

Altered functional connectivity of the subthalamus and the bed nucleus of the stria terminalis in obsessive–compulsive disorder

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Background. The assessment of inter-regional functional connectivity (FC) has allowed for the description of the putative mechanism of action of treatments such as deep brain stimulation (DBS) of the nucleus accumbens in patients with obsessive–compulsive disorder (OCD). Nevertheless, the possible FC alterations of other clinically-effective DBS targets have not been explored. Here we evaluated the FC patterns of the subthalamic nucleus (STN) and the bed nucleus of the stria terminalis (BNST) in patients with OCD, as well as their association with symptom severity.

Methods. Eighty-six patients with OCD and 104 healthy participants were recruited. A resting-state image was acquired for each participant and a seed-based analysis focused on our two regions of interest was performed using statistical parametric mapping software (SPM8). Between-group differences in FC patterns were assessed with two-sample *t* test models, while the association between symptom severity and FC patterns was assessed with multiple regression analyses.

Results. In comparison with controls, patients with OCD showed: (1) increased FC between the left STN and the right premotor cortex, (2) decreased FC between the right STN and the lenticular nuclei, and (3) increased FC between the left BNST and the right frontopolar cortex. Multiple regression analyses revealed a negative association between clinical severity and FC between the right STN and lenticular nucleus.

Conclusions. This study provides a neurobiological framework to understand the mechanism of action of DBS on the STN and the BNST, which seems to involve brain circuits related with motor response inhibition and anxiety control, respectively.

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Introduction

Obsessive–compulsive disorder (OCD) is a disabling disorder that affects 2–3% of the general population (Kessler *et al.* 2012). Despite advances in effective pharmacological and behavioural treatments, an estimated 10% of patients with OCD remain treatment-resistant and continue to suffer from severe symptoms (Denys, 2006). Deep brain stimulation (DBS) has been proposed as an alternative treatment for this group of patients with treatment-resistant OCD.

DBS consists of the implantation of electrodes that send electrical pulses to deep brain areas. Since dysfunction in cortico–striato–thalamo–cortical (CSTC) circuits is central to most of the prevailing neurobiological models of OCD (Menzies *et al.* 2008; Milad & Rauch, 2012; Eng *et al.* 2015; van den Heuvel *et al.* 2016), structures of the basal ganglia such as the ventral striatum and the subthalamic nucleus (STN) have become the main anatomical targets of DBS (Alonso *et al.* 2015).

Research on the brain functional changes induced by DBS has shown some convergence with neuroimaging studies describing functional abnormalities in OCD patients. Figeet *et al.* (2013) showed that DBS targeted at the nucleus accumbens (NA) reduced functional connectivity (FC) of this region with the prefrontal cortex, while different studies have consistently reported

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increased FC between these structures in OCD (Harrison *et al.* 2009; 2013; Jung *et al.* 2013). Such concurring findings provide a neurobiological framework to understand the mechanism of action of DBS in OCD.

There is a lack, however, of similar studies focusing on the other basal ganglia targets of DBS for OCD. For example, no studies to date have specifically assessed the FC of the STN in patients with OCD. The STN plays a key role in indirect and hyperdirect CSTC pathways (Jahanshahi *et al.* 2015), and abnormalities in its FC may therefore critically account for the suspected imbalance between different CSTC pathways in OCD (Graybiel & Rauch, 2000; Mataix-Cols & van den Heuvel, 2006; van den Heuvel *et al.* 2010).

Moreover, clinical research has started to investigate other DBS targets beyond the CSTC pathways for patients with treatment-resistant OCD. Among them, the bed nucleus of the stria terminalis (BNST) stands out as one of the most targeted regions (Neumann *et al.* 2014; Islam *et al.* 2015; Luyten *et al.* 2016). The BNST has classically been associated with sustained anxiety responses (Walker *et al.* 2009; Somerville *et al.* 2010; Alvarez *et al.* 2011), and it is considered part of the extended amygdala because of its location and its strong structural and functional interactions with this medial temporal lobe structure (Davis *et al.* 2010). In this context, despite there being no reports of altered BNST function, FC of the amygdala seems to be altered in OCD, with reports of reduced FC at rest (Göttlich *et al.* 2014), task-related increases of FC with frontal regions (de Vries *et al.* 2014), and associations with cognitive-behavioural therapy response (Göttlich *et al.* 2015). Therefore, an assessment of FC of the BNST is warranted to provide an explanatory framework of the alleged effectiveness of DBS targeting this structure in refractory OCD.

The aim of this study was to assess the FC of the STN and the BNST in a large sample of OCD patients in comparison with an equally large sample of healthy control subjects. Moreover, to further investigate the importance of such FC patterns for OCD pathophysiology, its association with OCD severity was also explored. Despite this being essentially an exploratory study, we hypothesized that FC of these two nuclei with structures within CSTC circuits would be altered in OCD, and that such alterations would be associated with disorder severity.

Material and methods

Participants

A total of 86 outpatients were recruited from the OCD Unit of Bellvitge University Hospital, Barcelona, Spain. Psychiatric diagnoses were established using the

Structured Clinical Interview for DSM-IV Axis I Disorders-Clinician Version (First *et al.* 1997). A primary diagnosis of OCD was given if OCD symptoms were persistent and constituted the primary cause of distress and interference in the patient's life. Exclusion criteria were being aged younger than 18 or older than 65, current or history of psychotic disorders, mental retardation, any severe organic or neurological disease other than tic disorder, current or past substance abuse/dependence, presence of any contraindication to magnetic resonance imaging (MRI), or the presence of any abnormality in the MRI scan. Each patient was assessed using the Yale-Brown Obsessive-Compulsive Scale (YBOCS) (Goodman *et al.* 1989), the YBOCS Symptom Checklist (Goodman *et al.* 1989), the Hamilton Rating Scale for Anxiety (HRSA) (Hamilton, 1959) and the Hamilton Rating Scale for Depression (HRSD) (Hamilton, 1960).

The control sample included 104 healthy participants of comparable age and gender in relation to patients. In order to rule out the possibility of current or lifetime psychiatric disorders and the use of psychotropic medication, subjects from the comparison group underwent a medical anamnesis and the Structured Clinical Interview for DSM-IV Axis I Disorders non-patient version (First *et al.* 2002). The rest of exclusion criteria were the same used for the OCD group. The sociodemographic characteristics of all participants and the clinical characteristics of patients with OCD are described in Table 1.

After receiving approval from the ethical committee of clinical research (CEIC) of Bellvitge University Hospital, all participants gave written informed consent to participate in this study, which was performed in accordance with the Declaration of Helsinki.

Image acquisition and preprocessing

A 1.5-T Signa Excite system (General Electric, Milwaukee, Wisconsin) equipped with an eight-channel phased-array head coil and single-shot echo-planar imaging software was used. The functional sequence consisted of gradient recalled acquisition in the steady state (repetition time, 2000 ms; echo time, 50 ms; and pulse angle, 90°) in a 24-cm field of view, with a 64 × 64 pixel matrix and a slice thickness of 4 mm (interslice gap, 1 mm). Twenty-two interleaved sections, parallel to the anterior-posterior commissure line, were acquired to generate 120 whole-brain volumes, excluding four initial dummy volumes. Participants were instructed to simply relax, stay awake, and to lie still without moving, while keeping their eyes closed throughout image acquisition. We also acquired a high-resolution T1-weighted anatomical image for each subject using a three-dimensional fast spoiled gradient

Table 1. Sociodemographic and clinical characteristics of the study samples

Sociodemographic and clinical variables	Patients with OCD (<i>n</i> = 86)	Healthy participants (<i>n</i> = 104)	Statistic ^a	<i>p</i> Value
Age, years: mean (s.d.)	34.38 (9.39)	34.18 (10.40)	0.139	0.889
Gender, male: <i>n</i> (%)	43 (50)	59 (56.70)	0.858	0.354
Age at onset, years: mean (s.d.)	21.50 (7.91)			
Illness duration, years: mean (s.d.)	12.91 (10.37)			
YBOCS: mean (s.d.)				
Obsessions	13.20 (2.81)			
Compulsions	13.46 (2.67)			
Total score	26.54 (5.40)			
HRSA: mean (s.d.)	15.81 (5.68)			
HRSD: mean (s.d.)	12.81 (4.60)			
Obsessive–compulsive symptoms: <i>n</i> (%)				
Aggressive/checking	67 (77.90)			
Sexual/religious	25 (29.06)			
Symmetry/ordering	40 (46.51)			
Contamination/cleaning	38 (44.18)			
Hoarding	26 (30.23)			
Tic disorder: <i>n</i> (%)	10 (11.63)			
Pharmacological treatment: <i>n</i> (%)				
No treatment	3 (3.49)			
SSRIs	28 (32.56)			
Clomipramine	8 (9.30)			
Antipsychotic potentiation	22 (25.59)			
SSRIs + Clomipramine	24 (27.90)			
MAOI	1 (1.16)			

OCD, obsessive-compulsive disorder; YBOCS, Yale-Brown obsessive-compulsive scale; HRSA, Hamilton Rating Scale for anxiety; HRSD, Hamilton Rating Scale for depression; SSRIs, selective serotonin reuptake inhibitors; MAOI, monoamine oxidase inhibitor.

^aIndependent samples *t* test for continuous variables, χ^2 test for categorical variables.

inversion-recovery prepared sequence with 130 contiguous slices (repetition time, 11.8 ms; echo time, 4.2 ms; flip angle, 15°) in a 30-cm field of view, with a 256 × 256 pixel matrix and a slice thickness of 1.2 mm.

Imaging data were processed on a Microsoft Windows platform using technical computing software (MATLAB 7.14; The MathWorksInc, Natick, Mass) and Statistical Parametric Mapping (SPM8; The Wellcome Department of Imaging Neuroscience, London, UK). After an initial pre-alignment step to the first image of the time-series, motion correction was performed by aligning (within subject) each time-series to the mean image using a least-squares minimisation and a 6-parameter (rigid body) spatial transformation. These realigned functional sequences were subsequently coregistered to the each participant's anatomical scan, which had been previously coregistered and normalized to the SPM-T1 template. Normalization parameters were then applied to the coregistered functional images, which were smoothed with an 8 mm full-width at half-maximum (FWHM) isotropic Gaussian kernel.

Regions of interest

We extracted the signal from four seed-regions of interest (two per hemisphere), centred on the bed nucleus of the stria terminalis (BNST) region and the subthalamic nucleus (STN) region. Seeds were defined with the MarsBar region-of-interest toolbox as 2 mm radial spheres centred at the following MNI coordinates (following Höflich *et al.* 2013 and Krüger *et al.* 2015): (i) BNST region [$x = \pm 5, y = 0, z = 4$] and (ii) STN region [$x = \pm 10.3, y = -16.7, z = -1$]. All these four seeds were spatially separated between each other by at least 8 mm (>1 FWHM), according to the formula:

$$\sqrt{((x_1 - x_2)^2 + (y_1 - y_2)^2 + (z_1 - z_2)^2)}$$

where (x_1, y_1, z_1 & x_2, y_2, z_2) refer to the coordinates of any two voxels in MNI space, which allowed us to obtain specific FC maps for each region. For anatomical reference, online Supplementary Fig. S1 depicts the location of our seeds of interest overlaid on normalized structural images from a selected group of study participants.

In addition to our signals of interest, we derived estimates of white matter, cerebrospinal fluid (CSF) and global brain signal fluctuations to be included as nuisance variables in first-level analyses. Specifically, white matter and CSF SPM tissue probability maps were eroded so to keep voxels with a probability of at least 0.2 or 0.6 of being white matter or CSF, respectively. Such tissue-specific masks were then binarized to create nuisance variable masks, together with a binary mask for global brain signal, which was the sum of the white matter and CSF masks plus a gray matter mask. Across the time-series, nuisance signals were derived from each mask by averaging signal from all in-mask voxels.

Statistical analyses

Sociodemographic data were compared between groups using the Statistical Package for Social Sciences (SPSS) v.21 (SPSS Inc., Chicago, IL).

Regarding imaging analyses, first-level FC maps of each seed were calculated for each participant by estimating the regression coefficient between the seed's and each brain voxel's time series using an SPM multiple regression model. A high-pass filter set at 128 seconds was used to remove low-frequency drifts of less than approximately 0.008 Hz, and, before model estimation, the three nuisance covariates were mutually orthogonalized using an iterative Gram–Schmidt procedure. First-level FC images of each participant were then included in a second-level (group) analysis. We used an independent two-sample model to derive *t*-statistic maps comparing the FC patterns between patients with OCD and healthy participants. Specifically, we estimated 4 SPM models, resulting from the analysis of the four seeds of interest (left BNST, right BNST, left STN and right STN regions). In each model, we initially estimated positive and negative FC patterns of each group, which were thresholded at a significance threshold of $p < 0.05$, Family-Wise error (FWE) corrected for multiple comparisons across the whole brain. These group specific patterns were then combined to create a mask in which we investigated between-group differences in FC.

In addition, we assessed the association between clinical severity and FC patterns using multiple regression analyses. More specifically, clinical severity, as measured by YBOCS score, was included as an independent predictor in the SPM multiple regression models to evaluate its relationship with BNST and STN FC patterns. Importantly, this analysis was focused on the pattern of significant results derived from the above between-group comparisons. In all between-group comparisons and regression analyses, statistical significance was set at $p < 0.05$, FWE

corrected for multiple comparisons across all in-mask voxels (i.e. using small-volume correction procedures across all voxels showing positive or negative FC in patients or controls with our seed regions of interest).

Likewise, to assess for the association between other sociodemographic and clinical variables and FC alterations, we conducted a series of analyses with the FC estimates from the peak coordinates of the above analyses. Thus, we assessed Pearson correlations with age, age at onset, illness duration, HRSA and HRSD scores and obsessive–compulsive symptom dimension scores. Also, to evaluate the potential effects of pharmacological treatment on our findings, we performed two kinds of two-sample *t* test comparisons. Firstly, we compared those patients receiving no pharmacological or standard treatments (i.e. SSRIs or Clomipramine) *v.* those on other regimens denoting higher pharmacological resistance (i.e. antipsychotic augmentation, SSRIs + Clomipramine or MAO inhibitors, see Table 1). Secondly, we compared patients with *v.* those without dopaminergic medications. Finally, a two-sample *t* test comparison was also used to evaluate potential between-sex differences. In these analyses, which were performed in SPSS v.21 (SPSS Inc., Chicago, IL), we used a statistical significance threshold of $p < 0.05$ after a Bonferroni correction for multiple comparisons.

Finally, in a complementary analysis, to assure our analyses were based on the FC patterns of our regions of interest and that we were not capturing signal from surrounding structures, online Supplementary Figs S2 and S3 depict the patterns of functional connectivity from our seeds of interest as compared with the patterns of surrounding structures (nucleus accumbens and substantia nigra pars compacta).

Results

Sociodemographic and clinical characteristics

As can be seen in Table 1, groups did not differ in sociodemographic characteristics. Table 1 also presents the descriptive statistics of the clinical variables from the OCD group.

Neuroimaging analyses

Between-group comparisons

In comparison with healthy participants, patients with OCD showed: (1) increased FC between the left STN and the right pre-motor cortex (rPMC); (2) decreased FC between the right STN and the bilateral lenticular nuclei (bLN), including the left putamen and the right globus pallidus (rGP); and (3) increased FC between the left BNST and the right frontopolar cortex (rFPC). The FC pattern of the right BNST did

Table 2. Brain areas showing functional connectivity differences between patients with OCD and healthy participants

Seed region	X	Y	z	t value	p Value ^a	Peak location
ISTN	26	-26	56	4.55	0.034	rPMC (121 voxels)
rSTN	-22	12	-8	5.19	0.004	bLN (6108 voxels)
	18	6	2	5.16	0.004	rGP
IBNST	2	60	-6	6.07	<0.001	rFPC (790 voxels)

ISTN, left subthalamic nucleus; rSTN, right subthalamic nucleus; IBNST, left bed nucleus of the stria terminalis; rPMC, right pre-motor cortex; bLN, bilateral lenticular nuclei; lPutamen, left putamen; rGP, right globus pallidus; rFPC, right frontopolar cortex. *x*, *y*, *z*-coordinates are reported in standard Montreal Neurological Institute (MNI) space.

^a FWE corrected for multiple comparisons.

not significantly differ between groups (see Table 2 and Fig. 1).

For replication of previous findings involving alterations in FC of the ventral striatum, we also evaluated between-group differences in the FC patterns of the nucleus accumbens. These results are presented in online Supplementary Fig. S4.

Relationship with clinical severity and other clinical and sociodemographic factors

The multiple regression analysis using clinical severity (YBOCS score) as the independent predictor of the FC patterns revealed a significant ($t = 2.22$; $p = 0.044$) negative association between clinical severity and the FC estimate between the rSTN and the right LN ($x = 20$, $y = 6$, $z = 2$) (see Fig. 2). No further correlations with clinical severity were observed. Likewise, *post-hoc* analyses did not reveal any significant relationship between the other clinical and sociodemographic variables (including age, sex, age at onset, illness duration, HRSA and HRSD scores, symptom subtypes and pharmacological regimen) and FC alterations.

Finally, we performed an exploratory analysis to evaluate the possible association between the FC patterns of our regions of interest and the age and sex of our control subjects. These results of these analyses, reported in online Supplementary Fig. S5, showed increased FC between both BNST and right thalamus in women.

Discussion

In the present study we investigated potential alterations in the FC of two different DBS targets used for patients with refractory OCD: the STN and the BNST. We observed: (1) increased FC between the left STN and the right PMC, (2) decreased FC between the right STN and the bilateral LN, including the left putamen and the right GP, and (3) increased FC between the left BNST and the right FPC. In addition, the FC between the right STN and the right LN was

negatively associated with clinical severity. These findings provide a neurobiological framework to interpret future results on the neurobiological mechanisms accounting for the effectiveness of DBS on the STN and the BNST as a treatment for OCD.

The STN is crucially involved in response inhibition capacity (see Chambers *et al.* 2009; Aron, 2011 or Bari & Robbins, 2013 for a review). Specifically, as a central relay structure of the hyperdirect and the indirect CSTC pathways, it receives direct excitatory input from the prefrontal cortex (i.e. inferior frontal cortex and pre-supplementary motor area (pre-SMA)) and inhibitory input from the external globus pallidus, respectively. In turn, it provides excitatory output to the internal globus pallidus, which results in a net inhibition of thalamo-cortical activity and consequent inhibited motor response (Nambu *et al.* 2002; Jahanshahi *et al.* 2015; Rae *et al.* 2015). The results reported here show that, while FC with cortical structures providing input to the STN is not altered in OCD, FC with basal ganglia structures (e.g. globus pallidus) may be decreased, which could account for the decreased inhibition of motor responses that has been consistently reported in OCD. Indeed, we also observed increased connectivity between the STN and rPMC, which in all likelihood stems from disruptions in motor inhibitory pathways. Thus, according to the CSTC circuits model depicted in Fig. 3a, in healthy conditions it is expected that STN activity will be coupled with decreased activity in motor and premotor areas, the last step of central motor programming before sending excitatory inputs to the spinal cord (Nambu *et al.* 2002; Rae *et al.* 2015). Conversely, we report increased connectivity between these two regions, which could imply that patients with OCD may show decreased inhibitory response because of decreased FC between the STN and the internal part of the GP, resulting in a net excitation of the motor cortices.

Hyperactivity of premotor and motor cortices and decreased activation in the basal ganglia, including the caudate, the putamen and the GP, has been consistently

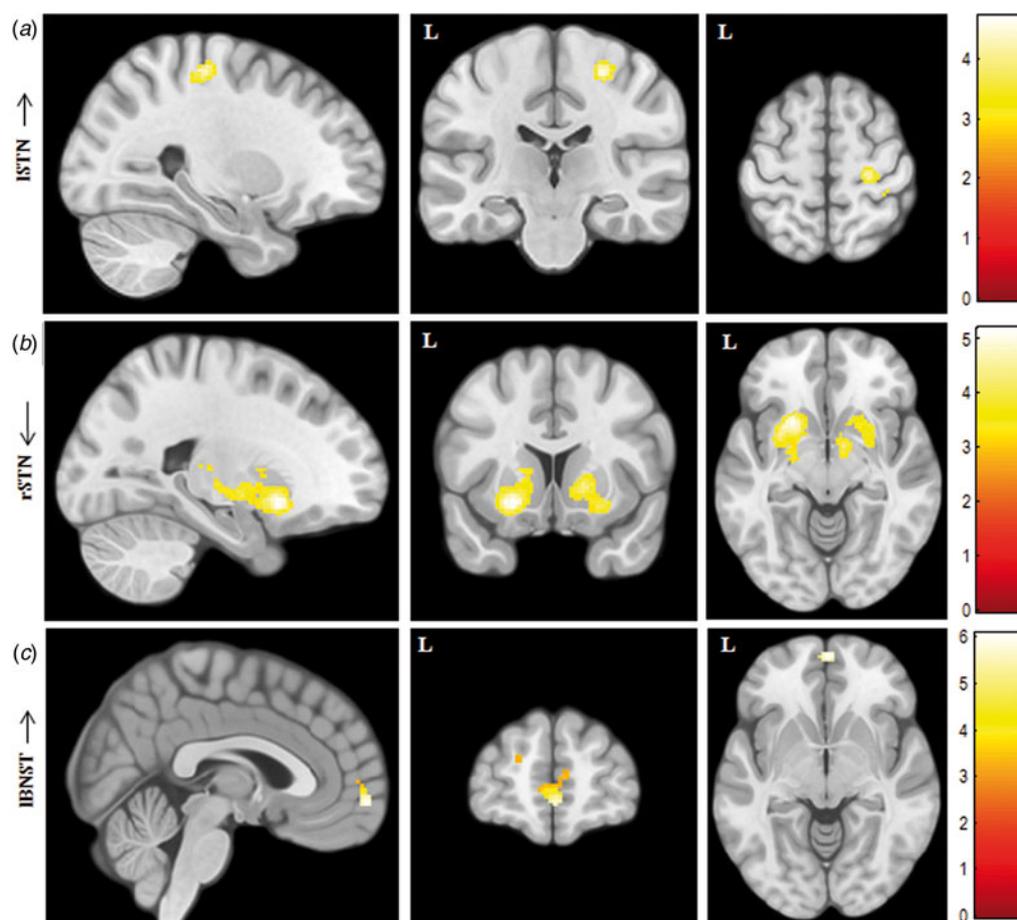


Fig. 1. (a) Functional connectivity between left subthalamic nucleus (STN) and the right pre-motor cortex, increased in patients with obsessive-compulsive disorder (OCD). (b) Functional connectivity between the right subthalamic nucleus and the bilateral lenticular nuclei, decreased in patients with OCD. (c) Functional connectivity between the left bed nucleus of the stria terminalis (BNST) and right frontopolar cortex, increased in patients with OCD. Color bar represents t values. L indicates left hemisphere. Voxels are thresholded at $p < 0.001$ (uncorrected) for illustrative purposes.

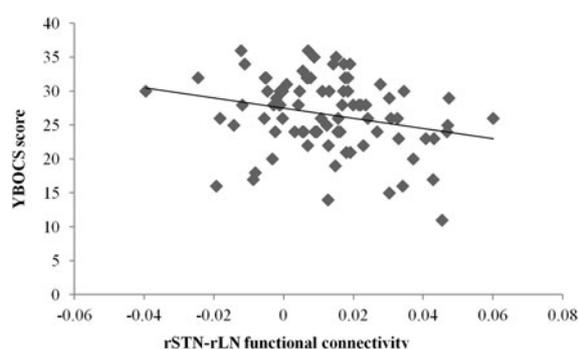


Fig. 2. Scatter plot depicting the association between right subthalamic-right lenticular nuclei (rSTN-rLN) functional connectivity and clinical severity (YBOCS score).

reported in OCD, especially during motor inhibition tasks (see Van Velzen *et al.* 2014 for review). Indeed, patients with OCD show increased stop-signal reaction time and higher error rates on go/no-go paradigms

compared with healthy controls (Chamberlain & Sahakian, 2007; Penadés *et al.* 2007; Kang *et al.* 2013, but also see Kalanthroff *et al.* 2016). Such alterations in motor inhibition have been proposed as a behavioural endophenotype of the disorder (Chamberlain & Sahakian, 2007; Menzies *et al.* 2007).

Likewise, we also observed that decreased FC between the STN and the LN was associated with disorder severity. These findings were restricted to the right hemisphere, and such greater relative relevance of right CSTC circuits is in agreement with the right lateralization of motor inhibitory pathways in healthy controls (Aron & Poldrack, 2006). Nevertheless, at more lenient significance thresholds, the left STN also showed FC alterations with bilateral lenticular nuclei (data not shown). Therefore, our results are also consistent with the 'efficient-inhibition hypothesis', which stresses the role of the left hemisphere for efficient response inhibition (Hirose *et al.* 2012). However, since the significant correlation with disease

and the globus pallidum) and increased FC with the premotor cortex, which may relate with the impaired motor response inhibition observed in OCD populations. Likewise, the BNST showed greater FC with the frontopolar cortex, most likely as a consequence of the increased basal tone of this subcortical structure and the attempts of the prefrontal cortex to downregulate its activity and therefore control anxiety symptoms. Such results provide a neurobiological framework to understand the mechanism of action of DBS treatment for OCD.

Supplementary material

The supplementary material for this article can be found at <https://doi.org/10.1017/S0033291717002288>

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Declaration of Interest

None.

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