

1 Brief report

2

3 **A comparative study between Real-time PCR and LAMP to detect carbapenemase and/or**  
4 **extended-spectrum- $\beta$ -lactamase genes in *Enterobacterales* directly from bronchoalveolar**  
5 **lavage fluid samples.**

6

7 A. Vergara<sup>1\*</sup>, J. Moreno-Morales<sup>2\*</sup>, I. Roca<sup>2</sup>, C. Pitart<sup>1</sup>, T. Kostyanev<sup>3</sup>, J. Rodriguez-Baño<sup>4</sup>, H.  
8 Goossens<sup>3,5</sup>, F. Marco<sup>1,2</sup>, J. Vila<sup>1,2</sup>

9

10 <sup>1</sup> Department of Clinical Microbiology – CDB, Hospital Clínic; University of Barcelona,  
11 Barcelona, Spain

12 <sup>2</sup> Institute for Global Health (ISGlobal), Hospital Clínic - Universitat de Barcelona, Barcelona,  
13 Spain

14 <sup>3</sup> Department of Medical Microbiology, Vaccine & Infectious Disease Institute, University of  
15 Antwerp, Antwerp, Belgium

16 <sup>4</sup> Unidad Clínica de Enfermedades Infecciosas, Microbiología y Medicina Preventiva,  
17 Hospital Universitario Virgen Macarena/Departamento de Medicina, Universidad de  
18 Sevilla/Instituto de Biomedicina de Sevilla (IBiS), Sevilla, Spain

19 <sup>5</sup> Laboratory of Medical Microbiology, University Hospital Antwerp, Antwerp, Belgium.

20

21 \*These authors contributed equally to this study.

22 \*\* Corresponding author: J.Vila, Department of Clinical Microbiology, Hospital Clinic,  
23 Villarroel, 170; 08036 Barcelona, Spain. Tel. +34932275522; Fax +34932279372; e-mail:  
24 jvila@clinic.cat.

25 **Objectives:** To evaluate and compare the efficacy of Real-time PCR (Xpert Carba-R) and LAMP  
26 (Eazyplex® SuperBug CRE) for detecting carbapenemase carriage in *Enterobacteriales* directly from  
27 broncoalveolar lavage (BAL).

28 **Methods:** Negative BAL samples were spiked with 21 well-characterized carbapenemase-producing  
29 *Enterobacteriales* strains to a final concentration of  $10^2$  to  $10^4$  CFU/mL. Xpert Carba-R, which  
30 detects five targets (*bla*<sub>KPC</sub>, *bla*<sub>NDM</sub>, *bla*<sub>VIM</sub>, *bla*<sub>OXA-48</sub>, and *bla*<sub>IMP-1</sub>), and Eazyplex® SuperBug CRE  
31 system (Amplex-Diagnostics GmbH, Germany) that detects seven genes (*bla*<sub>KPC</sub>, *bla*<sub>NDM</sub>, *bla*<sub>VIM</sub>,  
32 *bla*<sub>OXA-48</sub>, *bla*<sub>OXA-181</sub>, *bla*<sub>CTXM-1</sub>, and *bla*<sub>CTXM-9</sub>), were evaluated to detect these genes directly from BAL  
33 samples.

34 **Results:** Xpert Carba-R showed 100% agreement with carbapenemase characterization by PCR and  
35 sequencing for all final bacteria concentration. Eazyplex® SuperBug CRE showed 100%, 80% and  
36 27% agreement with PCR and sequencing when testing  $10^4$ ,  $10^3$  and  $10^2$  CFU/mL, respectively.  
37 False negative results for Eazyplex® SuperBug CRE matched with highest Ct values for Xpert Carba-  
38 R. Hands-on time for both assays was about 15 minutes, but Eazyplex® SuperBug CRE results were  
39 available within 30 minutes, whereas Xpert Carba-R took around 50 minutes.

40 **Conclusions:** We here describe the successful use of two commercial diagnostic tests, Xpert Carba-  
41 R and Eazyplex® SuperBug CRE, to detect bacterial carbapenem resistance genes directly in lower  
42 respiratory tract samples. Our results could be used as proof-of-concept data for validation of these  
43 tests for this indication.

## 44 Introduction

45 Ventilator-associated pneumonia (VAP), the hospital-acquired pneumonia that develops in  
46 patients with tracheal intubation or on mechanical ventilation for at least 48 hours, is one of the  
47 leading causes of infection and death in the healthcare setting. Patients with VAP present longer  
48 periods with mechanical ventilation, as well as longer stay in the ICU and in the hospital.<sup>1</sup>

49 Unfortunately, the diagnosis of VAP is complicated and there is no a reliable reference test.<sup>2</sup>  
50 Microbiological diagnosis is necessary to confirm the clinical suspicion of VAP, but it is not exempt  
51 from limitations (negative culture due to empiric treatment, expensive molecular tests, difficulty to  
52 differentiate colonization from infection). Early and adequate treatment decreases the mortality,<sup>3</sup>  
53 but empirical treatment is usually initiated in patients with suspected VAP before having the  
54 definitive diagnosis,<sup>4</sup> which could cause unnecessary health care costs and risk of antibiotic  
55 resistance appearance.

56 *Enterobacteriales*, such as *Escherichia coli* and *Klebsiella pneumoniae*, are among the most  
57 frequent pathogens causing VAP.<sup>5</sup> These microorganisms are also in the WHO priority pathogens  
58 list due to the carbapenem and 3<sup>rd</sup> generation cephalosporin resistance.<sup>6</sup>

59 The application of rapid diagnostic techniques to identify microbial pathogens seems to  
60 have a huge impact in the management of patients with VAP, reducing inappropriate or  
61 unnecessary antimicrobial treatments and mortality in these patients.<sup>7,8,9</sup>

62 Xpert Carba-R (Cepheid, Sunnyvale, USA) is an integrated commercial technique (sample  
63 extraction, amplification by PCR and detection carried out within a self-contained cartridge) that  
64 detects five targets for carbapenemase-producing organisms (*bla*<sub>KPC</sub>, *bla*<sub>NDM</sub>, *bla*<sub>VIM</sub>, *bla*<sub>OXA-48</sub>, and  
65 *bla*<sub>IMP-1</sub>). On the other hand, the Eazyplex® SuperBug CRE system (Amplex-Diagnostics GmbH,  
66 Germany) combines loop-mediated isothermal amplification (LAMP) of the target and real-time  
67 photometric detection of amplified material for rapid and simple detection of extended-spectrum

68 beta-lactamase (ESBL) and carbapenemase-encoding genes (*bla*<sub>CTXM-1</sub>, *bla*<sub>CTXM-9</sub>, *bla*<sub>KPC</sub>, *bla*<sub>NDM</sub>,  
69 *bla*<sub>VIM</sub>, *bla*<sub>OXA-48</sub>, and *bla*<sub>OXA-181</sub>).

70 The aim of this study was to evaluate and compare the efficacy of Xpert Carba-R and  
71 Eazyplex® SuperBug CRE for detecting ESBL and carbapenemase carriage directly from  
72 broncoalveolar lavage (BAL) samples inoculated with a well-defined collection of *Enterobacterales*  
73 clinical isolates.

## 74 **Material and Methods**

### 75 *Bacterial isolates and samples*

76 Twenty-one *Enterobacterales* strains producing the following carbapenemases were  
77 included: four strains producing NDM, five strains producing OXA-48, one strain producing both  
78 NDM and OXA-48, one strain producing OXA-181, five strains producing KPC and five strains  
79 producing VIM (Table 1). Some of the strains were also carrying ESBLs (CTXM-1 or CTXM-9). These  
80 organisms were identified using MALDI-TOF (Bruker Daltonics, Bremen, Germany). The presence of  
81 genes encoding carbapenemases and ESBL (*bla*<sub>CTXM-1</sub>, *bla*<sub>CTXM-9</sub>, *bla*<sub>KPC</sub>, *bla*<sub>NDM</sub>, *bla*<sub>VIM</sub>, *bla*<sub>OXA-48</sub>,  
82 *bla*<sub>IMP-1</sub> and *bla*<sub>OXA-181</sub>) was confirmed by PCR amplification with specific primers and Sanger DNA  
83 sequencing.<sup>10</sup> Negative BAL samples by bacterial culture were collected from the Clinical  
84 Microbiology Laboratory at the Hospital Clinic of Barcelona (Spain) after being processed for  
85 routine techniques. They were mixed to obtain a homogeneous matrix and stored at -80°C until  
86 use. Negative samples were homogenized by vortexing and spiked with a dilution of each of the  
87 selected strains to a final concentration of 10<sup>2</sup> to 10<sup>4</sup> CFU/mL. Two protocols depending on the  
88 method used are described in Figure 1.

### 89 *Sample preparation for Xpert Carba-R*

90 Spiked samples were mixed with sample reagent of the Xpert Carba-R assay (vol:vol),  
91 vortexed and incubated at room temperature for ten minutes, vortexing each five minutes. Then,  
92 1.7 mL of the sample reagent was transferred into the cartridge and the assay was run on the  
93 GeneXpert platform (Cepheid, Sunnyvale, USA) according to the manufacturer's instructions.

94

95

96

97 *Sample preparation for Eazyplex® SuperBug CRE*

98           Same volume of spiked sample (850 µL) was centrifuged at 14,000 x g for 5 min., the pellet  
99 was resuspended in 500 µL of resuspension and lysis fluid (RALF), and incubated in a thermal block  
100 (99°C) for 2 min. After centrifugation, 25 µL of the supernatant were added to each tube of the  
101 strip containing the ready-to-use mastermix. The strip was immediately placed into the Genie II  
102 instrument (OptiGene, Horsham, United Kingdom). The reaction mixtures were incubated at 66°C  
103 for 30 min with fluorescence monitoring. The Eazyplex® SuperBug CRE test strip contains eight  
104 wells with six oligonucleotide primers in each well, allowing the simultaneous specific amplification  
105 of seven different resistance genes, plus an internal control.

106 *Analysis*

107           We evaluated the agreement between the results obtained by conventional methods and  
108 rapid test applied directly to the clinical sample. Time to obtain the results and agreement were  
109 compared between Xpert Carba-R and Eazyplex® SuperBug CRE. Statistical analysis was performed  
110 with the software R (version 3.4.4).

## 111 **Results and discussion**

112           Considering the Xpert Carba-R, 100% agreement with PCR and sequencing was observed  
113 with all concentrations (Table 1). Regarding Eazyplex® SuperBug CRE, the concordance was 100%,  
114 80% and 27% when testing  $10^4$ ,  $10^3$  and  $10^2$  CFU/mL, respectively. False negative results for  
115 Eazyplex® SuperBug CRE matched with highest Ct values for Xpert Carba-R (median Ct of 33.2 and  
116 28.3 for negative and positive Eazyplex SuperBugCRE results, respectively;  $p < 0.001$ ). At  $10^2$   
117 CFU/mL, most of the genes were not detected in contrast to Xpert Carba-R. This is an integrated  
118 assay that includes a DNA extraction step, which increases the amount of DNA for the amplification  
119 step compared to LAMP assay.

120           Hands-on time for both assays was about 15 minutes, but with an easier-to-use sample  
121 preparation for Xpert Carba-R. Regarding time-to-results, Eazyplex SuperBugCRE results were  
122 available within 30 minutes, whereas Xpert Carba-R took around 50 minutes.

123           In order to distinguish between infection and colonization, it is established that a  
124 concentration of microorganisms in BAL  $\geq 10^4$  CFU/mL is indicative of infection,<sup>12</sup> although several  
125 factors can modify this interpretation. In a previous study evaluating Xpert Carba-R on BAL  
126 samples, a cutoff value to distinguish between colonization (Ct  $\leq 24.7$  corresponded to a count of  
127  $\geq 10^5$  CFU/mL) and infection (Ct  $> 26.9$  corresponded to a count of  $< 10^4$  CFU/mL) was proposed.<sup>11</sup>  
128 Although we observed a good correlation between the Ct value for Xpert Carba-R and the bacteria  
129 concentration ( $r = -0.81$ ,  $p < 0.001$ ), due to the limited number of samples we could not establish  
130 such a cutoff. This was not observed for Eazyplex® SuperBug CRE results ( $r = 0.45$ ,  $p < 0.001$ ).  
131 Although both assays were able to detect the target genes at bacterial concentrations below the  
132 diagnostic limit for infection in BAL, the Xpert Carba-R showed a limit of detection 10-fold lower  
133 than that of the Eazyplex® SuperBug CRE.

134           We here describe the successful use of two commercial diagnostic tests to detect bacterial  
135 carbapenem resistance genes directly in lower respiratory tract samples: Xpert Carba-R designed to

136 identify patients showing gastrointestinal colonization with carbapenemase-producing organisms,  
137 and Eazyplex® SuperBug CRE designed to detect carbapenemases and ESBL from bacteria strains or  
138 direct from positive blood culture. Our results could be used as proof-of-concept for the  
139 development of studies with larger sample size to validate these tests for this indication.

140



141 **Acknowledgements**

142 We want to thank Dr. Luis Martínez-Martínez to provide us with some strains.

143 **Funding**

144 This work was supported by Ajut a la Recerca "Clínic-LaPedrera" 2016 (PEP:HB-16-JF-VG-C) and  
145 grant 2014SGR0653 from the Departament de Universitats, Recerca i Societat de la Informació de  
146 la Generalitat de Catalunya; by Plan Nacional de I+D+i 2013-2016, Instituto de Salud Carlos III,  
147 Subdirección General de Redes y Centros de Investigación Cooperativa, Ministerio de Economía y  
148 Competitividad, Spanish Network for Research in Infectious Diseases (REIPI RD16/0016/0010) and  
149 the 2017 call for Strategic Action on Health (PI17/01932) and (PI17/01468), co-financed by European  
150 Development Regional Fund "A way to achieve Europe" and operative program Intelligent Growth  
151 2014-2020; and by the Innovative Medicines Initiative (Combacte-Care, grant agreement 115620).  
152 I.R. was supported by the Department of Health, Generalitat de Catalunya, grant SLT002/16/00349.

153 **Transparency declarations**

154 None to declare.

155 **References**

- 156 1. Kollef MH. What is ventilator-associated pneumonia and why is it important? *Respir Care*  
157 2005;50(6):714-21; discussion 721-4.
- 158 2. Klompas M. Does this patient have ventilator-associated pneumonia? *JAMA*  
159 2007;297(14):1583–93.
- 160 3. Herkel T, Uvizl R, Doubravska L, *et al.* Epidemiology of hospital-acquired pneumonia: Results  
161 of a Central European multicenter, prospective, observational study compared with data  
162 from the European region. *Biomed Pap* 2016;160(3):448–55.
- 163 4. Kalanuria AA, Zai W, Mirski M. Ventilator-associated pneumonia in the ICU. *Crit Care*  
164 2014;18(2):208.
- 165 5. Vila Estapé J, Zboromyrska Y, Vergara Gómez A, *et al.* Métodos moleculares de diagnóstico  
166 de infecciones respiratorias. ¿Ha cambiado el esquema diagnóstico? *Enferm Infecc*  
167 *Microbiol Clin* 2016;34:40–6.
- 168 6. Tacconelli E, Carrara E, Savoldi A, *et al.* Discovery, research, and development of new  
169 antibiotics: the WHO priority list of antibiotic-resistant bacteria and tuberculosis. *Lancet*  
170 *Infect Dis* 2018;18(3):318–27.
- 171 7. Roberts KL, Micek ST, Juang P, *et al.* Controversies and advances in the management of  
172 ventilator associated pneumonia. *Expert Rev Respir Med* 2017;11(11):875–84.
- 173 8. Millot G, Voisin B, Loiez C, *et al.* The next generation of rapid point-of-care testing  
174 identification tools for ventilator-associated pneumonia. *Ann Transl Med* 2017;5(22):451.

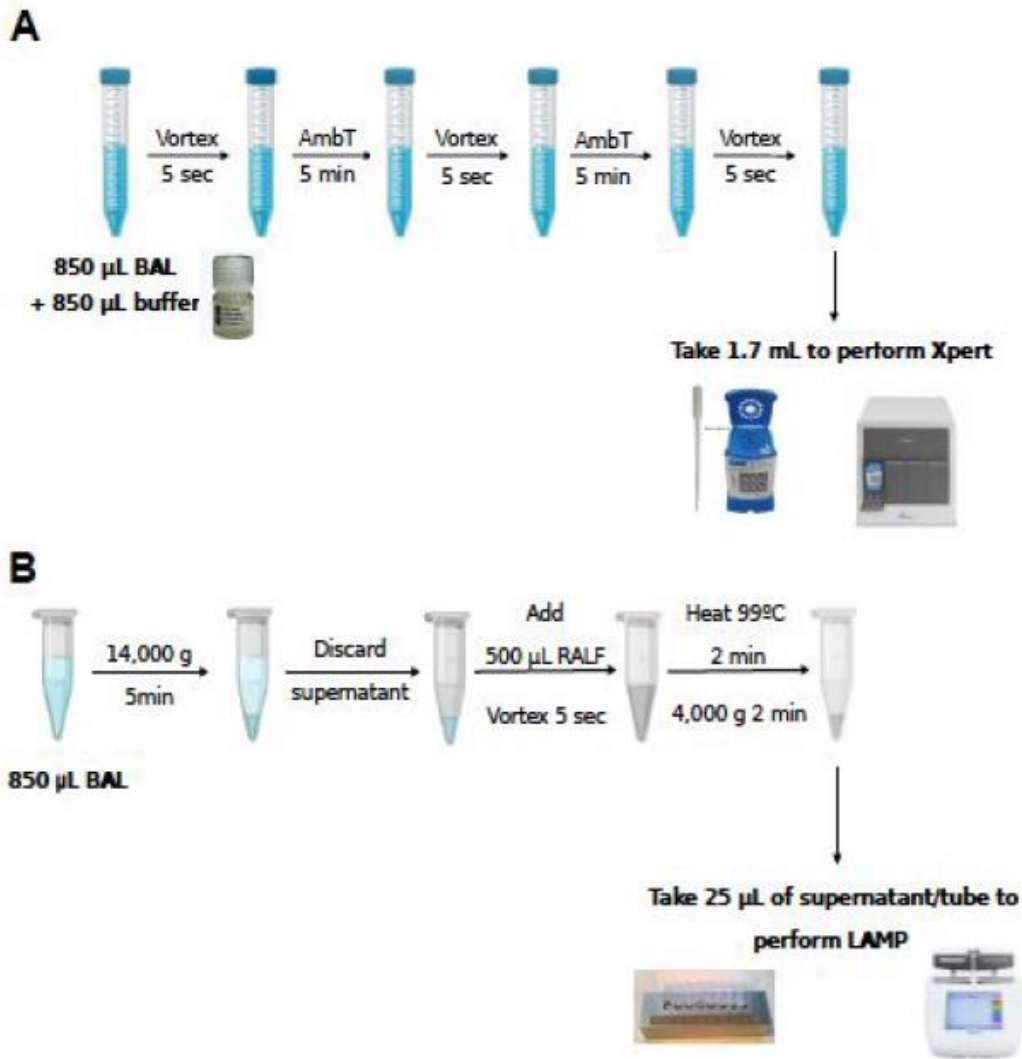
- 175 9. Vergara A, Boutal H, Ceccato A, *et al.* Assessment of a loop-mediated isothermal  
176 amplification (LAMP) assay for the rapid detection of pathogenic bacteria from respiratory  
177 samples in patients with hospital-acquired pneumonia. *Microorganisms*. 2020, In press.
- 178 10. Solé M, Pitart C, Roca I, *et al.* First description of an *Escherichia coli* strain producing NDM-1  
179 carbapenemase in Spain. *Antimicrob Agents Chemother* 2011;55(9):4402–4.
- 180 11. Burillo A, Marín M, Cercenado E, *et al.* Evaluation of the Xpert Carba-R (Cepheid) Assay  
181 Using Contrived Bronchial Specimens from Patients with Suspicion of Ventilator-Associated  
182 Pneumonia for the Detection of Prevalent Carbapenemases. *PLoS One*  
183 2016;11(12):e0168473.
- 184 12. Chastre J, Trouillet J-L, Combes A, *et al.* Diagnostic techniques and procedures for  
185 establishing the microbial etiology of ventilator-associated pneumonia for clinical trials: the  
186 pros for quantitative cultures. *Clin Infect Dis* 2010;51(1):88-92.

187 **Table 1.** Results of Xpert Carba-R and Eazyplex® SuperBug CRE for each strain and concentration  
188 expressed in Ct values and time, respectively.

189 **Figure 1.** Sample preparation workflow for Xpert Carba-R (Figure 1A) and Eazyplex® SuperBug CRE  
190 (Figure 1B).

191 BAL: bronchoalveolar lavage; AmbT: ambient temperature; RALF: resuspension and lysis buffer.

192



18

193

194

195 **Table 1.** Results of Xpert Carba-R and Eazyplex® SuperBug CRE for each strain and concentration  
 196 expressed in Ct values and time, respectively.

197

Bacterial species	Resistance mechanism	Concentration (ufc/mL)	Xpert Carba-R (Target Ct)	Eazyplex® SuperBug CRE (Target min:sec)
<i>Klebsiella pneumoniae</i> 16-516	NDM CTXM-1	10 <sup>4</sup>	NDM 26.3	NDM 9:45; CTXM-1 10:00
		10 <sup>3</sup>	NDM 28.4	NDM 14:15; CTXM-1 15:30
		10 <sup>2</sup>	NDM 29.3	NDM NEG; CTXM-1 NEG
<i>K. pneumoniae</i> 16-377	NDM CTXM-1	10 <sup>4</sup>	NDM 26.6	NDM 11:45; CTXM-1 10:15
		10 <sup>3</sup>	NDM 30.5	NDM 17:00; CTXM-1 13:30
		10 <sup>2</sup>	NDM 35.4	NDM NEG; CTXM-1 16:30
<i>Escherichia coli</i> DVR22	NDM CTXM-1	10 <sup>4</sup>	NDM 24.2	NDM 8:30; CTXM-1 10:00
		10 <sup>3</sup>	NDM 27.5	NDM 13:30; CTXM-1 11:00
		10 <sup>2</sup>	NDM 30.5	NDM NEG; CTXM-1 22:15
<i>E. coli</i> 16-543	NDM CTXM1	10 <sup>4</sup>	NDM 25.7	NDM 8:15; CTXM-1 6:00
		10 <sup>3</sup>	NDM 27.2	NDM 9:30; CTXM-1 8:30
		10 <sup>2</sup>	NDM 30.6	NDM 15:15; CTXM-1 10:00
<i>K. pneumoniae</i> HR4	NDM OXA-48 CTXM1	10 <sup>4</sup>	NDM 27.0; OXA-48 26.7	NDM 12:00; OXA-48 17:15; CTXM-1 11:45
		10 <sup>3</sup>	NDM 31.4; OXA-48 31.2	NDM NEG; OXA-48 NEG; CTXM-1 12:00
		10 <sup>2</sup>	NDM 33.4; OXA-48 33.4	NDM NEG; OXA-48 NEG; CTXM-1 19:00
<i>K. pneumoniae</i> 16-420	OXA-48	10 <sup>4</sup>	OXA-48 28.2	OXA-48 11:30
		10 <sup>3</sup>	OXA-48 29.8	OXA-48 18:00
		10 <sup>2</sup>	OXA-48 30.4	NEG
<i>K. pneumoniae</i> 45-425	OXA-48 CTXM1	10 <sup>4</sup>	OXA-48 26.3	OXA-48 10:45; CTXM1 9:45
		10 <sup>3</sup>	OXA-48 30.5	OXA-48 13:15; CTXM1 11:30
		10 <sup>2</sup>	OXA-48 33.1	NEG
<i>E. coli</i> 16-476	OXA-48	10 <sup>4</sup>	OXA-48 27.0	OXA-48 12:30
		10 <sup>3</sup>	OXA-48 28.3	OXA-48 20:00
		10 <sup>2</sup>	OXA-48 31.3	NEG
<i>K. pneumoniae</i> 66134	OXA-48 CTXM1	10 <sup>4</sup>	OXA-48 29.7	OXA-48 13:30; CTXM1 10:30
		10 <sup>3</sup>	OXA-48 31.7	OXA-48 17:00; CTXM1 13:30
		10 <sup>2</sup>	OXA-48 35.3	OXA-48 NEG; CTXM1 NEG
<i>E. coli</i> 65273	OXA-48	10 <sup>4</sup>	OXA-48 29.4	OXA-48 13:45
		10 <sup>3</sup>	OXA-48 29.9	OXA-48 14:30
		10 <sup>2</sup>	OXA-48 30.3	OXA-48 NEG



<i>E. coli</i> 15-288	OXA-181 CTXM1	10 <sup>4</sup>	OXA-48 28.0	OXA-181 14:15; CTXM1 12:00
		10 <sup>3</sup>	OXA-48 31.0	OXA-181 23:30; CTXM1 NEG
		10 <sup>2</sup>	OXA-48 33.4	OXA-181 NEG; CTXM1 NEG
<i>K. pneumoniae</i> MB545	KPC	10 <sup>4</sup>	KPC 28.3	KPC 19:30
		10 <sup>3</sup>	KPC 31.1	NEG
		10 <sup>2</sup>	KPC 35.3	NEG
<i>K. pneumoniae</i> 45872	KPC	10 <sup>4</sup>	KPC 28.5	KPC 17:15
		10 <sup>3</sup>	KPC 32.6	KPC 21:00
		10 <sup>2</sup>	KPC 35.9	NEG
<i>E. coli</i> 182046440	KPC	10 <sup>4</sup>	KPC 28.6	KPC 16:45
		10 <sup>3</sup>	KPC 31.2	KPC 22:50
		10 <sup>2</sup>	KPC 35.5	NEG
<i>Enterobacter asburiae</i>	KPC CTXM1	10 <sup>4</sup>	KPC 28.6	KPC 18:15; CTXM-1 13:15
		10 <sup>3</sup>	KPC 30.4	KPC 18:45; CTXM-1 14:00
		10 <sup>2</sup>	KPC 33.1	KPC NEG; CTXM-1 NEG
<i>K. pneumoniae</i> 19-684	KPC CTXM1	10 <sup>4</sup>	KPC 28.1	KPC 16:00; CTXM-1 11:00
		10 <sup>3</sup>	KPC 31.0	KPC 21:00; CTXM-1 11:45
		10 <sup>2</sup>	KPC 34.8	KPC NEG; CTXM-1 NEG
<i>K. pneumoniae</i> 18-87	VIM	10 <sup>4</sup>	VIM 22.3	VIM 13:00
		10 <sup>3</sup>	VIM 28.3	VIM 19:45
		10 <sup>2</sup>	VIM 31.1	NEG
<i>E. coli</i> 18-38	VIM	10 <sup>4</sup>	VIM 24.1	VIM 9:30
		10 <sup>3</sup>	VIM 26.7	VIM 12:15
		10 <sup>2</sup>	VIM 30.6	VIM 19:45
<i>K. pneumoniae</i> MC-32-145	VIM	10 <sup>4</sup>	VIM 25.1	VIM 15:30
		10 <sup>3</sup>	VIM 28.9	VIM 18:00
		10 <sup>2</sup>	VIM 31.3	VIM 19:30
<i>Enterobacter cloacae</i> MC-13-3	VIM CTXM9	10 <sup>4</sup>	VIM 27.2	VIM 12:15; CTXM9 14:15
		10 <sup>3</sup>	VIM 32.5	VIM 16:15; CTXM9 18:30
		10 <sup>2</sup>	VIM 36.7	VIM NEG; CTXM9 19:45
<i>E. coli</i> MC-4-19	VIM CTXM9	10 <sup>4</sup>	VIM 25.8	VIM 12:45; CTXM9 17:30
		10 <sup>3</sup>	VIM 29.9	VIM 18:20; CTXM9 18:15
		10 <sup>2</sup>	VIM 36.8	VIM NEG; CTXM9 NEG

198  
199