

1 Strategies for targeting BAT thermogenesis

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20 **Abstract**

21 Modern imaging techniques have confirmed the presence of thermogenically active
22 brown adipose tissue (BAT) in adult humans, leading to suggestions that it could be
23 stimulated to treat obesity and its associated morbidities. The mechanisms regulating
24 thermogenesis in BAT are better understood than ever before, with new hypotheses
25 for increasing the amount of brown fat or its activity being put forward on a weekly
26 basis. The challenge now is to identify safe ways to manipulate specific aspects of the
27 physiological regulation of thermogenesis, in a manner that will be bioenergetically
28 effective. This review outlines the nature of these regulatory mechanisms both terms
29 of their cellular specificity and likely effectiveness given the physiological paradigms
30 in which thermogenesis is activated. Similarly, their potential for being targeted by
31 new or existing drugs is discussed, drawing on the known mechanisms of action of
32 various pharmacological agents and some likely limitations that should be considered.

33

34 **Introduction**

35 By no means is brown adipose tissue (BAT) a novel discovery; the “hibernating gland”
36 was first described in rodents by the Swiss naturalist Conrad Gessner as early as
37 1551¹. In more recent times throughout the 20th Century it has been the focus of
38 multiple waves of scientific study, each of which waned as BAT’s relevance to adult
39 humans was called into question, largely due to limitations in our ability to measure
40 its presence or activity. The recent resurgence in interest stems from data provided by
41 modern PET-CT imaging studies firmly demonstrating that healthy adults do indeed
42 possess significant depots of BAT²⁻⁵. Moreover, amounts of detectable, active BAT
43 are inversely correlated with age, BMI, fat mass and insulin sensitivity⁶. At the same
44 time the increased availability of genetically modified murine models has shone more

45 light than ever before on the endogenous mechanisms that exist to regulate BAT
46 function ⁷. Attention has now returned to how we might exploit this tissue more
47 effectively and whether any of these regulatory systems lend themselves to
48 pharmacological or therapeutic intervention. It is posited that increasing the
49 prevalence and activity of BAT might be an effective strategy to treat conditions such
50 as obesity, diabetes and cardiovascular disease, via increased energy expenditure, the
51 removal of toxic lipid species and a direct reduction in the demand for insulin
52 production. This review will focus on the latest knowledge surrounding BAT
53 regulation and highlight potential approaches to target thermogenesis safely and
54 effectively. It will also comment on situations where dysregulation of BAT may
55 contribute to certain metabolic diseases.

56

57 **The master regulators of BAT, lest we forget**

58 While the views towards BAT's prevalence and relevance have changed dramatically
59 over recent decades one fact has remained widely undisputed. BAT is under the direct
60 regulation of the sympathetic nervous system, the tone of which to BAT is increased
61 by exposure to a cold environment or in response to increased caloric intake.
62 Subsequent activation of various isoforms of the β -adrenergic receptors by
63 noradrenaline to induce both the thermogenic activity of existing brown adipocytes
64 and the recruitment of new cells to BAT depots is therefore essential for heat
65 production ⁸. Similarly, intact thyroid hormone signalling is crucial for thermogenesis
66 in BAT, signified by two key observations. Circulating thyroid hormone and BAT
67 expression levels of the thyroid hormone activating enzyme deiodinase II (DioII) are
68 strongly increased in situations of increased thermogenesis and genetic ablation of
69 *DioII* in BAT renders animals incapable of adaptive thermogenesis ⁹. Therefore when

70 considering strategies to increase BAT thermogenesis we should be mindful of the
71 necessity to coincidentally increase or at least maintain tonic levels of BAT activation
72 by these endogenous regulatory mechanisms. Failure to do so will likely negate the
73 efficacy of approaches targeting more specific aspects of brown adipocyte biology.

74

75 **Increasing the amount of BAT**

76 To understand how to increase the amount of BAT, we must first learn where it comes
77 from. In mouse models, cell lineage tracing has shown fairly conclusively that in
78 development brown adipocytes arise from an origin distinctly different to that of
79 white adipocytes. Brown adipocytes arise from a cell lineage expressing the myogenic
80 gene *Myf5*, which are also able to differentiate into skeletal muscle cells ¹⁰. In BAT
81 the induction of key transcription factors leads to repression of myogenic gene
82 expression and facilitates brown fat determination. In the last 5 years a number of
83 these factors, PR domain contain 16 (PRDM16), Sirtuin 1 (SIRT1) and the signals
84 that can induce them, such as bone morphogenetic protein 7 (BMP7) have begun to be
85 identified ¹⁰⁻¹². Despite their different origins, all adipose tissues are dependant on
86 certain fundamental transcriptional regulators to drive preadipocyte cells towards
87 mature adipocytes, namely peroxisome proliferator-activated receptor-gamma
88 (PPAR γ) and CCAAT/enhancer-binding proteins (C/EBPs) ¹³. Expression of just
89 C/EBP β , the C/EBP more highly expressed in BAT, and PRDM16 in either human or
90 mouse skin fibroblasts is sufficient to drive these precursors to differentiate into cells
91 displaying the key characteristics of brown adipocytes (expression of uncoupling
92 protein 1, lipid accumulation, responsiveness to cAMP). What is more, when
93 transplanted back into mice these genetically modified fibroblasts formed an ectopic

94 fat pad that appeared and behaved similarly to BAT, taking up labelled glucose to
95 give a positive PET-CT signal ¹⁴.

96

97 Obviously there are important differences between mice and men, a crucial one being
98 our perception of our environmental temperature. In the aforementioned study by
99 Kajimura *et al.* the modified BAT-recipient mice were housed at room temperature
100 (20 °C – 23 °C), a sub-thermoneutral temperature for animals of their size.
101 Thermogenic demand would have thus been reasonably high such that they were
102 driven to activate their additional pool of new BAT. The same temperature requires
103 no additional heat productions for humans to maintain their core temperature and
104 therefore very little sympathetic activation of thermogenesis. Given the importance of
105 adrenergic tone to BAT it is likely that a similar study in humans would have simply
106 seen the extra thermogenic capacity of the transplanted cells largely or even
107 completely unutilised. That said, the study does demonstrate that population of
108 modified adipocytes, under the right environmental setting can engraft and acquire
109 adequate vascularisation and innervation to become metabolically active to a
110 significant degree. Also, whilst GM-cell transplants are currently some way off use in
111 humans they do provide a potential future approach to avoid deleterious off-target
112 effects, as to date very little is known about the effects of factors such as PRDM16 in
113 non-adipose tissues.

114

115 **Increasing the number of “beige” adipocytes**

116 When mice are exposed to colder environmental temperatures and adaptive
117 thermogenesis is activated, increased numbers of “brown-like” or “beige” adipocytes
118 appear in traditional white adipose tissue depots. These cells are unique in the sense

119 that they express all of the thermogenic machinery but not Myf5, indicating they arise
120 from a different developmental origin to canonical BAT¹⁵. The same effect is seen in
121 animals treated with agonists of PPAR γ ¹⁶ and interest in these cells has grown for a
122 two key reasons. First, strains of mice that have a higher propensity for “browning” of
123 their white fat show a greater degree of protection from diet-induced obesity when
124 treated with β 3-adrenergic receptor agonists¹⁷ (this is the main receptor isoform
125 regulating thermogenic activity in BAT); and second, it has recently been suggested
126 that the gene expression profile of adult human BAT bears greater resemblance to that
127 of beige adipocytes than murine brown adipocytes found in traditional interscapular
128 depots¹⁸. This finding is hugely encouraging, as traditional BAT depots decline
129 rapidly in childhood and into adolescence, leaving only small residual repositories of
130 thermogenically active tissue by post-pubertal ages¹⁹. In adult mice beige adipocytes
131 require active induction and recruitment under specific environmental conditions,
132 therefore these human observations at very least suggest that such “browning”
133 mechanisms might remain intact and effective at maintaining a population of
134 thermogenic cells well into later life.

135

136 When seeking to increase the pool of beige adipocytes in white adipose tissue (WAT)
137 we may only have to look as far as skeletal muscle. It had been observed that exercise
138 was sufficient to increase the expression of thermogenic genes in WAT whilst at the
139 same time protecting mice from the deleterious effects of a high fat diet²⁰.
140 Subsequently an exercise-induced myokine, namely irisin, has been characterised
141 with the ability to induce a thermogenic program of gene expression in white adipose
142 tissue, increase energy expenditure and reduce rates of weight gain in mice²¹. At
143 present this finding should encourage people of the multitude of benefits resulting

144 from increased exercise. However, before irisin is hailed as “magic switch” for
145 turning white fat brown, a couple of important points must be addressed. At present
146 the irisin receptor remains elusive and the effects of irisin in other crucial organs have
147 not been examined. A molecule that integrates increased muscle and thermogenic
148 function may also be expected to act on the heart to meet the extra requirements of
149 oxygen provision. In obese individuals already carrying an increased cardiovascular
150 burden, such effects may not be well tolerated.

151

152 Other avenues for increasing beige adipocyte content do exist. MicroRNA-133
153 (miRNA-133) is highly expressed in skeletal muscle and is heavily downregulated in
154 brown and white adipose depots in response to cold-exposure in mice. Further studies
155 show that miRNA-133 directly targets PRDM16 to repress its browning effects in
156 adipose tissue and that inhibition of miRNA in BAT or WAT precursor cells allows
157 increased expression of thermogenic genes and increased oxidative metabolism ²².
158 Given that pharmacological strategies that suppress individual miRNAs have already
159 been tested with some success in humans to treat hepatitis ²³, this strategy may also
160 show promise for BAT-centric, anti-obesity therapy. As always there is air for caution.
161 miRNA-133 has recently been identified as an important tumour-suppressor ²⁴, while
162 its downregulation associates with cardiac hypertrophy and infarction ^{25,26}, perhaps
163 making global pharmacological repression of its expression less than favourable.

164

165 **Increasing BAT activity**

166 As alluded to earlier in this review, it is likely of limited benefit to provide an
167 additional pool of thermogenic cells to an individual unless the physiological setting
168 is such that they will receive at least a minimal level of stimulation from the

169 sympathetic nervous system. Similarly, the efficacy of any BAT-expanding approach
170 is likely to be enhanced by parallel strategies to enhance adrenergic stimulation. To
171 this end bioactive food ingredients such as methylxantines (caffeine or theophylline),
172 ephedrine, polyphenols (catechins, resveratrol, quercetin, kaempferol) and certain
173 fatty acids or drugs such as sibutramine, which increase or maintain sympathetic
174 nervous system activation, are effective at increasing energy expenditure and
175 lowering body weight²⁷⁻²⁹. However, the fact that the effects of sibutramine and other
176 sympathomimetics cannot be targeted to a specific tissue has rendered them unsafe for
177 use in humans³⁰.

178

179 Increasingly researchers are attempting to define more specific physiological
180 mechanisms that regulate the response of BAT to endogenous levels of sympathetic
181 stimulation, with some success. An increasing number of cytokines and hormones
182 have been shown to have positive effects on the thermogenic activity of BAT and
183 perhaps most interestingly, none of them appear to act via the adrenergic receptors
184 directly but instead through more specific aspects of brown adipocyte biology.

185

186 FGF (fibroblast growth factor) 21 is secreted predominantly by the liver, where levels
187 rise during fasting, feeding a ketogenic diet or after amino acid deprivation; but also
188 by other tissues such as WAT, BAT, skeletal muscle and pancreatic β -cells following
189 different metabolic signals^{31, 32}. FGF21 signals to BAT to increase expression of
190 thermogenic genes and enhance its heat-producing capacity and regulates the
191 browning of white adipose tissue through PGC1 α signalling³³⁻³⁵. In line with this,
192 treatment of obese mice with FGF21 is sufficient to reduce bodyweight by 20%,
193 almost entirely due to increased energy expenditure³⁶. Paradoxically, circulating

194 levels of FGF21 increase in obesity in humans. However further investigations reveal
195 that the same is true in mice and that treatment of obese mice with additional FGF21
196 brings about markedly reduced activation of FGF21 targets, such as ERK1/2. In light
197 of this, obesity has been suggested to constitute a state of FGF21 resistance, which
198 may go some way to contribute to obesity-associated metabolic complications and
199 perpetuate a state of positive energy balance ³⁷.

200

201 Although the intracellular pathways activated by FGF21 in BAT are unclear its
202 membrane interaction is well characterised. FGF21 signals through traditional FGF
203 receptors conjugated to the membrane protein β Klotho ³⁸, which may offer
204 opportunities to target the thermogenic properties of FGF21 more selectively of other
205 growth factor-activated pathways.

206

207 We have recently characterised bone morphogenetic protein 8b (BMP8B) as another
208 thermogenically regulated protein, enriched in mature brown adipocytes in line with
209 their level of thermogenic activity ³⁹. BMP8B is a secretory peptide that acts in an
210 autocrine/paracrine manner to increase the thermogenic capacity of BAT by
211 enhancing the intracellular response to adrenergic stimulation. Mice that lack BMP8B
212 have lower core body temperatures, reduced thermogenic capacity and are
213 significantly more susceptible to diet-induced obesity due to a reduction in their
214 metabolic rate. Any ability to modulate adrenergic sensitivity in a tissue specific
215 manner is likely to be of great potential benefit for the treatment of a range of
216 conditions in addition to obesity, such as hypertension and various forms of fibrotic
217 liver disease. Whilst the precise mechanisms of BMP8b remains unclear, its distinct
218 expression profile of and the high degree of cellular specificity in the BMP receptor

219 system, recently reviewed by Sieber et al ⁴⁰, make it a promising future target for
220 activating BAT independently of other sympathetically regulated organs.

221

222 Of perhaps even greater interest is the fact that a significant portion of the
223 thermogenic effect of BMP8B can likely be attributed to its central role. ICV
224 treatment with BMP8b results in an immediate increase in sympathetic tone to BAT
225 and this effect is dependant on the level of AMP-activated protein kinase (AMPK) in
226 the ventromedial nucleus of the hypothalamus, a well defined regulatory node for
227 thermogenic activation ⁴¹. Whilst the global role of AMPK in cellular bioenergetics
228 would appear to make it a difficult target when aiming to selectively activate BAT,
229 these findings to highlight that there are discrete nuclei within the central circuits
230 regulating BAT that might one day be amenable to therapeutic manipulation. The fact
231 that BMP8B may be an endogenous activator of such nuclei makes dissecting its
232 mechanism of action all the more important. Already it has been shown that blockade
233 of an individual BMP receptor, Activin Receptor IIB, induces thermogenesis and
234 brown adipogenesis ⁴².

235

236 **Targeting central regulation of BAT**

237 Our understanding of the central control of thermogenesis has been expanded vastly
238 in the last decade. This is thanks largely to detailed electrophysiological investigations
239 of specific neuronal population by Morrison and Madden, which are the focus of
240 recent review ⁴³. In summary, classical cold-activated thermogenesis is brought about
241 when cold sensitive temperature receptors in the skin signal to the pre-optic area of
242 the hypothalamus (POA). Activation of these GABA-ergic neurons disinhibits
243 neurons of the dorsomedial hypothalamus (DMH), which are themselves suppressed

244 by signals from warm-sensitive temperature receptors. Subsequently projections from
245 the DMH activate sympathetic pre-motor neurons in the rostral raphe pallidus of the
246 hindbrain (rRPA), which signal to BAT to increase thermogenesis. Certain
247 nutritionally-derived molecules are known to act as agonists for various isoforms of
248 these temperature receptors, offering a means to activate the endogenous machinery at
249 the most upstream point.

250

251 Capsaicin, the compound found in hot chilli, acts a ligand for the transient receptor
252 potential cation channel subfamily V member 1 (TRPV1). This receptor is highly
253 expressed in sensory neurons and is stimulated by intense heat ⁴⁴. Seemingly
254 paradoxically, treatment of rats with capsaicin is known to actually increase activation
255 of thermogenesis ⁴⁵ and more recently capsaicin has been shown to cause similar
256 effects in humans ⁴⁶. Whilst this raises the possibility of nutritionally-mediated
257 increases to energy expenditure to treat obesity the studies performed to date have
258 only been conducted in the acute setting. The rat studies indicate some level of
259 protection from diet induced obesity but it should be noted that animals of this size
260 already have good levels of thermogenic activation, and indeed the capsaicin had no
261 effects in BAT-negative individuals.

262

263 Menthol is also able to stimulate thermogenesis through a separate temperature
264 receptor, TRP subfamily M member 8 (TRPM8). TRPM8 is also expressed in the
265 sensory and central nervous system, as well as on brown adipocytes themselves ⁴⁷.
266 Menthol treatment of mice is also effective at protecting them from diet-induced
267 obesity through increased thermogenesis, but a portion of this effect can be attributed
268 to direct effects on BAT itself. TRPM8 treatment of brown adipocytes increases

269 expression of UCP1 via a mechanism not hugely dissimilar to BMP8B, whereby the
270 phosphorylation status of key intracellular kinases, in this case PKA, is also increased.
271 It should still be considered however that activation of traditional cold-induced
272 thermogenesis is known to have a potent positive effect on food intake ⁸, potentially
273 limiting its long terms effects on energy balance in humans.

274

275 **Additional central effectors of BAT thermogenesis and the link with nutrition**

276 In addition to cold, increasing caloric intake via western or high fat diets also
277 increases heat production in BAT, via a process termed diet-induced thermogenesis ⁴⁸.
278 As yet the central mechanisms driving this phenomenon is unknown, but there is an
279 ever increasing number of neuroactive molecules that could be involved in feeding-
280 related changes to sympathetic outflow to BAT. Such mechanisms are of interest for
281 two reasons. First, in situation where food intake is the signal for activating
282 thermogenesis, it is unlikely further food intake would be stimulated as is the case in
283 cold-stimulated thermogenesis. Therefore mimicking these signals may be more
284 efficacious for weight loss. Second understanding the interaction between
285 thermogenesis and feeding may open the door for inhibiting compensatory food
286 intake when pharmacologically mimicking cold-stimulated thermogenesis.

287 Appetite-regulating molecules released by the digestive system (such as ghrelin and
288 calcitonin gene-related peptide) have documented effects on BAT activity ⁴⁹⁻⁵¹.
289 Equally, changes in nutrient availability might be directly sensed by the brain.
290 Specific hypothalamic neurons including orexin/hypocretin neurons are sensitive to
291 nutrients (including glucose, amino acids, and fatty acids), and glucose and lipid
292 species can act centrally to activate thermogenesis ^{52,53}.

293

294 The inclusion of the hypocretin system and also the dopaminergic and serotonergic
295 systems⁵⁴ in the list of central BAT-regulatory mechanisms suggests that there may
296 also be links between thermogenesis and arousal status, reward and stress. Further
297 evidence for a link between more complex behavioural traits and thermogenesis may
298 be inferred from the observation that in sufferers of anorexia, treatment to induce
299 weight gain is often hindered by inappropriately high levels of feeding-induced
300 thermogenesis⁵⁵.

301

302 **Antipsychotics, body weight and BAT**

303 It is well documented that certain atypical antipsychotics lead to increased weight
304 gain in both humans and rodents⁵⁶. Closer examination of the known mechanisms of
305 action of these drugs can shed light on a potential link to altered thermogenesis in
306 BAT. Schizophrenia is largely characterised as a state of dopamine receptor
307 supersensitivity and indeed the efficacy of different antipsychotics correlates with
308 their ability to bind and antagonise dopamine D₂ receptors⁵⁷. Olanzapine for example
309 is a D₂ antagonist which causes weight gain but importantly it is a far more potent
310 inhibitor of serotonergic signaling through its antagonism of various 5-HT receptor
311 isoforms⁵⁸. Recently it has been shown that chemical inhibition of these same
312 receptor subtypes has a dramatic effect of body temperature, significantly reducing it
313 by blocking nervous stimulation of BAT⁵⁹.

314

315 In this sense one might envisage that selective serotonin reuptake inhibitors (SSRIs)
316 could help to induce the opposite effects. Indeed early studies in humans did indicate
317 weight loss in patients taking SSRIs for relatively short periods, but this was
318 apparently driven largely by reduced food intake⁶⁰. Sibutramine on the other hand,

319 which is also a noradrenaline reuptake inhibitor, does have a positive effect on energy
320 expenditure and BAT activity in humans ⁶¹. Taken together these finds suggest that
321 serotonin acts further downstream in thermogenic signalling in coordination with
322 norepinephrine and that at a hypothalamic level its modulation has more potent effects
323 on appetite. It also highlights the inherent complexity of the multiple levels of
324 interaction between energy expenditure and intake.

325

326 **Increasing the contribution of muscle to thermogenesis**

327 Like BAT, skeletal muscle is well innervated and responds positively to noradrenaline
328 levels through β 3- and β 2-adrenergic receptors. In skeletal muscle, heat production
329 occurs through shivering and non-shivering mechanisms. As shivering is a
330 mechanical muscle response and cannot be sustained for long time the main target
331 processes to sustain prolonged thermogenesis are: mitochondrial uncoupling (as in
332 BAT), futile calcium cycling, and fatty acid/triglyceride cycling that has been shown
333 to be increased following mild-cold exposure ^{62,63}.

334

335 Whilst the functional capacity for myocytes to generate heat through uncoupling is
336 still a matter of debate, an adipocyte progenitor population in human skeletal muscle
337 tissue has been described with a high potential for inducing UCP-1 expression. It has
338 been suggested that these cells explain the small amount of UCP1 mRNA often
339 detected in adult human skeletal muscle and that under the relevant conditions they
340 could contribute significantly to energy expenditure. In this instance, part of the heat
341 generated by uncoupling in skeletal muscle may be due to this quiescent brown cell
342 population ⁶⁴, which may be amenable to enhancement by the same strategies
343 designed to target BAT directly.

344

345 Futile calcium cycling has also been suggested as a potential mechanism to increase
346 skeletal muscle heat production. Here, calcium (Ca^{2+}) released into the cytoplasm is
347 recovered to the sarcoplasmic reticulum by SERCA proteins (sarco/endoplasmic
348 reticulum Ca^{2+} -ATPase), which use ATP. In some cases, as in human malignant
349 hyperthermia, there is an uncontrolled leakage of Ca^{2+} that stimulates calcium cycling
350 without muscle contraction, producing heat ⁶⁵. In line with this cold-exposure induces
351 expression and activity of SERCA, and in UCP1 deficient mice leptin-induced
352 thermogenesis increases *Serca-2a* expression to maintain core body temperature ^{66,67}.

353

354 More recently, Sarcolipin has been implicated in skeletal muscle thermogenesis by its
355 role controlling calcium cycling through a direct interaction with SERCA. Sarcolipin
356 deficient mice are more sensitive to cold-exposure, and to a high fat diet (having
357 increased adiposity and glucose intolerant). In terms of adaptive thermogenesis the
358 Sarcolipin-SERCA interaction might be exploited to enhance nonshivering heat
359 production in animals with reduced (humans) or non-functional BAT (pigs) activity ⁶⁸,
360 ⁶⁹. Although more studies need to be done to evaluate the impact of Sarcolipin-
361 SERCA modulation in human skeletal muscle and heart, this mechanism may open
362 new targets to increase thermogenesis in skeletal muscle by modulating Ca^{2+} cycling.

363

364 **Summary**

365 There are a constantly expanding number of regulatory nodes and pathways that
366 integrate BAT function with global physiological changes to ensure its tight
367 regulation. This is to be expected, as the capacity for BAT to “waste” energy is huge.
368 As we learn more about the endogenous molecules and neuronal systems that control

369 thermogenesis, we are beginning to identify key points that might be amenable to
370 manipulation and thus allow us to disconnect BAT from the tight leash on which it is
371 kept. It may also be true that metabolic changes that occur in certain disease states are
372 disproportionately inhibiting thermogenesis. In either situation identifying the
373 molecular pathways that bring about changes to BAT function is likely to offer
374 therapeutic opportunities. There are already suggestions that increasing levels of key
375 endogenous molecules, such as irisin and FGF21, might be metabolically beneficial in
376 obese states and there is no shortage of receptors to hunt for that likely bring about the
377 thermogenic effects of some of the other molecules described in this review.

378

379 Key to the medicinal utilisation of BAT thermogenesis, as with any intervention, will
380 be specificity and efficacy. Thankfully it seems that the unique qualities of brown
381 adipocytes bring with them some unique regulatory systems, which may help address
382 the former of the problems. More difficult will be overcoming the complex central
383 regulatory mechanisms that sense heat production and modulate sympathetic nervous
384 stimulation of BAT accordingly. Still it seems that even in the heterogeneity of neural
385 networks there may lie key nuclei that integrate information on temperature and
386 energy availability that might be specifically targeted to alter their level of control
387 over BAT activation. What is almost certain, is that approaches mindful of the
388 multiple levels of regulation of thermogenesis in BAT are likely to be the most
389 effective.

390

391 **The authors state that they have no conflicting interests**

392

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