

1 **Angiogenesis and Metabolism: Entwined for**

2 **Therapy Resistance**

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17

18 **Keywords**

19 Angiogenesis, antiangiogenic therapy, antiangiogenic resistance, hypoxia, cancer

20 metabolism, metabolic symbiosis, metabolic tumor adaptation, mTOR.

21

22 **Abstract**

23 Angiogenesis and metabolism are entwined processes that permit tumor growth and
24 progression. Blood vessel supply is necessary for tumor survival by providing oxygen and
25 nutrients for anabolism, but also by removing waste products from cellular metabolism. On
26 the other hand, blocking angiogenesis with antiangiogenic therapies shows clinical benefits
27 in several tumor types. Nevertheless, resistance to therapy emerges over time. In this
28 review, we will discuss a novel mechanism of adaptive resistance involving metabolic
29 adaptation of tumor cells, as well as provide examples of tumor adaptation to therapy,
30 which may represent a new mechanism of resistance in several types of cancer. Thus,
31 targeting this metabolic tumor adaptation could be a way to avoid resistance in cancer
32 patients.

33

34 **Angiogenesis and Metabolism as Therapeutic Target**

35 In 1971, Judah Folkman proposed that tumor growth is dependent on angiogenesis and its
36 inhibition could be used for cancer treatment. Since then, several antiangiogenic drugs have
37 been developed and are currently in clinical use[1]. More recently, the “Hallmarks of
38 Cancer” highlighted the role of angiogenesis and metabolism in tumor progression [2]. And
39 in the update published in 2011 (“Hallmarks of cancer: the next generation”), Weinberg and
40 Hanahan proposed the deregulation of cellular energy as a new hallmark[3]. Thus,
41 angiogenesis and metabolism are key for tumor progression. But more importantly, they are
42 highly entwined processes that share common molecules and signaling
43 pathways[4]. Therefore, these common molecular hubs are not only logical targets for
44 therapy but also are critical regulators of tumor adaptation to anti-vascular or anti-
45 metabolic therapies. During the last years, the important role that hypoxia and metabolism
46 play in tumor adaptation to antiangiogenic treatment has been described. Metabolic
47 reprogramming in tumors contributes to their growth either by directly supporting cancer
48 cell proliferation or by shaping the microenvironment potentially favoring tumor cell
49 survival. Pre-clinical studies combining antiangiogenic therapies with anti-metabolic
50 therapies have shown great promise, and clinical trials are being performed. Here, we

51 review the link between these two important processes with a particular focus on new
52 therapeutic opportunities to prevent tumor metabolic adaptation.

53

54 **Angiogenesis, Hypoxia, and Metabolism in Tumor Tissues**

55 Angiogenesis is stimulated when tumor tissues require nutrients and oxygen and is
56 necessary for tumor growth and progression[5]. The growth of new blood vessels is
57 regulated by a balance of pro- and antiangiogenic signals, including the increase in secretion
58 of various proangiogenic growth factors such as vascular endothelial growth factor (VEGF),
59 fibroblast growth factors (FGFs), angiopoietins (Ang), placental growth factor (PlGF) and
60 some integrins, and concomitantly decrease of several anti-angiogenic factors, such as:
61 angiostatin, endostatin, interferons, platelet factor 4, thrombospondin, and tissue inhibitor
62 of metalloproteinase-1, -2, and -3 [6]. When this balance is lost, an abnormal vascular
63 network that is characterized by dilated, tortuous, and hyperpermeable vessels is created
64 [7]. Therefore, tumor vasculature is typically chaotic with dead-end vascular branches and
65 areas of inverted and intermittent flow. Some of these areas have impaired vascular
66 function and lead to regions of lowered perfusion and hypoxia[8]. Indeed, hypoxia promotes
67 vessel growth by up regulating multiple pro-angiogenic pathways that mediate key aspects
68 of endothelial, stromal, and vascular support cell functions[9].

69 Clinically, tumor hypoxia is associated with poor patient prognosis and resistance to
70 chemotherapy[10]. Hypoxia regulates the expression of many genes under the
71 transcriptional control of hypoxia-inducible factors (HIF1 α and HIF2 α), which heterodimerize
72 with HIF1 β and bind to the hypoxia response element (HRE) [11]. HIF1 α and HIF2 α
73 phosphorylation and activation can be modulated by growth factors' signaling cascades such
74 as PI3K/AKT/mTOR and MAPK [12]. Many of the genes regulated by HIF lead to more
75 aggressive growth and survival of tumor cells that contribute to cancer development and
76 progression, as HIF is a key regulator of tumor growth, particularly of angiogenesis and
77 metabolism.

78 The metabolic characteristics of normal and tumor cells are different. Tumor metabolic
79 needs are higher based on cancer phenotypic changes including increased proliferation and
80 survival in a tumor microenvironment with low levels of oxygen, nutrients, and acidic

81 extracellular pH[13]. Indeed, cancer cells have an altered metabolism that induces
82 metabolic reprogramming producing the activation of target genes by HIF, which decreases
83 cellular dependency on oxygen. Oncogenic transformation itself with genes such as RAS,
84 MYC, and AKT can also upregulate glucose consumption, glycolysis and the loss of
85 phosphorylation of TP53 (best known as p53). This transformation may also recapitulate the
86 features of the Warburg effect, that is, the uncoupling of glycolysis from oxygen levels
87 [14]. Thus, tumor metabolism is highly related with tumor initiation and progression, and
88 may also play a role in tumor response to anti-cancer treatments.

89 On the other hand, hypoxia and HIF signaling regulate many metabolic processes and
90 metabolic intermediates. One of these processes is the increment of glutamine uptake.
91 Glutamine is used in the tricarboxylic acid (TCA) cycle as an alternative to pyruvate, and also
92 reduces oxidative phosphorylation by preventing pyruvate from entering the TCA [15,
93 16]. The pentose phosphate pathway (PPP) is up-regulated in cancer, and stabilization of
94 HIF1 α increases expression of genes involved in the PPP [17]. Furthermore, previous studies
95 indicated a link between glutamine metabolism and mTOR signaling, which led investigators
96 to consider the possible involvement of glutamine and the metabolism of lactate in the
97 induction of mTOR signaling [18, 19]. Overall, it is well established that there is a
98 bidirectional relationship between HIF/hypoxia and metabolism, both at the
99 glycolysis/lactate and TCA/glutamine levels.

100 Tumor hypoxia also triggers the production of metabolic acids, such as lactic acid, as
101 products of anaerobic glycolysis [20]. Therefore, fine regulation of pH is a critical aspect for
102 maintaining the optimum conditions of cell functions[21]. Thus, under hypoxia many pH
103 regulatory proteins are upregulated or show increased activity, e.g. monocarboxylate
104 transporters 1 and 4 (MCT1 and MCT4) that export lactate, which are important for pH
105 regulation in the tumor extracellular microenvironment [20]. The resulting acidosis from
106 upregulated glycolysis is considered to be a key factor in the invasiveness and metastatic
107 activity of cancer cells as they try to escape the toxic microenvironment [22]

108 Furthermore, cancer cells may also have altered metabolic interactions within
109 subpopulations of cancer cells or with the microenvironment, both of which may alter
110 overall tumor metabolite levels [23]. Moreover, metabolic requirements of in tumors are
111 define by tissue of origin, epigenetic drivers, aberrant signaling and tumor

112 microenvironment [24]. Furthermore, it is important to mention that endothelial cells'
113 metabolism can also be considered as a possible novel therapeutic target [25]. Concomitantly
114 understanding the dynamics of endothelial and cancer cell metabolism will provide new
115 avenues for clinical strategies. On the other hand, it has been described that for each cancer
116 type, its different metabolism supports the oncogenic phenotype. It is therefore important
117 to evaluate the therapeutic potential of metabolism targeting, based on the concepts of
118 metabolic normalization and metabolic depletion (See Box 1).

119 Overall, angiogenesis and metabolism are entwined in tumor growth: Hypoxia leads to
120 angiogenic growth factor production that initiates angiogenesis; angiogenesis provides
121 oxygen to the tumor; this angiogenesis also provides nutrients for cell metabolism, which
122 produces energy for angiogenesis and cell proliferation. As oxygen is scarce, tumor
123 metabolism is predominantly glycolysis which acidifies the environment; this acidity can
124 impede metabolic enzymes. Cancer cells can also avoid apoptosis by ignoring apoptotic
125 signals, which can ultimately alter the outcome of anti-cancer therapies. Indeed, hypoxia
126 decreases the efficacy of chemotherapy and radiotherapy.

127 Therefore, these entwined tumor processes could be exploited therapeutically:
128 angiogenesis is targeted by antiangiogenic agents, metabolic inhibitors could halt ATP
129 production, buffer therapies could normalize acidity, and molecular inhibitors could
130 overcome therapy resistance.

131

132 **Tumor Responses to Antiangiogenic Therapy**

133 Many antiangiogenic drugs are clinically used in several types of cancer to block
134 angiogenesis, impair tumor growth, progression and dissemination [26]. Most antiangiogenic
135 therapies target VEGF and its receptors (VEGFRs) [27]. The initial hypothesis was that
136 antiangiogenesis therapy would not induce resistance ("resistant to resistance") because it
137 targeted the genetically more stable endothelial cells instead of the more unstable tumor
138 cells [28].

139 Nevertheless, as in most systemic therapies, resistance to antiangiogenic treatments occurs,
140 involving both upfront refractoriness (intrinsic resistance), and acquired resistance that is
141 gained over the duration of the treatment. **Intrinsic resistance** is characterized by tumor

142 indifference to antiangiogenic therapy, and in patients receiving antiangiogenics such as
143 bevacizumab, sorafenib or sunitinib, tumors continue to grow in spite of treatment [29]. On
144 the other hand, **acquired resistance** to antiangiogenics seems to stem from tumor
145 adaptations to therapy instead of mutations or gene amplifications that typically
146 characterize acquired resistance to other therapeutic strategies. In this form of resistance,
147 alternative mechanisms are created that lead to activation of additional proangiogenic
148 signaling even when the target of the drug remains inhibited [30-33]. In fact, clinical
149 evidence of this plasticity has been described in metastatic renal cell carcinoma (RCC)
150 treated repeatedly with VEGFR inhibitors[34]. Indeed, several clinical trials report an upfront
151 failure of these therapies in some patients, and also a lack of long-lasting effects of
152 antiangiogenic agents as consequence of tumor adaptation to the therapy.

153

154 **Antiangiogenic Resistance via Metabolic Symbiosis**

155 Recently, a new mechanism of resistance to antiangiogenic therapies was described that
156 involves an induction of metabolic symbiosis between subpopulations of tumor cells [35-
157 37]. Surprisingly, in this case, emergence of resistance is not associated with tumor
158 revascularization but rather with metabolic changes occurring in tumor cells (Figure 1, Key
159 Figure).

160 Some years ago, Sonveaux et al described a coordinated mechanism in the metabolism of
161 cancer cells allowing the establishment of **metabolic symbiosis**: tumor cells in hypoxic areas
162 up-regulate glycolysis, increase lactate production, and export lactate through *MCT4*. On the
163 other hand, this excess of lactate is taken up by tumor cells in more oxygenated areas of the
164 tumor via *SLC16A1* (best known as *MCT1*), and aerobically metabolize it via the
165 mitochondria [38].

166 Recently, three independent laboratories have reported that this mechanism is used by
167 tumors to evade antiangiogenic treatment [35-37].

168 Allen et al. observed in pancreatic neuroendocrine tumor (PanNET) mouse models that
169 antiangiogenic inhibitors, sunitinib and axitinib, elicit compartmentalization of cancer cells
170 into symbiotic clusters, which are the spatial relationship between the cell populations in
171 the metabolic symbiosis: when glucose and oxygen concentrations are high (near blood

172 vessels), the cells preferentially utilize glucose-fuelled respiration; but when the oxygen
173 supply is depleted (far from vessels), the cells rely on anaerobic glycolysis. The glycolytic
174 cells produce large quantities of lactate which are consumed by cells close to vessels. In the
175 study, the authors also present data to support a mechanism whereby cancer cells take up
176 and metabolize lactate in the context of bioavailable glutamine in normoxic, but not hypoxic
177 conditions, thereby up regulating mTOR signaling. Moreover, they described that co-
178 inhibition of *mTOR* with rapamycin disrupts the symbiosis by up regulating glucose transport
179 in normoxic cells[35].

180 On the other hand, Pisarsky et al. described in preclinical mouse model of breast cancer the
181 role of metabolic symbiosis as a mechanism underlying evasive resistance to antiangiogenic
182 therapy with the multikinase inhibitors nintedanib and sunitinib. Inhibition of glycolysis or
183 genetic ablation of *SLC16A4* caused disruption of metabolic symbiosis, suppression of tumor
184 growth and prevented the emergence of resistance [37]. In yet another study, we described
185 the induction of MCT1/MCT4 lactate transporters in a pattern of metabolic symbiosis in RCC
186 patient-derived orthoxenograft mouse models treated with sunitinib. This symbiosis was
187 blocked using *mTOR* inhibitors, affecting cells close to vessels and eliminating the hypoxic
188 regions and impairing tumor growth [36]. The concept of metabolic symbiosis is not new;
189 some years ago it was described by Dewhirst, Sonveaux, Feron and colleagues [38].
190 However, there is clear evidence that this concept can now be extended to the metabolic
191 symbiosis that occurs in response to treatment with antiangiogenic drugs as a new
192 mechanism of resistance to the therapy. This is caused by stress in the tumor
193 microenvironment due to decreased tumor vasculature and exacerbated intratumor
194 hypoxia.

195 Thus, here again, the close implications between the process of angiogenesis inhibition and
196 changes in metabolism is well established, in this case as an adaptive mechanism in
197 response to treatment. Furthermore, from a therapeutic perspective, blocking this
198 metabolic adaptation could have a significant value, as we envision the use of anti-
199 metabolic drugs in combination with antiangiogenics upfront or when resistance emerges.

200

201

202 **Clinical trials**

203 Antiangiogenic drugs used in the clinic extend survival in the order of months in some
204 cancer settings while failing to induce survival benefit in others, in part because of intrinsic
205 refractoriness or evasive escape[39]. Very recently, exciting novel concepts involving
206 blocking angiogenesis and metabolic adaptation have emerged from preclinical research,
207 which could prevent the emergence of resistance in the clinics.

208 Clinical trials using mTOR inhibitors as a second line treatment in combination or not with
209 other therapies including antiangiogenics have been initiated. In particular, there are 17
210 open clinical trials and 19 clinical studies already completed with or without results, based
211 on ClinicalTrials.gov (Table 1). For years it has been thought that double inhibition of two
212 important pathways such as VEGF and mTOR was unfavorable in terms of efficacy in
213 particular due to increased toxicity [40]. Nowadays, this trend is changing because there are
214 new preclinical and clinical data providing evidence of effectiveness and moderate toxicity
215 of this combination. In particular, Motzer and colleagues tested a new VEGF receptor
216 inhibitor, levatinib, alone or in combination with everolimus for a second line therapy in
217 patients who had progressed to a first line antiangiogenic. They observed promising efficacy
218 results with the dual combination not only in progression free survival but also in overall
219 survival [41]. They also observed tolerable side effects in 20% of patients in the combinatory
220 group. Recently, in the RECORD-4 clinical trial everolimus demonstrated a favorable benefit-
221 risk profile used as a second-line in mRCC (metastatic renal cell carcinoma) patients who
222 progressed after a first-line anti-VEGF therapy [42]. In addition, final overall survival (OS)
223 analysis supports everolimus as a second-line option in mRCC patients who were previously
224 treated with sunitinib, other anti-VEGF therapy, or cytokines. Based on these results, the
225 efficacy of everolimus as a second-line treatment in mRCC patients has been demonstrated
226 [42]. Nevertheless, it is necessary to keep adequate patient follow-up and control the dose
227 to avoid the occurrence of side effects.

228

229 **Concluding Remarks**

230 The process of angiogenesis and the metabolic pathways in a tumor cell are intimately
231 entwined during cancer growth and disease development. Hypoxia is a key element in the

232 induction of neovessel formation, but is also critical in the regulation of metabolism. Indeed,
233 hypoxia response programs typically include many metabolic genes, but also many
234 angiogenesis-regulatory molecules [43]. Therefore, it is not surprising to see the close
235 implication of these processes also in therapeutic resistance. Indeed, a recently described
236 form of tumor resistance to antiangiogenic therapies involves a metabolic rewiring of the
237 carbohydrate energy pathways in the form of metabolic symbiosis. But even more
238 importantly, it also opens new avenues for treatment strategies aimed at inhibiting both
239 metabolism and angiogenesis.

240 The current challenge is to overcome the idea that cancer metabolism is a unique and
241 consistent entity, and analyze tumor metabolism in the context of tissue origin, genetics and
242 epigenetics change of individual tumors, signaling aberrations, heterogeneity of cancer cells
243 and the associated tumor microenvironment. Nowadays, tumor metabolism offers a wide
244 range of targeted drugs that can be exploited for cancer therapy. Therefore, taking into
245 account tissue variability and the specific metabolism of each tumor could give us the
246 possibility to select specific drugs and use therapeutic strategies based on metabolism.
247 Therefore, for the combination of anti-metabolic drugs together with antiangiogenics, a
248 logical therapeutic strategy could be the use of an antiangiogenic drug as first-line
249 treatment and, at the moment of resistance, follow on by second-line treatment aimed at
250 blocking metabolic adaptation. Nevertheless, it is not yet clear whether suppression of VEGF
251 pathway should be maintained in the second-line treatment in order to sustain the tumor
252 metabolic adaptation. In this case, addition of anti-metabolic drugs on top of
253 antiangiogenics (added combination) could demonstrate extended benefits. While these
254 particular combinations have not been fully tested yet in patients, the current clinical
255 approaches tend to use combination strategies rather than sequential monotherapies [44].
256 Overall, targeting angiogenesis and tumor metabolic reprogramming could be a new
257 opportunity for cancer treatment (See Outstanding Questions). Furthermore, identifying
258 new predictors of response or biomarkers of resistance to antiangiogenic therapies would
259 facilitate the applicability of these new combinations in cancer patients.

260

261

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268

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- 360
- 361

362 **Legend to Key Figure**

363 **Figure 1: Response to antiangiogenic therapies and resistance by metabolic**
364 **symbiosis.**

365 Vascularized angiogenic tumors are treated with antiangiogenic therapy, which elicits
366 regression of tumor vasculature causing an increase in hypoxia and tumor shrinkage.
367 Hypoxia modulates different growth factors and signaling cascades, such as the mTOR
368 pathway, which can trigger tumor adaptation to therapy. Resistance to antiangiogenic
369 therapies involves tumor plasticity mechanisms, such as the establishment of metabolic
370 symbiosis between cancer cells. Tumor cells in hypoxic regions (blue) up-regulate glycolysis,
371 increase lactate production and export lactate through the transporter MCT4. On the other
372 hand, lactate is taken up by normoxic cancer cells via MCT1 and is aerobically metabolized in
373 the mitochondria. This symbiotic mechanism is used to evade antiangiogenic therapies and
374 allows resistance and tumor progression.

375

376 **Glossary**

377 **Anaerobic glycolysis:** transformation of glucose to lactate when limited amounts of oxygen
378 (O₂) are available.

379 **Angiogenesis:** formation of new blood vessels from pre-existing vessels.

380 **Antiangiogenics:** class of anti-cancer therapies that target the tumor vasculature.

381 **Antiangiogenic resistance:** process that involves different mechanisms in the tumor that
382 allow evasion of therapy.

383 .

384 **Endothelial cells:** form a single *cell* layer that lines all blood vessels and regulates molecule
385 exchanges between the bloodstream and the surrounding tissues.

386 **Hallmarks of cancer:** constitute an organizing principle for rationalizing the complexities of
387 neoplastic disease.

388 **Hypoxia:** condition where the tissues are not oxygenated adequately, usually due to an
389 insufficient concentration of oxygen in the blood.

390 **Hypoxia-inducible factor:** key regulator that plays an integral role in the body's response to
391 low oxygen concentrations

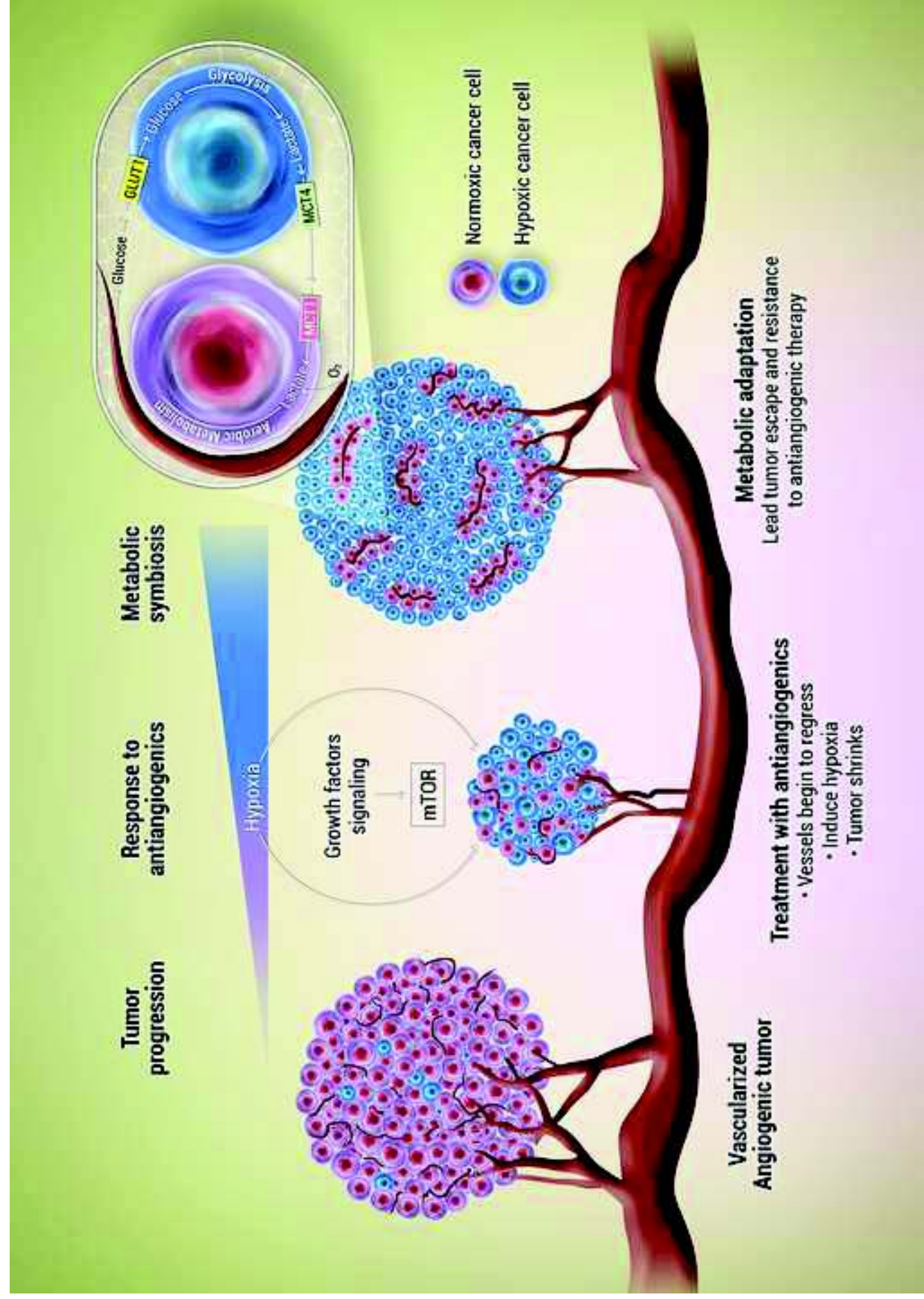
392 **Hypoxic and metabolic adaptation to antiangiogenic therapy:** changes that occur in tumor
393 cells in response to low levels of oxygen and nutrients that allow tumor cells to survive in
394 these conditions.

395 **Glycolysis:** metabolic pathway that converts glucose into pyruvate.

396 **Metabolic symbiosis:** mechanism of resistance where hypoxic cancer cells import glucose
397 and export lactate, while normoxic cells import and catabolize lactate.

398 **Warburg effect:** describes the increased utilization of glycolysis rather than oxidative
399 phosphorylation by tumor cells for their energy requirements under physiological oxygen
400 conditions. This effect has been the basis for much speculation on the survival advantage of
401 tumor cells, tumorigenesis and the microenvironment of tumors.

402



Trends Box

- Angiogenesis and metabolism are entwined processes during tumor development and their interface offers unprecedented opportunities for therapeutic intervention.
- Antiangiogenic drugs are currently used in the clinic but therapy resistance emerges over time with disease progression. Recently, metabolic symbiosis has emerged as a new mechanism of resistance to these therapies.
- Metabolic symbiosis is a tumor compartmentalization where hypoxic regions (far from blood vessels) are highly glycolytic and they generate high amounts of lactate. In contrast normoxic regions (close to blood vessels) uptake the excess of lactate and metabolize it by aerobic mitochondrial respiration. With this mutualistic balance tumors evade antiangiogenic therapies and continue to grow.
- Targeting angiogenesis and metabolic adaptation could substantially extend the benefits of antiangiogenic therapies.

1 Outstanding Questions Box

2

3

- How could the Warburg effect influence drug efficacy?

4

- Can we exploit tumor energetics knowledge to improve drug development?

5

- Could there be synergy in combining antiangiogenic therapies and metabolic inhibition?

6

7

- Would they interact with standard chemotherapy? What about the scheduling of these combinations of therapies?

8

9

- Could they be used in different disease stages (metastatic, adjuvant, neoadjuvant)?

10

- Are there potential predictive biomarkers of response to these combination therapies?

11

12

- Should we develop metabolic drugs into personalized cancer medicines?

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- Which are the biological consequences of sustained suppression of angiogenesis on tumor biology and normal tissue homeostasis?

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- Should we combine antiangiogenic drugs with anti-resistance targeting agents at the time of resistance or earlier?

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- Why are surrogate markers or biomarkers of angiogenesis and antiangiogenesis still so elusive and not yet clinically applied?

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