



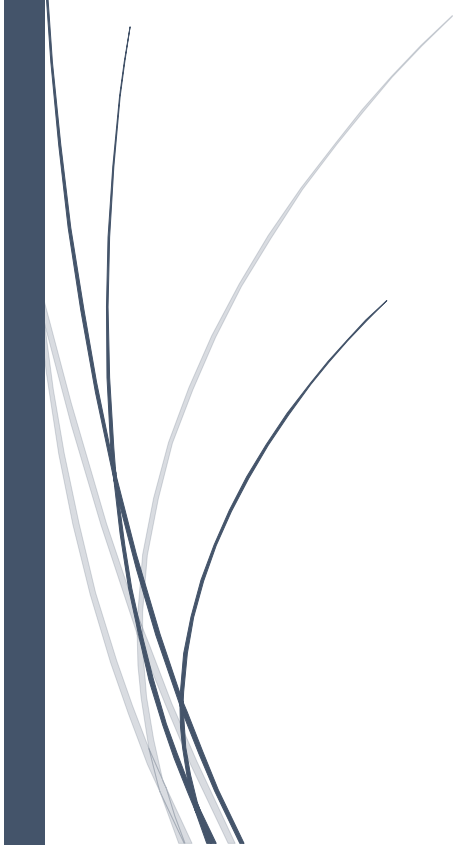
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GUT MICROBIOTA AND METABOLIC DISEASES

Bachelor's thesis (TFG)
Bibliographic research



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1 **ABSTRACT**

2 Nowadays, it is becoming increasingly apparent that gut microbiota plays an important role in the
3 prevention and development of metabolic diseases. Several bacterial species habit the human
4 intestine and live in symbiosis with the host. During the last decades, abundant evidence arose
5 confirming an active role of the microbiota in human metabolism. Hence, the disruption of the
6 gut ecosystem might promote the development of metabolic disorders. This review aims to
7 elucidate the current evidence regarding the mechanisms through which the gut microbiota may
8 contribute to the protection or development of metabolic diseases. It specifically focuses on
9 obesity, type-2 diabetes mellitus (T2DM), cardiovascular disease (CVD), and the potential
10 interventions involving microbiota for preventing metabolic diseases.

11 **Keywords:** microbiota, metabolic disease, obesity, type-2 diabetes mellitus, cardiovascular
12 disease, probiotics, prebiotics

13 **RESUMEN**

14 Actualmente, cada vez es más evidente el rol de la microbiota intestinal en la prevención y
15 desarrollo de las enfermedades metabólicas. Existen una gran variedad de especies bacterianas
16 que habitan el intestino humano y viven en simbiosis con el huésped. Durante las últimas
17 décadas, ha surgido nueva evidencia confirmando el rol activo de la microbiota en el
18 metabolismo humano. Por lo tanto, la disrupción del ecosistema intestinal parece que puede
19 promover alteraciones metabólicas. El objetivo de esta revisión es elucidar la evidencia actual
20 respecto los mecanismos a través de los cuales la microbiota puede contribuir en la protección o
21 desarrollo de enfermedades metabólicas. Concretamente, se centra en la obesidad, la diabetes

22 mellitus tipo 2, las enfermedades cardiovasculares y las potenciales intervenciones para prevenir
23 estas enfermedades metabólicas a través de la microbiota.

24 **Palabras clave:** microbiota, enfermedad metabólica, obesidad, diabetes mellitus tipo 2,
25 enfermedad cardiovascular, probióticos y prebióticos.

26 INTRODUCTION

27 The human gut contains several microorganisms which are referred to as the microbiota.

28 Colonization by these microbes seems to start prenatally, through transmission from mother to
29 fetus (1). However, it varies along life due to different factors such as diet, environment, age-
30 related factors, antibiotics, exercise, or pathologies (2).

31 The human gut harbors trillions of microorganisms. It is believed that a standard man has around
32 38 billion bacteria in the colon, the part of the gut where most of the microbiota lives (3).

33 Moreover, it is estimated that the microbiome has 150-fold more genes than the human genome,
34 which is accompanied by a huge microbial diversity in the intestine (4). Regarding the similarity
35 of the DNA sequences of the gene 16s rRNA, it is possible to classify the bacteria from the gut in
36 five different phyla. *Firmicutes* and *Bacteroidetes* represent the two main phyla, involving 90%
37 of the gut microbiota (3). However, there are three more phyla that include *Actinobacteria*,
38 *Proteobacteria*, *Fusobacteria*, and *Verrucomicrobia*, this last one being recently discovered.
39 *Firmicutes* are anaerobic, gram-positive bacteria that form spores and they mainly involve the
40 genera *Ruminococcus*, *Lactobacillus*, *Blautia*, *Clostridium*, and *Faecalibacterium*. On the other
41 hand, *Bacteroidetes* are mainly represented by *Prevotella* and *Bacteroides*, which are gram-
42 negative, aerobic bacteria and they do not form spores. As *Table 1* shows, the main genres of

43 *Actinobacteria, Proteobacteria, Verrucomicrobia, and Fusobacteria* are *Bifidobacterium,*
44 *Enterobacteriaceae, Akkermansia, and Fusobacterium* respectively (5,6)

45 Microbiota composition is highly influenced by diet. The different gut microbial patterns are
46 called enterotypes and they can be classified depending on the predominant bacterial cluster:
47 *Bacteroides* (enterotype 1), which is the most prevalent among the population and it is associated
48 with a diet rich in fat and proteins, *Prevotella* (enterotype 2), which is linked to a diet rich in
49 carbohydrates (CH), and *Ruminococcus* (enterotype 3). Each enterotype includes bacteria that
50 share similar functions (3). Although it is known that diet plays an important role in shaping the
51 microbiota composition, it is difficult to establish which components of the food are more
52 beneficial for microbial diversity (7).

53 These last decades, microbiota and its composition have been a subject of study and debate. It is
54 known that there is a microbial-host symbiosis which contributes to several metabolic and
55 biological functions (8). Therefore, the disruption of the gut ecosystem can promote a wide
56 variety of physiological disorders, leading to the development of metabolic diseases (1).

57 **METHODOLOGY**

58 This research was conducted by consulting three different databases: PubMed, Scopus, and
59 Cochrane library. In order to establish the theoretical framework, the search was limited to
60 systematic reviews and metanalysis from the last six years. However, this review includes
61 scientific literature from the past 21 years with the purpose of providing wide coverage of the
62 topic. The search was conducted in English and different terms and Boolean operators were used:
63 microbiota AND metabolic diseases, microbiota AND obesity, GLP1 AND microbiota, nutrition
64 AND microbiota AND GLP1, GLP1 AND microbiota AND type-2 diabetes, artificial sweeteners

65 AND microbiota AND obesity, GLP1 AND incretin effect, gut microbiota AND cholesterol, gut
66 microbiota AND cardiovascular disease, prebiotics OR probiotics AND metabolic diseases, diet
67 AND microbiota. Among all the results obtained, only the most relevant and suitable were
68 selected by reading the title or the abstract in order to be included in the review. Considering that
69 most of the evidence regarding gut microbiota and metabolic disorders comes from animal
70 studies, these were included in the present manuscript. Notwithstanding, randomized clinical
71 trials were prioritized aiming to obtain a higher level of scientific evidence.

72 **MICROBIOTA AND OBESITY**

73 **Microbiota composition**

74 Several studies support the idea of obesity being associated with changes in the composition of
75 the two predominant phyla in the gut. Obese individuals seem to have an increased proportion of
76 *Firmicutes* and a decreased abundance of *Bacteroidetes* (9,10). On the contrary, weight loss
77 seems to be linked to a reduction of the *Firmicutes* to *Bacteroidetes* ratio (11). *Firmicutes*
78 include several butyrate-producing species, which contributes to increasing energy harvesting
79 from the diet in obese people (12). Furthermore, obese individuals have lower bacterial diversity
80 and their gut microbiota is altered (9).

81 What can also explain the dysbiosis associated with obesity is the variation of specific genera or
82 bacterial species in the gut. Various studies found a reduced abundance of *Bifidobacterium* in
83 obese individuals (13,14). On the other hand, Everard *et al.* (2013) showed that increased
84 amounts of *Akkermansia muciniphila* inversely correlates with weight gain in mice and humans.
85 They also found that its levels were decreased in type 2 diabetic mice (15). As it can be observed
86 in *Table 2*, not only *Akkermansia* but also *Faecalibacterium*, *Coprococcus*, *Bifidobacterium*,

87 *Butyrivibrio* *Methanobrevibacter*, and *Lactobacillus* were typically found in individuals with a
88 lean phenotype and an increased bacterial richness. In contrast, *Campylobacter*, *Bacteroides*,
89 *Anaerostipes*, *Dialister*, *Porophyromonas*, *Parabacteroides*, *Staphylococcus*, and *Ruminococcus*
90 were more prevalent in obese subjects with reduced bacterial richness (16). All these findings
91 suggest that microbiota composition might contribute to obesity development or protection as
92 well as obesity may affect microbiota composition.

93 **Energy extraction from the diet**

94 Most of the evidence regarding the role of the gut microbiota in metabolic diseases comes from
95 germ-free (GF) animal models (17). For instance, various of these studies have confirmed the
96 association between microbiota and weight gain. Turnbaugh *et al.* (2006) proved that
97 conventionally raised (CR) mice developed more body fat than GF mice. Besides, body fat
98 increase was higher in GF mice colonized by “obese microbiota” than in GF mice colonized by
99 “lean microbiota”, which indicates that the first one has a higher capacity to harvest energy from
100 the diet. (18).

101 The gut microbiome has a special enzyme called glycoside hydrolase, which cannot be found in
102 the human genome. This enzyme hydrolyzes non-digestible carbohydrates, contributing to
103 increasing the energy that the host obtains from the diet, which is linked to an increment of
104 weight gain. The products of the fermentation of carbohydrates (CH) are called short-chain fatty
105 acids (SCFAs), the main ones being acetate, propionate, and butyrate. SCFAs constitute an
106 important energy source for colonocytes and they also play a role in metabolism regulation (7).
107 Not all the microorganisms in the gut have the same capacity of extracting energy from the diet.
108 Obese microbiota is composed of bacterial species which have a greater capacity to harvest
109 energy from the diet, which can easily lead to weight gain and obesity (4).

110 **Low-grade chronic inflammation and obesity**

111 Obesity is a metabolic disease that is characterized by a low-grade chronic inflammation (17).
112 Gut microbiota and permeability of the intestinal barrier play an essential role in its development.
113 Lipopolysaccharide (LPS), which is a component of the cell-wall of gram-negative bacteria, can
114 enter into the systemic circulation and cause endotoxemia. If the integrity of the gastrointestinal
115 barrier is compromised, LPS can cross it through the leaky tight junctions. Nonetheless, LPS can
116 also enter into circulation through chylomicrons, which are the responsible lipoproteins for
117 dietary fat absorption (1). Once LPS crosses the gut epithelium, it activates toll-like receptor 4
118 (TLR4), which triggers the release of pro-inflammatory cytokines and leads to activation of
119 several inflammatory processes. Together, it results in insulin desensitization, inflammation of
120 adipose tissue, increased intestinal permeability, and oxidative stress (19).

121 Various studies have confirmed the association between diet and metabolic endotoxemia. *Canani et al.*
122 *al.* (2007) proved that a 4-week high-fat diet (HFD) considerably increased LPS levels in plasma
123 and LPS-containing gut microbiota. Their findings showed that inflammation caused by LPS can
124 lead to body weight gain and diabetes (20). After treatment with antibiotics, metabolic
125 endotoxemia was reduced in *ob/ob* mice and in mice fed with an HFD, followed by a reduction in
126 glucose intolerance, inflammation, and weight gain (21).

127 **GUT MICROBIOTA AND TYPE 2 DIABETES**

128 Gut microbiota not only plays a role in obesity but also in other metabolic disorders. For instance,
129 changes in gut microbial composition have been reported in type-2 diabetic patients. A Chinese
130 cohort study conducted by *Qin et al.* (2012) established an association between T2DM and
131 microbial dysbiosis. It was detected a reduction of butyrate-producing species such as

132 *Eubacterium rectale*, *Roseburia intestinalis*, *Roseburia Inulinivorans*, *Faecalibacterium*
133 *prausnitzii*, and *Clostridiales* species (spp.) SS3/4, accompanied by an increase in opportunistic
134 pathogens in type-2 diabetic patients (22). In addition, Zhang *et al.* (2013) also observed
135 depletion of the abundance of butyrate-producing bacteria in pre-diabetes and T2DM patients
136 (23). Considering that butyrate is an essential component for the maintenance of the integrity of
137 the intestinal epithelium, it is reasonable to claim that the impairment of butyrate production
138 detected in type-2 diabetic patients might be associated with the low-grade chronic inflammation
139 which characterizes this disease. Such a link between gut microbiota and T2DM can be better
140 appreciated in *Figure 1*.

141 **Incretin effect of GLP-1**

142 Incretins are a group of gut hormones which are secreted in response to food ingestion and they
143 cause an increase in insulin release in a glucose-dependent manner. There are two incretin
144 hormones secreted by the human gut: glucagon-like peptide-1 (GLP-1) and glucose-dependent
145 insulinotropic peptide (GIP). Once they are released into the bloodstream, they interact with β -
146 pancreatic cells stimulating the secretion of insulin and they inhibit hepatic gluconeogenesis
147 reducing the secretion of glucagon (24–26). Even though the incretin effect is reduced or absent
148 in type-2 diabetic patients, the pancreas seems to remain responsive to GLP-1 but not to GIP
149 (25). While supraphysiological dosages of GLP-1 administered intravenously can increase the
150 secretion of insulin in diabetic subjects and improve glucose homeostasis, GIP does not cause the
151 same response (24).

152 Besides acting as an incretin hormone, GLP-1 has a wide variety of effects on the organism. For
153 instance, it diminishes blood pressure, increases satiety, and reduces appetite, thus being
154 considered an anorexigenic peptide (25). Moreover, the activation of GLP-1 receptor seems to

155 reduce the food reward, avoiding overeating and preventing weight gain (27). What is more,
156 GLP-1 reduces gut motility and gastric emptying, which slows glucose absorption, thus
157 decreasing the peak of postprandial blood glucose levels (28). Therefore, considering the effects
158 that GLP-1 has on glucose metabolism, scientific advances have led to the development of
159 antidiabetic drugs based on the action of GLP-1, for instance, GLP-1 receptor agonists and
160 dipeptidyl peptidase-4 inhibitors.

161 **GLP-1 and gut microbiota**

162 GLP-1 is encoded by the proglucagon gene and it is secreted by L-cells, which are
163 enteroendocrine cells (EEC), in response to different stimuli. Nutrients of the diet can trigger its
164 secretion, as well as hormonal factors, some dietary polyphenols (curcumin and anthocyanin),
165 and some specific microbial metabolites (24). However, this review will only focus on the release
166 of GLP-1 through the mechanism that involves gut microbiota.

167 SCFA

168 SCFA can interact with L-cells through specific G protein-coupled receptors (GPCR) and
169 promote GLP-1 secretion. More specifically, SCFA are ligands of GPR41 and GPR43 (29).
170 These receptors are highly expressed in L-cells and their activation by SCFA leads to the
171 secretion of GLP-1. Tolhurst *et al.* (2012) observed that GPR43 and GPR41 knockout mice had
172 reduced GLP-1 secretion in vivo and in vitro, together with glucose tolerance impairment (30).
173 Furthermore, a cross-sectional study conducted by Müller *et al.* (2019) showed a positive
174 association between circulating SCFA and GLP-1 concentration, lipolysis, and enhanced insulin
175 sensitivity (31). Finally, Wang *et al.* (2020) reported that administration of probiotics to a group
176 of db/db mice increased the proportion of SCFA-producing bacteria such as *Roseburia*, *Lactic*

177 *acid bacteria, Bifidobacterium, and Clostridium leptum*. As a result, the insulin secretion was
178 increased due to enhanced production of GLP-1 (32). Hence, the gut microbiota exerts a positive
179 impact on glucose metabolism through SCFA.

180 Secondary bile acids

181 Bile acids (BAs) are molecules synthesized from cholesterol that are released into the gut aiming
182 to facilitate the solubilization and absorption of dietary lipids and fat-soluble vitamins after meal
183 ingestion (33). Once they are released into the intestinal lumen, microbial-derived bile salt
184 hydrolases (BSH) deconjugate and dehydroxylate them, leading to the synthesis of secondary
185 BAs. These play an important role in glucose metabolism through the activation of two receptors
186 called farnesoid X receptor (FXR) and TGR5, which are expressed in EEC. The activation of
187 FXR seems to have a positive impact on the regulation of peripheral insulin sensitivity through a
188 mechanism which does not involve GLP-1 secretion. Nonetheless, the activation of TGR5 by
189 secondary BA triggers the release of GLP-1, thus promoting insulin secretion and inhibiting
190 glucagon release (28,33).

191 **GUT MICROBIOTA AND CARDIOVASCULAR DISEASE**

192 Nowadays, CVD is the main cause of mortality and disability in developed countries (34). As
193 already known, gut microbiota interacts to target organs through the release of bacterial
194 metabolites which can act like hormones. Consequently, dysbiosis contributes to the development
195 of different metabolic disorders such as CVD (35). The exact mechanisms underlying this
196 association have not been fully elucidated. Nonetheless, various links, which are summarized in
197 *Table 3*, have been found between gut microbiota and CVD.

198 **Impact of gut microbiota on cholesterolemia**

199 Cholesterol metabolism and primary bile acid synthesis

200 Hypercholesterolemia is one of the main risk factors associated with CVD. The liver and the gut
201 are the two organs responsible for cholesterol homeostasis (36). In the liver, cholesterol can be
202 converted to primary BAs. These are synthesized through two different mechanisms: the classical
203 pathway, which produces most of BAs and is regulated by cholesterol 7 α -hydroxylase
204 (CYP7A1), and the acidic pathway (37). The expression of the key enzymes involved in BA
205 production can be modulated by gut bacteria, mainly *Lactobacillus* and *Bifidobacterium*, which
206 suggests a possible role of intestinal microbiota in the reduction of total cholesterol (TC) (38).

207 The primary BAs produced in human hepatocytes are cholic acid (CA) and chenodeoxycholic
208 acid (CDCA). Previous to excretion into the bile they are conjugated with glycine or taurine (37).
209 Then, they are stored in the gallbladder and secreted into the duodenum after food intake. Most of
210 the BAs are actively reabsorbed in the ileum via apical Na⁺-dependent transporter and released
211 by OST- α/β into the portal vein, which will transport them back to the liver (39). This cycle is
212 called enterohepatic circulation and it is repeated 4-5 times daily. Each cycle leads to the
213 excretion of 5% of the BAs after bacterial modification in the colon. In order to compensate for
214 the loss and maintain the BA pool size, an equivalent amount of BAs are consequently
215 synthesized in the liver from cholesterol, thus leading to a reduction of TC (40).

216 Secondary bile acids

217 As already commented, some of the primary BAs secreted into the gut can undergo a series of
218 modifications due to the activity of microbial enzymes, resulting in secondary BAs. Some of the
219 genera which have been identified to have BSH activity are *Bacteroides*, *Enterococcus*,
220 *Bifidobacterium*, *Clostridium*, and *Lactobacillus* (36). Such enzyme converts CA to deoxycholic

221 acid (DCA) and CDCA to lithocholic acid (LCA) (37). As a result of these modifications, the
222 hydrophobicity of BAs increases as well as their pK_a , thus facilitating their excretion through
223 feces. Since they are less efficiently reabsorbed, the amount of BAs excreted needs to be replaced
224 by de novo synthesis from cholesterol (41).

225 Conversion of cholesterol into coprostanol by gut microbiota

226 Cholesterol absorption can be diminished via its conversion to coprostanol by gut
227 microorganisms. Due to its structure, coprostanol is poorly absorbed in the gastrointestinal tract
228 and easily excreted through feces (36). The rate of cholesterol-to-coprostanol conversion is
229 highly influenced by gut microbiota composition, which explains why it exists interindividual
230 variation (42). *Bacteroides spp.* strain D8 was shown to reduce cholesterol to coprostanol (43), as
231 well as different strains of *Lactobacillus* and *Bifidobacterium* (44). What is more, some members
232 of *Lachnospiraceae* and *Ruminococcaceae* families have also been associated with
233 coprostanoligenic activity (45). However, bacterial enzymes involved in this biotransformation
234 are still unknown (36). All in all, coprostanol has been linked to cholesterol elimination from the
235 body, thus leading to a reduction of CVD risk (46).

236 **Role of gut microbiota in the development of atherosclerosis**

237 Gut microbiota is involved in the formation of trimethylamine (TMA), which can be oxidized in
238 the liver to produce a pro-atherogenic compound called trimethylamine-N-oxide (TMAO).
239 Intestinal bacteria can synthesize TMA from dietary precursors such as choline, betaine
240 phosphatidylcholine, γ -butyrobetaine, crotonobetaine, carnitine, and glycerophosphocoline (34),
241 which are mainly found in red meat, eggs, and dairy products. In addition, fish and other seafood
242 are rich in TMA and TMAO (47). The hepatic enzymes responsible for the conversion of TMA

243 into TMAO are flavin monooxygenases (FMOs). FMO3 is the main isoform in the liver and it is
244 also the one which shows the highest activity to produce TMAO (48). Clara *et al.* (2017) showed
245 that subjects with higher TMAO production presented lower gut microbial diversity and higher
246 *Firmicutes:Bacteroidetes* ratio (49). What is more, Kymberleigh *et al.* (2015) found that species
247 belonging to *Firmicutes* and *Proteobacteria* phyla showed higher conversion activity from
248 choline to TMA (50). These findings suggest that a low gut microbiota diversity, *Firmicutes* and
249 *Proteobacteria* are associated with TMA production and therefore, to higher TMAO synthesis.

250 Higher plasma TMAO levels have been clearly linked to atherosclerosis and increased
251 cardiovascular risk (35). A recent metanalysis concluded that subjects with high TMAO levels
252 have 62% more risk of suffering major adverse cardiovascular events (MACE) and 63%
253 increased risk for all-cause death than subjects with low TMAO levels (51). What is more, Fu *et*
254 *al.* (2017) investigated TMAO levels of patients with coronary artery disease and found that
255 subjects with plaque rupture had higher concentrations of this compound than those with
256 nonplaque rupture (52). Besides, it was observed that patients with unstable plaques showed an
257 increase of *Collinsella* and a decrease of *Eubacterium* and *Roseburia* (53).

258 Different mechanisms have been proposed to explain the association between TMAO and
259 increased CV risk. For instance, Zhu *et al.* (2016) found that TMAO induces platelet aggregation
260 in human cells *in vitro* (54). Furthermore, it leads to inflammatory gene expression and
261 endothelial cell adhesion of leukocytes (55). On the other hand, TMAO seems to upregulate two
262 scavenger receptors (SR), cluster of differentiation 36 (CD36) and SR-A1, which increase the
263 uptake of modified LDL, thus promoting foam cell formation (56). Additionally, it was found
264 that dietary TMAO supplementation in rodents not only decreases the expression of the key BA
265 synthetic enzymes CYP7A1 and cytochrome P450 27A1 (CYP27A1), but also downregulates the

266 hepatic BA transporters expression. This effect causes a reduction of the bile acid pool size and
267 results in lower reverse cholesterol efflux (57).

268 **Metabolic endotoxemia and its role in CVD**

269 CVD is characterized by increased intestinal permeability and higher levels of circulating LPS. It
270 is known that LPS can trigger an inflammatory response which might enhance the formation of
271 atherosclerotic plaque. (58). Low-grade chronic inflammation caused by increased endotoxemia
272 has been previously linked to CVD (59). For instance, McIntyre et al. (2011) observed higher
273 peripheral endotoxemia in patients with major CVD burden (60). Nevertheless, it is still not clear
274 if CVD is the cause or the consequence of dysbiosis, gut barrier disruption, and the associated
275 metabolic endotoxemia.

276 **POTENTIAL INTERVENTIONS**

277 There are different potential interventions for the prevention or treatment of metabolic diseases
278 which involve the gut microbiota. In this review, only the role of probiotics, prebiotics, and diet
279 will be deeply considered.

280 **Effect of probiotics in metabolic diseases**

281 It is well known that the consumption of probiotics has different benefits for the host. Food and
282 Agriculture Organization of the United Nations (FAO) and the World Health Organization
283 (WHO), define probiotics as “live microorganisms which, when administered in adequate
284 amounts, confer a health benefit on the host” (61). A recent review concluded that specific strains
285 of *Lactobacillus* such as *L. casei*, *L. rhamnosus*, *L. plantarum*, and *L. gasseri*, and some strains of
286 *Bifidobacterium* including *B. Breve*, *B. Infantis* and *B. longum*, have anti-obesogenic effects and
287 cause a reduction in body weight, body fat mass and white adipose tissue in several animal

288 studies and in human studies (62). On the other hand, *Pediococcus pentosaceus* and *Bacteroides*
289 *uniformis* CECT 7771 proved to reduce several obesity parameters in DIO mice (63,64). What is
290 more, *Akkermansia muciniphila* was also identified to reduce fat-mass gain, insulin resistance,
291 metabolic endotoxemia, and adipose tissue inflammation in rodents (15). Regarding the evidence
292 in human subjects, *Pediococcus pentosaceus* and different strains of *Bifidobacteria* and
293 *Lactobacillus* combined or on their own have proved to diminish body weight, fat mass, waist
294 circumference and, BMI in human adults (65–69). Therefore, all the evidence suggests that
295 certain probiotics have anti-obesogenic effects.

296 Notwithstanding, some probiotic strains also ameliorate different parameters related to T2DM,
297 especially those belonging to *Bifidobacterium* and *Lactobacillus* spp. For instance,
298 supplementation with *B. adolescentis* or *L. rhamnosus* GG improved insulin sensitivity in HF-
299 diet-fed mice (70,71). Furthermore, supplementation of HFD-fed rats with *B. longum* reduced
300 metabolic endotoxemia diminishing plasma LPS levels (72). Some other probiotics such as *L.*
301 *rhamnosus* NCDC 17, *L. casei* CCFM419, *L. plantarum* MTCC5690 and *Clostridium butyricum*
302 CGMCC0313.1 also proved to play an important role in the prevention of T2DM by increasing
303 GLP-1 secretion in mice (73–76).

304 Even though the current evidence is not as vast as in animal models, the anti-diabetic effects of
305 certain probiotics have also been tested in humans. First of all, different randomized clinical trials
306 (RCTs) confirmed that consumption of probiotic yoghurt containing *B. animalis* subsp *lactis* BB-
307 12 and *L. acidophilus* La-5 or *L. acidophilus*, *Lb. casei*, and *B. bifidum*, reduced several
308 parameters related to diabetes such as Hb1Ac, fasting blood glucose levels, TG, TC, and
309 antioxidant status (77,78). Another RCT proved that consumption of fermented milk containing
310 *B. animalis* subsp *lactis* BB-12 and *L. acidophilus* La-5 during 6 weeks improved glycemc

311 control in T2DM patients (79). On the other hand, consumption of *L. reuteri* DSM 17938 during
312 twelve weeks enhanced insulin sensitivity in diabetic humans, as well as *Lb. acidophilus* NCFM
313 (80,81). Altogether, this evidence leads to the conclusion that several probiotic strains might
314 exert anti-diabetic effects both in animals and humans.

315 On the other hand, certain probiotics seem to modulate some parameters related to cardiovascular
316 risk such as low-grade chronic inflammation, obesity, hypertension, and hypercholesterolemia.

317 According to Deng *et al.* (2017), the administration of *Bacillus subtilis* and *Bacillus licheniformis*
318 attenuated the inflammation response caused by LPS in rats (82). On the other hand, several
319 probiotic strains seem to exert hypocholesterolemic effects. One of the main mechanisms through
320 which probiotics could reduce cholesterol levels is via BSH activity. Degirolamo *et al.*, (2014)
321 showed that administration of a mixture of probiotic strains called VSL#3 (*B. breve*, *B. infantis*,
322 *B. longum*, *L. delbrueckii* spp. *bulgaricus*, *L. acidophilus*, *L. plantarum*, *L. casei*, and
323 *Streptococcus salivarum* spp.) in mice, increased BA deconjugation and fecal excretion. (83).

324 What is more, an RCT proved that administration of yoghurt containing microencapsulated BSH-
325 active *L. reuteri* 30242 to hypercholesterolemic adults, reduced LDL-cholesterol, TC, and apoB-
326 100 (84). Considering the evidence, BSH-active bacteria are currently being used as supplements
327 aiming to reduce cholesterol levels and CVD risk (36,85).

328 Finally, certain probiotics can diminish CV risk by reducing blood pressure. This ability is
329 thought to result from the generation of bioactive peptides like ACE inhibitory peptides when
330 fermenting some food products such as fermented milk, soymilk, yoghurts and cheese (85,86). It
331 was detected that *L. helveticus* has anti-hypertensive effects (87–89). Similar results were
332 observed with consumption of *L. acidophilus* and *B. longum* strains (90), *L. casei*, spp.

333 *rhamnosus* (91), *L. bulgaricus* FTCC 411, and *L. fermentum* FTD 13 (92). All in all, current
334 evidence suggests that these probiotic strains might reduce CV risk by decreasing blood pressure.

335 **Effect of prebiotics in metabolic diseases**

336 FAO/WHO stated that “a prebiotic is a selectively fermented ingredient that allows specific
337 changes, both in the composition and/or activity in the gastrointestinal microbiota that confers
338 benefits upon host wellbeing and health” (93). There are several types of food ingredients which
339 are considered prebiotics. The most important include inulin, oligosaccharides,
340 galactooligosaccharides (GOS), fructooligosaccharides (FOS), xylooligosaccharides, resistant
341 starch, and non-starch polysaccharides such as pectins, gums, mucilages, celluloses, and
342 hemicelluloses (94). There is increasing evidence that consumption of foods rich in prebiotics
343 might be beneficial for the prevention of metabolic diseases. The ingestion of these compounds
344 can modulate the gut microbiota composition, mainly leading to an increase of *Bifidobacterium*
345 spp. (5). It was reported that resistant starch presents a bifidogenic effect (95), which is
346 negatively correlated with the development of obesity and T2DM (96). Furthermore, it improves
347 gut barrier integrity, thus preventing LPS translocation and associated disorders (97).

348 Evidence in humans is not as consistent as in animal studies since some contradictions arise.
349 According to Cani *et al.* (2009), daily prebiotic consumption during 2 weeks, enhanced plasma
350 concentrations of GLP-1 and peptide YY in healthy subjects, which might reduce appetite
351 sensation and improve insulin response after a meal (98). Administration of GOS to a group of
352 overweight adults resulted in an improvement of TG, TC, and insulin levels as well as an increase
353 in the abundance of *Bifidobacterium* spp. (99). In addition, oligofructose-enriched inulin caused a
354 decrease in body weight, body fat, and fat trunk in overweight and obese children, accompanied
355 by a significant increase in *Bifidobacterium* spp. (100). Interestingly, inulin supplementation

356 proved to reduce fasting blood sugar, HbA1c, insulin resistance, and inflammatory markers such
357 as hs-CRP, TNF-alpha, and LPS in diabetic females (101). Even though these results suggest that
358 consumption of prebiotics might be protective against metabolic disorders, other studies show no
359 effect on such parameters (102,103). Therefore, further studies in humans are needed in order to
360 elucidate the role of prebiotics as a potential intervention for metabolic diseases.

361 **Gut microbiota modification by diet and its effect on metabolic diseases**

362 Several elements have been identified to alter the microbiota composition. Nevertheless, one of
363 the main factors which can modulate gut microbiota is the diet, thus having an impact on the
364 prevention or development of metabolic diseases.

365 For instance, a protein-rich diet seems to improve gut bacterial richness, which has been
366 previously linked to a healthy metabolic status (104,105). According to David *et al.* (2014), the
367 ingestion of a diet based on animal protein increases the levels of *Alistipes*, *Bacteroides*, and
368 *Bilophila* and it reduces the abundance of some *Firmicutes* spp. such as *Ruminococcus Bromii*,
369 *Roseburia*, and *Eubacterium Rectale* (106). On the other hand, it was observed that pea protein
370 significantly elevates the number of *Lactobacillus* and *Bifidobacterium* spp. as well as increases
371 the levels of SCFA, which are beneficial for the colonic epithelium (107).

372 Regarding the consumption of fats, there is wide evidence suggesting that an HFD increases the
373 risk of metabolic disorders. Nevertheless, the type of fat needs to be differentiated. Fava *et al.*
374 (2013) conducted a large-scale dietary intervention which showed that a low-fat diet reduced
375 cholesterol levels and fasting glucose concentrations and elevated fecal *Bifidobacterium*. In
376 contrast, consumption of a high-saturated fat diet increased *Faecalibacterium Prausnitzii*.
377 Finally, a diet rich in monounsaturated fatty acids had no effect on individual bacteria but it

378 decreased total bacterial numbers, TC and, LDL in plasma (108). Moreover, it was observed that
379 consumption of an HFD increases gut permeability, thus leading to the translocation of LPS and
380 metabolic endotoxemia (20). Besides, dietary fat might facilitate the absorption of LPS through
381 chylomicrons. Therefore, it is reasonable to claim that diets rich in fats may increase the risk of
382 metabolic disorders since systemic endotoxemia is the basis for several metabolic diseases (109).

383 Not only the effect of proteins and fats should be considered when talking about metabolic
384 diseases but also the role of CH. On the one hand, non-digestible CH can resist degradation by
385 intestinal enzymes. Therefore, they act as prebiotics and exert different effects on the organism
386 which have been previously commented. On the other hand, it is known that high sugar diets
387 promote the development of metabolic disorders (1). Nevertheless, it is becoming clearer that
388 artificial sweeteners are not a healthier alternative to increase the sweet taste. Non-nutritive
389 sweeteners (NNS) are artificial sweeteners which became increasingly popular as sugar
390 substitutes since they offer a sweet taste without providing any calories or glycemic effects (110).
391 Despite being the most used additives all around the world (111), there is growing evidence
392 suggesting that NNS consumption can induce metabolic changes which might lead to obesity and
393 T2DM (112). It was observed that consumption of NNS can increase *Firmicutes* to *Bacteroidetes*
394 ratio as it often happens in an obese state (112). Moreover, Suez *et al.* (2014) proved that mice
395 treated with water supplemented with NNS developed glucose intolerance. They obtained similar
396 results with obese mice fed with an HFD and commercial saccharin. However, after the
397 administration of antibiotics, glucose intolerance was reversed. In order to confirm the role of the
398 gut microbiota, they performed fecal transplantations from mice treated with saccharin into germ-
399 free mice, which consequently showed impaired glucose tolerance (111).

400 **DISCUSSION**

401 All the evidence considered in this review suggests that gut microbiota is highly involved in the
402 prevention and the development of metabolic diseases. The main mechanisms which underly
403 such interaction are energy extraction from the diet, low-grade chronic inflammation, and
404 intestinal peptides which can act like hormones.

405 Not only certain microbial patterns seem to promote metabolic disorders, but also metabolic
406 diseases seem to have an impact on the microbiota composition. One of the main microbial
407 features which characterize obese people is that they show an increase in the *Firmicutes* to
408 *Bacteroidetes* ratio. Some studies do not support this association, but it is important to note that
409 they were conducted with small samples (14,113). Therefore, the vast majority of the evidence
410 suggests that obesity is linked to a higher *Firmicutes* to *Bacteroidetes* ratio, since *Firmicutes* spp.
411 seem to have a greater capacity to extract energy from the diet (12). Interestingly, microbiota
412 composition is also essential when talking about CVD. Bacteria with BSH activity can convert
413 BAs into secondary BAs, leading to higher excretion of these molecules which need to be
414 replenished by the novo synthesis from cholesterol. Hence, cholesterolemia is decreased as well
415 as CVD risk.

416 On the other hand, low-grade chronic inflammation is the basis of several metabolic diseases, and
417 it is often enhanced by metabolic endotoxemia. One molecule responsible for this metabolic
418 disorder is LPS, which is a component of the cell-wall of gram-negative bacteria. It might seem a
419 paradox since, in an obese state, there is a reduction of *Bacteroidetes*, which are gram-negative
420 bacteria, and an increase of *Firmicutes*, which are gram-positive bacteria (114).

421 The last notorious mechanism through which gut microbiota plays a role in the prevention and
422 development of metabolic diseases is the generation of several metabolites that can interact with
423 some receptors in the gut and stimulate the synthesis of specific hormones. These gut peptides are

424 released into the circulation and can exert an effect on the regulation of the host metabolism. For
425 instance, GLP-1 is an incretin hormone whose presence is reduced or absent in T2DM.
426 Nevertheless, SCFA-producing bacteria and bacteria with BSH activity might increase GLP-1
427 secretion, thus having a positive impact on T2DM. Considering that these microorganisms seem
428 to be reduced in type-2 diabetic patients, it raises the possibility of using probiotics as a potential
429 intervention for T2DM. On the other hand, another bacterial metabolite that should be considered
430 is TMA since it can be converted into TMAO, which is a pro-atherogenic compound that
431 increases the cardiovascular risk (34).

432 Therefore, it is clear that depending on its composition, gut microbiota may exert a positive or a
433 negative effect on the host metabolism. In view of it, different potential interventions are
434 currently being contemplated in order to modify the gut microbiota. These include probiotics,
435 prebiotics and diet. The main probiotics which seem to have a positive effect on metabolic
436 disorders are *Lactobacillus* spp. and *Bifidobacterium* spp. strains. Regarding prebiotic
437 consumption, clear benefits have been detected in animal studies, but it exists controversy in
438 humans. Some human studies detected no effect on metabolic parameters after consumption of
439 some prebiotics such as GOS or oligofructose (102,103). Although most of the evidence indeed
440 suggest prebiotics as a plausible intervention for preventing metabolic diseases (115), more
441 quality RCTs are needed. On the other hand, diet exerts a direct effect on gut microbiota
442 composition, which is why it should also be considered as a potential intervention.

443 Altogether, current scientific data suggests an important role of the gut microbiota in the
444 prevention and development of metabolic diseases. Nevertheless, it is important to note that most
445 of the evidence comes from animal studies and some mechanisms need to be better understood.
446 Hence, further studies in humans are needed as well as more RCTs which include larger samples.

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ANNEXES

Tables:

Table 1 Bacterial phyla and their most predominant genera.

PHYLA	GENERA
<i>Firmicutes</i>	<i>Ruminococcus, Lactobacillus, Blautia, Clostridium and Faecalibacterium</i>
<i>Bacteroidetes</i>	<i>Prevotella and Bacteroides</i>
<i>Actinobacteria</i>	<i>Bifidobacterium,</i>
<i>Proteobacteria</i>	<i>Enterobacteriaceae</i>
<i>Verrucomicrobia</i>	<i>Akkermansia</i>
<i>Fusobacteria</i>	<i>Fusobacterium</i>

Table 2 Microbiota composition associated to an obese state or a lean state.

OBESE STATE	LEAN STATE
<i>Firmicutes</i>	<i>Bacteroidetes</i>
<i>Campylobacter</i>	<i>Bifidobacterium</i>
<i>Bacteroides</i>	<i>Akkermansia muciniphila</i>
<i>Anaerostipes</i>	<i>Faecalibacterium</i>
<i>Dialister</i>	<i>Coprococcus</i>
<i>Porophyromonas</i>	<i>Butyrivibrio Methanobrevibacter</i>
<i>Parabacteroides</i>	<i>Lactobacillus</i>
<i>Staphylococcus</i>	
<i>Ruminococcus</i>	

Table 3 Microbiota composition associated to Cardiovascular disease.

Risk of cardiovascular disease	Reason	Associated gut microbiota
↓	<u>Reduction of total cholesterol</u>	<i>Bacteroides, Enterococcus, Bifidobacterium, Clostridium and Lactobacillus.</i>
↓	<u>Conversion of cholesterol into coprostanol</u>	<i>Bacteroides spp. strain D8, different strains of Lactobacillus, Bifidobacterium, Lachnospiraceae and Ruminococcaceae.</i>
↑	<u>TMAO production</u>	Low gut microbial diversity, <i>Firmicutes, Proteobacteria, Collinsella</i> and a reduction of <i>Eubacterium</i> and <i>Roseburia</i> .
↑	<u>LPS</u>	Gram-negative bacteria

↑ = Increase; ↓ = Decrease.

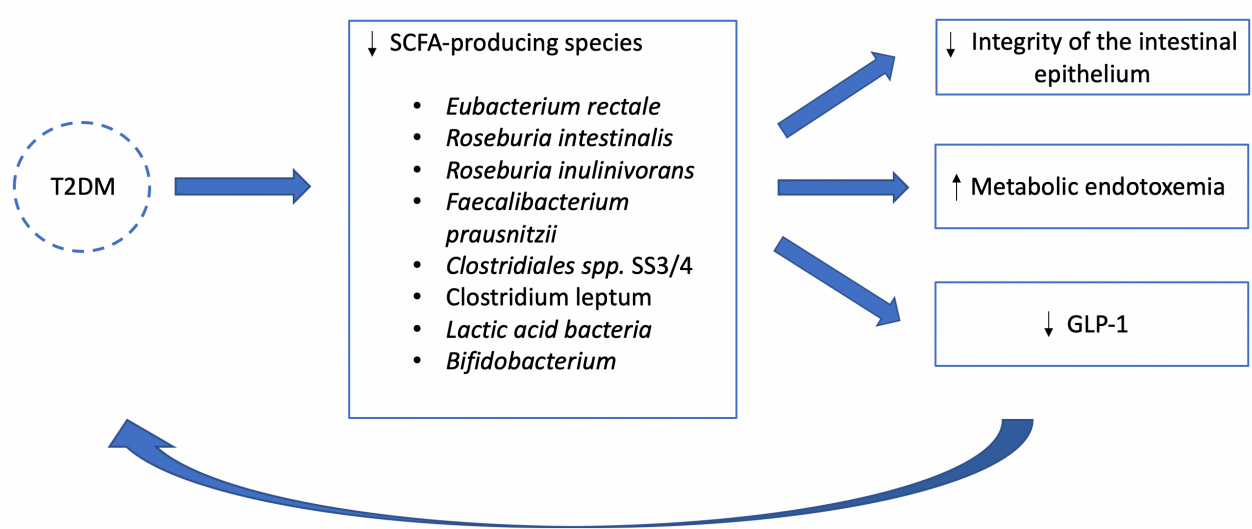
Figures:

Figure 1 Gut microbiota and type-2 diabetes mellitus.

Type-2 diabetic patients experience a reduction of the abundance of SCFA-producing bacteria, which leads to a decrease of the integrity of the host intestinal epithelium, an increase of metabolic endotoxemia and a reduction of the synthesis of GLP-1. These alterations contribute to the promotion of T2DM.

SCFA= Short Chain Fatty Acids; GLP-1= Glucagon-like peptide-1; T2DM= Type-2 diabetes mellitus; ↑= Increase; ↓= Decrease.

List of abbreviations

BA – Bile acid

BSH – Bile salt hydrolase

CA – Cholic acid

CDCA - Chenodeoxycholic acid

CD36 - cluster of differentiation 36

CH – Carbohydrates

CR – Conventionally Raised

CVD – Cardiovascular disease

CYP7A1 – cholesterol 7 α -hydroxylase

CYP27A1 - cytochrome P450 27A1

DCA - deoxycholic acid

EEC - Enteroendocrine cells

FAO - Food and Agriculture Organization of the United Nations

FMO - Flavin monooxygenases

FOS – Fructooligosaccharides

FXR – Farnesoid X receptor

GF - Germ-free

GIP – Glucose-dependent insulinotropic peptide

GLP-1 - Glucagon-like peptide-1

GOS – Galactooligosaccharides

GPCR - G protein-coupled receptors

HFD – High-fat diet

LCA – Lithocholic acid

LPS – Lipopolysaccharide

MACE - Major adverse cardiovascular events

NNS - Non-nutritive sweeteners

SCFA – Short Chain Fatty Acids

Spp. - Species

SR – Scavenger receptor

TC – Total cholesterol

TMA – Trimethylamine

TMAO – Trimethylamine-N-oxide

TLR-4 – Toll-like receptor 4

T2DM – Type-2 Diabetes Mellitus

WHO – World Health Organization

