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TARGETING FGF21 FOR THE TREATMENT OF NASH

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Abstract:	<p>Nonalcoholic steatohepatitis (NASH), the severe stage of nonalcoholic fatty liver disease (NAFLD), is defined as the presence of hepatic steatosis with inflammation and hepatocyte injury and different degrees of fibrosis. Although NASH affects 2-5% of the global population, no drug has been specifically approved to treat the disease. Fibroblast growth factor 21 (FGF21) and its analogs have emerged as a potential new therapeutic strategy for the treatment of NASH. In fact, FGF21 deficiency favors the development of steatosis, inflammation, hepatocyte damage and fibrosis in the liver, whereas administration of FGF21 analogs ameliorates NASH by attenuating these processes. Here, we review mechanistic insights into the beneficial and potential side effects of therapeutic approaches that target FGF21 for the treatment of NASH.</p>



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Dr. Kusumika Mukherjee
Editor, *Trends in Pharmacological Sciences*

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Dear Dr. Mukherjee,

According to your instructions we wish to submit a reworked version of our manuscript entitled "Targeting FGF21 for the treatment of NASH".

We submit a letter where we have addressed, point-by-point, all the issues raised by the reviewers. I thank you in advance for your interest in our work.

Yours truly,

Manuel Vázquez-Carrera

1 **Highlights**

2

3 No drug has yet been approved specifically for treating nonalcoholic steatohepatitis
4 (NASH), the necroinflammatory form of nonalcoholic fatty liver disease (NAFLD)
5 which confers a higher risk of progression to advanced fibrosis, cirrhosis and
6 hepatocellular carcinoma.

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9 Targeting fibroblast growth factor 21 (FGF21), a hormone with insulin-sensitizing and
10 hepatoprotective properties, has emerged as an option for NASH therapy.

11

12 FGF21 analogs have demonstrated their efficacy in both animal models and humans
13 with NASH, although some concerns have been raised about the safety of FGF21
14 analogs in humans.

15

16 Strategies other than the use of FGF21 analogs that potentiate the effects of FGF21 and
17 might be useful in the treatment of NASH are also being developed.

18

25 **Abstract**

26 Nonalcoholic steatohepatitis (NASH), the severe stage of nonalcoholic fatty liver
27 disease (NAFLD), is defined as the presence of hepatic steatosis with inflammation and
28 hepatocyte injury and different degrees of fibrosis. Although NASH affects 2-5% of the
29 global population, no drug has been specifically approved to treat the disease. Fibroblast
30 growth factor 21 (FGF21) and its analogs have emerged as a potential new therapeutic
31 strategy for the treatment of NASH. In fact, FGF21 deficiency favors the development
32 of steatosis, inflammation, hepatocyte damage and fibrosis in the liver, whereas
33 administration of FGF21 analogs ameliorates NASH by attenuating these processes.
34 Here, we review mechanistic insights into the beneficial and potential side effects of
35 therapeutic approaches that target FGF21 for the treatment of NASH.

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49 **NAFLD/NASH: a burgeoning health problem**

50 Nonalcoholic fatty liver disease (NAFLD), the most common form of liver disease, is a
51 pathological entity that ranges from isolated steatosis or NAFL (defined as the presence
52 of cytoplasmic triglyceride [TG] droplets in more than 5% of hepatocytes with no
53 evidence of hepatocellular injury) to nonalcoholic steatohepatitis (NASH). The latter is
54 a more advanced stage that is distinguished from NAFL by the presence of
55 inflammation, hepatocyte damage (hepatocyte **ballooning** and cell death) and different
56 degrees of collagen deposition (**fibrosis**) (see Glossary) [1]. These abnormalities
57 develop in the absence of excessive alcohol intake (<20 g/day in women and <30 g/day
58 in men) [2] and are strongly associated with obesity, insulin resistance (IR), type 2
59 diabetes mellitus (T2DM) and dyslipidemia [3]. The presence of NASH confers a
60 higher risk of progression to advanced fibrosis, cirrhosis and hepatocellular carcinoma
61 [4] and, in fact, NASH is expected to become the leading cause of liver transplants by
62 2020 [5]. In addition, NASH patients are at higher risk of cardiovascular disease, the
63 major cause of morbidity and mortality among these patients. In line with the obesity
64 epidemic, the global prevalence of NAFLD has risen in recent years and currently
65 stands at 25%, whereas the global prevalence of NASH ranges from 2-5% [5,6].

66 Although considerable progress has been made in recent years in understanding the
67 potential pathological mechanisms that underlie the development of TG deposition in
68 the liver and the transition from NAFL to NASH and identifying many potential
69 therapeutic targets, its treatment remains an unmet clinical need. In addition, the
70 multiple mechanisms involved in the development of NASH make it likely that the
71 treatment of this disease will require a single drug with diverse cellular/molecular
72 targets or the use of combination therapies.

73 **Pathophysiological mechanisms in NASH: the basics**

74 NASH is a very complex disease whose development involves many pathological
75 drivers with different contributions in each patient. As a result, a high heterogeneity is
76 observed in the molecular mechanisms and clinical manifestations of the disease [7],
77 and some patients may even develop NASH from the beginning of disease, thus
78 demonstrating that isolated steatosis does not always precede NASH.

79 Although the two-hit hypothesis was initially proposed to explain the development of
80 NASH, it has now been substituted by the multiple-hit hypothesis that implies the
81 presence of different insults acting together and synergistically on genetically
82 predisposed subjects to induce this condition. In the presence of the IR and dysregulated
83 lipid metabolism observed in obese patients, isolated steatosis develops and renders
84 hepatocytes more susceptible to multiple hits, including mitochondrial dysfunction,
85 oxidative damage, adipokine imbalance, dysregulated apoptosis, activation of pro-
86 inflammatory mediators and pro-fibrogenic factors, hepatic stellate cell activation and
87 production of gut-derived toxins by microbiota (**Figure 1**). Liver steatosis is likely to be
88 the first stage in the development of NAFLD and NASH. It results from a permanent
89 imbalance between fatty acid (FA) influx and utilization and very low-density
90 lipoprotein (VLDL) secretion. This imbalance may arise from: 1) Increased lipolysis of
91 adipose tissue due to the presence of obesity-induced IR, thus provoking a higher
92 uptake of FA by hepatocytes that will be used to synthesize TG; 2) Overnutrition with a
93 higher consumption of fats or simple sugars (such as fructose), which are converted to
94 TG in the liver through the *de novo* lipogenesis (DNL) process and also result in liver
95 fat deposition. The DNL process is controlled by two transcription factors: sterol
96 regulatory element-binding protein 1c (SREBP1c) and carbohydrate response element-

97 binding protein (ChREBP), which are activated by hyperinsulinemia and an excessive
98 intake of simple sugars, respectively; 3) Impaired mitochondrial FA oxidation; 4)
99 Increased secretion of VLDL that causes atherogenic dyslipidemia but does not
100 compensate for the increased synthesis of TG.

101 Accumulation of inert TG in the liver has been considered as an adaptive response to an
102 excess supply of FA that protects hepatocytes from the lipotoxic effects of surplus toxic
103 free fatty acids (FFA) or from the synthesis of FA-derived lipotoxic species, such as
104 ceramide, diacylglycerol (DAG) and lysophosphatidylcholine [8-10]. Indeed, in NAFL
105 patients who develop NASH, the factor that determines the transition to NASH is likely
106 the inability of their hepatocytes to cope with an overload of FA, which ultimately leads
107 to liver injury. Once the physiologically adaptive mechanisms have been overcome, the
108 excessive FA provokes a series of harmful consequences, known as lipotoxicity, leading
109 to mitochondrial dysfunction, reactive oxygen species (ROS) generation, endoplasmic
110 reticulum (ER) stress, activation of inflammatory pathways, hepatocellular injury and
111 cell death. These changes lead to fibrosis and genomic instability, which predispose to
112 cirrhosis and hepatocellular carcinoma. In addition to involve hepatocytes, NASH
113 development is also associated with the activation of additional liver cells by lipid
114 peroxidation products and other stimuli. Thus, the inflammatory response is exacerbated
115 by the activation of **Kupffer cells**, the resident macrophages in the liver that also
116 contribute to the activation of fibrosis. In fact, injured hepatocytes and activated Kupffer
117 cells stimulate **hepatic stellate cells**, which are key in the development of fibrosis. This
118 is one of the liver's responses to injury and stimulates the accumulation of extracellular
119 matrix and may cause scar formation composed mainly of type 1 collagen, eventually
120 leading to advanced fibrosis, cirrhosis and liver failure [11].

121 Mechanisms other than lipotoxicity play a role in the development of NASH, including
122 genetic factors, dysfunctional gut microbiota, increased free cholesterol accumulation
123 and adipokine imbalance. The genetic variant most strongly associated with an
124 increased risk of NASH is a single-nucleotide polymorphism in the PNPLA3 (Patatin-
125 like phospholipase domain-containing protein 3) gene that regulates hepatic TG
126 lipolysis [12,13]. Changes in the microbiota caused by obesity or the consumption of
127 high-fat diets (HFD) can lead to intestinal dysbiosis (defined as an imbalance between
128 protective and harmful bacteria) and increased gut permeability, thus allowing bacterial
129 endotoxins to reach the liver through the portal vein. Once in the liver, bacterial
130 products such as lipopolysaccharide (LPS) activate membrane-bound Toll-like receptors
131 (TLRs), which trigger the production of pro-inflammatory cytokines, thereby promoting
132 the recruitment of immune cells and stimulating the inflammatory response, liver
133 damage and fibrogenesis [14]. Excess dietary intake or perturbed cholesterol
134 homeostasis can result in the accumulation of free cholesterol in the liver, which
135 promotes apoptosis and necrosis in hepatocytes and contributes to inflammation in
136 Kupffer cells and fibrogenesis in hepatic stellate cells [15]. The adipokine imbalance
137 observed during adipose tissue associated to obesity contributes to the development of
138 NASH [16]. One of the best examples is adiponectin, whose circulating blood levels are
139 markedly reduced in visceral obesity and states of IR, such as NASH and T2DM.
140 Adiponectin reduces steatosis in hepatocytes through the activation of FA oxidation and
141 the reduction of FA influx and DNL. It also inhibits hepatocyte apoptosis, exerts anti-
142 inflammatory effects and attenuates fibrosis by reducing the activation and proliferation
143 of hepatic stellate cells, while inducing their apoptosis [17].

144 Hepatokines have recently emerged as potent regulators of NASH development. Among
145 them, **fibroblast growth factor 21 (FGF21) and its analogs** show promise as a
146 potential therapeutic strategy for the treatment of NASH. This review highlights the
147 current understanding of the actions of FGF21 on NASH and of how pharmacological
148 therapies based on FGF21 are potential drugs for the future treatment of this disease.

149 **Effects of FGF21 in NASH**

150 FGF21 is an atypical member of the complex endocrine FGF family without mitogenic
151 activity and functions as a hormone with pleiotropic effects on glucose and lipid
152 metabolism, overall resulting in insulin-sensitizing and hepatoprotective properties.
153 FGF21 is expressed mainly in the liver [18], and circulating FGF21 derives largely from
154 this organ and shows good correlation with the hepatic expression of FGF21 [19].
155 FGF21 is also expressed in brown adipose tissue [20], white adipose tissue and the
156 acinar pancreas [21]. In addition to being released into the circulation, FGF21 may also
157 act in an autocrine/paracrine manner. Skeletal muscle expresses very low levels of
158 FGF21. However, under conditions of mitochondrial stress, such as those observed in
159 muscle myopathies, skeletal muscle also releases FGF21 into the circulation [22]. In
160 fact, FGF21 is considered to be a stress-induced hormone whose levels rise in
161 metabolically compromised states, thereby suggesting that it could be useful as a marker
162 for metabolic pathologies. Thus, elevated plasma FGF21 levels have been reported in a
163 variety of pathologies, such as obesity [23], T2DM [24] and NAFLD [25]. The
164 increased FGF21 in these pathologies likely reflects an accumulation of TG in the liver
165 [26], but plasma FGF21 also positively correlates with the severity of steatohepatitis,
166 particularly of fibrosis, in patients with NASH [27]. However, the induction of
167 endogenous FGF21 levels in these states seems to be insufficient to prevent the

168 development of these pathologies, thus suggesting the presence of resistance to the
169 effects of FGF21 [28], similar to insulin resistance in T2DM. Interestingly,
170 administration of exogenous pharmacological doses of FGF21 higher than the levels
171 found in obese mice ultimately overcomes FGF21 resistance and shows the effects of
172 this hormone [28]. Another factor that may affect the activity of FGF21 is its proteolytic
173 cleavage by fibroblast activation protein (FAP), an enzyme that cleaves and inactivates
174 human FGF21 and belongs to the family of dipeptidyl peptidase-4 (DPP-4) [29].
175 Truncated forms of FGF21 exist in mouse plasma and differences in the activity of FAP
176 may result in changes in the total and intact serum FGF21 levels that may influence its
177 effects [30].

178

179 At a molecular level, FGF21 exerts its metabolic effects by binding to a receptor
180 complex consisting of the FGF receptor (FGFR) 1c and a co-receptor called β -Klotho
181 [31,32]. Whereas FGFR1c is ubiquitously expressed, FGF21 tissue specificity is
182 determined by the restricted expression of β -Klotho to adipose tissue, the liver
183 (predominantly in hepatocytes) [33], the pancreas and specific regions of the central
184 nervous system (CNS) [34]. Activation of the FGF21 receptor complex elicits signaling
185 cascades, including phosphorylation of the FGFR substrate-2 and the mitogen-activated
186 protein kinase (MAPK) cascade, thus resulting in extracellular signal-regulated kinase 1
187 and 2 (ERK1/2) phosphorylation [31,32].

188

189 Multiple studies have reported that FGF21 is involved in the mediation of several
190 actions that attenuate NASH development (Figure 2). In obese rodent and monkeys, for

191 example, FGF21 reduces insulin levels and improves IR, one of the drivers of
192 NAFLD/NASH [32]. These effects might be the result of the actions of FGF21 on white
193 adipose tissue, where FGF21 reduces adiposity [35], stimulates glucose uptake by
194 enhancing the expression of glucose transporter 1 (GLUT1) [36], modulates lipolysis
195 [35] and increases the activity of peroxisome proliferator-activated receptor γ (PPAR γ)
196 [37]. Moreover, administration of FGF21 increases the adiponectin released by adipose
197 tissue that mediates the beneficial effects of FGF21 on IR, hyperglycemia, dyslipidemia
198 and steatosis in animal models of dietary or genetic obesity [38]. In addition, FGF21
199 also protects β -cells from apoptosis, which is likely to be associated with the glucose-
200 lowering effect of this hormone that attenuates glucolipotoxicity [39]. Additional
201 mechanisms by which FGF21 reduces glucose levels may also play a role, including a
202 reduction in FFA and regulation of hepatic glucose production [35]. Thus, FGF21 acts
203 as an acute insulin sensitizer to improve glycemic control in rodents, while also
204 reducing insulin levels. This probably explains why FGF21 does not cause
205 hypoglycemia even at supraphysiological levels. In line with the hepatoprotective
206 effects of FGF21, FGF21-null mice with diabetes are more prone than wild-type mice to
207 develop NASH [40]. However, it is worth noting that, while most of the metabolic
208 effects of FGF21 observed in rodents and non-human primates, such as weight loss and
209 a reduction in insulin and lipids, were reproduced in humans, no glucose-lowering
210 effect has been observed in humans [35]. Nevertheless, studies involving humans have
211 likely not been comprehensive enough to fully reproduce the observations reported in
212 animal models.

213 In addition, FGF21 shows a potent hypotriglyceridemic effect in both animal models
214 and humans; in the latter, plasma TG levels were reduced by approximately 50% [35].

215 This is remarkable, since IR and T2DM result in atherogenic dyslipidemia, which is
216 initiated by overproduction of VLDL and is major risk factor for cardiovascular disease,
217 the leading cause of morbidity and mortality in NASH patients.

218

219 Likewise, FGF21 mitigates lipotoxicity by promoting hepatic FA oxidation in an animal
220 model of NASH fed with a methionine and choline-deficient (MCD) diet [41,42]. Thus,
221 FGF21-deficient mice fed an MCD diet showed reduced hepatic FA oxidation, thus
222 resulting in increased FFA levels. This was accompanied by more severe steatosis,
223 peroxidative damage, inflammation and fibrosis compared to wild-type mice.
224 Interestingly, FGF21 administration in these mice attenuated the progression to NASH.
225 Since the MCD diet induces NASH, despite weight loss, these findings indicate that
226 FGF21 has direct anti-inflammatory and anti-fibrotic effects that are independent of the
227 weight loss and IR amelioration observed in obese mice. In line with the effects of
228 FGF21 on hepatic FA oxidation, FGF21 is upregulated in the liver by peroxisome
229 proliferator-activated receptor α (PPAR α), a master regulator of hepatic FA oxidation,
230 in response to fasting [43,44]. Moreover, the increased hepatic FA oxidation observed
231 following the consumption of ketogenic diets is also dependent on FGF21, and its
232 deficiency in mice fed this diet results in fatty liver with severe hypertriglyceridemia
233 [43]. As mentioned above, FGF21 stimulates the production of adiponectin, which in
234 turn acts in the liver to reduce hepatic ceramide levels [45], whereas the increased
235 adiponectin seems to affect neither TG nor DAG levels.

236

237 FGF21 also attenuates hepatic ER stress, a process that contributes to hepatic steatosis,
238 inflammation and apoptosis, and is involved in the development of NASH. Liver
239 FGF21 expression is induced by the PKR-like ER kinase (PERK)-eukaryotic translation
240 factor 2 α (eIF2 α)-activating transcription factor 4 (ATF4) branch of ER stress [46-49],
241 where it plays a protective role by counteracting ER stress, since FGF21 deletion
242 accelerates ER stress-induced hepatic injury and TG accumulation. The FGF21-
243 mediated attenuation of ER stress and hepatic injury has been attributed to the activation
244 of the AMP-activated protein kinase (AMPK)-Sirtuin1 (SIRT1) pathway by FGF21,
245 given its protective role against ER stress, and to the attenuation of oxidative stress by
246 FGF21 [48]. In addition, hepatic steatosis is associated with prolonged expression of
247 C/EBP homologous protein (CHOP), a transcription factor that is upregulated by ATF4
248 in the context of unresolved ER stress. Interestingly, the existence of a negative
249 feedback loop by which enhanced FGF21 expression in ER stress inhibits eIF2 α ,
250 thereby reducing the expression of the ATF4-target gene CHOP, has been demonstrated
251 [47,49]. In addition, through this negative feedback loop, FGF21 controls its own
252 expression. Likewise, the VLDL receptor (VLDLR) is also controlled by ATF4, and the
253 induction of ER stress provokes hepatic steatosis via the increased expression of this
254 receptor [50]. We have previously reported that FGF21 may protect against hepatic
255 steatosis by attenuating ER stress-induced VLDLR upregulation [51]. Furthermore,
256 FGF21 suppresses the levels of activated SREBP1 protein maturation induced by ER
257 stress [47].

258 As mentioned above, bacterial endotoxins such LPS stimulate inflammation and
259 contribute to the development of NASH. FGF21-null mice present increased LPS-
260 induced liver injury compared to wild-type mice, while treatment with recombinant

261 FGF21 can improve their survival [52], thus confirming the hepatoprotective effects of
262 this hormone.

263

264 Regarding hepatic fibrosis, it has been reported that FGF21 administration mitigates
265 dimethylnitrosamine (DMN)-induced hepatic fibrogenesis in mice [53]. Transforming
266 growth factor- β (TGF- β), whose effects are mediated by the phosphorylation of Smad2
267 and Smad3, and the pro-inflammatory transcription factor nuclear factor (NF)- κ B play a
268 central role in the activation of hepatic stellate cells and fibrogenesis. In this study,
269 FGF21 inhibited the activation of hepatic stellate cells by reducing the expression of
270 TGF- β , the levels of phosphorylated Smad 2 and 3 and the activation of NF- κ B by
271 diminishing its translocation to the nucleus, whereas it increased apoptosis of these
272 activated cells. In line with these findings, liver-targeted FGF21 gene therapy reversed
273 HFD-induced hepatic fibrosis [54].

274 In addition to attenuating inflammation and fibrosis, FGF21 might also limit the
275 progression to hepatocellular carcinoma [55]. Thus, FGF21-null mice chronically fed a
276 high-fat, high-sucrose diet showed a deterioration of fibrosis, and 78% of the mice
277 developed hepatocellular carcinoma, compared to only 6% of the wild-type mice.
278 Similarly, FGF21 transfer to the liver prevented the formation of liver tumors induced
279 by chronic HFD feeding [54].

280 Despite the fact that FGF21 can cross the blood-brain barrier and may be found in the
281 cerebrospinal fluid, and that several of its effects are mediated through its actions in the
282 CNS [56], it is currently unclear whether the effects of FGF21 on NASH involve the
283 CNS.

284 Finally, several side effects have been reported after FGF21 administration [35]. For
285 instance, a decrease in body temperature and locomotor activity has been observed in
286 FGF21 transgenic mice but only when they were subjected to severe fasting. However,
287 the most significant side effect reported following FGF21 administration is bone loss
288 [35]. Thus, FGF21 transgenic mice and obese mice treated with FGF21 showed a
289 reduction in trabecular bone volume, whereas FGF21-null mice elicited an increase in
290 skeletal density. FGF21 induces bone loss by simultaneously decreasing bone formation
291 and increasing bone resorption. FGF21-induced bone formation results from the
292 inhibition of osteoblast differentiation and the switch in the differentiation of bone
293 marrow precursors to adipocytes instead of skeletal cells [57]. The increase in bone
294 resorption involves several indirect mechanisms, including activation of PPAR γ [58],
295 the increase in the receptor activator of NF- κ B ligand (RANKL)/osteoprotegerin (OPG)
296 ratio, thus indicating that altered RANKL availability contributes to bone resorption
297 [57] or induction of insulin-like growth factor binding protein 1 (IGFBP1) [59], a
298 hepatic hormone that promotes RANKL-mediated osteoclastogenesis.

299

300 **Pharmacological strategies to modulate the effects of FGF21**

301 The beneficial pharmacological effects of FGF21 have boosted its potential as a drug
302 for the treatment of NASH. However, several challenges hinder the use of native FGF21
303 as a drug, including the need for parenteral administration and its poor pharmacokinetic
304 properties, including a brief circulatory half-life (0.5-2 h), probably due to rapid renal
305 clearance and proteolytic cleavage. This has led to the development of FGF21 analogs
306 obtained by PEG(polyethylene glycol)ylation or fusion to antibody fragments. Daily

307 intraperitoneal administration of one of these FGF21 analogs, LY2405319, to an animal
308 model of NASH (*ob/ob* mice fed an MCD diet) prevented this disease by enhancing
309 mitochondrial function [60]. The treated mice showed improvements in metabolic
310 abnormalities, hepatic steatosis, liver injury, and inflammatory and fibrosis markers. In
311 addition, intraperitoneal administration of PsTag600-FGF21, a long-acting FGF21
312 analog, to a choline-deficient, high-fat diet-induced model of NASH reduced body
313 weight, glucose, insulin and hepatic steatosis in a dose-dependent manner [61]. It also
314 enhanced hepatic FA oxidation and caused a profound reduction in hepatic
315 inflammation that was attributed to an adiponectin-dependent inhibition of interleukin
316 (IL)-17A expression in T helper 17 (Th17) lymphocytes. In hepatocytes, DNA damage
317 triggers inflammation via Th17 lymphocytes and IL-17A, which in turn induces adipose
318 tissue neutrophil infiltration mediating IR and the release of FA stored in the liver as
319 TG, thus leading to NASH and hepatocellular carcinoma [62].

320

321 LY2405319, developed by Eli Lilly, was the first FGF21 analog to be evaluated in
322 humans [63,64]. Its daily subcutaneous administration for 28 days to patients with
323 obesity and T2DM improved dyslipidemia and reduced body weight and plasma insulin,
324 while increasing adiponectin levels [65]. However, only a glucose-lowering trend was
325 observed. According to the authors of this study, treatment with LY2405319 was
326 generally well tolerated. PF-05231023, consisting of two recombinant FGF21 molecules
327 fused to an antibody fragment, was developed by Pfizer. This is a long-acting FGF21
328 analog that allows for once-weekly administration. It was intravenously administered
329 for four weeks to obese people with hypertriglyceridemia receiving atorvastatin, with or
330 without diabetes, and caused a marked reduction in serum TG in the absence of weight

331 loss [66]. Regarding safety concerns, PF-05231023 increased heart rate and blood
332 pressure and caused modest changes in bone absorption and resorption markers, in line
333 with an FGF21-induced bone loss effect. Although changes in markers of bone turnover
334 might be secondary to weight loss [67], a different study with PF-05231023 reported
335 changes in these markers in the absence of weight loss, thus indicating a direct effect of
336 FGF21 [66]. More recently, the findings of two clinical trials with pegbelfermin (BMS-
337 986036), a PEGylated long-acting FGF21 analog developed by Bristol-Myers Squibb
338 that can be administered once a week, have been published. Administration of
339 subcutaneous pegbelfermin for 12 weeks to obese and type 2 diabetic patients improved
340 dyslipidemia, increased adiponectin and decreased the levels of the fibrosis biomarker
341 N-terminal type III collagen propeptide (PRO-C3), without causing changes in HbA1c
342 [68]. Confirmation of the efficacy of pegbelfermin in NASH was provided by a phase
343 2a clinical trial with patients suffering from this disease who received subcutaneous
344 administration of pegbelfermin either once a day or once a week for 16 weeks [69].
345 Pegbelfermin treatment reduced hepatic fat fraction (more than 50% of the patients
346 showed relative reduction of at least 30%), ameliorated dyslipidemia, increased
347 adiponectin, and improved markers of hepatic injury and biomarkers of fibrosis (liver
348 stiffness and PRO-C3), with no significant change in body weight. Some authors
349 claimed that the reduction in liver fat attained by pegbelfermin is modest compared to
350 lifestyle strategies that result in weight loss [70]. Regarding safety issues, no apparent
351 effect on bone density, which was assessed only by bone densitometry, was observed in
352 patients receiving pegbelfermin. However, a potential limitation of the treatment was
353 the formation of anti-pegbelfermin and anti-FGF21 antibodies, which were observed in
354 more than half the patients. Although the authors cited a decline in antibody titers after

355 the treatment, concerns have been raised about immunogenicity issues in chronic
356 treatments with pegbelfermin [70].

357

358 Strategies in addition to FGF21 analogs are currently being developed to potentiate the
359 effects of FGF21 that might have applications in the treatment of NASH. For example,
360 FGF21 gene therapy has been demonstrated as an effective treatment for obesity and IR
361 in animal models [54]. Another strategy includes the use of the non-selective FAP
362 inhibitor talabostat [30]. Oral administration of this compound to diet-induced obese
363 mice increased plasma FGF21 levels in obese mice, but not in lean mice. As a result of
364 this increase, talabostat decreased body weight and improved glucose tolerance in wild-
365 type mice, but had no effect in FGF21 knockout mice [30]. In addition, we have
366 reported that oral compounds that activate heme-regulated (eIF2 α) kinase (HRI) also
367 increase the hepatic expression of FGF21. HRI is a kinase that phosphorylates eIF2 α ,
368 which in turn increases ATF4 levels, thus resulting in enhanced FGF21 expression [49].
369 Oral administration of HRI activators increases FGF21 levels, ameliorates glucose
370 intolerance and prevents liver steatosis and the increase in serum transaminases in wild-
371 type mice fed an HFD, whereas no changes were observed in FGF21-null mice [71].
372 Similarly, it has been reported that promoting eIF2 α phosphorylation by hepatic
373 ablation of constitutive repressor of eIF2 α phosphorylation (CReP) causes an ATF4-
374 dependent increase in FGF21 expression that reduced body weight and ameliorated
375 glycemic control and hepatic steatosis in mice fed a HFD [72]. Finally, NGM Bio is
376 developing a once-monthly antibody, NGM313, which activates the β -Klotho-FGFR1c
377 complex. A single dose of NGM313 administered to obese, insulin-resistant, non-

378 diabetic subjects with NAFLD caused a reduction in liver fat content, ameliorated
379 dyslipidemia and reduced HbA1c and transaminases [73].

380

381 **Concluding Remarks and Future Perspectives**

382 NASH is a chronic, progressive liver disease that occurs when an excessive
383 accumulation of fat in the liver causes stress and injury to liver cells, thus leading to
384 inflammation and fibrosis, which can progress to cirrhosis, liver failure, cancer and
385 eventually death. There is compelling evidence that FGF21 may be an attractive target
386 for the treatment of NASH. FGF21 and its analogs stimulate hepatic FA oxidation,
387 thereby decreasing hepatic fat accumulation, and improving IR, one of the main drivers
388 of NASH. In addition, FGF21 raises levels of adiponectin, an adipokine with insulin-
389 sensitizing, anti-steatotic, anti-inflammatory and anti-fibrotic effects [17]. A recent
390 phase 2a clinical trial has demonstrated that administration of the FGF21 analog
391 pegbelfermin to patients with NASH reduces hepatic fat content. However, it is unclear
392 how this reduction in hepatic fat content affects liver-related outcomes and, since there
393 are no universally accepted surrogate endpoints for NASH, additional studies with a
394 larger number of patients should confirm the effects of this analog on liver histology
395 and clinical outcomes. In addition, safety concerns, including cardiovascular side effects
396 and bone loss (see Outstanding Questions), have been raised for some FGF21 analogs,
397 and questions remain regarding the safety of chronic treatment with FGF21 analogs.

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406 **Disclosure statement**

407 M.Z. and M.V-C. are co-inventors of the patent titled “HRI activators useful for the
408 treatment of cardiometabolic diseases” derived from WO2018/010856.

409

410

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605 2018.

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624 **FIGURE LEGENDS**

625 **Figure 1. Schematic summary of the pathophysiology of NASH.**

626 The main drivers of the development of NASH are obesity and insulin resistance,
627 favored by the consumption of fat- or fructose-rich diets. Genetic predisposition also
628 plays a key role. As visceral obesity develops in the context of insulin resistance, it
629 results in greater release of FFA, since insulin resistance leads to the failure of lipolysis
630 inhibition by this hormone. Enhanced delivery of FFA to the liver results in lipotoxicity,
631 mitochondrial dysfunction, ROS generation, lipid peroxidation, ER stress and
632 inflammation, which ultimately result in HSC activation and fibrogenesis. NASH can
633 progress to cirrhosis, liver failure, cancer and eventually death.

634 DAG: diacylglycerol; DNL: *de novo* lipogenesis; ER: endoplasmic reticulum; FFA: free
635 fatty acids; HSC: hepatic stellate cell; LPC: lysophosphatidylcholine; LPS:
636 lipopolysaccharide; ROS: reactive oxygen species; TG: triglycerides; VLDL: very low-
637 density lipoproteins.

638

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640 **Figure 2. Pharmacological approaches to target FGF21 and its main effects in**
641 **NASH.** Potential pharmacological approaches to treat NASH (FGF21 analogs, oral
642 inducers of native FGF21 such as HRI activators and FAP inhibitors, and antibodies
643 that activate the β -Klotho-FGFR1c complex) based on FGF21 and their potential
644 beneficial effects on this disease are depicted.

645 ATF4: activating transcription factor 4; eIF2 α : eukaryotic translation factor 2 α ; FAP:
646 fibroblast activation protein; FGFR1c: fibroblast growth factor receptor 1c; HRI: heme-
647 regulated (eIF2 α) kinase; KLB: β -Klotho; TG: triglycerides.

648

649 **Glossary**

650 **Adipokines (Adipocytokines):** peptides produced by adipose tissue that exert
651 autocrine, paracrine and endocrine function.

652

653 **Atherogenic dyslipidemia:** the presence of high levels of triglycerides, small-dense
654 low-density lipoprotein, and low levels of high-density lipoprotein cholesterol. It is
655 often observed in patients with metabolic syndrome, obesity, insulin resistance and
656 T2DM, and NAFLD.

657

658 **Cytokine:** a small protein secreted by cells that has a specific effect on the interactions
659 and communication between cells.

660

661 **ER stress:** the result of any stimulus that provokes the accumulation of misfolded
662 proteins in the lumen of the ER. ER stress triggers the unfolded protein response (UPR),
663 an adaptive (defensive) ER-stress response that involves activation of a signaling
664 pathway to restore folding capacity. If ER homeostasis is not restored, inflammation
665 and apoptosis is induced.

666

667 **Fibrosis:** the excessive accumulation of extracellular matrix that often occurs in
668 response to chronic tissue injury, and may cause disruption of organ architecture and
669 loss of function.

670

671 **FFA:** FA released from triglycerides by the action of the enzyme lipase and transported
672 in the blood bound to albumin.

673

674 **Hepatocyte ballooning:** special form of liver cell degeneration that is defined by
675 hematoxylin and eosin staining showing enlarged, swollen hepatocytes with loss of the
676 usual polygonal shape of the cell.

677

678 **Hepatic stellate cells:** liver-specific mesenchymal cells that play vital roles in liver
679 physiology and fibrogenesis. Once activated, stellate cells produce extracellular matrix
680 that generate a temporary scar at the site of injury to protect the liver from further
681 damage. Prolonged and repeated activation of stellate cells causes liver fibrosis that may
682 cause scar formation and disruption of liver architecture and function.

683

684 **Hepatokines:** proteins secreted by hepatocytes that can influence metabolic processes.

685

686 **Kupffer cells:** critical component of the immune system implicated in both liver injury
687 and repair. They exhibit tremendous plasticity, expressing a range of polarized
688 macrophages, from the proinflammatory M1 to the alternative/M2 phenotype, which is
689 involved in the resolution of inflammation and wound healing.

690

691 **Lipotoxicity:** when excess lipids in non-adipose tissues are driven into alternative non-
692 oxidative pathway that promotes metabolically relevant cellular dysfunction.

693

694 **Mitochondrial dysfunction:** loss of efficiency in the electron transport chain and
695 reductions in the synthesis of high-energy molecules, such as adenosine-5'-triphosphate
696 (ATP). It is characteristic of aging, and essentially, of all chronic diseases.

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698

1 **Outstanding Questions**

2 Will FGF21 analogs improve liver histology in patients with NASH?

3

4 Will FGF21 analogs show a reduction in clinical outcomes in patients with NASH?

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6 How would potential side effects affect the development of FGF21 analogs for the
7 treatment of NASH?

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9 Will FGF21 analogs be safe in chronic treatments for NASH?

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11 Are pharmacological approaches in study an option to obtain oral treatments to target
12 FGF21 in NASH?

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Comments for Reviewer 1

We are very grateful to the comments of the reviewer (*Zarei et al. nicely summarized the effects of FGF21 on NASH. The paper reads very well*). In addition, we would like to thank the reviewer for his/her useful suggestions, which have allowed us to include changes to improve the manuscript.

Comments:

Comment 1. *On page 8, line 182, the authors stated the restricted expression of beta-Klotho to the liver. The authors described KLB on hepatocytes in the figure. To my knowledge, the data regarding the expression of KLB are controversial. Please clarify this in the paper.*

Although KLB is highly expressed in liver of mice (reference 33 of the manuscript) and humans (please, see Gut and Liver 12: 449-456, 2018), the cell type that express KLB in liver was not identified until recently. Kobayashi et al. (please, see FASEB J. 30: 849–862, 2016) recently examined the expression of KLB in hepatocyte and non-hepatocyte fractions freshly isolated from liver. They found that KLB was predominantly expressed in hepatocytes.

According to the suggestion of the reviewer, we have included a comment to indicate that KLB is predominantly expressed in hepatocytes (please, see page 8, line 183).

Comment 2. *Several recent articles regarding FGF21 and NASH should be cited.*

Dushay J, Lai M.: Is Trimming the Fat Enough? Fibroblast Growth Factor 21 as An Emerging Treatment for Nonalcoholic Fatty Liver Disease. Hepatology. 2019;70(5):1860-1862

Xu X, Krumm C, So JS, Bare CJ, Holman C, Gromada J, Cohen DE, Lee AH. Preemptive Activation of the Integrated Stress Response Protects Mice From Diet-Induced Obesity and Insulin Resistance by Fibroblast Growth Factor 21 Induction. Hepatology. 2018;68(6):2167-2181.

According to the suggestion of the reviewer, we have included two comments in the revised version of the manuscript that include these references. Please, see page 15 (lines 348-350 and 355-356) and page 16 (lines 372-375).

Comments for Reviewer 2

We are very grateful to the comments of this reviewer (*This manuscript is well written and informative for readers.*).



