

1 **High-performance liquid chromatography with fluorescence detection**
2 **fingerprinting combined with chemometrics for nut classification and the detection**
3 **and quantitation of almond-based product adulterations**

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32

33 **Abstract**

34 Economically motivated food fraud has increased in recent years, with
35 adulterations and substitutions of high-quality products being common practice.
36 Moreover, this issue can affect food safety and pose a risk to human health by causing
37 allergies through nut product adulterations. Therefore, in this study, high-performance
38 liquid chromatography with fluorescence detection (HPLC-FLD) fingerprints were used
39 for classification of ten types of nuts, using partial least squares regression-discriminant
40 analysis (PLS-DA), as well as for the detection and quantitation of almond-based
41 product (almond flour and almond custard cream) adulterations with hazelnut and
42 peanut, using partial least squares regression (PLS). A satisfactory global nut
43 classification was achieved with PLS-DA. Paired PLS-DA models of almonds in front
44 of their adulterants were also evaluated, producing a classification rate of 100%.
45 Moreover, PLS regression produced low prediction errors (below 6.1%) for the studied
46 adulterant levels, with no significant matrix effect observed.

47

48 **Keywords:** Nuts; Almond; HPLC-FLD; Fingerprinting; Chemometrics; Food
49 Authentication; Food Safety

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54 **1. INTRODUCTION**

55 Food fraud, which costs the global food industry approximately 30 billion euros a year,
56 has increased because of the complex nature of the globalised world, where many
57 individuals participate in the food chain between production and consumption. In the
58 European Union (EU), the number of requests concerning fraud suspicions sent to the
59 EU Administrative Assistance and Cooperation (AAC) system had increased by 49%
60 from 2016 to 2018 (*European Commission, 2018*). There are different ways of
61 perpetuating food fraud, such as deception during manufacturing, use of illicit supply
62 chains, duplication, misrepresentation, and manipulation of the food product (e.g.,
63 adulteration, addition, substitution, etc.) (Manning & Soon, 2019). Although it is
64 generally economically motivated, the addition or replacement of certain substances can
65 be extremely dangerous for human health, for example, by causing allergies, thereby
66 turning a food authentication issue into a food safety one (Fritsche, 2018).

67 Nuts and seeds, which are widely consumed mainly due to their beneficial effects on
68 human health (De Souza, Schincaglia, Pimente, & Mota, 2017), encompass a wide
69 range of food products such as almonds, Brazil nuts, cashew nuts, hazelnuts,
70 macadamia nuts, peanuts, pecans, pine nuts, pistachios, pumpkin seeds, sunflower
71 seeds, and walnuts. Some of them are at medium or high risk for food fraud (*Food*
72 *Fraud Risk Information, 2019*), being susceptible to adulterations, replacements or
73 substitutions with cheaper and lower-quality products, as well as to their characteristics
74 being misrepresented (e.g., origin, year of the stock or organic production). For
75 instance, almonds, which are one of the most expensive internationally produced nuts
76 (more than 2 million tonnes produced in 2017, with USA the main producer (*Food and*
77 *Agriculture Organization of the United Nations, 2019*)), as well as their byproducts
78 (snacks, baked goods and pastry), can be partly or totally replaced with peanut or

79 hazelnut, constituting not only an economic deception, but also a threat to human health
80 by causing allergies (Mustafa et al., 2019). Therefore, there is an increasing need to
81 develop new analytical methodologies to guarantee the authenticity and safety of
82 almond and almond-based products.

83 To date, most of the analytical methods described in the literature for almond
84 authentication deal with its agricultural origin, with only a few focusing on its
85 adulteration. For instance, several analytical platforms based on thermal analysis
86 (Beltrán-Sanahuja, Grané-Teruel, Martín-Carratalá, & Garrigós-Selva, 2011), gas
87 chromatography coupled to mass spectrometry for the determination of 12 targeted
88 volatile compounds (Beltrán-Sanahuja, Ramos-Santonja, Grané-Teruel, Martín-
89 Carratalá, & Garrigós-Selva, 2011), high-performance liquid chromatography with an
90 evaporative light-scattering detector (HPLC-ELSD) for triacylglycerol profiling
91 (Barreira et al., 2012), and approaches combining more than one technique (Čolić et al.,
92 2017; García, Beltrán Sanahuja, & Garrigós Selva, 2013), have been successfully
93 employed when combined with chemometric techniques for origin classification.
94 However, to the best of our knowledge, there are very few studies investigating the
95 adulteration of almond-based products. Multi-elemental profiling by inductively
96 coupled plasma-optical emission spectrometry (ICP-OES) has been used to detect and
97 quantitate the adulteration of almond powder with peanut (Esteki, Vander Heyden,
98 Farajmand, & Kolahderazi, 2017), while fatty acid profiles obtained with gas
99 chromatography with flame-ionisation detection (GC-FID) have been employed to
100 study apricot kernel as an adulterant (Esteki, Farajmand, Kolahderazi, & Simal-
101 Gandara, 2017). In both cases, multivariate data analysis was also used to quantify the
102 adulterant level in the studied samples.

103 While most of the methods described in the literature for almond authentication are
104 based on targeted profiling (a given group of known chemical compounds are
105 determined), chromatographic fingerprinting involving non-targeted instrumental
106 signals has emerged as a promising strategy in the food authentication field since it does
107 not need specific biomarkers. This approach has already been proven in some studies on
108 complex food matrices (Cuadros-Rodríguez, Ruiz-Samblás, Valverde-Som, Pérez-
109 Castaño, & González-Casado, 2016). In fact, high-performance liquid chromatography
110 with ultraviolet detection (HPLC-UV) fingerprinting has been demonstrated to be able
111 to completely distinguish almond samples from peanut and hazelnut ones, although it
112 could not discriminate the whole types of the studied nuts (Campmajó et al., 2019).
113 Therefore, this study aimed to classify nuts according to their typology, independently
114 of their processing thermal treatment (natural, toasted or fried), by high-performance
115 liquid chromatography with fluorescence detection (HPLC-FLD) fingerprinting, which
116 is a more selective technique than HPLC-UV, and partial least squares regression-
117 discriminant analysis (PLS-DA). Moreover, the chromatographic fingerprints were also
118 used to detect and quantitate hazelnut and peanut adulterations of almond and almond-
119 based products by partial least squares (PLS) regression.

120

121 **2. MATERIALS AND METHODS**

122 **2.1 Reagents and solutions**

123 Unless otherwise stated, all the reagents were of analytical grade. Purified water was
124 obtained using an Elix® 3 coupled to a Milli-Q® system (Millipore Corporation,
125 Bedford, MA, USA) and filtered through a 0.22-µm nylon membrane. Acetone, hexane
126 and formic acid (96%) were obtained from Sigma-Aldrich (St. Louis, MO, USA),
127 whereas UHPLC-gradient grade methanol was from Panreac (Barcelona, Spain).

128 **2.2 Instrumentation**

129 The chromatographic system consisted of an Agilent 1100 Series HPLC instrument
130 equipped with a binary pump (G1312A), a degasser (G1379A), an automatic injection
131 system (G1329B), a fluorescence detector (G1321A) and a computer with the Agilent
132 ChemStation software, all from Agilent Technologies (Waldbronn, Germany). The
133 HPLC-FLD fingerprints were obtained by employing a Kinetex C18 column(100 mm ×
134 4.6 mm id., 2.6 µm particle size), which was purchased from Phenomenex (Torrance,
135 CA, USA), and a previously developed gradient elution mode with 0.1% (v/v) formic
136 acid aqueous solution (solvent A) and methanol (solvent B) constituting the components
137 of the mobile phase (Campmajó et al., 2019). The flow rate was 0.4 mL·min⁻¹ and the
138 injection volume 5 µL. For fluorescence acquisition, 280 nm and 350 nm were chosen
139 as the excitation and emission wavelengths, respectively.

140 **2.3 Samples and sample treatment**

141 For nut classification, 149 nut samples obtained from Barcelona markets, belonging to
142 various classes and some of them processed with different thermal treatments, were
143 analysed (sample details are described in Table 1). Method repeatability and the
144 robustness of the chemometric results were controlled by using a quality control (QC)
145 sample, which was a mix prepared with 50 µL of each nut sample extract.

146 Hazelnuts and peanuts were studied as potential adulterants of almonds and almond-
147 based products. Thus, they were added in proportions from 0 to 100%, as shown in
148 Table 2, to two different almond matrices: natural almond flour and almond custard
149 cream. The cream was made from hen eggs, milk, sugar, and corn flour. Afterwards, the
150 almond custard cream and its adulterated samples were obtained by adding the
151 adulterants as described above. Five replicates of each percentage of adulteration were

152 prepared, giving a total of 105 samples for each studied almond-based product. In this
153 study, an additional 50% adulterated sample was prepared for use as the QC sample.

154 A simple two-step sample treatment was performed following a previously described
155 method (Campmajó et al., 2019) based on an extraction with acetone:water (70:30 v/v)
156 followed by a defatting step with hexane. Briefly, 0.125 g of the nut product were
157 extracted by stirring in a Vortex (Stuart, Stone, United Kingdom) and sonication (5510
158 Branson ultrasonic bath, Hampton, NH, USA) in 3 mL of the extracting solvent. Then,
159 centrifugation was performed for 30 min at 3,400 rpm (ROTANTA 460 RS Centrifuge,
160 Hettich, Germany). the resulting supernatant extract was defatted with 3 mL of hexane,
161 also by stirring in a Vortex followed by centrifugation for 15 min. After filtering the
162 sample extract with a 0.22- μ m nylon filter (Scharlab, Sentmenat, Spain), it was stored at
163 -18°C in a 2-mL glass injection vial until HPLC-FLD analysis.

164 To avoid and control for systematic errors and cross-contamination during sample
165 sequences, a QC sample and an extracting solvent blank were injected at the beginning
166 and after every ten sample injections.

167 **2.4 Data analysis**

168 Depending on the aim of the multivariate data analysis, principal component analysis
169 (PCA), PLS-DA or PLS regression was carried out by using the Solo 8.6 chemometrics
170 software from Eigenvector Research (Manson, WA, USA) (Eigenvector Research
171 Incorporated, 2019). Details of the theoretical background of these statistical
172 methodologies are addressed elsewhere (Massart et al., 1997).

173 For the chemometric study, the construction of different data matrices was required.
174 Thus, indistinctly of the chemometric method used, the X-data matrices of responses
175 consisted of the HPLC-FLD chromatographic fingerprints acquired. Furthermore, PLS-

176 DA Y-data matrices defined each sample class, whereas PLS ones defined each
177 percentage of adulteration.

178 HPLC-FLD fingerprints were smoothed, baseline-corrected, aligned, and autoscaled
179 before building the chemometric model to improve data quality by reducing noise
180 interferences, baseline drifts and peak shifting. Afterwards, the most appropriate
181 number of principal components (PCs) in PCA, and latent variables (LVs) in the PLS-
182 DA and PLS was established at the first significant minimum point of the venetian blind
183 cross validation (CV) error.

184 Moreover, the applicability of the built chemometric models was tested through their
185 validation. For instance, the PLS-DA models were validated by using 70% of a sample
186 group as the calibration set, and the remaining 30% as the validation set. In the case of
187 the PLS models, Table 2 shows the percentages of adulteration used in the calibration
188 and validation sets.

189

190 **3. RESULTS AND DISCUSSION**

191 **3.1 Nut classification**

192 Several types of nuts are vulnerable to food fraud practices such as being substituted
193 with cheaper adulterants. Therefore, analytical methodologies capable of classifying nut
194 samples according to their type are required. Although a previous study demonstrated
195 that HPLC-UV fingerprints were good chemical descriptors for classifying certain types
196 of nuts, they could not achieve complete nut classification (Campmajó et al., 2019).
197 Thus, in this work, HPLC-FLD fingerprints were used as an alternative to obtain better
198 descriptors.

199

200 **3.1.1 HPLC-FLD fingerprints**

201 As previously mentioned in Section 2.3, a wide variety of nut samples were assessed by
202 HPLC-FLD for classification. As can be seen in Figure S1 (Supplementary Material)
203 showing the chromatographic fingerprints acquired for a selected sample, there were
204 noteworthy differences in the abundance of the compounds detected (considering the
205 retention time), as well as in the peak intensity. Moreover, since these features were
206 reproducible among samples belonging to the same type of nut, these chemical
207 descriptors were evaluated to classify nut types through a multivariate chemometric
208 approach.

209

210 **3.1.2 Chemometrics for classification**

211 First, a preliminary exploratory chemometric PCA was performed to study QC sample
212 behaviour. Therefore, a $164 \times 4,863$ (samples \times variables) dimension data matrix, with
213 the emitted fluorescence intensity at 350 nm a function of time for the analysed nut and
214 QC samples, was examined. As shown in Figure S2, QC samples formed a compact
215 group in the central part of the scores plot of PC1 vs. PC2 (two PCs were chosen for the
216 PCA), indicating the absence of systematic errors during the sample injection sequence
217 and demonstrating the validity of the chemometric results.

218 The supervised chemometric analysis for classification was conducted with PLS-DA.
219 While the X-data matrix ($149 \times 4,863$) consisted of the same information as that used in
220 the PCA without the QC samples, the Y-data matrix (149×2) indicated the membership
221 of each nut sample. Due to the large number of nut classes under study, a total of ten
222 LVs were required for the construction of the PLS-DA model, which clearly enabled the
223 discrimination of some of them. For instance, the scores plot of LV1 vs. LV2 (Figure
224 1A) shows a clear separation of walnuts and macadamia nuts, which are on the right
225 side of the plot displaying positive LV1 values, whereas pine nuts are at the bottom of

226 the plot with negative LV2 values. Although the combination of other LVs and the use
227 of 3D plots also enabled the classification of peanuts (Figure S3A) and sunflower seeds
228 (Figure S3B), LV construction was mainly influenced by these classes of nuts, with the
229 scores plots not visually discriminating between the remaining five classes. For that
230 reason, a new PLS-DA model for almond, cashew nut, hazelnut, pistachio, and pumpkin
231 seed samples was built with four LVs. This resulted in better classification, especially
232 for sunflower seeds, as can be seen in the corresponding scores plot of LV1 vs. LV2 in
233 Figure 1B.

234 As this work focused on the study of almond adulterations, which commonly constitute
235 its substitution with cheaper nuts such as hazelnuts or peanuts, paired PLS-DA models
236 with almond in front of hazelnut and peanut samples were constructed. As previously
237 detailed in Section 2.4, 70% of the samples were used in the calibration set, whereas the
238 remaining 30% were used in the validation set. Figure 2 presents these classification
239 plots, the red dashed line indicating the classification boundary. The calibration and
240 validation samples are located on the left and right side of the plot, respectively. A
241 classification rate of 100% was obtained when studying almonds in front of their most
242 common adulterating nuts, [9, 0; 0, 6] being the confusion matrix for both almond vs.
243 hazelnut and almond vs. peanut validations.

244 Although UV fingerprints at 280 nm are much richer in peak features than the FLD
245 counterparts, results presented in this paper demonstrate the better descriptive
246 performance of HPLC-FLD data compared with HPLC-UV (Campmajó et al., 2019),
247 with higher classification rates and lower prediction errors for some of the systems
248 under study. The selectivity of UV spectroscopy at 280 nm is poor and a wide range of
249 compounds are detected, mainly consisting of phenolic acids (and flavonoids with lower
250 sensitivity), which are components occurring in all kinds of samples. As a result, the nut

251 discrimination is then based on cross selectivities (i.e., differences in concentration
252 levels among classes), while more specific markers have not been encountered. In
253 contrast, FLD fingerprints generally contain a fewer number of peaks since the selection
254 of excitation and emission conditions provides more selective data (Bakhytkyzy, Nuñez,
255 & Saurina, 2018). Moreover, signals from hydroxycinnamic acids, stilbenoids and
256 various types of flavonoids are negligible; only hydroxybenzoic acids and flavanols are
257 reasonably detectable under these conditions. In particular, the detection of flavanols is
258 especially favored, thus achieving a great sensitivity for catechin, epicatechin, and
259 related species. Therefore, despite having simpler chromatograms from FLD in terms of
260 the number of features, the more selective detection of highly relevant descriptors may
261 lead to better predictive figures.

262

263 **3.2 Almond-based product adulterations**

264 Following the satisfactory classification obtained with the PLS-DA models, HPLC-FLD
265 fingerprints were also used for the detection and quantitation of adulterations in two
266 types of almond-based matrices: natural almond flour and almond custard cream. PLS
267 was applied as the most suitable chemometric approach to study them.

268

269 **3.2.1 HPLC-FLD fingerprints**

270 A set of almond-based product (natural almond flour and almond custard cream)
271 samples, which were obtained by adding different percentages of the adulterant as
272 specified in Section 2.3 and detailed in Table 2, were analysed with HPLC-FLD.

273 As shown in Figure S1, both the pure hazelnut and peanut fingerprints showed
274 significant differences compared to the almond ones in terms of the number of
275 compounds detected, abundance, and intensity. For instance, the peanut and hazelnut

276 samples presented a higher number of chromatographic peaks than the almond samples.
277 In fact, an increase in the number of peaks could be seen when transitioning from pure
278 almond to adulterated samples. Therefore, as the HPLC-FLD fingerprints seemed to
279 vary according to the adulterant percentage, they were proposed as chemical descriptors
280 to detect and quantitate adulterations, using PLS.

281

282 **3.2.2 Chemometric detection and quantitation of adulterations**

283 The ability of the HPLC-FLD fingerprints to detect and quantify almond adulterations
284 with peanut or hazelnut was evaluated by PLS. Table 3 summarises the LVs used in
285 each calibration PLS model, as well as the calibration and prediction error obtained in
286 all the adulteration cases studied. The calibration models built were good, as indicated
287 by the low calibration errors ($\leq 4.7\%$), bias values tending towards zero and good
288 linearity with $R^2 \geq 0.982$. When focusing on a specific matrix, similar prediction errors
289 were obtained independently of the adulterant used. As can be seen in Figure 3, the
290 results achieved when predicting peanut levels in almond flour (Figure 3A) and almond
291 custard cream (Figure 3B) were excellent, with no significant differences between the
292 matrices (PLS results for the adulteration with hazelnut are shown in Figure S4). Hence,
293 although almond custard cream is a fatter matrix than almond flour, no interfering
294 matrix effect was observed in the results.

295

296 **4. CONCLUSIONS**

297 HPLC-FLD chromatographic fingerprints, using an excitation wavelength of 280 nm
298 and an emission wavelength of 350 nm, were suitable chemical descriptors for nut
299 classification and authentication. Satisfactory discrimination of nut samples according
300 to their type was achieved by PLS-DA. Moreover, when focusing on the specific

301 adulteration of almond-based products with peanut or hazelnut, paired PLS-DA models
302 showed complete sample distinction (classification rate of 100%), while PLS models
303 produced low prediction errors below 6.1% for both matrices when predicting the
304 percentages of adulteration. Thus, the HPLC-FLD fingerprinting method described in
305 this study can classify nut samples according to their type, as well as detect and
306 quantitate the levels of peanut or hazelnut adulteration of almond-based products.
307 Therefore, it can be used as a simple and reliable method to prevent food fraud and
308 guarantee food product safety.

309

310 **Conflict of Interest**

311 There are no conflicts of interest to declare.

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317

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320

321 **Supporting Information: Figure S1.** HPLC-FLD fingerprints (acquired with an
322 excitation and emission wavelength of 280 and 350 nm, respectively) for a selected
323 sample for each nut type under study; **Figure S2.** PCA scores plot of PC1 vs. PC2
324 showing the correct behaviour of QC samples; **Figure S3.** PLS-DA scores plot of (A)
325 LV1 vs. LV3 and (B) LV1 vs. LV4, using the HPLC-FLD fingerprints acquired for all
326 the nut samples assessed; **Figure S4.** PLS results of (A) almond flour and (B) almond
327 custard cream adulterated with hazelnut.

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400

401

402 **Figure legends**

403 **Figure 1.** (A) PLS-DA scores plot of LV1 vs. LV2, using the HPLC-FLD fingerprints
404 acquired for all the nut samples tested. (B) PLS-DA scores plot of LV1 vs. LV2, using
405 only the almond, cashew nut, hazelnut, pistachio, and pumpkin seed HPLC-FLD
406 fingerprints.

407

408 **Figure 2.** Classification plot depicting Sample vs. Y predicted 1 score plot for (A)
409 almond vs. hazelnut samples and (B) almond vs. peanut samples. Solid symbols,
410 calibration samples; empty symbols, validation samples.

411

412 **Figure 3.** Scatter plot of measured vs. predicted percentages of adulteration, using PLS.
413 Results are shown for (A) almond flour and (B) almond custard cream adulterated with
414 peanut.

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Figure 1

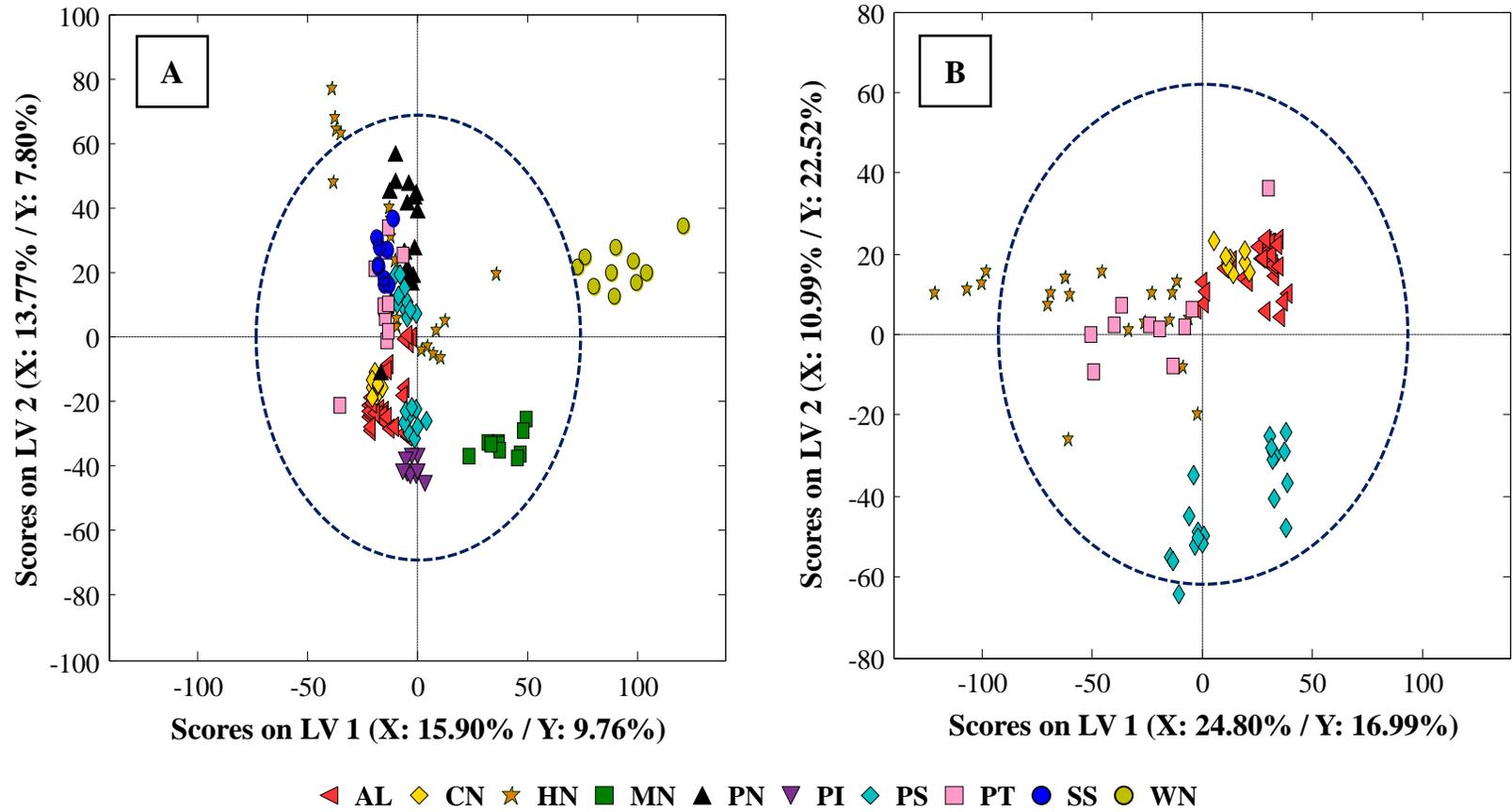


Figure 2

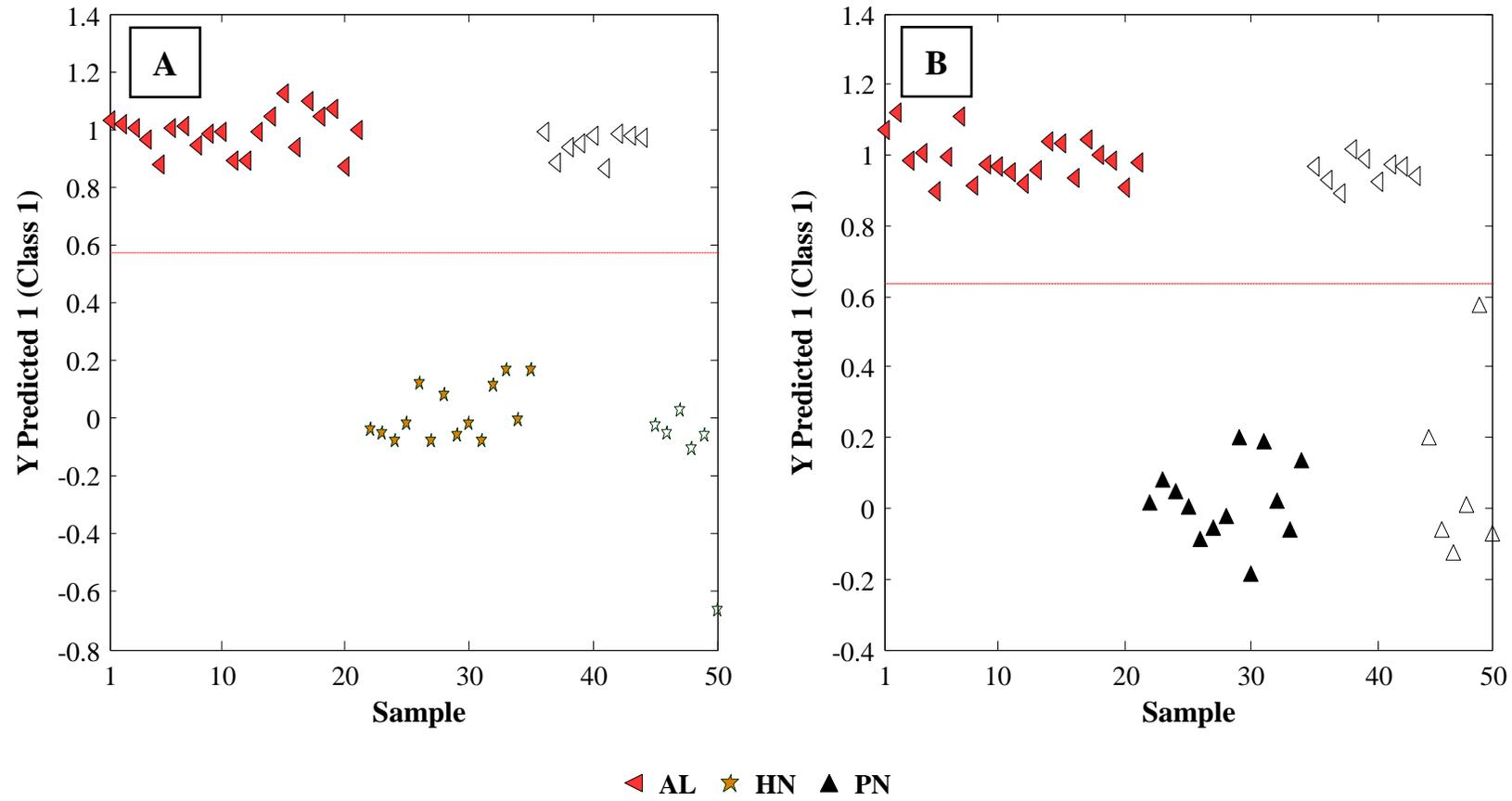


Figure 3

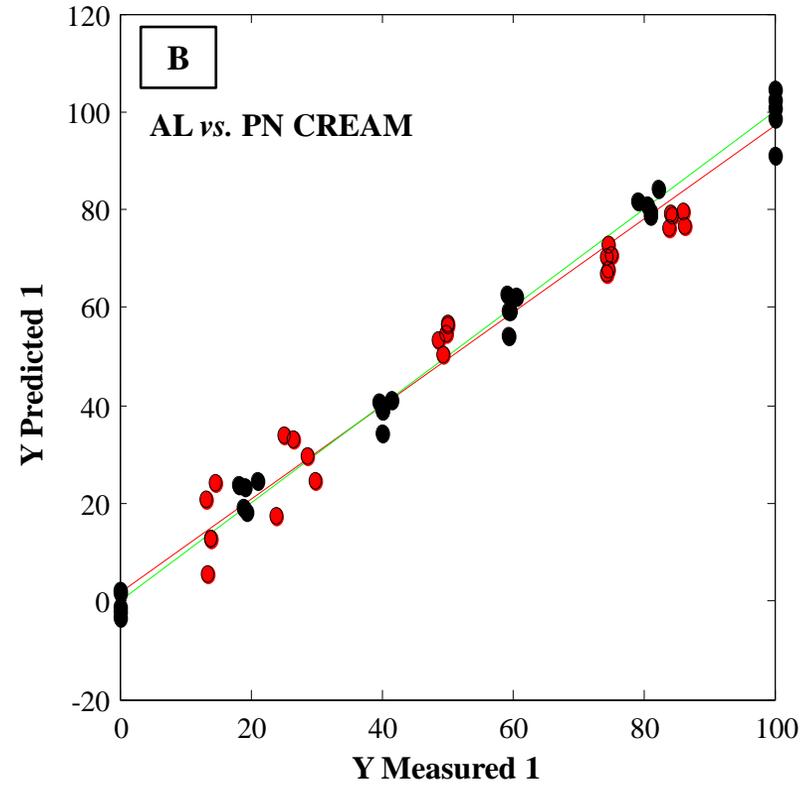
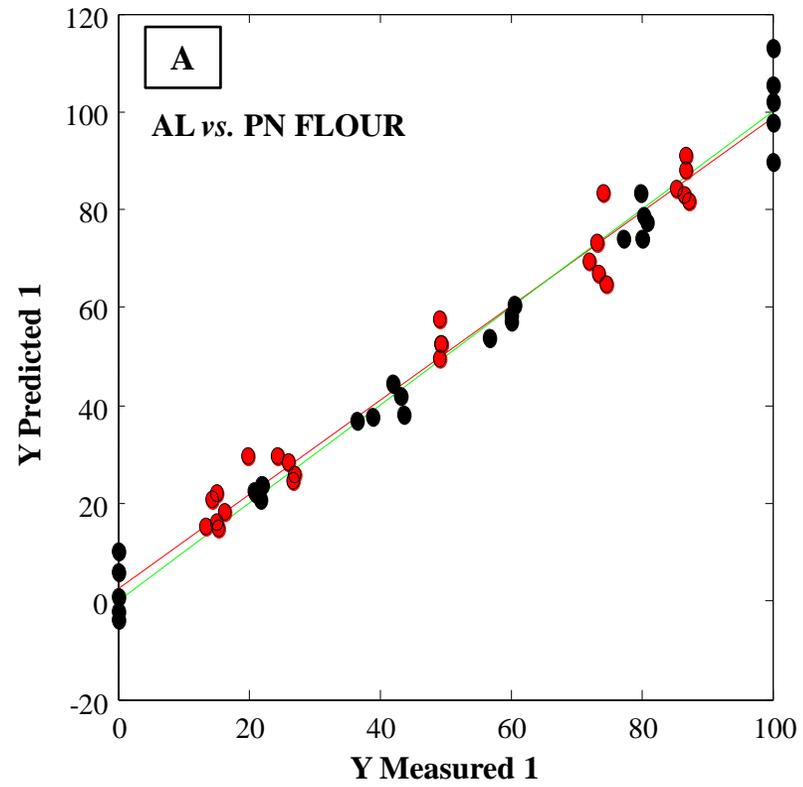


Table 1. Description of the samples analysed in the nut classification study.

NUT TYPE	ABBREVIATION	NUMBER OF SAMPLES		
		Natural	Fried	Toasted
Almonds	AL	10	10	10
Cashew Nuts	CN	-	10	-
Hazelnuts	HN	10	-	10
Macadamia Nuts	MN	10	-	-
Peanuts	PN	-	10	10
Pine Nuts	PI	10	-	-
Pistachios	PT	-	-	9
Pumpkin seeds	PS	-	10	10
Sunflower seeds	SS	-	-	9
Walnuts	WN	10	-	-

Table 2. Samples used in the PLS adulteration studies as calibration or validation set. Hazelnut and peanut were proposed as adulterants of a natural almond flour and an almond custard cream.

	ALMOND, %	ADULTERANT, %
	100	0
	80	20
CALIBRATION SET	60	40
	40	60
	20	80
	0	100
	85	15
	75	25
VALIDATION SET	50	50
	25	75
	15	85

Table 3. Overall results for the evaluation of the adulteration of almond flour and almond custard cream with hazelnut and peanut by PLS. LVs, number to build each PLS mode; Cal. Error, error in the calibration step; Pred. Error, error in the prediction step.

	ALMOND FLOUR			ALMOND CUSTARD CREAM		
	LVs	Cal. Error (%)	Pred. error (%)	LVs	Cal. Error (%)	Pred. error (%)
HAZELNUT	5	2.6	5.6	4	3.5	6.1
PEANUT	3	4.7	5.0	4	3.1	6.1

Supplementary Material

High-performance liquid chromatography with fluorescence detection fingerprinting combined with chemometrics for nut classification and the detection and quantitation of almond-based product adulterations

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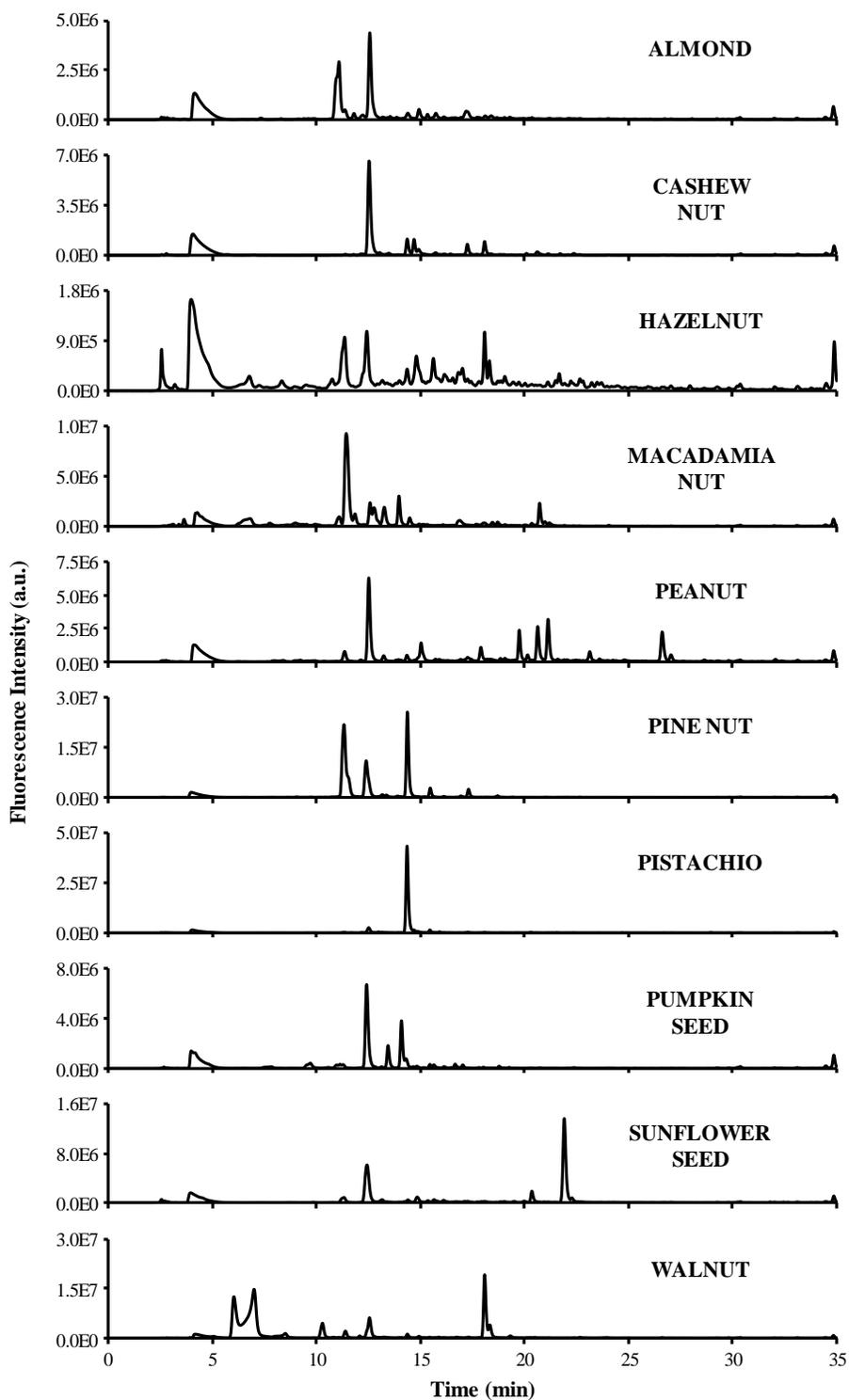


Figure S1. HPLC-FLD fingerprints (acquired with an excitation and emission wavelength of 280 and 350 nm, respectively) for a selected sample for each nut type under study.

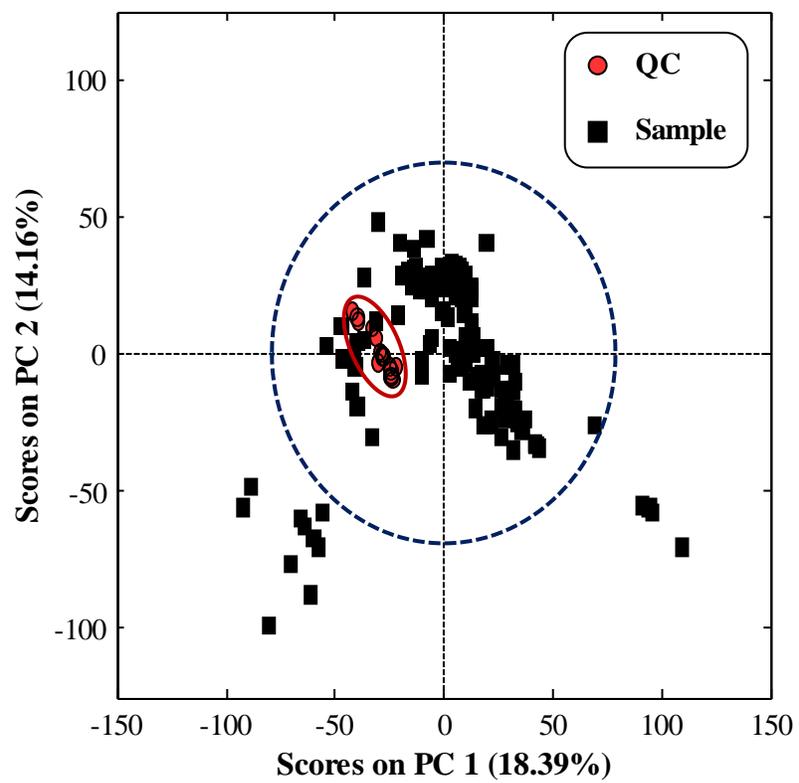


Figure S2. PCA scores plot of PC1 vs. PC2 showing the correct behaviour of QC samples.

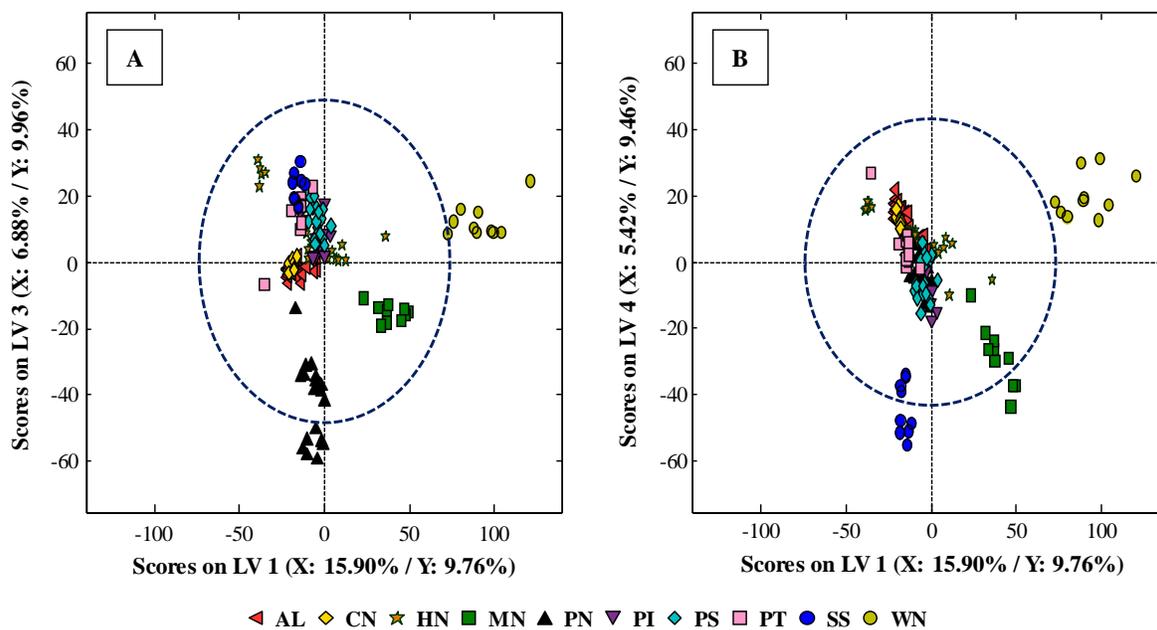


Figure S3. PLS-DA scores plot of (A) LV1 vs. LV3 and (B) LV1 vs. LV4, using the HPLC-FLD fingerprints acquired for all the nut samples assessed.

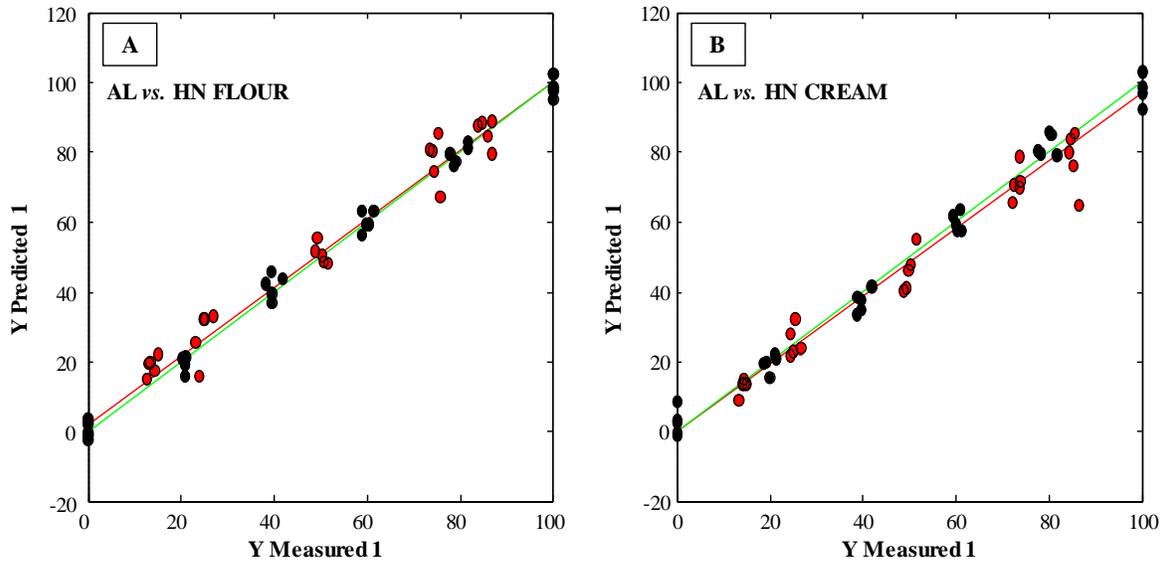


Figure S4. PLS results of (A) almond flour and (B) almond custard cream adulterated with hazelnut.