# Validity of a method for the self-screening of cardiovascular risk

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#### ABSTRACT

**Background**: The validity of a cardiovascular risk self-screening method was assessed. The results obtained for self-measurement of blood pressure, a point-of-care system's assessment of lipid profile and glycated hemoglobin, and a self-administered questionnaire (sex, age, diabetes, tobacco consumption) were compared with the standard screening (gold-standard) conducted by a health professional.

Methods: Cross-over clinical trial on a population-based sample from Girona (northeastern Spain), aged 35-74 with no cardiovascular disease at recruitment. Participants were randomized to one of the two risk assessment sequences (standard screening followed by self-screening or vice versa). Cardiovascular risk was estimated with the Framingham-REGICOR function. Concordance between methods was estimated with the intraclass correlation coefficient (ICC). Sensitivity, specificity, and positive and negative predictive values were estimated, considering 5% cardiovascular risk as the cut-off point.

**Results**: The median cardiovascular risk in men was 2.56 (interquartile range: 1.42-4.35) estimated by standard methods and 2.25 (1.28-4.07) by self-screening with ICC=0.92 (95% confidence interval [CI]: 0.90-0.93). In women, the cardiovascular risk was 1.14 (0.61-2.10) by standard methods and 1.10 (0.56-2.00) by self-screening, with ICC=0.89 [0.87-0.90]. The sensitivity, specificity, and positive and negative predictive values for the self-screening method were 0.74 (0.63-0.82), 0.97 (0.95-0.99), 0.86 (0.77-0.93) and 0.94 (0.91-0.96), respectively, in men. In women, these values were 0.50 (0.30-0.70), 0.99 (0.98-1), 0.81 (0.54-0.96) and 0.97 (0.95-0.99), respectively.

**Conclusion**: The self-screening method for assessing cardiovascular risk provided similar results to the standard method. Self-screening had high clinical performance to rule out intermediate or high cardiovascular risk.

**Keywords**: Risk assessment, Cardiovascular diseases, Preventive medicine, Public Health, Epidemiology

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# **Background**

Cardiovascular diseases are the main cause of death in the developed world and are gaining in importance in low-income countries.<sup>1</sup> Nonetheless, a substantial fall in cardiovascular disease mortality has been observed in developed countries, approximately half of which has been attributed to cost-effective evidence-based treatments used in clinical cardiology and half to the crucial role of healthy diet, increased physical activity and decreased consumption of tobacco and alcohol.<sup>2,3</sup> These key drivers are increasingly recognized as being potentially powerful, rapid, equitable and cost-saving.<sup>4</sup>

Currently, the first step in cardiovascular risk screening is the estimation of cardiovascular risk using validated risk functions.<sup>5,6</sup> This procedure, usually performed in primary care settings under the supervision of health professionals, has limitations.<sup>5,7</sup> For instance, there is a wide gap between expert recommendations and actual preventive practice.<sup>8</sup> Physicians have been found to have difficulty meeting the international standards even when they strongly believe that preventive care is important and that risk factors for cardiovascular disease can and should be reduced.<sup>9,10</sup> Moreover, the current screening strategy may not reach young, healthy people, who are less likely to visit primary care settings<sup>11</sup> but are also the main audience for the preventive message because cumulative exposure to cardiovascular risk factors over time precedes the clinical expression of cardiovascular diseases.

In addition, the trends in coronary heart disease mortality in the US show a troubling contrast between the steep decrease recently observed in older populations and the small decreases in young populations.<sup>12</sup> Although the low burden of cardiovascular diseases in younger populations would make it difficult to observe dramatic decreases,

the implementation of preventive programs in young people is key to reduce the incidence at older ages. This paradigm may change if individuals can be empowered to assume a leading role in making decisions about their own health.<sup>13</sup> Thus, the use of a self-screening method to assess cardiovascular risk may help to increase population awareness and shared responsibility for cardiovascular disease prevention.

To better address a key target population for the preventive message, the objective of the present study was to evaluate the validity of a cardiovascular risk self-screening method that includes self-measurement of blood pressure, a point-of-care assessment of lipid profile and glycated hemoglobin, and a self-administered questionnaire (sex, age, diabetes, tobacco consumption), compared with the standard screening (gold-standard) conducted by a health professional.

### Methods

In a randomized cross-over clinical trial in the city of Girona and surrounding areas (northeastern Spain), individuals were randomly selected from the reference population. Those aged 35 to 74 who did not present with cardiovascular diseases at baseline were included in the study. Participants were randomly assigned to one of two cardiovascular risk screening sequences: standard screening followed by self-screening or vice versa. Both screening methods were performed the same day and included the eight variables used to estimate cardiovascular risk with the Framingham function, adapted and validated for the Spanish population<sup>14</sup> using the standard methodology<sup>15</sup>: sex, age, systolic and diastolic blood pressure, total cholesterol, high-density lipoprotein (HDL) cholesterol, tobacco consumption and diabetes. The only difference between the screening methods was the active role of the team of trained nurses in the standard

screening and their minimal supervision of the self-screening, mainly related to blood capillary extraction and analysis.

We needed a sample size of 900 individuals to estimate a kappa index of 0.8 with 95% confidence interval, considering that 65% of the reference population presented with low risk (<5%), 25% with intermediate risk ( $\ge5\%$  and <10%) and 10% with high risk ( $\ge10\%$ ).

All participants provided written informed consent before enrollment. The present study was approved by the local ethics committee (CEIC-PSMAR, #2014/5815/I) and has been registered in ClinicalTrials.gov (#NCT02373319).

# Blood pressure measurement

Blood pressure was measured with an automatic blood pressure monitor and a cuff adapted to upper arm perimeter (young, adult, obese) for each participant. After a 5-min rest, two measurements were taken, at least 2 minutes apart, and the lower value was recorded for the study. The cut-off points for hypertension followed the criteria in the Seventh Report of the Joint National Committee. The team of nurses performed these measures in the standard screening; in the self-screening, computer prompts guided the participants to independently measure and record their blood pressure.

# Laboratory tests

To determine the lipid profile (total cholesterol, HDL cholesterol and triglycerides) and the glycated hemoglobin in the standard screening procedure, blood was withdrawn in less than 60 seconds after 10–14 h fasting. Serum sample aliquots were stored at –80°C. Total cholesterol and HDL cholesterol concentrations were determined by direct

methodology (ABX-Horiba, Montpellier, France). When triglycerides were <300 mg/dL, low density lipoprotein (LDL) cholesterol was calculated using the Friedewald formula. Glycated hemoglobin was determined by turbidimetry and colorimetry procedures (ABX-Horiba, Montpellier, France). All analyses were performed in a central laboratory. When participants used the self-screening methodology, they performed a capillary blood analysis with the Cobas b101 (Roche Diagnostics, Basel, Switzerland) point-of-care device under nurse supervision.

#### Other variables collected

Both screening methods collected data on sex, age, educational level, tobacco consumption, and self-reported diabetes and related treatment with self-administered standard questionnaires. Although educational level was not required to estimate the cardiovascular risk, this variable was collected for the assessment of comparability of the two study groups. Diabetes was considered whenever an individual reported a history of the disorder, was being treated with insulin or oral antidiabetic treatments, or presented with a glycated hemoglobin  $\geq 6.5\%$ .

A precision scale of easy calibration was used for weight measurement with participants in underwear. Height was measured with a standard measuring rod, with participants standing barefoot. Body mass index (BMI) was determined as weight divided by squared height (kg/m2). Waist perimeter was also collected.

### Statistical analysis

All analyses were stratified by sex. Categorical variables were presented as proportions and continuous variables as mean and standard deviation (SD) or median and interquartile range (IQR) when their distribution departed from normal.

To compare the results of the standard and the self-screening methods, the intraclass coefficient correlation (ICC) and Kappa Index were estimated for continuous (total and HDL cholesterol, glycated hemoglobin, systolic and diastolic blood pressure, cardiovascular risk) and categorical variables (diabetes), respectively. We also estimated the Kappa Index in a sensitivity analysis, considering glycaemia in the estimate of diabetes prevalence for the standard screening. Additionally, the concordance of lipid measurements and glycated hemoglobin between the ABX-Horiba Pentra autoanalyzer® (standard screening) and the Cobas b101® point-of-care device (self-screening) was measured using the R<sup>2</sup> coefficient of determination and Bland-Altman plots. 18 We estimated the Pearson correlation between the two screening methods and compared the distribution in three cardiovascular risk categories (low <5%, intermediate  $\ge 5\%$  and <10%, and high  $\ge 10\%$ ) with Chi-square tests. We described the intermediate/high-risk individuals identified with each strategy and estimated sensitivity, specificity, and the positive and negative predictive value, likelihood ratios of a positive and a negative test, diagnostic accuracy and odds ratio, Youden Index, and area under the ROC curve at a cut-off point  $\geq 5\%$ . <sup>19</sup>

All the statistical analyses were performed with the R Statistical Package (R Foundation for Statistical Computing, Vienna, Austria; V.3.3.2).

### **Results**

The present study included 937 individuals (52.3% women) with mean age of 50 years (standard deviation=10) (Figure 1) and a response rate of 60%. No sex or age differences were found between participants and nonparticipants. Table 1 summarizes the main characteristics of the participants by sex and screening sequence. The additional variables, not used to estimate the cardiovascular risk, have been described using the same stratification in Supplementary Table 1. The concordance between self-screening and standard measures was high for all single variables studied (ICC ≥0.86), except for glycated hemoglobin in women (ICC=0.72). The ICCs for cardiovascular risk were high in both men and women [0.92 (95% confidence interval: 0.90-0.93) and 0.89 (0.87-0.90), respectively] (Table 2 and Figure 2). The results of the sensitivity analysis using glycaemia for the standard screening yielded similar results (kappa index in men and in women: 0.94 and 0.86, respectively). Supplementary Figures 1-6 show the linear regression plots with the estimated R² and the Bland-Altman plots for total and HDL cholesterol and glycated hemoglobin levels as measured with the Pentra autoanalyzer® and Cobas b101® point-of-care device.

The standard and self-screening methods did not differ significantly in their classification of individuals by risk category (<5%,  $\ge 5$  and <10% and  $\ge 10\%$ ) with a Kappa Index =0.80 (Figure 3).

Self-screening of cardiovascular risk considering a cut-off point ≥5% showed high specificity and negative predictive value in both men and women. The likelihood ratio for a positive test (26.5 in men and 77.3 in women) indicated high probability of increased risk and the likelihood ratio for a negative test showed low probability of increased risk (0.27 and 0.50, respectively). The diagnostic accuracy was also high,

pointing out a marked proportion of correctly classified subjects. In addition, the estimates of diagnostic odds ratio, the Youden Index, and the area under the ROC curve showed a satisfactory discriminative power of the self-screening using the 5% cut-off point (Table 3).

#### **Discussion**

The estimation of cardiovascular risk is acknowledged as the first step in cardiovascular prevention, enabling application of the most efficient preventive strategy in each individual. The innovative self-screening method proposed empowers the individual to measure and record the eight health variables (blood pressure, lipid profile, glycated hemoglobin, age, sex, tobacco consumption, diabetes) required to assess cardiovascular risk, with minimal supervision by a health professional. As results obtained were similar to the gold-standard clinical method, healthy people who do not frequent clinical settings could increase their awareness and avoid preventable cardiovascular disease by conducting their own risk assessment at their convenience.

Empowerment for the prevention of cardiovascular diseases

Population empowerment is considered a key principle of health promotion by the

World Health Organization.<sup>20</sup> This concept emphasizes that all persons have strengths
and providing appropriate resources enhances these strengths. This focus on lifestyle
choices and personal responsibility has the potential to change how healthy people
avoid preventable cardiovascular diseases as well as other chronic diseases and could
indirectly promote choices of healthful activities. Recent reports have identified two
approaches, improvement of individual patient skills and transfer of power and

decision-making authority about interventions to patients, as effective empowerment strategies.<sup>21</sup> The present study aimed to validate an easy procedure to self-collect eight variables of general interest (age, sex, tobacco consumption, diabetes, systolic and diastolic blood pressure, and total and HDL cholesterol) to estimate cardiovascular risk. As in previous studies, lifetime risk increased exponentially after 55 years of age according to the number of cardiovascular risk factors above the recommended levels.<sup>22</sup> Additionally, the combination of such variables in a cardiovascular risk score (e.g., Framingham-REGICOR score validated for the Spanish population) provides valid information about an individual's 10-year cardiovascular risk. 6 General practitioners play an important role in the primary prevention of cardiovascular disease; however, mhealth has the potential to promote patient self-management, as a complement to the doctor's intervention, and encourage greater participation in medical decision making. Our solution could be used together with usual office consultation and remote patient monitoring to implement a healthcare model including personalized healthcare delivery with an early diagnosis and treatment if needed. Although our self-screening method is not the first to be described in the literature, 23,24 its novelty is the self-collection of objective variables (e.g. blood pressure, lipid profile, weight) with validated devices.

# Prevention of cardiovascular disease in healthy individuals

Numerous innovations in health information technology are empowering individuals to assume a more active role in monitoring and managing their health and wellness, as well as their chronic conditions and therapeutic regimens.<sup>25</sup> The effectiveness of self-management in chronic diseases has been analyzed through traditional education programs or, more recently, through mobile apps and other information and communication technologies.<sup>25-27</sup> Regarding the latter, innovative approaches have been

reported for overcoming obesity, <sup>28</sup> encouraging regular physical activity <sup>29</sup> and smoking cessation, <sup>30</sup> control of hypertension <sup>31</sup> and dyslipidemia, <sup>32</sup> and treating diabetes mellitus. <sup>33</sup> However, a major limitation of these innovative technologies is the absence of published evaluations. The present cross-over clinical trial validates a new self-screening system that aims to empower individuals to assess their own cardiovascular risk. This device also could be used to estimate the risk of other chronic diseases such as cancer <sup>34-36</sup> or cognitive decline <sup>37</sup> in which the assessed comorbidities (hypertension, diabetes, obesity, dyslipidemia) play a crucial role. The added value of this validated procedure is the stratification of population risk, as users become aware about their own health and the most efficient strategies for preventing chronic diseases. Self-screening for cardiovascular risk yielded remarkable specificity and negative predictive values compared with the gold standard. In addition, there was high concordance between methods in the estimated cardiovascular risk category.

#### Limitations

Risk estimation is considered the best tool to prioritize primary prevention strategies.<sup>5,8</sup>

Our cross-over clinical trial aimed to validate a new self-screening method in a population-based sample that covered a very wide range of cardiovascular risk.

Participants were randomized to one of two sequences of risk assessment (i.e., the current gold standard followed by self-screening or vice versa) and all four risk measurements (systolic and diastolic blood pressure, total and HDL cholesterol) were collected with both methodologies. Selection bias may affect the present study, but is likely to be modest because it was population-based and participants were not selected on the basis of cardiovascular risk. In addition, the low cardiovascular risk traditionally

observed in Mediterranean women<sup>38</sup> and also reflected in our analysis may explain the low sensitivity of the self-screening (50%) to rule out intermediate or high cardiovascular risk. Despite the availability of a cardiovascular risk score with no laboratory and blood pressure determinations that has been validated for the Spanish population,<sup>39</sup> we preferred to validate the self-screening procedure using the more accurate Framingham-REGICOR cardiovascular risk chart.<sup>6,14</sup> The aim of the study was to assess the validity of a cardiovascular risk self-screening method by comparing the results with a gold standard (current clinical practice). However, we did not test whether the self-screening had an effect on health outcomes. Further randomized clinical trials should be performed to answer this question. Finally, although our aim is to empower individuals to monitor their cardiovascular health, preferably at home, the blood capillary extraction and analysis must be supervised by a healthcare professional. Thus, the present version of the system must be implemented at a centralized location that is readily accessible to the target population (e.g. pharmacies, primary healthcare settings).

# **Future directions**

This self-screening system encourages users to take responsibility for their own health and well-being. Although healthcare professionals are ideally positioned to provide advice and education on risk factors and lifestyle modifications to people living with chronic conditions, a large part of the healthy population seldom visits a healthcare provider. Thus, a validated self-management system based on objective measurements empowers healthy people to avoid preventable cardiovascular and other chronic diseases. Our innovative method makes it possible to expand screening coverage to healthy populations, encouraging personal empowerment and increasing self-awareness

of individual risk. A feedback strategy could be combined with tailored lifestyle recommendations to improve adherence to healthier habits and encourage effective disease prevention strategies.

#### **Conclusions**

The self-screening method for assessing cardiovascular risk provided similar results to the standard method. In addition, this innovative system showed high clinical performance to rule out intermediate or high cardiovascular risk (i.e.  $\geq 5\%$ ).

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#### **Author contributions**

María Barroso: study design, formulation of the research question, drafting and critically revising the paper

Silvia Pérez-Fernández: data analysis, drafting and critically revising the paper

M.Mar Vila: data analysis, drafting and critically revising the paper

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Carmen Cabezas: formulation of the research question and critically revising the paper

Gemma Salvador: formulation of the research question and critically revising the paper

Conxa Castell: formulation of the research question and critically revising the paper

María Grau: study design, formulation of the research question, data analysis, drafting

and critically revising the paper. She is the guarantor of this work and, as such, had full

access to all the data in the study and takes responsibility for the integrity of the data

and the accuracy of the data analysis.

All authors agree to be accountable for all aspects of the work.

**Disclosure** 

Conflict of interest: none declared

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# FIGURE LEGENDS

Figure 1 Flow chart of the participants in the ACRISC Study

Abbreviations: CVR, Cardiovascular risk

**Figure 2** Correlation between standard screening and self-screening measures of cardiovascular risk

**Figure 3** Distribution of participants by cardiovascular risk category according to standard screening and self-screening results. **Abbreviations**: CI, confidence interval

Table 1 Participants characteristics by screening sequence

	Men		Women	
	Sequence A	Sequence B	Sequence A	Sequence B
	(n=225)	(n=222)	(n=248)	(n=242)
Age, mean (SD)	49 (10)	50 (10)	50 (10)	51 (11)
Educational level, n (%)				
Primary school	45 (20.2)	53 (24.0)	56 (22.7)	51 (21.2)
High school	102 (45.7)	93 (42.1)	94 (38.1)	101 (41.9)
University	76 (34.1)	73 (33.0)	96 (38.9)	84 (34.9)
Smoking status, n (%)				
Former smoker	86 (38.2)	79 (35.6)	70 (28.2)	71 (29.3)
Smoker	62 (27.6)	55 (24.8)	43 (17.3)	48 (19.8)
Systolic blood pressure (mmHg), mean (SD)	118 (16)	118 (15)	105 (15)	107 (16)
Diastolic blood pressure (mmHg), mean (SD)	78 (10)	78 (10)	71 (11)	71 (10)
Total cholesterol (mg/dl), mean (SD)	211 (37)	209 (40)	209 (39)	207 (36)
HDL cholesterol (mg/dl), mean (SD)	51 (11)	50 (11)	60 (14)	60 (13)
Diabetes, n (%)	19 (8.4)	24 (10.8)	9 (3.6)	13 (5.4)
Glycated hemoglobin (%), mean (SD)	5.5 (0.6)	5.6 (0.8)	5.5 (0.4)	5.5 (0.4)
Body mass index, mean (SD)	27.1 (4.2)	27.2 (4.1)	26.0 (5.0)	25.9 (4.6)

Abbreviations: IQR, Interquartile range; SD, Standard deviation

Table 2 Concordance between self-screening and standard measures needed to estimate cardiovascular risk, stratified by sex

	Men		Women			
	Standard	Self-screening	Concordance	Standard screening	Self-screening	Concordance
	screening	(n=447)	[95% CI]	(n=490)	(n=490)	[95% CI]
	(n=447)					
Systolic blood pressure (mmHg), mean (SD)	118 (15)	118 (15)	0.86	106 (16)	106 (16)	0.86
			[0.83-0.88]*			[0.83-0.88]*
Diastolic blood pressure (mmHg), mean (SD)	78 (10)	78 (10)	0.88	71 (10)	73 (11)	0.87
			[0.86-0.90]*			[0.84-0.89]*
Total cholesterol (mg/dl), mean (SD)	210 (38)	200 (35)	0.86	208 (37)	199 (34)	0.90
			[0.69-0.92]*			[0.74-0.95]*
HDL cholesterol (mg/dl), mean (SD)	51 (11)	52 (13)	0.91	60 (13)	64 (15)	0.90
			[0.88-0.93]*			[0.76-0.95]*
Glycated hemoglobin, mean (SD)	5.6 (0.7)	5.7 (0.8)	0.91	5.5 (0.4)	5.6 (0.5)	0.72
			[0.89-0.93]*			[0.67-0.76]*
Diabetes, n (%)	43 (9.6)	44 (9.8)	$0.94^{\dagger}$	22 (4.5%)	26 (5.3%)	$0.87^{\dagger}$
Cardiovascular risk, median [IQR]	2.56	2.25	0.92	1.14	1.10	0.89
	[1.42-4.35]	[1.28-4.07]	[0.90-0.93]*	[0.61-2.10]	[0.56-2.00]	[0.87-0.90]*

Abbreviations: CI, Confidence interval; HDL, High-density lipoprotein; IQR, Interquartile range; SD, Standard deviation

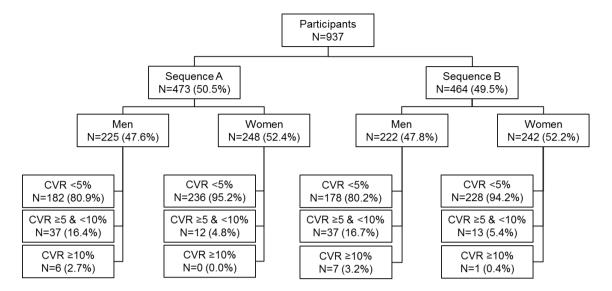
<sup>\*</sup>Intraclass coefficient correlation; †Kappa Index

**Table 3** Sensitivity, specificity, positive and negative predictive values, diagnostic accuracy and odds, Youden's Index and area under the ROC curve of self-screening compared with standard screening, stratified by sex

	Self-screening of cardiovascular risk ≥5%					
		Men	Women			
	Estimation	95% confidence	Estimation	95% confidence		
		interval		interval		
Observed prevalence	0.19	0.16-0.23	0.05	0.03-0.08		
Estimated prevalence	0.17	0.13-0.20	0.03	0.02-0.05		
Sensitivity, %	0.74	0.63-0.82	0.50	0.30-0.70		
Specificity, %	0.97	0.95-0.99	0.99	0.98-1.00		
Positive predictive value, %	0.86	0.77-0.93	0.81	0.54-0.96		
Negative predictive value, %	0.94	0.91-0.96	0.97	0.95-0.99		
Likelihood ratio of a positive test	26.5	14.2-49.4	77.3	23.5-254.6		
Likelihood ratio of a negative test	0.27	0.19-0.39	0.50	0.34-0.74		
Diagnostic accuracy	0.93	0.90-0.95	0.97	0.95-0.98		
Diagnostic odds ratio	97.4	44.3-214.3	153.7	39.0-605.4		
Youden's Index	0.71	0.58-0.81	0.49	0.28-0.70		
Area under the ROC curve	0.85	0.81-0.90	0.75	0.65-0.84		

ROC. Receiver Operator Characteristics

Figure 1.



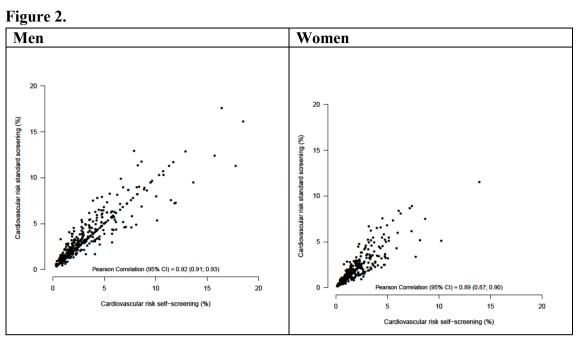


Figure 3.

