoking history (pre-, peri- and post-pregnancy)	Questionnaire
<b>Exposures</b>		
	Individual smoking (Pack years, age start/quit smoking)	Questionnaire
	Environmental tobacco smoke (ETS): parents, grandparents, friends	
	Environmental tobacco smoke (ETS): at home, school, work	
	Data from the Environment Agency Austria of 17 monitoring units have been used in a calculated emission/combustion model for average concentrations of particulate matter 10 (PM10) and Nitrogen oxide (NO <sub>x</sub> ) within 10 m <sup>3</sup> of every participant's home and work place address	Calculation model of the Institute for Internal Combustion Engines and Thermodynamics, Graz University of Technology, Austria and the Municipal Department for Environmental Protection of Vienna <sup>48</sup>
<b>Allergies</b>		
Skin-prick test <sup>49</sup>	Skin prick with allergens for ash tree, tree pollens mix (hazel, alder, birch), 5-grass-mix, mugwort, ragweed, ribwort, mites mix, Alternaria, dog and cat dander	ALK-Abelló Allergie-Service G.m.b.H.
<b>Domain Lung</b>		
Respiratory symptoms	· Wheezing · Breathlessness · Sputum · Cough Ever diagnoses of Asthma, COPD, other respiratory diseases	Questionnaire
Spirometry	Pre- and post-bronchodilation	Carl Rainer GmbH, Austria™
Body plethysmography	Pre- and post-bronchodilation	Carl Rainer GmbH, Austria™
Oscillatory resistance from visit 2	Post bronchodilation	Resmon™ Pro Forced Oscillation Technique
Diffusing capacity, DLCO from visit 2	Single-breath post bronchodilation	Carl Rainer GmbH, Austria™
<b>Domain hEart</b>		
	Ever diagnoses of angina pectoris, stroke, congestive heart failure, arrhythmia, other cardiovascular diseases	Questionnaire
Arterial blood pressure <sup>50</sup>	By Sphygmomanometer	Hokanson S12™ and DS400 Aneroid™
12-lead electrocardiogram <sup>51</sup>	Cardiac infarction injury score	Cardiosoft, GE Healthcare®, Austria
Non-invasive applanation tonometry <sup>52</sup>	Arterial stiffness by carotid femoral-pulse wave velocity (PWV) and Augmentation time Index by pulse wave analysis (PWA)	SpygmoCor, Novomed®, Austria
Ankle-brachial index <sup>53</sup>	By sphygmomanometer and Doppler probe at upper and the lower extremities	ELCAT® GmbH, Germany
<b>Domain SociAl</b>		
LEAD questionnaire	children (age 6–10 years) adolescents (age 11–18 years) adults (age 19–80 years)	Composed of: 1. National Health and Nutrition Examination Survey <sup>54</sup> 2. ISAAC. The International Study of Asthma and Allergies in Childhood <sup>55</sup> 3. American Thoracic European Community Respiratory Health Survey <sup>56</sup>
Anxiety and Depression	age 10–15 years age >15 years	Children Anxiety and Depression scale <sup>57</sup> Hospital Anxiety and Depression Scale <sup>58</sup>
Quality of Life	by questionnaire	Study 12-item short form (SF-12) to assess health related quality of life <sup>55</sup> Study 12-item short form (SF-12) <sup>59</sup>
Cognitive function	by questionnaire age 15–70 years age >70 years	Perceptual speed intelligence test Mini Mental Status Test (MMST)
<b>Domain boDy</b>		
Hand Grip Test <sup>60</sup>	Hand and forearm muscular strength	Trailite, TL-LSC100™
Dual-energy X-ray absorptiometry DXA®	Fat and lean mass (upper limb, trunk, android, gynoid, lower limb), Bone Mineral Density (lumbar spine, femur) <sup>61</sup> Activity, diet, nutrition <sup>54</sup> Ever diagnoses of diabetes, osteoporosis, other metabolic diseases	Lunar Prodigy, GE Healthcare®, USA Questionnaire Questionnaire
<b>Biobank</b>		
Fasting venous blood sample	Standard blood chemistry incl. differential, platelet count and Immunoglobulin E. Biobank storage at Biobank Medical University of Vienna 48 µl Frozen Serum, 40 µl Plasma, 20 µl Urine	Samplosophy® data matrix sample tube rack system ISO 9001:2008 certified quality management system

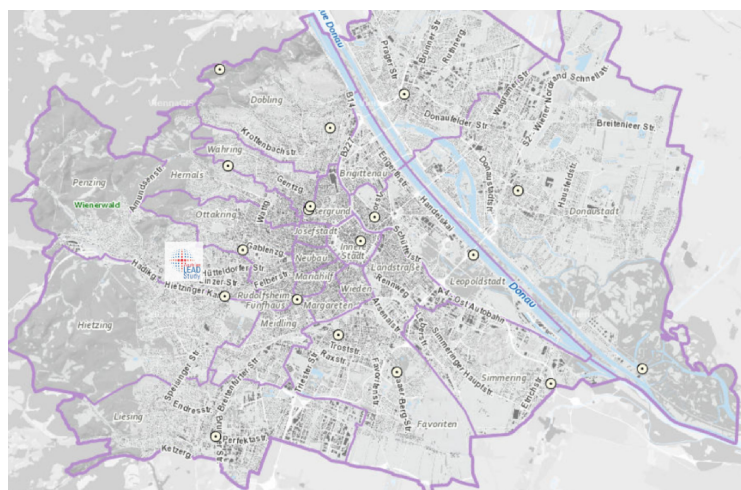
### Quality control

To guarantee optimal quality of the whole process, the following measures were implemented from scratch: (1) all clinical examiners (medical students) were trained by senior staff (medical doctors/lung function technicians) and were supervised regularly; (2) standardized protocols were predetermined for

every single measurement, based on international standards; (3) all questionnaires are interview based; (4) a web-tool was designed ([www.linkthat.eu](http://www.linkthat.eu)) that included control mechanism, which permits inconclusive data entry (eg, typing errors, implausible numbers), and all data from study equipment is uploaded directly by data transfer to avoid manual data input



**Figure 2.** Flow chart for additional measurements. FeNO Fractional exhaled Nitric Oxide; FEV<sub>1</sub> forced expiratory volume in the first second; VC Vital capacity; LLN lower limit of normal. References: Six-minute walking test (6MWT)<sup>62</sup> expressed as a percentage of the predicted distance<sup>63</sup> and perceived dyspnoea using the modified BORG dyspnoea scale.<sup>64</sup> CAT test; <http://www.catestonline.org>; 36-item short form (SF-36).<sup>65</sup> ACT; <https://www.asthmacontroltest.com/>.



	Geographical longitude	Geographic latitude	NOx 2015 (µg/m <sup>3</sup> )	PM10 2015 (µg/m <sup>3</sup> )
Vienna AKH	16° 20' 44.0"	48° 13' 10.3"	40,8	20,8
Vienna A23	16° 26' 4.4"	48° 12' 11.0"	64,4	22,9
Vienna Belgradplatz	16° 21' 41.1"	48° 10' 27.7"	51,0	21,3
Vienna Floridsdorf	16° 23' 49.0"	48° 15' 39.9"	42,2	21,1
Vienna Gaudenzdorf	16° 20' 21.6"	48° 11' 13.7"	49,4	21,8
Vienna Hermannskogel	16° 17' 50.1"	48° 16' 12.6"	12,7	-
Vienna Hietzinger Kai	16° 18' 0.1"	48° 11' 18.1"	122,5	-
Vienna Hohe Warte	16° 21' 25.5"	48° 14' 56.4"	31,7	-
Vienna Kaiser-Ebersdorf	16° 28' 33.8"	48° 09' 24.1"	40,0	20,4
Vienna Kendlergasse	16° 18' 35.1"	48° 12' 18.0"	43,1	21,9
Vienna Laaer Berg	16° 23' 34.5"	48° 09' 39.7"	43,1	19,6
Vienna Liesing	16° 18' 4.6"	48° 08' 28.5"	39,7	20,3
Vienna Lobau	16° 31' 32.2"	48° 09' 43.4"	17,0	17,4
Vienna Schafberg	16° 18' 5.6"	48° 14' 7.3"	20,9	18,6
Vienna Stadlau	16° 27' 30.0"	48° 13' 34.9"	42,0	21,4
Vienna Stephansplatz	16° 22' 23.7"	48° 12' 29.3"	36,3	-
Vienna Taborstraße	16° 22' 51.3"	48° 13' 0.3"	65,0	23,0

**Figure 3.** Monitoring units in Vienna, Austria and average PM 10 and NO<sub>x</sub> in the year 2015 [Reference from the following source: City of Vienna, Municipal Department MA 22 - Environmental Protection].

**Table 2.** Baseline characteristics LEAD study cohort, stratified by age and gender

	Children (6–<18 years) N = 1,345		Adults (≥18 years) N = 10,078	
	Male N = 732	Female N = 613	Male N = 4,701	Female N = 5,377
	Mean (SD) (N missing, if any)	Mean (SD) (N missing, if any)	Mean (SD) (N missing, if any)	Mean (SD) (N missing, if any)
Height, cm	152.3 (20.3)	149.6 (15.9)	177.5 (7.1)	164.2 (6.6)
Weight, kg	46.8 (19.3)	44.1 (15.3)	84.5 (14.2)	68.1 (14.0)
BMI, kg/m <sup>2</sup>	19.3 (4.1)	19.1 (3.9)	26.8 (4.3)	25.3 (5.2)
Waist circumference, cm	69.9 (12.4) (1)	68.3 (10.9) (1)	97.4 (12.7) (6)	88.5 (13.6) (14)
Smoking status	% [CI; 95%] (N missing, if any)	% [CI; 95%] (N missing, if any)	% [CI; 95%] (N missing, if any)	% [CI; 95%] (N missing, if any)
Never, %	96.6 [95.3–97.9]	97.1 [95.8–98.4]	38.1 [36.7–39.5]	49.1 [47.8–50.4]
Former, %	0.1 [0.0–0.3]	0.2 [0.0–0.6]	35.8 [34.4–37.2]	28.8 [27.6–30.0]
Pack years			22.8 (27.3) (10)	14.5 (18.7) (10)
Current, %	3.3 [2.0–4.6]	2.8 [1.5–4.1]	26.1 [24.8–27.4]	22.0 [20.9–23.1]
Pack years	1.3 (1.5) (2)	0.6 (0.9)	20.0 (21.3) (8)	16.0 (16.0) (10)
Former and current smokers with <20 pack years, %	3.2 [1.9–4.5] (2)	2.9 [1.6–4.2]	36.8 [35.4–38.2] (18)	36.1 [34.8–37.4] (20)
Former and current smokers with ≥20 pack years, %	none	none	25.0 [23.8–26.2] (18)	14.6 [13.7–15.5] (20)
Environmental tobacco smoke (ETS) at most days and/or nights of week for several hours				
In adolescents, %	17.8 [15.0–20.6] (2)	19.7 [16.6–22.8]	38.2 [36.8–39.6]	39.4 [38.1–40.7]
In adulthood, %	—	—	46.4 [45.0–47.8] (10)	49.5 [48.2–50.8] (7)

BMI, body mass index; CI, confidence interval; SD, standard deviation.

errors; (5) study monitoring is performed periodically and included extensive plausibility checks as well as regular monitoring reports of the interim data set; and, (6) special emphasis is placed on quality control of every measurement report, including a rigorous *post hoc* quality control of all original measurements by the steering committee.

### External validity

To determine the “representativeness” of the population included in LEAD compared to the Austrian population, we did extensive external validity testing. First, we compared LEAD results with those the most recent Austrian population data published by the Governmental Statistic Department in 2015.<sup>34</sup> Second, the LEAD study population was compared with the Austrian Governmental Microcensus in terms of age, gender citizenship, educational level, and smoking status.<sup>35,36</sup> The Microcensus is a randomly chosen Austrian household’s survey (quarterly sampled), in males and females aged 15–80 years, depicting socio-demographic details and, in a subsample, self-reported health status including smoking status. Due to the compulsory participation (denial punished by a fee) the Microcensus survey has a very high response rate (>99%) and high reliability.

### Statistical analysis

For this manuscript, continuous data are described using arithmetic means, standard deviations (SD) and ranges; binary and ordinal data by frequencies and percentages. In general, the level of significance ( $\alpha$ ) was set to 5% (Bonferroni-Holm correction applied whenever necessary). To analyze external validity (“representativeness”)  $\alpha$  was set to 0.1% (99.9% confidence intervals) to prevent wrongly significant results for confidence intervals caused only because of the large sample sizes. All statistical analyses have been performed using SPSS 24.0 (IBM Corp, Armonk, NY, USA).<sup>37</sup>

In future analysis of specific LEAD projects we plan to use knowledge-driven and data-driven (unbiased) analysis, including principal component analysis, cluster analysis, and network analysis, to understand the complexity and heterogeneity of the cohort and different subgroup of participants.<sup>22,38,39</sup>

## RESULTS

### Participants’ characteristics

Table 2 presents the major baseline characteristics of the LEAD study cohort stratified by age. We included 1,344 children age 6 ≤ 18 years (male 54.4%) and 10,079 adults ≥18 years (male 46.65%). Smoking exposure was high since almost one fifth of children aged 6 ≤ 18 years had been exposed to environmental tobacco smoke and more than half of the adult participants were former or current smokers (56%). Former male smokers have a higher exposure to cigarette smoke compared to current smokers; therefore, we stratified all smokers using pack years (PY) showing that 19.5% have or have had a high cigarette consumption (>20 PY). All in all, most participants (86.9%) have any history of tobacco smoke exposure (passive and/or active).

### External validity

Compared to other epidemiological studies,<sup>40</sup> the overall response rate in LEAD was low (total 8.7%, male: 7.7%, female 9.8%). The low participation rate is probably related to the very rigorous Austrian data protection law that prohibits iterative invitations and/or telephone contact. The LEAD study is a single-centered investigation, with participants having long travel to have examinations (no monetary incentive). As residential area was part of the recruitment strategy, a homogenous weighted proportional population sample of various Viennese districts had to be guaranteed. When comparing participation rates between Lower Austria, districts far away and nearby the LEAD

**Table 3.** LEAD study cohort compared to Austrian population, stratified by age and gender

Age groups (years)	MALE				FEMALE			
	LEAD cohort <i>n</i> = 5,433		Austrian population <i>n</i> = 3,883,829		LEAD cohort <i>n</i> = 5,990		Austrian population <i>n</i> = 3,932,930	
	<i>n</i>	% [99.9% CI]	%	<i>P</i>	<i>n</i>	% [99.9% CI]	%	<i>P</i>
6-<10	306	5.6 [4.3–6.9]	4.3	n.s.	233	3.9 [3.0–4.8]	4.1	n.s.
10-<20	546	10.0 [8.6–11.4]	11.8	≤0.01	522	8.7 [7.4–10.0]	10.8	≤0.01
20-<30	698	12.8 [11.2–14.4]	15.1	≤0.01	806	13.5 [12.0–15.0]	14.2	n.s.
30-<40	756	13.9 [12.3–15.5]	15.0	n.s.	784	13.1 [11.6–14.6]	14.5	n.s.
40-<50	885	16.3 [14.5–18.1]	16.7	n.s.	1,077	18.0 [16.3–19.7]	16.5	n.s.
50-<60	877	16.1 [14.4–17.8]	16.8	n.s.	1,034	17.3 [15.6–19.0]	16.7	n.s.
60-<70	810	14.9 [13.2–16.6]	11.5	≤0.01	967	16.1 [14.4–17.8]	12.4	≤0.01
≥70	555	10.2 [8.8–11.6]	8.9	n.s.	567	9.5 [8.1–10.9]	10.9	n.s.

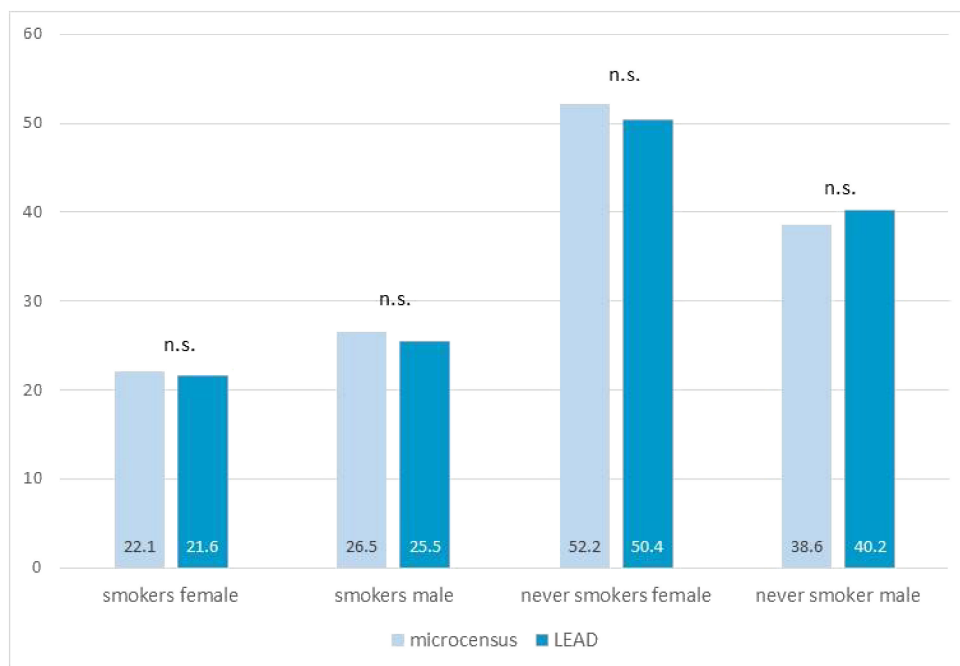
CI, confidence interval; n.s., not significant; *P*, *P*-value.

study center, no difference were found (8.7% vs 6.5% vs 7.1%; all *P*-values non-significant). It is known, that participation rates in health surveys decreased over the past decades,<sup>40</sup> and the considered personal benefit participating in a health survey in Austria may be low due to the high provision of health care. Despite this, the external validity (“representativeness”) of the LEAD cohort is very high. Table 3 shows that the demographic characteristics of LEAD participants are almost identical to those of the general Austrian population, stratified by age and gender. On the other hand, Table 4 compares the sociodemographic data (citizenship and educational level) of the LEAD cohort (15–80 years) with that of the Austrian Governmental Microcensus (15–80 years). The LEAD cohort was very similar in terms of citizenship but not in terms of educational level, which was shifted towards higher education. Finally, it is important to note that the smoking history of the LEAD study cohort was well matched with the results from the Austrian Microcensus both, in male and female participants (Figure 4).

**Table 4.** Sociodemographics of LEAD study cohort compared to Microcensus

	LEAD cohort		Austrian Microcensus		<i>P</i>
	<i>n</i>	% [99.9% CI]	% [99.9% CI]		
Citizenship					
Austria	10,099	88.5 [87.5–89.5]	88.6 [87.4–89.8]		n.s.
Foreign	1,307	11.5 [10.5–12.5]	11.4 [10.2–12.6]		n.s.
Missing	17				
Education	LEAD cohort 15–80 years ( <i>n</i> = 10,420)				
No education	182	1.7 [1.5–2.0]	1.1 [0.7–1.5]		≤0.01
Primary/lower secondary education	1,317	12.7 [12.0–13.3]	22.9 [21.3–24.5]		≤0.01
Finished apprenticeship/vocational training	3,269	31.4 [30.5–32.3]	50.6 [48.7–52.5]		≤0.01
High school	2,769	26.6 [25.8–27.5]	14.0 [12.7–15.3]		≤0.01
University level	2,867	27.6 [26.7–28.4]	11.4 [10.2–12.6]		≤0.01
Missing	16				

CI, confidence interval; n.s., not significant; *P*, *P*-value.



n.s., not significant.

**Figure 4.** Smoking status LEAD study cohort compared to microcensus.

## Limitations

1. To obtain all measurements in the entire study population including valid lung function testing (bodyplethysmography in particular) for proper comparability throughout age groups, only participants  $\geq 6$  years could be included. In addition, radiation exposure by dual-energy X-ray absorptiometry is prohibited in those  $< 6$  years of age. Therefore, first infant years of lung function development will not be available in this study.

2. Information on individual's early life exposure like birth history, childhood respiratory infections, diagnoses, and maternal/paternal data on occupational exposure, migration, life style, and smoking behavior are documented only via questionnaires and retrospectively in those  $< 18$  years. The validity is demonstrated by the accompanying parents at the study visit. In those  $> 18$  years this information may be lacking due to individual's memory (gap) or nescience.

3. The LEAD cohort shows higher education levels compared to the general Austrian population. This is a well-known challenge within populational based studies based on voluntary participation.<sup>41</sup> Education is a well described and recognized factor on lung function, in both childhood and adulthood.<sup>42</sup> This weakness is addressed as a major limitation within the LEAD cohort. To at least reduce this effect the authors will define recommended individual's (for adults) and mothers/fathers (for children) socioeconomic status, including education, occupation, and income.<sup>43</sup>

## DISCUSSION

The ongoing LEAD study includes a large and carefully characterized cohort representative for the general population of Austria with respect to age, gender, and smoking status. The high quality of the dataset is guaranteed by the protocol based standard measurements, the trained personnel and interview based questionnaires obtained in all participants, through the direct data transfer from the equipment into the study specific tailored web tool preventing manual data input errors, and through regular study monitoring reports, interim data sets, and plausibility checks. In addition, rigorous *post hoc* quality control of every single measurement report is done by the steering committee.

The representativeness of the LEAD study cohort is supported by the comparison with the governmental data of the Austrian population that shows almost identical distribution of age, gender and citizenship. The shift towards higher education levels in the LEAD study cohort is also seen in other epidemiological studies, and is likely due to an increased health awareness of higher educated participants.<sup>44</sup>

The LEAD study has some clear strengths: (1) to our knowledge, it is among the biggest longitudinal population-based cohort studies providing pre- and post-bronchodilation spirometry and body plethysmography and diffusing capacity results to better characterize chronic respiratory disease as recommended by the European Respiratory Society<sup>45</sup>; (2) to validate prospectively lung function trajectories from infancy to late adulthood,<sup>4</sup> the LEAD cohort included participants from 6 to 80 years, and most of the risk factors recently discussed<sup>13,46,47</sup> have been considered in the analysis; (3) to study the impact of environmental factors on lung function, data from seventeen environmental monitoring units in Vienna calculate the average concentrations of particulate matter 10 (PM<sub>10</sub>) and Nitrogen oxide (NO<sub>x</sub>) within 10 m<sup>3</sup> of every participant's home and work place address using the

emission and combustion model<sup>48</sup>; (4) to cover risk factors based on symptoms, socioeconomic status, smoking status, and self-rated general health, a LEAD study cohort tailored questionnaire was generated for different age groups based on validated questionnaires (for detailed information see Table 2). In addition, anxiety and depression, quality of life, and cognitive function were also evaluated; (5) to minimize recall bias for undiagnosed or self-reported coexisting diseases, the LEAD study measures key organ function data to screen for cardiovascular, metabolic, and body composition data for muscle/fat distribution as well as for osteoporosis and screening test for cognitive function. This approach provides a framework for the exploration of the relationships between lung health, age, and multi-morbidity in a broader context; and, finally, (6) LEAD has created a blood/urine biobank, hosted by the Medical University of Vienna, which is open to future international collaborations to better understand genetic risk factors and biomarkers influencing lung function development and decline.

In summary, the LEAD cohort has been established following high quality standards; it is representative of the Austrian population and offers a platform to understand lung development and ageing as a key mechanism of human health both, in early and late adulthood.

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Conflicts of interest: RBK gave presentations and/or served on scientific advisory boards sponsored by AstraZeneca, Boehringer Ingelheim, Chiesi, and Novartis. SH gave presentations at symposia and/or served on scientific advisory boards sponsored by AstraZeneca, Boehringer Ingelheim, Chiesi, Glaxo Smith Kline, Menarini, MSD oncology, Novartis, Roche, Takeda, and Teva. OCB gave presentations at symposia and/or served on scientific advisory boards sponsored by AstraZeneca, Boehringer Ingelheim, Chiesi, Glaxo Smith Kline, Menarini, Novartis, Roche, and Takeda. MU gave presentations at symposia and/or served on scientific advisory boards by Astra Zeneca, and Boehringer Ingelheim. AA gave presentations at symposia and/or served on scientific advisory boards sponsored by AstraZeneca, BIAL, Boehringer Ingelheim, Chiesi, Esteve, Glaxo Smith Kline, Novartis, and Teva. CV gave presentations at symposia and/or served on scientific advisory boards sponsored by AstraZeneca, Boehringer Ingelheim, Cipla, CSL Behring, Chiesi, Glaxo Smith Kline, Grifols, Menarini, MedUpdate, Mundipharma, Novartis, Omniamed, and Teva. EW gave presentations at symposia and/or served on scientific advisory boards sponsored by AstraZeneca, Boehringer Ingelheim, Chiesi, Glaxo Smith Kline, and Nycomed. MS gave presentations at symposia and/or served on scientific advisory boards sponsored by AstraZeneca, Boehringer Ingelheim, BMS, Chiesi, Eli-Lilly, GSK, Menarini, Novartis, Roche, and Takeda. MKB gave presentations at symposia and/or



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