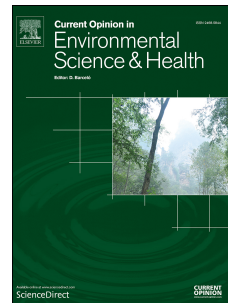


Journal Pre-proof

Looking for a needle in a haystack. SARS-CoV-2 variant characterization in sewage

Marta Itarte, Sílvia Bofill-Mas, Sandra Martínez-Puchol, Helena Torrell, Adrià Ceretó, Marina Carrasco, Eva Forés, Núria Canela, Rosina Girones, Marta Rusiñol



PII: S2468-5844(21)00080-5

DOI: <https://doi.org/10.1016/j.coesh.2021.100308>

Reference: COESH 100308

To appear in: *Current Opinion in Environmental Science & Health*

Received Date: 2 August 2021

Revised Date: 22 October 2021

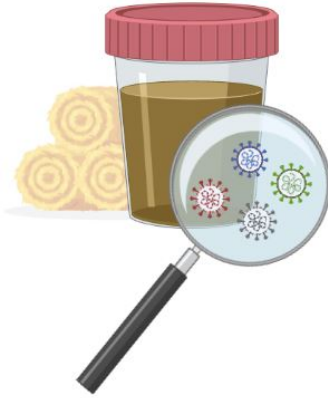
Accepted Date: 26 October 2021

Please cite this article as: Itarte M, Bofill-Mas S, Martínez-Puchol S, Torrell H, Ceretó A, Carrasco M, Forés E, Canela N, Girones R, Rusiñol M, Looking for a needle in a haystack. SARS-CoV-2 variant characterization in sewage, *Current Opinion in Environmental Science & Health*, <https://doi.org/10.1016/j.coesh.2021.100308>.

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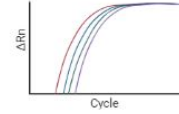
Community surveillance of SARS-CoV-2 variants



RT-qPCR



Amplification curve



Quantitative and sensitive detection of a specific variant

RT-ddPCR

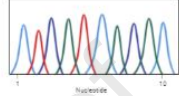


Quantitative and more sensitive detection of a specific variant

RT-nPCR



Sanger sequencing



Detection and characterization of the predominant variant in wastewater

NGS



Characterization of variant diversity in wastewater

Journal Pre-proof

1 **Looking for a needle in a haystack.**

2 **SARS-CoV-2 variant characterization in sewage.**

3 Marta Itarte^{1,2}, Silvia Bofill-Mas^{1,2}, Sandra Martínez-Puchol^{1,2}, Helena Torrell³, Adrià
4 Ceretó³, Marina Carrasco¹, Eva Forés^{1,2}, Núria Canela³, Rosina Girones^{1,2}, Marta
5 Rusiñol⁴.

6

7 1. Laboratory of Viruses Contaminants of Water and Food; Genetics, Microbiology &
8 Statistics Department at the University of Barcelona (UB). Barcelona, Catalonia, Spain.

9 2. The Water Research Institute (IdRA), Universitat de Barcelona. Barcelona, Catalonia,
10 Spain.

11 3. Eurecat, Centre Tecnològic de Catalunya, Centre for Omic Sciences (COS), Joint Unit
12 Universitat Rovira i Virgili-EURECAT, Unique Scientific and Technical Infrastructures
13 (ICTS), Reus, Spain.

14 4. Institute of Environmental Assessment & Water Research (IDAEA), CSIC. Barcelona,
15 Catalonia, Spain.

16

17 Corresponding author: (marta.rosinol@idaea.csic.es)

18

19 **Abstract**

20 SARS-CoV-2 variants are emerging worldwide and monitoring them is key in providing
21 early warnings. Here, we summarize the different analytical approaches currently used
22 to study the dissemination of SARS-CoV-2 variants in wastewater and discuss their
23 advantages and disadvantages. We also provide preliminary results of two sensitive and
24 cost-effective approaches: variant-specific reverse transcription-nested PCR assays and
25 a non-variant-specific amplicon deep sequencing strategy that targets three key regions
26 of the viral spike protein. Next-generation sequencing approaches enable the
27 simultaneous detection of signature mutations of different variants of concern in a
28 single assay and may be the best option to explore the real picture at a particular time.
29 Targeted PCR approaches focused on specific signature mutations will need continuous
30 updating, but are sensitive and cost-effective.

31

32 **Keywords:** SARS-CoV-2, variants of concern (VOCs), variants of interest (VOIs), signature
33 mutations, wastewater-based epidemiology (WBE), next-generation sequencing (NGS)

34

35

36 **Introduction**

37 Wastewater surveillance for SARS-CoV-2 has proved to be useful in monitoring the
38 evolution of the COVID-19 pandemic. However, new emerging variants are posing new
39 challenges. The SARS-CoV-2 variants α , β , γ and δ (also known as lineages B.1.1.7,
40 B.1.351, P.1 and B.1.617.2, respectively) were first detected in the United Kingdom,
41 South Africa, Brazil and India, respectively, and were immediately considered to be
42 variants of concern (VOCs). Such variants, which have been associated with the
43 fluctuations seen with the pandemic waves, possess mutations that affect viral
44 infectivity and antigenicity. These mutations are mainly located in the gene encoding
45 the viral spike (S) protein. In particular, mutations leading to the E484K and N501Y
46 substitutions within the receptor-binding domain of the S protein have been
47 demonstrated to give the S protein a greater affinity for the human ACE2 receptor
48 (Harvey et al., 2021). The commonly applied PCR methods used to quantify the
49 concentration of the virus in environmental samples use specific primers and probes
50 targeting the nucleocapsid (N), envelope (E) or RNA-dependent RNA polymerase (RdRp)

51 regions. However, as stated above, the VOCs and the new variants of interest (VOIs)
52 have most of their signature mutations within the S gene. Figure 1 summarizes the
53 signature mutations identified in each VOC and VOI.

54 Although the combination of genome sequence analysis of samples from COVID-19
55 patients with epidemiological datasets has produced reliable assessments of the extent
56 of SARS-CoV-2 transmission in the community (Oude Munnink et al., 2020), the time lag
57 between infection and symptoms and the future decrease in sequencing will add further
58 delays compared to the expected immediacy of the results from wastewater
59 surveillance. At the beginning of October 2020, several new SARS-CoV-2 variants started
60 to circulate globally (CDC, 2021). At that moment, the minimum number of clinical
61 samples that had to be sequenced to find the α variant was 400, assuming that only 5%
62 of the positive clinical samples had been sequenced and that the prevalence of this VOC
63 in the population was 5% (Martin et al., 2020). Thus, the analysis of SARS-CoV-2
64 genomes sequenced from clinical samples is limited to the fraction of the clinical
65 samples subjected to whole-genome sequencing.

66 Monitoring the circulation of variants in wastewater has its caveats when dealing with
67 mixtures of variants and/or the presence of inhibitors. Although the environmental
68 surveillance of other epidemic viruses (like noroviruses) have been observed to be
69 sensitive in detecting variants (Kazama et al., 2017), the consensus sequences obtained
70 from wastewater samples might lead to artificial genomes that do not represent an
71 existing virus. However, SNPs can be linked to particular variant clusters or clades and
72 give information about SARS-CoV-2 variants circulating in a region (Izquierdo-Lara et al.,
73 2021). Thus, the study of the viral RNA sequences found in wastewater is important to
74 understand viral transmission patterns and to establish an alert system for new SARS-
75 CoV-2 variants.

76

77 **Recent trends in studies on SARS-CoV-2 variants in wastewater samples**

78 A recently published study using the EU Sewage Sentinel System for SARS-CoV-2
79 provided an extensive report of “The HERA Incubator” (European Commission, 2021),
80 with next-generation sequencing (NGS) information about the diversity of SARS-CoV-2
81 variants and their associated mutations at the community level. It determined the
82 relative abundance of each VOC based on the abundance of reads associated with

83 certain amino acid mutations (Gawlik et al., 2021). The categorization of the mutations
84 as unique or shared was based on the percentage of the sequences for associated
85 mutations submitted to GISAID.

86

87 *Quantitative RT-PCR based approaches*

88 New quantitative reverse transcription PCR (RT-qPCR) protocols targeting specific
89 mutations or deletions have been described to differentiate between SARS-CoV-2
90 variants. The first multiplex RT-qPCR assay was published by Vogels et al. (2021), which
91 uses the deletion within the ORF1a gene (that exists in most of the VOCs) and the
92 HV69/70 deletion (present in the α variant) to differentiate this variant from the rest.
93 Other research groups have developed allele-specific RT-qPCRs for the α variant
94 (Carcereny et al., 2021; Graber et al., 2021; Lee et al., 2021; Wurtzer et al., 2021) or
95 multiplex assays for specific S protein mutations (L452R, E484K and N501Y) (Wang et al.,
96 2021). These RT-qPCR strategies can be used when there is already a high prevalence of
97 the VOC in the community, or in other words, when SARS-CoV-2 RNA levels, measured
98 with assays targeting the N gene for example, are high. Using the same basis, reverse
99 transcription droplet digital PCR (RT-ddPCR) is an alternative that might be more
100 sensitive and allows the discrimination of closely related sequences (Heijnen et al., 2021;
101 Ciesielski et al., 2021; Abachin et al., 2017). Heijnen et al. (2021) designed an RT-ddPCR
102 assay using two different probes to discriminate between wild-type sequences and
103 sequences containing the N501Y signature mutation (present in the α , β , γ and θ
104 variants) in wastewater.

105

106 *Amplicon sequencing based approaches*

107 Reverse transcription-nested PCR (RT-nPCR) assays followed by Sanger sequencing
108 and/or NGS analysis have been published for SARS-CoV-2 characterization. In October
109 2020, Martin and collaborators designed an RT-nPCR approach followed by Sanger
110 sequencing and NGS analysis of the amplified products from five different regions of the
111 viral genome, which demonstrated changes in the predominance of the virus variants
112 (Martin et al., 2020). La Rosa and co-workers adopted a similar approach involving
113 conventional Sanger sequencing of the amplicon, but focusing only on key mutations of
114 the S gene, which allowed a rapid screening of the SARS-CoV-2 variants (Rosa et al.,

115 2021). Recently, another group from the UK used two different RT-nPCR assays targeting
116 the RdRP and ORF8b gene regions for diagnostics and two primer sets targeting the S
117 gene regions to discriminate between the α , β and γ variants (Wilton et al., 2021).
118 Sequencing amplicons using NGS, commonly known as amplicon deep sequencing
119 (ADS), has not only been applied to selected parts of the SARS-CoV-2 genome, but also
120 to the whole genome as an informative method for detecting and identifying SARS-CoV-
121 2 variants. Several custom enrichment strategies based on designing primer sets
122 coupled with Illumina-compatible library preparation kits have been used to sequence
123 amplified fragments spanning the whole or near-complete genome of SARS-CoV-2 from
124 environmental samples (Agrawal et al., 2021; Izquierdo-Lara et al., 2021; Ko et al., 2021;
125 Martin et al., 2020; Wilton et al., 2021). Other studies have used the open source ARTIC
126 protocol (Bar-or et al., 2021; Jahn et al., 2021; Pérez-Cataluña et al., 2021). This protocol,
127 released in March 2020 and designed to sequence the virus from clinical samples, uses
128 98 multiplexing PCR primer pairs to amplify the whole genome of the virus (Quick, 2020).
129 Similarly, the commercial AmpliSeq SARS-CoV-2 Research Panel (Thermo Fisher
130 Scientific) consists of two pools with amplicons ranging from 125 bp to 275 bp that
131 covers > 99% of the SARS-CoV-2 genome and are compatible with either Illumina or Ion
132 Torrent sequencing platforms (Agrawal et al., 2021). Another strategy based on NGS is
133 the use of a commercial oligo-capture approach, like the Illumina Respiratory Virus Oligo
134 Panel (Illumina, Inc.) or the VirCapSeq Enrichment Kit (Roche), which are designed to
135 enrich the sequences of human respiratory or vertebrate viruses, respectively, and both
136 have been applied to complex environmental samples prior to massive sequencing
137 (Crits-Christoph et al., 2021, Martínez-Puchol et al., 2021).

138 Based on the findings of available studies, the most abundant single nucleotide
139 variations (SNVs) that have been identified in wastewater to date correspond to the
140 most abundant SNVs in clinical samples (Crits-Christoph et al., 2021). The identification
141 of individual or several signature mutations (Figure 1) located in close proximity to one
142 another within the sample amplicon can help identify new SNVs in the population being
143 analyzed. When using these approaches in environmental samples containing a mixture
144 of variant sequences, there is a possibility of generating artificial genome
145 reconstructions or artefacts during sequence assembly, which could result in unreliable
146 VOC or VOI assignments.

147 The ADS of selected regions provides a more robust characterization of genomic variants
148 compared to broader genome reconstructions within individual samples. When applied
149 to clinical samples, long-read sequencing platforms have been proven to be efficient in
150 obtaining highly accurate consensus-level sequences despite the higher error rates (Bull
151 et al., 2020). However, to our knowledge, this approach has not been applied in the
152 study of SARS-CoV-2 variants in sewage.

153

154 **Specific regions for the characterization of SARS-CoV-2 genomic variants**

155 Approaches targeting selected regions of the SARS-CoV-2 genome in which signature
156 mutations are located generate more interest compared to the sequencing of other
157 regions that are more conserved and less informative about genomic variants. To
158 discriminate between variants, European authorities have established that sequencing
159 should cover at least the S gene, particularly that encoding the entire N-terminal region
160 and the receptor-binding domain (RBD) corresponding to amino acids 1 to 541 (ECDC
161 and WHO, 2021). Preliminary data obtained from two different approaches that were
162 developed by our research group are detailed below. These approaches involved specific
163 RT-nPCR assays targeting the signature mutations of the main VOCs and VOIs followed
164 by Sanger sequencing (assay A and B) and an ADS strategy targeting three different
165 regions of the S gene (assays A1, A2 and A3). Both approaches were tested in parallel in
166 samples collected from February to May 2021 from wastewater treatments plants
167 (WWTP) of different sizes located in Catalonia, northeast Spain. More information about
168 the methodology is provided in the Supplementary Material. The results obtained are
169 summarized in Table 1 and datasets generated are available in Zenodo under the DOI
170 number <https://doi.org/10.5281/zenodo.5497909>.

171 As indicated in Table 1, Sanger sequencing allowed the identification of signature
172 mutations in the samples, in which the following were predominant: Del69/70, Del144,
173 K417N and E484K. The ADS approach gave information about the genomic diversity in
174 each sample, showing different signature mutation combinations that are compatible
175 with different variants as expected in mixtures coming from wastewater samples.
176 Interestingly, ADS indicated the moment when the α variant probably became
177 predominant in Catalonia. From all the sequences obtained from the NGS analysis of the
178 samples collected on 2nd February 2021, the Del69/70 mutation was present in 0.1%,

179 64.1% and 0% of the sequences obtained from WWTP1, WWTP2 and WWTP3,
180 respectively. One week later, these percentages increased to 99.5%-100%, which was
181 also observed in other signature mutations of the α variant (N501Y, A570D and D614G).
182 These ADS results associated to α variant predominance agree with the information
183 obtained from the Sanger sequencing. The detection of the signature mutations
184 compatible with the α variant with Sanger sequencing was only possible in samples that
185 showed a high percentage of the signature mutations of the α variant by ADS, or in other
186 words, when these mutations were predominant among the mixture of sequences. The
187 other signature mutation identified by ADS was S477N, which is characteristic of the μ
188 variant.

189

190 **Variant study approaches: the pros and cons**

191 Different analytical approaches for the study of SARS-CoV-2 variants in wastewater
192 samples have been developed, each one providing different types of information. In
193 Table 2, the pros and cons of the different methodologies that have been used to date
194 are listed. Depending on their intrinsic properties, a suitable application has been
195 suggested.

196 RT-qPCR and RT-ddPCR are designed to detect a signature mutation of a particular
197 variant and are the fastest at providing results. Both methodologies are often designed
198 as duplex or multiplex, allowing the simultaneous detection of other variants and giving
199 an estimation of their percentages among other simultaneously occurring variants.
200 Thus, they are appropriate for monitoring a specific variant in a region where it has
201 spread and become established, since a certain proportion of the target variant with
202 respect to the others is needed to be detected. RT-ddPCR might be more sensitive and
203 precise than RT-qPCR, but it is also more expensive (Heijnen et al., 2021; Ciesielski et al.,
204 2021; Abachin et al., 2017).

205 However, wastewater is a complex sample and it is likely to contain a mixture of variants.
206 In a region where the predominant variant circulating within the population is not clear
207 or where the situation is constantly changing, non-variant-specific methodologies might
208 be more suitable since they do not need continuous updating of the assay. In such cases,
209 RT-nPCR assays followed by Sanger sequencing of specific regions containing signature

210 mutations would be highly informative and would identify the predominant variant
211 circulating in the population, as this type of sequencing gives information about the
212 most abundant sequence amplified. Furthermore, RT-nPCR can use specific primers for
213 a defined mutation that can target specific variants and regions where other mutations
214 may occur. By contrast, if the objective is to perform an accurate characterization of the
215 diversity present in wastewater, or in other words, identify different variants present in
216 a mixture, NGS analysis would be more appropriate. The extensive information provided
217 by NGS techniques, considered to be expensive, requires an exhaustive bioinformatics
218 analysis and expertise.

219

220 **Conclusions**

221 Monitoring SARS-CoV-2 variants in wastewater is important for epidemiological
222 surveillance in a community. Different analytical approaches have been developed to
223 identify and study the dissemination of SARS-CoV-2 variants in wastewater samples,
224 including RT-qPCR, RT-nPCR and NGS approaches. Due to their intrinsic nature, each
225 method has pros and cons and provides different types of information that is important
226 to consider when selecting the appropriate method for a specific objective. In a post-
227 pandemic scenario, when PCR-based assays and sequencing of clinical samples will
228 decrease, the sequencing of a subset of wastewater samples may be enough to monitor
229 the circulation of different VOCs and VOIs in a community. A representative sample
230 needs to be collected regularly from a certain region to accurately estimate and monitor
231 the prevalence of SARS-CoV-2 variants. Non-variant-specific techniques may be the best
232 option to explore the real picture of all the circulating variants at a particular time,
233 providing broader information that can contribute to community surveillance. This study
234 provides guidance on available approaches for detecting and identifying circulating
235 SARS-CoV-2 variants considering different scenarios. Further work on the application of
236 massive sequencing of SARS-CoV-2 from environmental samples is needed towards
237 producing longer fragments in order to avoid overlapping and chimera constructions,
238 and also shorter bioinformatic processing for an effective early warning.

239

240

241 **Acknowledgements**

242 This study was partially supported by the Catalan Government through
243 2020PANDE00044, and CDTI project PROCEED, EXP - 00132149 / COI-20201289. Sílvia
244 Bofill-Mas is a Serra-Hunter fellow at the University of Barcelona. Eva Forés is an APIF
245 fellow at the University of Barcelona. Marta Itarte is a fellow of the Catalan Government
246 “AGAUR” (FI) at the University of Barcelona. We also gratefully acknowledge the
247 authors, originating and submitting laboratories of the SARS-CoV-2 sequences from
248 GISAID’s EpiCov Database (<https://www.epicov.org/epi3/>) used in the phylogenetic
249 analyses; access to the individual isolates is facilitated through GISAID web site
250 (<https://www.gisaid.org/>). Graphical Abstract was created with Biorender.com.

251

252

253

254

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Table 2. List of pros and cons of the different methodologies used in the study of SARS-CoV-2 variants in sewage samples.

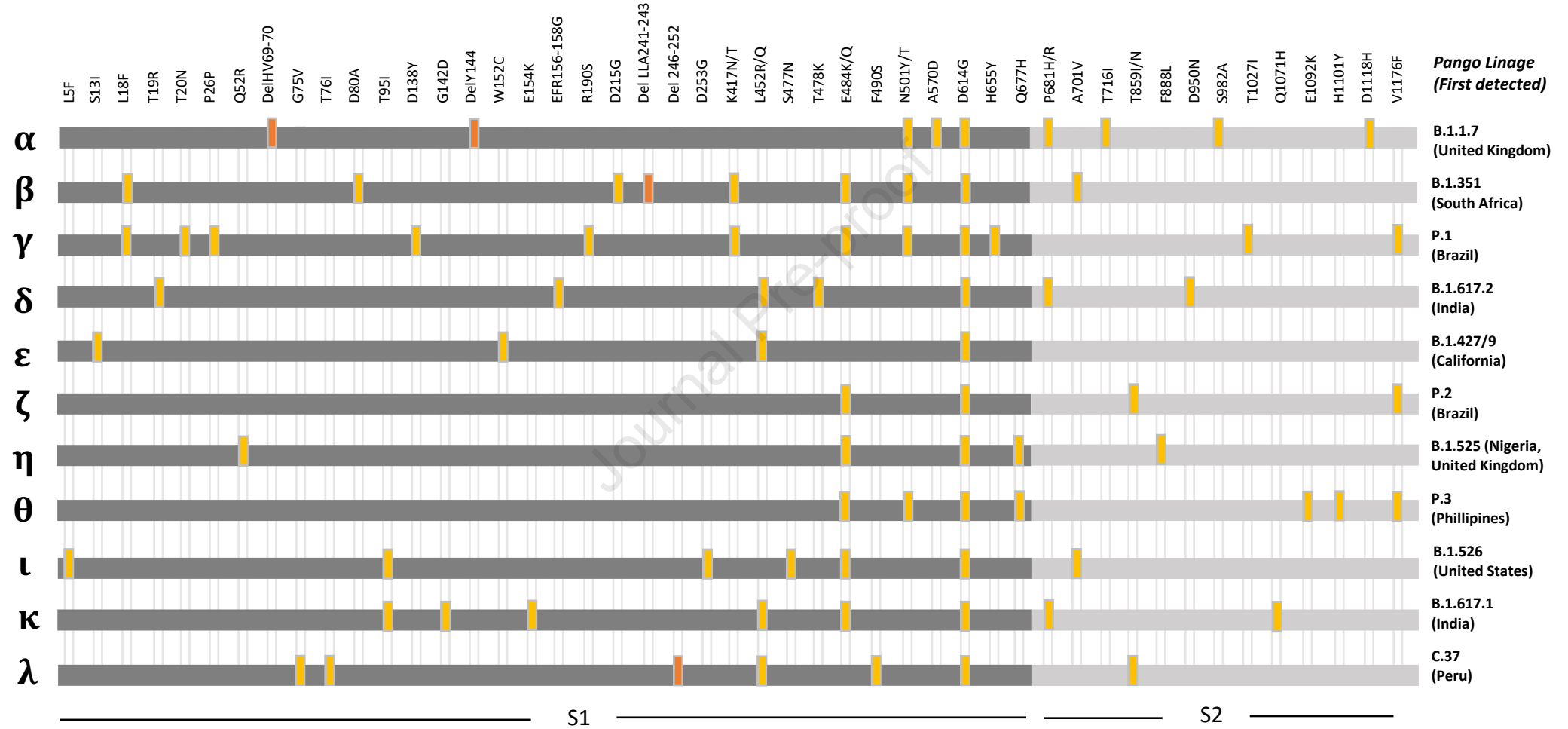
Method	Pros	Cons	Applicability
RT-qPCR	<ul style="list-style-type: none"> Low cost Fast obtention of results Aproximation of the specific signature mutation vs. WT proportion in a mixture Detects rare mutations and discriminates closely related sequences 	<ul style="list-style-type: none"> Different target sensitivities when multiplexing Designed to detect a signature mutation of a specific variant only, thus not giving information about other possible variants also present in the sample 	Monitoring of a specific variant in a region where it has spread
RT-ddPCR	<ul style="list-style-type: none"> Fast obtention of results Aproximation of the specific signature mutation vs. WT proportion in a mixture Detects rare mutations and discriminates closely related sequences More sensitive than RT-qPCR 	<ul style="list-style-type: none"> Designed to detect a signature mutation of a specific variant only, thus not giving information about other possible variants also present in the sample More expensive than RT-qPCR 	More sensitive monitoring of a specific variant in a region where it has spread
RT-nPCR + Sanger sequencing	<ul style="list-style-type: none"> Low cost Fast obtention of results Easy interpretation of the results May use primers specific targeting defined signature mutations 	<ul style="list-style-type: none"> Detecting only the predominant variant in the mixture Not quantitative Cannot be effectively performed in conditions of low virus titres 	Fast elucidation of the predominant variant circulating in a region
NGS	<ul style="list-style-type: none"> Shows the diversity of variants circulating More extensive information about mutations in a larger range of the genome 	<ul style="list-style-type: none"> Expensive Extensive bioinformatics analysis Not quantitative Labour intensive Time consuming Might lead to artificial consensus genomes Cannot be effectively performed in conditions of low virus titres 	Characterization of variant diversity circulating in a region

Table 1. Summary of SARS-CoV-2 concentrations (GC/L) detected using RT-qPCR and signature mutations detected using RT-nPCR and Sanger sequencing or ADS in a MiSeq platform. ND: not detected.

			RT-nPCR	ADS		
WWTP 1 (1,497,767 inh.)	2/2/2021		K417N E484K	Del69/70 (0.1%) S477N (14.6%) D614G (73.3%) N501Y A570D D614G (26.7%)		
	9/2/2021		Del69/70 Del144 E484K	Del69/70 (100%) N501Y A570D D614G (100%)		
	13/4/2021		Del69/70 Del144 K417N E484K	Del69/70 (100%)		
	20/4/2021		K417N E484K	Del69/70 (99.8%)		
	4/5/2021		Del69/70 Del144	Del69/70 (100%)		
		1,E+03	1,E+04	1,E+05	1,E+06	
WWTP 2 (183,517 inh.)	2/2/2021		ND	Del69/70 (64.1%) D614G (44.6%) N501Y A570D D614G (55.4%)		
	9/2/2021		Del69/70 Del144 E484K	Del69/70 (99.5%) N501Y A570D D614G (100%)		
	13/4/2021		E484K	Del69/70 (99.5%)		
	20/4/2021		ND	Del69/70 (99.5%)		
	4/5/2021		ND	ND		
		1,E+03	1,E+04	1,E+05	1,E+06	
WWTP 3 (68,860 inh.)	2/2/2021		ND	D614G (100%)		
	9/2/2021		ND	Del69/70 (100%) D614G (100%)		
	13/4/2021		Del69/70 Del144 E484K	Del69/70 (99.6%)		
	20/4/2021		ND	ND		
	4/5/2021		ND	Del69/70 (100%)		
		1,E+03	1,E+04	1,E+05	1,E+06	

■ N1 ■ N2

Figure 1. Spike protein mutations that can affect both tropism (receptor binding) and immune evasion and are therefore the focus of surveillance. All mutations indicated are related to reference sequence (NC_045512). Variants of concern correspond to: α , β , γ and δ . To date (15 July 2021) the rest are variants of interest. Orange ticks indicate deletions and yellow ticks aminoacid mutations.



Highlights

- Different approaches are available to study SARS-CoV-2 variants in wastewater
- RT-qPCR and RT-ddPCR are sensitive and cost-effective methods for specific variants
- Sanger sequencing can elucidate the predominant variant circulating in a region
- NGS approaches have been widely implemented in variant community surveillance

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Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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