

1 **Cocoa and cocoa fibre differentially modulate the IgA and IgM production at mucosal sites**

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14 **Running title:** Changes in mucosal Ig production by cocoa

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18

19 **Abstract**

20

21 Previous studies show that a 10% cocoa diet, containing polyphenols and fibre among others,
22 modifies intestinal and systemic immunoglobulin (Ig) production. The present study was aimed at
23 evaluating the impact of cocoa on IgA and IgM production in the intestinal and extra-intestinal
24 mucosal compartments, establishing the involvement of cocoa fibre in such effects. Mechanisms by
25 which cocoa intake may affect the IgA synthesis in the salivary glands were also studied. To this
26 effect, rats were fed either a standard diet, a diet containing cocoa (C10), cocoa fibre (CF) or inulin
27 (I). Intestinal (the gut wash (GW), Peyer's patches (PP) and mesenteric lymph nodes (MLN)) and
28 extra-intestinal (salivary glands) mucosal tissues and blood samples were collected for IgA and IgM
29 quantification. The IgA production- and homing-related molecules gene expression was studied in
30 the salivary glands. The C10 diet decreased IgA and IgM intestinal production. Although CF diet
31 decreased the GW IgA concentration, it increased PP, MLN and serum IgA concentration. Both the
32 C10 and the CF diets produced a down-regulatory effect on the IgA secretion in the extra-intestinal
33 tissues. The C10 diet interacted with the mechanisms involved in the IgA synthesis, whereas the CF
34 showed particular effects on the homing and transcytosis of IgA across the salivary glands. Overall,
35 CF is able to up-regulate IgA production in the intestinal-inductor compartments whereas it down-
36 regulates its production at the mucosal-effector ones. Further studies must be directed to ascertain
37 the mechanisms involved in the effect of particular cocoa components on gut-associated lymphoid
38 tissue (GALT).

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40

41 **Introduction**

42

43 The gut-associated lymphoid tissue (GALT) is the first line of defence against a variety of antigens
44 (Ag) in the intestine. Structurally, the GALT is divided into organized and diffuse compartments.
45 The organized or inductor GALT is formed by isolated lymphoid follicles (ILF) and Peyer's patches
46 (PP), whereas the diffuse or effector GALT is formed by lymphocyte populations scattered across
47 the epithelial or in the lamina propria. Moreover, the mesenteric lymph nodes (MLN) are part of the
48 intestinal immune system, although they are not referred to as GALT as they do not sample
49 intestinal antigens directly⁽¹⁾.

50 The main humoral immune product in the GALT, as well as in the other mucosal tissues, is the
51 polymeric immunoglobulin (Ig) A (IgA), which represents around 80-90% of the total secreted Ig
52 (S-Ig) of the body^(2,3). In a lower proportion, IgM (6-19%) and IgG (4-5%) are also present in the
53 mucosal surfaces⁽⁴⁾. The high content of S-IgA in the intestine plays a key role in the maintenance
54 of gut homeostasis and oral tolerance⁽⁵⁾.

55 M cells from PP are specialized cells in luminal Ag uptake that transport luminal Ags from the
56 intestinal lumen towards Ag-presenting cells. These cells interact with interfollicular T
57 lymphocytes⁽⁵⁾, which once activated bring about the differentiation and maturation of B cells,
58 inducing them to become IgA+ cells and later IgA-secreting cells (IgA-SCs). This process depends
59 on determined cytokines, such as transforming growth factor β 1 (TGF- β 1), interleukin (IL)-5, IL-6,
60 IL-10 and IL-21⁽⁶⁻⁹⁾. B-cell differentiation occurs in PP and, to a lesser extent, in ILF and MLN⁽²⁾.
61 From those inductive sites, the activated B cells reach peripheral blood and migrate to secretory
62 effector sites where they extravasate depending on adhesion molecules and chemokine-chemokine
63 receptor pairs^(1,10). The interaction between several regulated endothelial adhesion molecules and
64 the corresponding ligands expressed on the memory/effector B cells' surface provokes a successful
65 homing.

66 Although GALT is thought to constitute the major part of mucosal-associated lymphoid tissue
67 (MALT), the induction of mucosal immune responses can take place in other mucosal
68 compartments that also belong to the MALT⁽³⁾. In fact, studies point to the possibility that other
69 mucosal compartments may be even more important than the GALT ones for S-IgA production. In
70 this sense, salivary glands are considered important effector sites in the mucosal immune
71 network⁽¹¹⁾.

72 Previous studies carried out in our laboratory have demonstrated the ability of a cocoa diet to
73 modulate the immune system *in vitro* and *in vivo*⁽¹²⁾. In particular, a down-regulation of the serum
74 and intestinal Ig content was observed in young rats fed with a cocoa diet^(13–16). As cocoa contains
75 mainly flavonoids such as (–)-epicatechin, (+)-catechin and their polymeric forms called
76 procyanidins⁽¹⁷⁾, most of these effects of cocoa have been attributed to its high content of
77 polyphenols⁽¹⁸⁾. However, it must be added that cocoa is also an important source of dietary fibre
78 (DF) (26-40% of its content, mostly insoluble fibre) and, therefore, DF seems to strengthen or to be
79 in part responsible for the effects described above⁽¹⁹⁾.

80 On the basis of this background, the aim of this study was to investigate in depth the impact of
81 cocoa on IgA and IgM production in the intestinal and extra-intestinal (salivary glands) mucosal
82 compartments, establishing the particular involvement of cocoa fibre in such effects. Moreover,
83 some of the mechanisms by which cocoa intake may affect the expression of molecules involved in
84 IgA+ B cell homing and IgA synthesis in the salivary glands were also studied.

85 **Material and Methods**

86 *Animals and diets*

87 Female Wistar rats (3-week-old) were obtained from Janvier Labs (Saint-Berthevin, France) and
88 housed in cages under conditions of controlled temperature and humidity in a 12:12 light-dark
89 cycle. The rats were randomly distributed into four dietary groups: the reference (REF), cocoa
90 (C10), cocoa fibre (CF) and inulin (I) groups (n=10/each group). The REF group was fed with the
91 standard diet AIN-93M (Harlan, Barcelona, Spain); the C10 group received chow containing 10%
92 cocoa, which finally provided 0.4% of polyphenols, 0.85% soluble fibre and 2.55% of insoluble
93 fibre; the CF group received a diet with the same proportions of soluble and insoluble cocoa fibre as
94 the C10 group but with a very low amount of polyphenols (<0.02%); and the I group received the
95 AIN-93M standard diet, to which the same amount of soluble fibre as that given to the C10 group
96 (0.85%) was added, but as inulin, in order to distinguish the particular effect of soluble cocoa fibre
97 (Table 1). Natural Forastero cocoa and cocoa fibre powders (provided by Idilia Foods S.L.
98 (formerly Nutrexp S.L.), Barcelona, Spain) with 4.02% and 0.35% of polyphenols, respectively,
99 were used to elaborate the C10 and CF diets. Inulin from chicory roots (Fibruline® Instant;
100 InnovaFood 2005, S.L., Barcelona, Spain) was used as a reference soluble fibre. The three
101 experimental diets were elaborated on the basis of the AIN-93M formula by subtracting the amount
102 of carbohydrates, proteins, lipids and insoluble fibre provided by the corresponding supplements.

Table 1. Composition and content of macro- and micronutrients of experimental diets (g/kg diet).

Components	REF (g/kg) AIN-93M	C10 (g/kg) (Cocoa)	CF (g/kg) (Cocoa fibre)	I (g/kg) (Inulin)
Casein	121.5	97.1	109.7	118.7
L-Cystine	1.8	1.4	1.4	1.6
Corn Starch	418.1	423.7	437.2	426.4
Maltodextrin	148.5	118.7	120.4	131.5
Sucrose	102.6	108.7	110.9	110.9
Soybean oil	38.2	26.2	33.5	38.9
Cellulose	50	24.5	26.5	50.0
Minerals	35.3	27.7	27.9	31.3
Vitamins	9.1	7.2	7.2	8.1
Choline bitartrate	2.5	2.0	2.0	2.2
<i>tert</i> -Butylhydroquinone	0.008	0.006	0.006	0.006
Water	72.4	63	71.1	72
Extract	-	100	52.3	8.5
Protein	-	22	8	-
Carbohydrate	-	16	0.5	-
Lipid	-	11	4.8	-
Fibre (insoluble/soluble)	-	34 (25.5/8.5)	31.9 (23.4/8.5)	8.5 (- /8.5)
Minerals	-	6	7	-
Total Polyphenols ¹	-	4	0.2	-
Water	-	7	-	-

¹ Total polyphenol compounds were quantified using the Folin-Ciocalteu method.

103 The resulting chows were isoenergetic and had a similar proportion of macronutrients
 104 (carbohydrates, proteins and lipids) and insoluble fibre as the reference diet (Table 1). Animals
 105 were given free access to water and chow. The diets lasted for three weeks. In addition, taking into
 106 account the obtained results, a similar design but with just one week of diet duration was executed
 107 which results are included as a supplementary material.

108 Studies were performed according to the criteria outlined by the Guide for the Care and Use of
 109 Laboratory Animals. Experimental procedures were reviewed and approved by the Ethical
 110 Committee for Animal Experimentation of the University of Barcelona (ref. 358/12).

111 *Sample collection and preparation*

112 At the end of the nutritional intervention, mucosal and blood samples were collected for Ig
 113 quantification. Briefly, animals were anaesthetized intramuscularly with ketamine (90 mg/kg)
 114 (Merial Laboratorios, S.A., Barcelona, Spain) and xylazine (10 mg/kg) (Bayer A.G, Leverkusen,

115 Germany). The small intestine, mesenteric lymph nodes (MLN), and the left submaxillary salivary
116 gland (SMG) and the left parotid salivary gland (PSG) were collected. A small piece of SMG was
117 immediately immersed in RNeasy® (Qiagen, Life Technologies, Austin, TX, USA), incubated at
118 4 °C overnight and stored at -20 °C until PCR analysis. The distal half of the small intestine was
119 carefully rinsed with cold 0.9% NaCl saline solution in order to remove faecal content, it was
120 opened lengthwise and visible Peyer's patches (PP) were excised. The intestinal tissue without PP
121 was used to obtain the gut wash (GW) for Ig quantification by ELISA, as established previously in
122 our laboratory⁽¹³⁾. The MLN, PP and both salivary glands were processed for Ig quantification. For
123 this purpose, MLN, PP, SMG and PSG homogenates were obtained as described previously⁽¹⁶⁾.

124 *Immunoglobulin quantification*

125 IgA and IgM concentrations in GW, MLN, PP, SMG and PSG homogenates and serum IgA, IgM
126 and IgG were quantified at the end of the nutritional intervention by ELISA following the
127 manufacturer's instructions for IgA (Bethyl Laboratories, Inc., Montgomery, TX, USA) and IgM
128 (BD Biosciences, Heidelberg, Germany). Absorbance was measured in a microplate photometer
129 (LabSystems Multiskan) and data were interpolated using ASCENT version 2.6 software (Thermo
130 Fisher Scientific) into the standard curves, and expressed as ng/mg of tissue in homogenates and
131 GW, and as µg/mL for the serum results.

132 *Assessment of RNA gene expression by RT-PCR*

133 To assess the gene expression of IgA, TGF-β1, RARα, RARβ, CCL28, pIgR and IL-6, the RNA
134 was isolated from SMG samples by the RNeasy® Mini Kit (Qiagen, Madrid, Spain) following the
135 manufacturer's recommendations. The NanoDrop spectrophotometer and NanoDrop IVD-1000
136 V.3.1.2 software (NanoDrop Technologies, Wilmington, DE, USA) were used to quantify the
137 amount of RNA obtained. The Agilent 2100 Bioanalyzer with the RNA 6000 LabChip 1 kit
138 (Agilent Technologies, Madrid, Spain) was used to assess the RNA integrity for each sample. All
139 samples used for further experiments showed an RNA integrity number (RIN) ≥ 9 and purity
140 between 1.814 and 2.033 using the A₂₆₀/A₂₈₀ ratio. Two µg of total RNA was converted to cDNA.
141 Specific PCR TaqMan® primers and probes (Applied Biosystems, AB, Weiterstadt, Germany) were
142 used to measure selected targets: *Iga* (331943, made to order), *Ccl28* (Rn00586715_m1, I), *Tgfb1*
143 (Rn00572010_m1, I), *Rara* (Rn00580551_m1, inventoried (I)), *Rarb* (Rn01537835_m1, I), *Pigr*
144 (Rn00562362_m1, I) and *Il6* (Rn01410330_m1, I). Quantitative real-time PCR assays were
145 performed in duplicate for each sample using an ABI PRISM 7900HT Sequence Detection System

146 (AB). Quantification of the studied genes was normalized to the housekeeping *Gusb* (beta
147 glucuronidase gene) (Rn00566655_m1, I), which showed constant level of expression in our
148 experimental conditions being similar to the level of expression of studied genes. The SDS v2.4
149 software (AB) was used to analyse the expression data. The amount of target mRNA relative to the
150 endogenous control expression was calculated for the three nutritional intervention groups relative
151 to values from the REF group, which represents 100% gene expression, using the standard $2^{-\Delta\Delta Ct}$
152 method, as previously described⁽²⁰⁾. Results are expressed as the mean \pm SEM of the percentage of
153 these values.

154 *Statistical analysis*

155 Levene's and Kolmogorov–Smirnov tests were applied to assess variance equality and normal
156 distribution, respectively. Conventional one-way ANOVA followed by the Bonferroni *post hoc*
157 significance test was applied when normal distribution and equality of variance existed. Otherwise,
158 non-parametric tests (Kruskal-Wallis and Mann-Whitney *U* rank-sum tests) were used to assess
159 significance. Statistical analysis was performed using the software package SPSS 22.0 (SPSS, Inc.
160 USA) and significant differences were established at $P < 0.05$.

161 **Results**

162 *Body weight and chow intake*

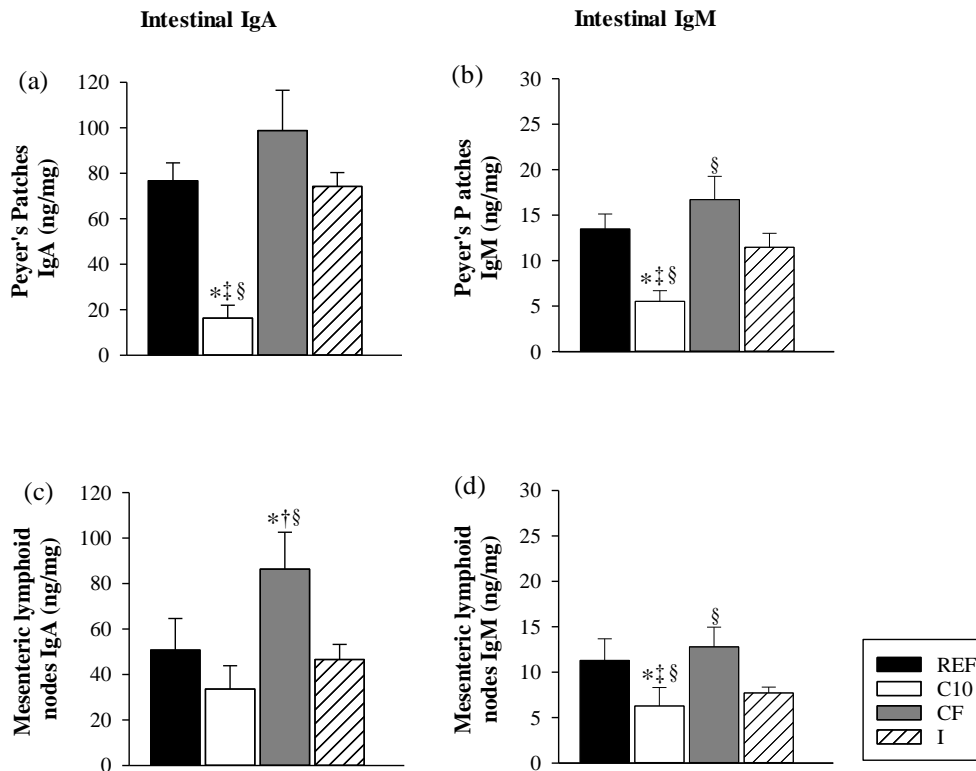
163 Throughout the study no changes in the increasing body weight pattern were found as a result of CF
164 and I diet intake. However, the cocoa-fed animals showed a slower body weight increase, this being
165 significantly lower in comparison to that of the rest of the groups throughout the study (up to 31.7%
166 lower body weight increase in the C10 group compared to the rest of the groups at day 7, 72.2% at
167 day 14 and 103.2% at day 21). This effect was not related to lower chow intake, which was similar
168 throughout the study in all experimental groups (data not shown). Similar results on body weight by
169 10% cocoa diet have been already reported^(13,15,18).

170 *Immunoglobulin content in the inductor intestinal compartments*

171 After three weeks of nutritional intervention, changes in IgA and IgM concentrations in both
172 studied inductor compartments in the intestine (PP and MLN) were observed (Fig. 1). In PP, the
173 C10 diet significantly reduced the IgA and IgM concentrations (Fig. 1(a) and (b)) compared to those
174 observed in the rest of the groups ($P < 0.05$), whereas the CF increased the IgM concentration with
175 respect to the I-fed animals ($P < 0.05$). The I diet did not significantly modify the IgA and IgM

176 concentration in PP. The same changes on PP IgA and IgM concentrations were already observable
 177 after just one week of nutritional intervention with the C10 diet ($P<0.05$) (online Supplementary
 178 Table S1).

Fig. 1. Effects of cocoa diet on IgA and IgM content in the inductor intestinal compartments after three weeks of diets. IgA (a) and IgM (b) concentration in Peyer's patches, and IgA (c) and IgM (d) concentration in mesenteric lymph nodes. Values are mean (n 10) with their standard errors represented by vertical bars. *Mean value was significantly different from that of the reference (REF) group ($P<0.05$); †Mean value was significantly different from that of the group consuming the cocoa diet (C10) ($P<0.05$); ‡Mean value was significantly different from that of the group consuming the cocoa fibre diet (CF) ($P<0.05$); §Mean value was significantly different from that of the group consuming the inulin diet (I) ($P<0.05$).



179

180 In MLN, the C10 intake did not significantly modify IgA concentration (Fig. 1(c)) but resulted in a
 181 significant IgM reduction in comparison with those in the REF, CF and I groups ($P<0.05$) (Fig.
 182 1(d)). No statistical differences on MLN IgM or IgA were observed after just one week of C10 diet
 183 (online Supplementary Table S1). Moreover, in the same tissue the CF diet increased the IgA
 184 concentration compared to the other groups ($P<0.05$) and also the IgM concentration compared to

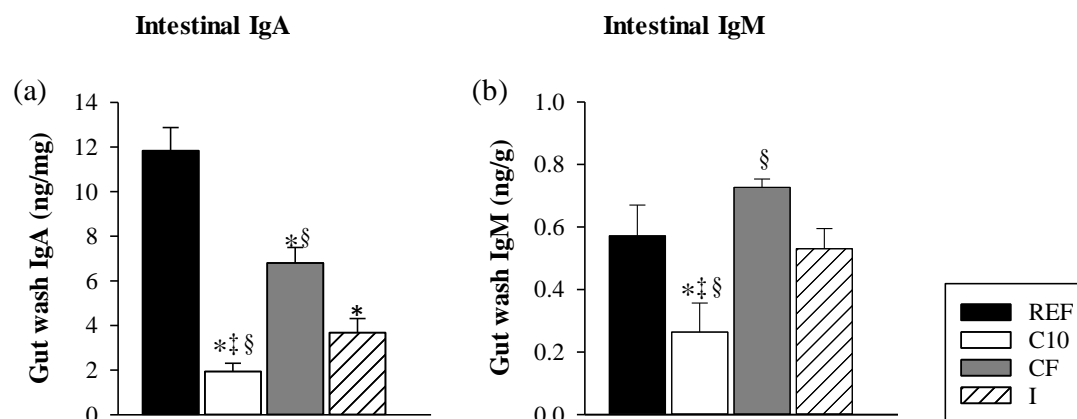
185 the I group ($P<0.05$) (Fig. 1(c) and (d)). This increase in MLN IgA and IgM was already observed
 186 after one week of diet (online Supplementary Table S1). The I diet did not significantly modify the
 187 IgA and IgM concentration in MLN (Fig. 1(c) and (d)).

188 *Immunoglobulin content in the effector intestinal compartments*

189 IgA and IgM concentrations were also determined in GW as representative of the production of Ig
 190 in the effector tissues (Fig. 2). Although all three experimental diets significantly reduced the GW
 191 IgA concentration compared to the REF group ($P<0.05$), it was the C10 diet that produced the most
 192 profound reduction in comparison with the CF and I diets ($P<0.05$) (Fig. 2(a)) that was already
 193 observed after one week of nutritional intervention ($P<0.05$) (online Supplementary Table S1). The
 194 decrease in IgA after the I diet intake was also more pronounced than that observed in the CF group
 195 ($P<0.05$). With regards to the IgM in GW, the C10 diet reduced its concentration compared to the
 196 rest of the groups ($P<0.05$) (Fig. 2(b)). The CF diet increased the IgM concentration compared to
 197 that produced by the I diet ($P<0.05$), but not when compared to the REF diet.

198

Fig. 2. Effects of cocoa diet on IgA and IgM content in the effector intestinal compartments after three weeks of diets. IgA (a) and IgM (b) concentration in gut washes. Values are mean (n 10) with their standard errors represented by vertical bars. *Mean value was significantly different from that of the reference (REF) group ($P<0.05$); †Mean value was significantly different from that of the group consuming the cocoa fibre diet (CF) ($P<0.05$); §Mean value was significantly different from that of the group consuming the inulin diet (I) ($P<0.05$).



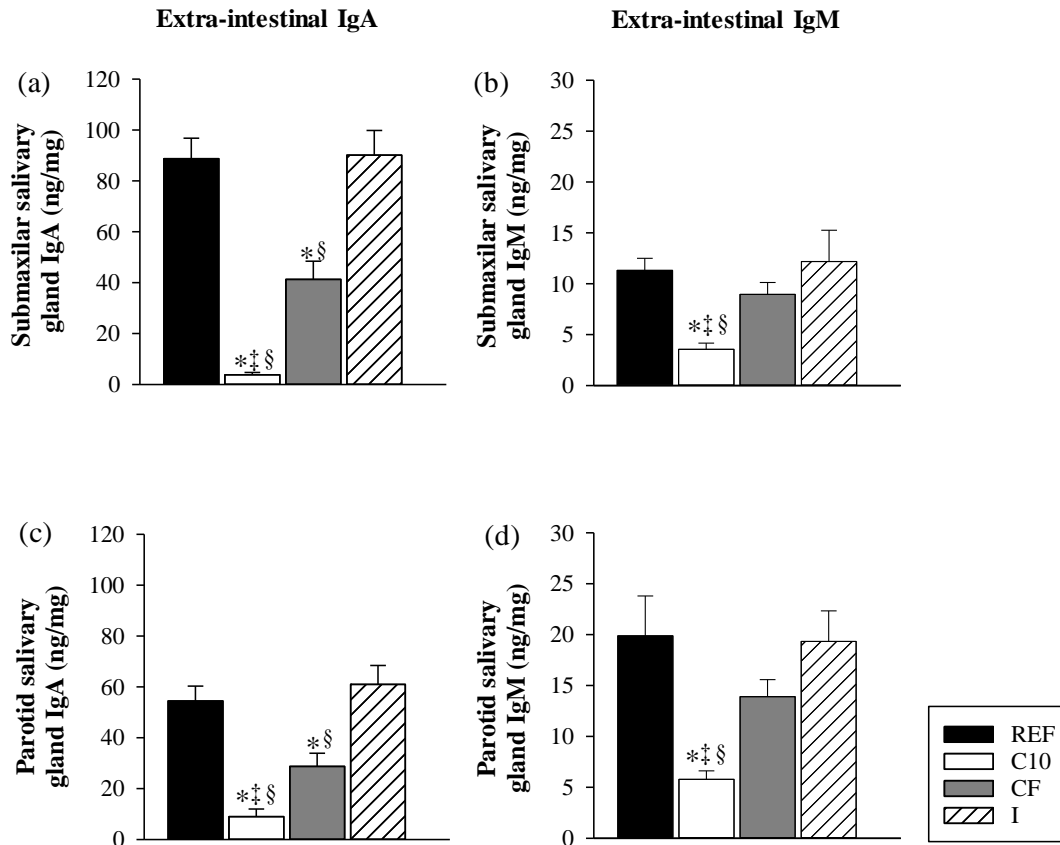
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200 *Immunoglobulin content in the effector extra-intestinal mucosal compartments*

201 Regarding the salivary glands, a similar pattern was observed in the SMG and PSG for both Ig
 202 (Fig. 3). The C10 diet significantly reduced the IgA and IgM content in both salivary glands
 203 compared to the rest of the diets ($P<0.05$). The CF diet also reduced IgA concentration in both
 204 salivary glands in a more modest way in comparison to the REF diet (Fig. 3(a) and (c)), without
 205 affecting the IgM concentration (Fig. 3(b) and (d)). The same C10 and CF effects were already
 206 observed after one week (online Supplementary Table S1). No changes in IgA and IgM were
 207 observed in the salivary glands after I diet intake.

208

Fig. 3. Effects of cocoa diet on IgA and IgM content in the effector extra-intestinal mucosal compartments after three weeks of diets. IgA (a) and IgM (b) concentration in the submaxillary gland and IgA (c) and IgM (d) concentration in the parotid gland. Values are mean (n 10) with their standard errors represented by vertical bars. *Mean value was significantly different from that of the reference (REF) group ($P<0.05$); ‡Mean value was significantly different from that of the group consuming the cocoa fibre diet (CF) ($P<0.05$); §Mean value was significantly different from that of the group consuming the inulin diet (I) ($P<0.05$).



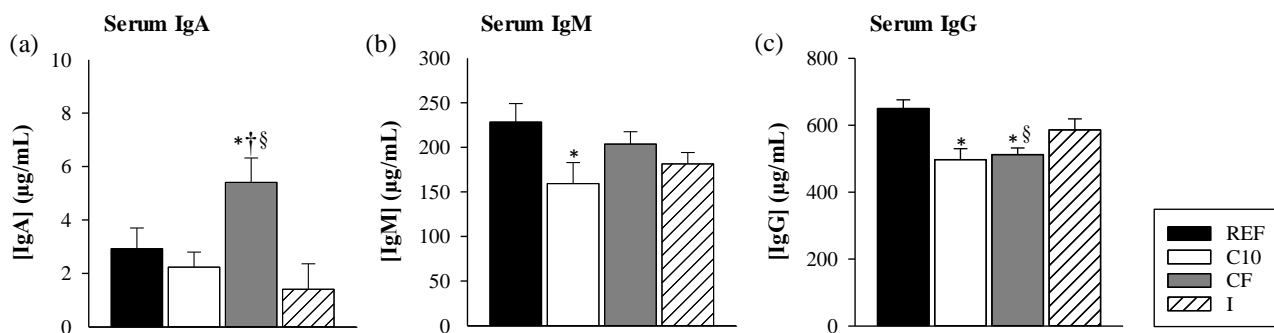
209

210 *Systemic immunoglobulins*

211 Serum concentrations of IgA, IgM and IgG from all experimental groups after three weeks of diet
 212 are summarized in Fig. 4. With regards to the IgA concentration, the CF diet intake resulted in
 213 higher levels of IgA compared to the rest of the diets ($P<0.05$) (Fig. 4(a)). IgM concentration was
 214 reduced by the C10 diet when compared to the REF diet after 3 weeks of intervention ($P<0.05$)
 215 (Fig. 4(b)). Serum IgG concentration was diminished by both the C10 and CF diets compared to the
 216 REF diet at the end of the third week of study ($P<0.05$) (Fig. 4(c)).

217

Fig. 4. Effects of cocoa diet on serum IgA (a), IgM (b) and IgG (c) concentrations after three weeks of diets. Values are mean (n 10) with their standard errors represented by vertical bars. *Mean value was significantly different from that of the reference (REF) group ($P<0.05$); †Mean value was significantly different from that of the group consuming the cocoa fibre diet (CF) ($P<0.05$); §Mean value was significantly different from that of the group consuming the inulin diet (I) ($P<0.05$).



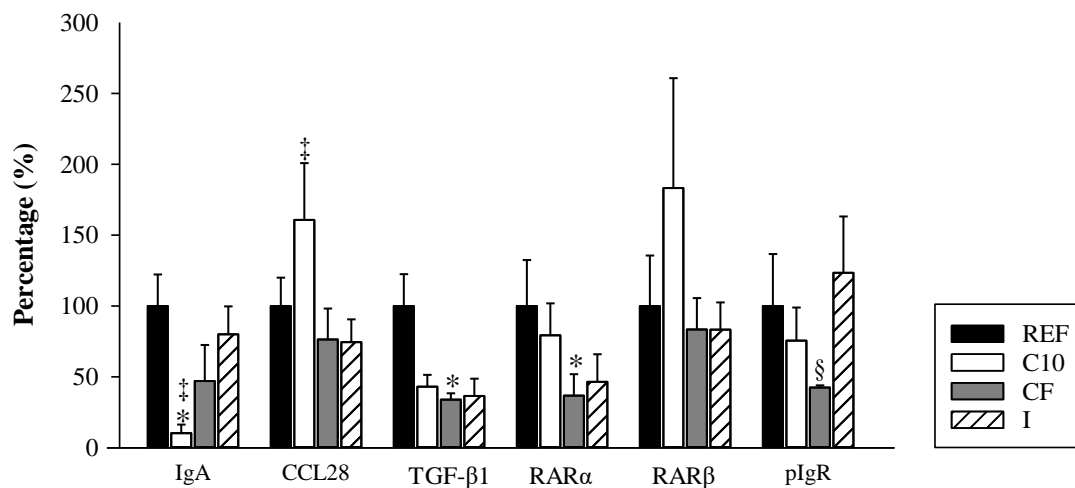
218 *Submaxillary gland gene expression*

219 Gene expression of IgA, CCL28, TGF- β 1, RAR α , RAR β , pIgR and IL-6 was assessed in the SMG
 220 at the end of the study to examine in depth the mechanism involved in the Ig modulation activity of
 221 cocoa products (Fig. 5). The IgA expression was significantly down-regulated only in the C10
 222 group compared to the REF and CF diets, whereas CCL28 was significantly up-regulated by this
 223 diet compared to the CF diet ($P<0.05$). In addition, a tendency to down-regulate the TGF- β 1 gene
 224 expression has been observed in the C10 diet. On the other hand, the CF diet fed animals showed
 225 significantly lower expression of TGF- β 1 and RAR α after three weeks of nutritional intervention
 226 compared to the REF animals ($P<0.05$). Moreover, the expression of pIgR in those animals fed with
 227 CF was also significantly lower than those fed with I diet ($P<0.05$). The I diet did not modify the

228 expression of any of the studied genes. The *Il6* expression was not detected in the SMG from any of
 229 the studied groups.

230

Fig. 5. Expression of genes associated with IgA synthesis, secretion, switching, intestinal homing in submaxillary salivary gland after three weeks of diets. Expression levels were normalized using the expression of *Gusb* as the endogenous housekeeping gene. Values are mean (n 5-6) with their standard errors represented by vertical bars. *Mean value was significantly different from that of the reference (REF) group, which represents 100% gene expression ($P<0.05$); ‡Mean value was significantly different from that of the group consuming the cocoa fibre diet (CF) ($P<0.05$); §Mean value was significantly different from that of the group consuming the inulin diet (I) ($P<0.05$).



231

232 Discussion

233 In previous studies, we reported that the intake of a 10% cocoa diet down-regulates the Ig content at
 234 systemic and intestinal levels in young rats⁽¹³⁻¹⁶⁾. However, the impact of cocoa at the
 235 extra-intestinal mucosal level, the mechanism by which it may act and the involvement of cocoa
 236 fibre in such effects are unknown to date. This study compares the effect of the whole cocoa intake
 237 with that produced by cocoa fibre extract (with a lower amount of polyphenols) on Ig production
 238 and that produced by a soluble fibre such as inulin. The results showed that the diet containing
 239 whole cocoa (C10 diet) induced the most pronounced effects on the synthesis of Ig in intestinal and
 240 extra-intestinal mucosal compartments. In addition, we found that most of the cocoa effects
 241 observed at the end of the third week were already significant after just one week of the diet. The

242 impact of the cocoa fibre on Ig production was lower than that produced by the whole cocoa in
243 some tissues (GW and salivary glands for IgA) whereas in other compartments it had no effect (PP
244 for both Ig and GW, MLN and serum for IgM) or it even exerted the opposite effect (MLN and
245 serum for IgA). Finally, the soluble fibre-enriched diet only modified the GW IgA levels,
246 decreasing its concentration, similar to those effects resulting from CF intake. The consequence and
247 meaning of these results in each compartment are discussed next.

248 At the GALT inductor sites (PP and MLN), cocoa intake attenuated the production of the IgM
249 synthesis in MLN and that of IgA and IgM in PP after three weeks of diet, the latter effects already
250 being observed after one week of the diet. On the contrary, the CF diet (containing a very low
251 amount of polyphenols) exerted the opposite effect of the C10 diet by increasing the IgA content in
252 both PP and MLN (only significant in the latter). These results are in line with those previously
253 reporting a higher proportion of IgA-coated bacteria in faeces from the CF-fed animals⁽¹⁹⁾. To our
254 knowledge, this is the first report about the immune-potentiating effect of cocoa fibre. In line with
255 these results, a rise in the IgA concentration has also been described for well-known substances
256 with prebiotic effects⁽²¹⁾. Contrary to that, the prebiotic substance used in the present study (inulin)
257 did not modulate Ig production, as reported in other studies⁽²²⁾, which could be attributed to several
258 factors, such as the methodologies, population groups, length of intervention, as well as the type
259 and dose of inulin used⁽²³⁾. Likewise, the CF diet increased the IgM content in PP and MLN with
260 respect to the I group, suggesting that cocoa fibre has particular compounds and/or composition that
261 are not in common with inulin, which could contribute to these effects. In addition, it is possible
262 that the prebiotic effects attributed to the cocoa fibre⁽¹⁹⁾ may be involved in the mechanism by
263 which cocoa fibre increased the IgA concentration in this compartment. This enhancement is also
264 reflected in serum from the CF group, in which IgA concentration is also increased, behaviour that
265 is not always present after prebiotic intake^(24,25). Moreover, this means that the fibre present in
266 cocoa can be discarded as being the main factor responsible for the down-regulation caused by the
267 whole cocoa, and this allows us to suggest that other compounds present in cocoa may be masking
268 and counteracting the immune-potentiating effect of the cocoa fibre.

269 Regarding the effector intestinal compartment (GW), previous studies carried out in our laboratory
270 reported a reduction in IgA and IgM concentration after a six-week cocoa diet⁽¹⁵⁾. In line with those
271 results, in the present study we have observed that this reductive effect of cocoa is already
272 evidenced after three weeks and one week of diet, thus showing the early down-regulatory effects of
273 cocoa. The cocoa fibre- or inulin-fed animals also presented lower IgA concentration in this

274 compartment. Therefore, the CF effect on inductor sites is not reproduced at effector level.
275 However, as the CF influence was not as pronounced as that produced by the whole cocoa diet,
276 cocoa fibre might only be partially contributing to the down-regulatory effects of cocoa and other
277 cocoa compounds, such as flavonoids, might be potentiating the effect of fibre, or even be the key
278 factor responsible for this effect. Nonetheless, studies using three different polyphenol-enriched
279 cocoa extracts evidenced that although they were also able to modulate the Ig production, they were
280 not the main compound in charge of such an effect^(18,26). Therefore, further studies should be
281 carried out in order to fully elucidate the contribution of other cocoa components, such as
282 methylxanthines on these intestinal effects.

283 A third compartment included in the current study was the salivary glands. Despite the existing
284 evidence about the protective effect of flavonoid consumption from medication and/or age-related
285 dysfunction of salivary glands⁽²⁷⁻²⁹⁾, there is no information about the impact of polyphenols on the
286 salivary glands' Ig production. In fact, to our knowledge, this is the first report describing the
287 impact of whole cocoa (containing polyphenols and fibre) on Ig production in the extra-intestinal
288 mucosal compartments. We observed that the C10 diet diminished the IgA and IgM concentrations
289 in the salivary glands at the end of just one week of dietary intervention. Similarly, there is scarce
290 information about the influence of indigestible carbohydrates on IgA response in the salivary
291 glands, this being the first time the impact of fibre from cocoa or as inulin on the Ig production in
292 these tissues has been described. Here we have reported that the CF-fed animals, although in a more
293 moderate way than the C10 group, also presented lower concentrations of IgA in this compartment
294 at the same time points. These results are not in line with those observed in animals fed with
295 fructooligosaccharide (FOS) and a mixture of polydextrose and lactitol which induced higher
296 submandibular IgA concentration⁽²⁵⁾. Unlike the prebiotic substances and the results mentioned
297 above, the cocoa fibre did not exert immune-potentiating effects in the salivary glands, thus
298 suggesting that not only the fibre but also other compounds present in cocoa might be interfering
299 with the Ig production in the salivary glands.

300 In order to obtain insights into the underlying mechanism associated with the reduction in IgA
301 concentration in the salivary glands due to the diets, the gene expression of some key molecules
302 involved in IgA synthesis and regulation, as well as IgA-SCs mucosal homing has been evaluated in
303 this compartment. Here, we have observed that the IgA gene expression was drastically
304 down-regulated by the C10 group but not by the CF and I groups. This result agrees with the most
305 pronounced effects of the C10 diet on SMG IgA content, which could be due to the presence of a

306 lower number of IgA-SCs cells in this tissue and/or a lower ability to secrete it. Some of the
307 molecules involved in the maturation and differentiation of B cells in becoming IgA-SCs are IL-6
308 and TGF- β 1. Although IL-6 mRNA was not detected in the SMG, the TGF- β 1 gene expression
309 tended to be lessened by all three experimental diets, only being statistically significant in the CF
310 diet. These results suggest the attenuating effect of soluble fibre in the differentiation of B cells into
311 the salivary glands, and could partially explain the decreased IgA content found after the C10 and
312 CF diets. Similar results have been found in the small intestine from animals fed with a cocoa diet
313 for three and seven weeks^(15,30).

314 Once the IgA-secreting B cells are activated, they migrate to the blood and later return to the
315 mucosal effector compartments⁽⁵⁾. Mucosal homing is mainly regulated by adhesion molecules and
316 chemokine-mediated interaction. To date, CCL28 chemokine and its corresponding receptor are
317 crucial in the recruitment of IgA+ B cells to the extra-intestinal as well as intestinal mucosa^(31,32).
318 Here, similarly to what has been reported in the small intestine⁽³⁰⁾, the C10 intake, but not the CF,
319 up-regulated the expression of CCL28. These results may point to a compensatory mechanism to
320 strongly attract the IgA+ B cells to avoid the down-modulation on IgA concentration. Other
321 molecules involved in the intestinal cell homing mechanism are those that constitute the retinoic
322 acid nuclear receptor family (RAR)⁽³³⁾. After evaluating the expression of both RAR α and RAR β in
323 the salivary gland we found that the C10 intake for three weeks did not modify either the expression
324 of RAR α or RAR β at this level, which agrees with those results obtained in the small intestine and
325 MLN after three weeks of diet⁽¹⁵⁾. However, the CF diet significantly reduced the expression of
326 RAR α but not RAR β , which could contribute to the IgA-decreasing effect of the CF on salivary
327 glands. Finally, in order to reach the mucosal lumen, the IgA requires the association with the
328 transmembrane epithelial protein pIgR⁽⁴⁾. We found that pIgR gene expression was not modified by
329 the C10 diet at the extra-intestinal level or in the intestine⁽¹⁵⁾, thus indicating that the reduction of
330 IgA concentration associated with the cocoa intake is not due to a lower transport across the
331 epithelium. Little is known about the impact of fibre on the pIgR gene expression. To date, an
332 increase in its expression after the intake of FOS and a mixture of polydextrose and lactitol in
333 rodents has been reported^(25,34). However, in the present study the CF intake resulted in a lower
334 pIgR gene expression, suggesting that the reduction on IgA content in the salivary glands as a result
335 of cocoa fibre intake also takes place by means of IgA transport.

336 In summary, we have demonstrated that a cocoa diet led to a lower IgA and IgM secretion in both
337 inductor and effector intestinal compartments as well as in the extra-intestinal mucosal

338 compartment in young Wistar rats. Most of these effects could be already observed after one week
339 of nutritional intervention. Soluble fibre seems to be partially responsible for the lower IgA
340 secretion into the intestinal lumen. In spite of the results produced by the cocoa diet, it is worth
341 noting that the enhancement by the CF diet of the IgA synthesis in the inductor intestinal
342 compartment was also detectable at the systemic level, where higher IgA concentration was
343 quantified. Both the C10 and CF diets produced a down-regulatory effect on the IgA secretion in
344 the extra-intestinal tissues. The C10 diet interacted with the mechanisms involved in the IgA
345 synthesis in a similar way to that observed in the small intestine, whereas the cocoa fibre showed
346 particular effects on homing and transcytosis of IgA across the epithelial gland.

347 Overall, cocoa fibre has IgA immune-potentiating effects that are counteracted when it is present in
348 the whole cocoa product. This fact suggests that other cocoa components are involved in such an
349 effect. This immunomodulation is not found in the inulin diet. Further studies must be considered to
350 ascertain the mechanism by which cocoa fibre is able to act in up-regulating the IgA production at
351 the intestinal inductor compartments whereas it down-regulates its production in those of the
352 mucosal effectors.

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365 and were involved in the interpretation of the data; M.M.-C. contributed to the initial draft of the
366 manuscript; À.F., F.J.P.-C and M.C. contributed to the critical revision of the manuscript; M.C. has
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