

1 **Evaluation of MALDI Biotyper interpretation criteria for the accurate**  
2 **identification of nontuberculous mycobacteria**

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12 Running Head: MALDI-TOF score interpretation for NTM identification

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30 **ABSTRACT**

31 Mycobacteria identification by MALDI-TOF MS requires not only a good protein  
32 extraction protocol but also an adequate cut-off score in order to provide reliable results.  
33 The aim of this study was to assess the cut-off scores proposed by the MALDI-TOF MS  
34 system for mycobacteria identification. A total of 693 clinical isolates from liquid media  
35 and 760 from solid media were analysed, encompassing 67 different nontuberculous  
36 mycobacteria (NTM) species. MALDI-TOF MS identified 558 (80.5%) isolates from  
37 liquid media and 712 (93.7%) isolates from solid media with a score  $\geq 1.60$ . Among  
38 these, four (0.7%) misidentifications were obtained from liquid media and four (0.5%)  
39 from solid media. Regarding species diversity, MALDI-TOF MS was able to identify  
40 successfully 64 (95.5%) different species, whereas PCR-reverse hybridization  
41 (GenoType CM/AS) identified 24 (35.8%) different species. With a MALDI-TOF MS  
42 score  $\geq 2.00$  all isolates were correctly identified, as well as most isolates in the range 1.60-  
43 1.99, except *M. angelicum*, *M. parascrofulaceum*, *M. peregrinum*, *M. porcinum* and *M.*  
44 *gastri*. In conclusion, MALDI-TOF MS is a useful method for identifying a large  
45 diversity of NTM species. A score threshold of 1.60 proved useful for identifying  
46 almost all the isolates tested; only a few species required a higher score ( $\geq 2.00$ ) to  
47 obtain a valid definitive identification.

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## 49 INTRODUCTION

50 Currently, 199 species of mycobacteria have been described  
51 (<http://www.bacterio.net/mycobacterium.html>), and most of them are classified as  
52 nontuberculous mycobacteria (NTM). Although many of these species are  
53 environmental, around one third may cause important human infections in both  
54 immunocompetent and immunocompromised patients (1). For this reason, accurate  
55 identification to species level is required, as recommended by the American Thoracic  
56 Society and the Infectious Disease Society of America (ATS/IDSA) (2).

57 Traditionally, the identification of NTM was carried out by phenotypic and  
58 biochemical tests. However, these laborious methods were unable to identify a high  
59 number of new species described and required long periods of time to obtain results. For  
60 this reason, they have been replaced by molecular techniques such as PCR-reverse  
61 hybridization and gene sequencing. With the implementation of these methods at  
62 clinical microbiology laboratories, the characterization and identification of NTM  
63 became more reliable, accurate, and rapid. However, PCR-reverse hybridization is  
64 limited to a certain number of NTM species; as several closely related species are  
65 indistinguishable from each other, they are identified together as a group (3). Moreover,  
66 in some cases the interpretation of the results is subjective and can lead to confusion.  
67 Although gene sequencing techniques are highly accurate, they require specific  
68 infrastructure and are time-consuming and expensive.

69 The implementation of matrix-assisted laser desorption ionization-time of flight  
70 mass spectrometry (MALDI-TOF MS) at clinical microbiology laboratories to identify  
71 conventional bacteria is one of the latest breakthroughs in bacterial identification. This  
72 technique achieved a more rapid and precise identification and a significant cost saving  
73 as well (4). In the case of mycobacteria, the application of mass spectrometry is not yet

74 totally validated, in part due to the characteristics of the cell wall which make it  
75 mandatory to perform a special protein extraction procedure prior to analysis.  
76 Moreover, the use of MALDI-TOF MS to identify these microorganisms raises other  
77 critical issues, such as the type of culture media used (5), the level of updating of the  
78 database (6, 7) and the criteria applied to interpret the results.

79 The MALDI-TOF Biotyper system (Bruker Daltonics) provides a numerical score for  
80 the interpretation of the results which is classified globally into several categories.  
81 According to the manufacturer, currently, the score thresholds for mycobacteria  
82 identification are as follows: a score  $\geq 1.80$  represents high confidence, a score of 1.60-  
83 1.79 low confidence, and a score  $< 1.60$  is considered unreliable. Several studies have  
84 reported that these lower cut-offs may be suitable for some groups of microorganisms,  
85 such as *Corynebacterium*, *Gordonia* and others (8, 9, 10, 11). In the case of  
86 mycobacteria, some authors have used these thresholds in order to increase the  
87 identification rate (6, 12, 13, 14). However, there is no established cut-off point for  
88 species level identification of the entire range of mycobacteria.

89 The aim of this study was to determine the best MALDI-TOF MS cut-off scores for  
90 the reliable identification of the most frequent and clinically relevant NTM species.

## 91 **MATERIALS AND METHODS**

### 92 **Mycobacterial strains and growth conditions**

93 A total of 693 clinical isolates from liquid media and 760 from solid media were  
94 studied. They were isolated in the Department of Microbiology of the Hospital  
95 Universitari de Bellvitge-IDIBELL (Barcelona, Spain) and in the Clinical Microbiology  
96 and Infectious Diseases Department of the Hospital General Universitario Gregorio  
97 Marañón (Madrid, Spain). The strains were classified in 67 different species: 36 slow-

98 growing mycobacteria (SGM) and 31 species of rapid-growing mycobacteria (RGM), as  
99 shown in Table 1. All strains were cultured in liquid media (MGIT; Becton Dickinson,  
100 Towson, MD) and/or solid media (Löwenstein-Jensen, bioMérieux, Marcy-l'Etoile,  
101 France). The MGIT media were incubated following the instructions of the  
102 manufacturer in BACTEC MGIT960 system (Becton Dickinson). Once positive, they  
103 were processed for MALDI-TOF MS analysis after 0-5 days.

#### 104 **PCR-reverse hybridization and gene sequencing**

105 Identification by PCR-reverse hybridization was performed on all clinical isolates  
106 using the commercial system GenoType *Mycobacterium* CM/AS (HAIN Lifescience,  
107 Nehren, Germany). This technique comprises two kits: the CM kit, able to identify  
108 *Mycobacterium tuberculosis* complex and 13 common NTM, and the AS kit, which  
109 identifies 16 other NTM species. The assay was carried out in accordance with the  
110 manufacturer's recommendations. Partial sequencing of the 16S rRNA and/or *hsp65*  
111 and *rpoB* genes was performed in the strains that obtained discordant results between  
112 GenoType and MALDI-TOF MS. A sequence similarity of  $\geq 99\%$  was used as the final  
113 identification.

#### 114 **MALDI-TOF MS Protein extraction protocol**

115 The protein extraction protocol was performed by sonication as previously  
116 described (15, 16). Initially, from liquid media, 1 ml was centrifuged at 13,000 rpm for  
117 2 min and the pellet was resuspended in 300  $\mu$ l of HPLC water. From solid media, a 1  
118  $\mu$ l loopful of bacterial biomass was resuspended in 300  $\mu$ l of HPLC water. Samples  
119 from both media were heat-inactivated in a dry water bath at 95°C for 30 min. Then,  
120 900  $\mu$ l of ethanol were added, the tubes were centrifuged at 13,000 rpm for 2 min and  
121 the supernatant discarded. The pellet was allowed to dry at room temperature. Then, the

122 tip of a small spatula of 0.5-mm-diameter silica/zirconia beads and 20 µl of acetonitrile  
123 were added. The tubes were vortexed for 5 s and sonicated for 15 min. After this step,  
124 20 µl of formic acid were added and the tubes were vortexed again for 10 s. Then, the  
125 samples were centrifuged at 13,000 rpm for 2 min and 1 µl of the supernatant was  
126 deposited onto the MALDI target plate (Bruker Daltonics, Bremen, Germany) by  
127 duplicate and allowed to dry. Finally, the spots were covered with 1 µl of HCCA matrix  
128 and allowed to dry at room temperature before inserting the target plate with the  
129 samples into the MALDI-TOF instrument.

### 130 **MALDI-TOF MS analysis**

131 The mass spectrometer used was a MALDI-TOF Biotyper microflex LT (Bruker  
132 Daltonics). The software used was FlexControl v3.0 with the Mycobacteria Library  
133 v5.0. The spectra were obtained over a mass/charge ( $m/z$ ) ratio of 2,000-20,000 Da. The  
134 accelerating voltage was 20 kV and the samples were measured in automatic mode with  
135 a total of 240 laser shots collected per spot.

## 136 **RESULTS**

137 Among the 693 clinical isolates analysed by MALDI-TOF MS from liquid media,  
138 614 (88.6%) isolates obtained protein peaks and in 558 cases (80.5%) the score was  
139  $\geq 1.60$ . Among the 760 isolates analysed from solid media, protein peaks were obtained  
140 in 746 cases (98.2%) and the score was  $\geq 1.60$  in 712 (93.7%) isolates. Regarding the  
141 diversity of the species studied (n=67), MALDI-TOF MS was able to identify 64  
142 (95.5%) different species, while the PCR-reverse hybridization (GenoType CM/AS)  
143 identified 24 (35.8%) species.

### 144 **Species with 10 or more isolates included**

145 Those mycobacterial species (n=19) with 10 or more isolates included in this study  
146 were selected to evaluate the score interval and median obtained by MALDI-TOF MS.  
147 *M. avium*, *M. abscessus*, *M. chelonae*, *M. fortuitum*, *M. mageritense*, *M. peregrinum*  
148 and *M. porcinum* obtained a median score  $\geq 2.00$  from both liquid and solid media. The  
149 species with a median score higher than 2.00 from one culture medium (solid or liquid)  
150 were *M. arupense*, *M. celatum*, *M. gordonae*, *M. intracellulare*/*M. chimaera*, *M.*  
151 *kansasii*, *M. kumamotonense*, *M. lentiflavum*, *M. marinum*, *M. mucogenicum*, *M. szulgai*  
152 and *M. xenopi*. In one species (*M. parascrofulaceum*) the median score was in the 1.60-  
153 1.99 range from both culture media (Figures 1 and 2).

#### 154 **Species with fewer than 10 isolates included**

155 From the 67 different species analysed, 48 included fewer than 10 isolates. In 44 of  
156 them, MALDI-TOF MS obtained scores  $\geq 1.60$ . One species (*M. conspicuum*) obtained a  
157 score  $< 1.60$  in its only isolate, with correct species level identification. Three species,  
158 *M. madagascariense*, *M. paraterrae* and *M. yongonense*, were not identified by  
159 MALDI-TOF MS.

#### 160 **Cut-off scores and misidentifications obtained with MALDI-TOF MS**

161 Regarding the species identification obtained according to the logarithmic score of  
162 MALDI-TOF MS, all isolates with a score  $\geq 2.00$  were correctly identified to species  
163 level. By contrast, 248 out of 252 (98.4%) isolates from liquid media and 263 out of 267  
164 (98.5%) isolates from solid media in the 1.60-1.99 range were correctly identified to  
165 species level. The isolates that obtained an identification different from that of the  
166 reference method (PCR-reverse hybridization and/or gene sequencing) are detailed in  
167 Table 2 and were the following: three strains of *M. scrofulaceum* were identified as *M.*  
168 *parascrofulaceum*; three strains of *M. setense* were identified as *M. peregrinum* or *M.*

169 *porcinum*, one isolate of *M. szulgai* was identified as *M. angelicum*, and one strain of *M.*  
170 *kansasii* was identified as *M. gastri* by MALDI-TOF MS. The reliability of the  
171 identification of the species included according to the score obtained by MALDI-TOF  
172 MS is shown in Table 3.

## 173 **DISCUSSION**

174 Overall, one of the most striking features of MALDI-TOF MS is its ability to  
175 identify almost the entire diversity of the mycobacterial species included in this study  
176 (64 of 67 species). The three species not identified were the following: *M.*  
177 *madagascariense*, which obtained protein peaks but no coincidence in the identification  
178 list results (even though it is included in the current database); and *M. paraterrae* and  
179 *M. yongonense*, which are not included in the database.

180 The species with more than 10 isolates included in this study were selected in order  
181 to evaluate the score range that they can obtain with MALDI-TOF MS and to see which  
182 of them had the highest scores (Figures 1 and 2). Among the species selected, those  
183 with the best identification results were mainly rapid growing mycobacteria, such as *M.*  
184 *abscessus*, *M. chelonae*, *M. fortuitum*, *M. mageritense*, *M. peregrinum* and *M.*  
185 *porcinum*, and the slow growing mycobacterium *M. avium*. No misidentifications were  
186 found among these species. Previous studies have reported a confusion between *M.*  
187 *abscessus* and *M. chelonae* when using MALDI-TOF MS, using the Mycobacterial  
188 Library v1.0 (17), due to the fact that they are related species and may be included in  
189 the same mycobacterial complex. However, in this study, 63 isolates of *M. abscessus*  
190 and 81 *M. chelonae* were tested and no misidentification was found between them.  
191 Another species with a high representation in this study was *M. intracellulare-*  
192 *chimaera*, identified as a group by MALDI-TOF MS. Recently, new software called  
193 “the subtyping module” has been developed to offer the possibility of distinction



194 between these two species (18). However, this new application is not yet available in all  
195 clinical microbiology laboratories, and to date only an evaluative analysis has been  
196 performed (19).

197 Most of the species with fewer than 10 isolates included in this study were  
198 successfully identified by MALDI-TOF MS with a score  $\geq 1.60$ . Only one species  
199 obtained a score below 1.60 in all isolates tested, but the identification provided by  
200 MALDI-TOF MS was correct: *M. conspicuum* (n=1). Currently only two spectra  
201 references are included for *M. conspicuum* in the database used (v5.0). Therefore, the  
202 addition of more spectra of these species in future databases may probably help to  
203 obtain reliable results.

204 Regarding MALDI-TOF MS's accuracy, some discrepancies were found in this  
205 study. Although many isolates of *M. szulgai* were tested (n=11), only one  
206 misidentification was found in an isolate from liquid media, which was incorrectly  
207 identified as *M. angelicum* with a score of 1.83 (Table 2). Surprisingly, the same isolate  
208 from solid media was identified correctly. Therefore, when a *M. angelicum* is identified  
209 by MALDI-TOF MS, a misidentification might be suspected, due to the close-  
210 relatedness of this species with *M. szulgai* (20). The second challenging species was *M.*  
211 *parascrofulaceum*, which is closely related to *M. scrofulaceum* (21). The isolates  
212 reported as *M. parascrofulaceum* by MALDI-TOF MS with a score  $\geq 2.00$  were  
213 correctly identified to species level. However, several isolates in the score range of  
214 1.60-1.99 were in fact shown to be *M. scrofulaceum* (Table 2) by gene sequencing; this  
215 was the reference identification method in this case, since these two species showed the  
216 same pattern by PCR-reverse hybridization. This misidentification by MALDI-TOF MS  
217 has also been observed in previous studies in which the Mycobacteria Library v1.0 was  
218 applied (13, 17, 22). In the present study, the Mycobacteria Library v5.0 was used, but

219 the discordance persisted. In addition, another misidentification was found in some *M.*  
220 *setense* isolates which were identified as *M. peregrinum* or *M. porcinum* by MALDI-  
221 TOF MS, both in the score range of 1.60-1.99 (Table 2). There are several possible  
222 explanations for this. First, these three species are grouped in the *M. fortuitum* complex,  
223 so they are phylogenetically close to each other. Second, only one reference spectrum  
224 for *M. setense* is included in the current database. As mentioned above, the addition of  
225 several new reference spectra to a database can greatly improve the identification  
226 reliability for those species (7). Lastly, one isolate of *M. kansasii* was identified as *M.*  
227 *gastri* with a score of 1.64, in another case of strong phylogenetic closeness.

228         Although a large number of strains and a great diversity of the most frequent  
229 mycobacterial species were analysed, one limitation of this study is that not all the  
230 mycobacteria described were tested, and that few isolates from several species were  
231 included. In addition, the analysis was not performed in parallel from liquid and solid  
232 media. The results are nonetheless, interesting, since they provide a better reflection of  
233 routine microbiological practice.

234         All in all, MALDI-TOF MS has proved extremely useful for the identification of a  
235 large amount of different species. However, it is necessary to establish general  
236 interpretation criteria in order to obtain the highest accuracy of mycobacterial  
237 identification using mass spectrometry. Table 3 shows a proposal for score  
238 interpretation based on the findings of this study. Thus, a score  $\geq 2.00$  should be taken as  
239 indicating high confidence for mycobacteria identification, instead of 1.80.  
240 Interestingly, the score range of 1.60-1.99 was valid for almost all the species analysed,  
241 with the exception of *M. angelicum*, *M. parascrofulaceum*, *M. peregrinum*, *M.*  
242 *porcinum* and *M. gastri* which required higher scores ( $\geq 2.00$ ).

243 In conclusion, applying general identification criteria, MALDI-TOF MS can be  
244 implemented as a first line identification method from pure cultures for almost all  
245 mycobacteria isolated in clinical microbiology laboratories.

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346

347 **FIGURE LEGENDS**

348

349 **FIGURE 1** Ranges and median scores obtained by MALDI-TOF MS from liquid media  
350 for species with more than 10 isolates included

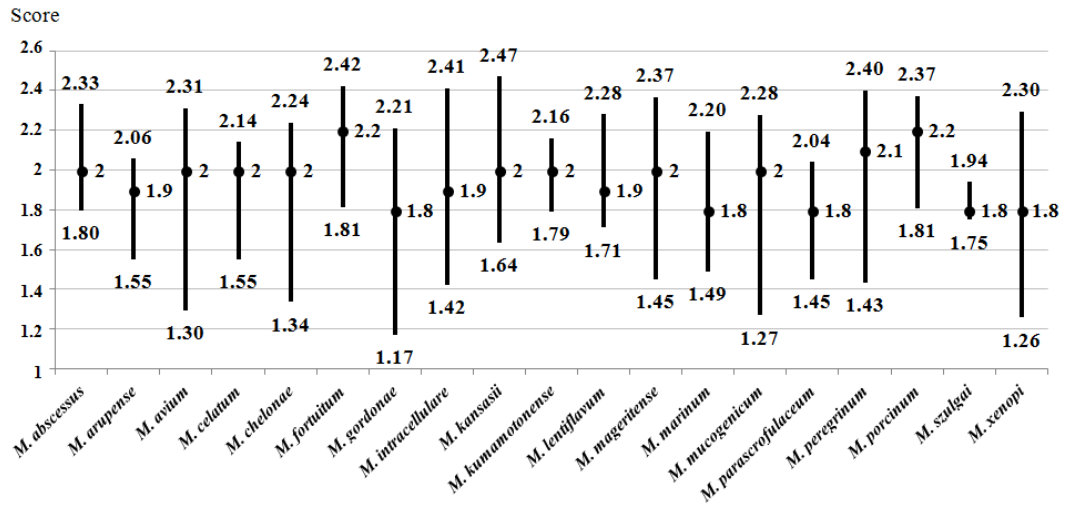
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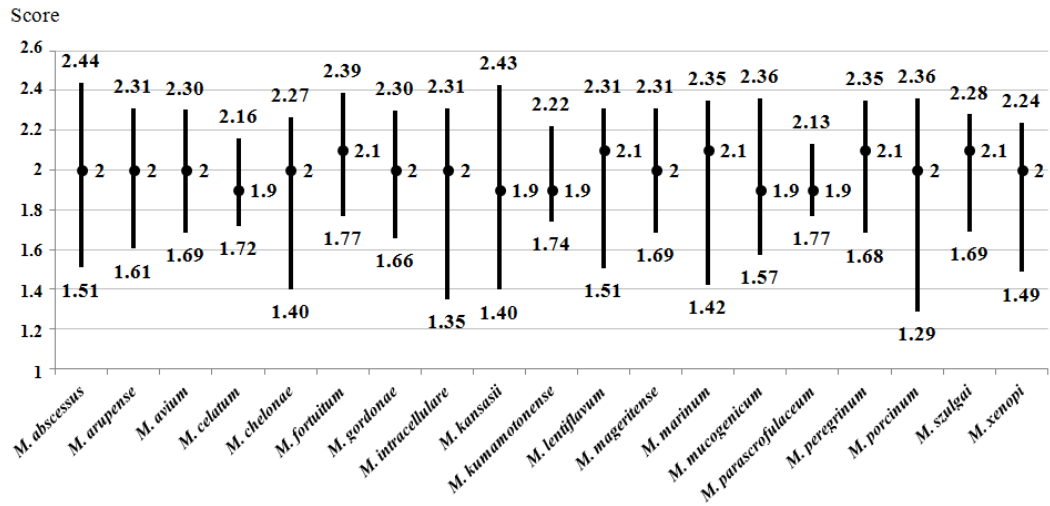
353 **FIGURE 2** Ranges and median scores obtained by MALDI-TOF MS from solid media  
354 for species with more than 10 isolates included

355





**FIGURE 1** Ranges and median scores obtained by MALDI-TOF MS from liquid media for species with more than 10 isolates included



**FIGURE 2** Ranges and median scores obtained by MALDI-TOF MS from solid media for species with more than 10 isolates included

**TABLE 1** Mycobacterial species and the number of strains analysed from liquid and solid media.

<b>Slow-growing species (n=36)</b>	<b>Liquid media</b>	<b>Solid media</b>	<b>Rapid-growing species (n=31)</b>	<b>Liquid media</b>	<b>Solid media</b>
<i>M. arupense</i>	10	16	<i>M. abscessus</i>	30	67
<i>M. avium</i>	92	59	<i>M. algericum</i>	4	6
<i>M. bohemicum</i>	1	4	<i>M. aubagnense</i>	3	3
<i>M. branderi</i>	1	1	<i>M. brumae</i>	3	4
<i>M. celatum</i>	7	12	<i>M. canariasense</i>	4	4
<i>M. colombiense</i>	2	3	<i>M. chelonae</i>	46	81
<i>M. conspicuum</i>	1	1	<i>M. cosmeticum</i>	2	3
<i>M. doricum</i>	1	1	<i>M. elephantis</i>	5	5
<i>M. europaeum</i>	1	1	<i>M. fortuitum</i>	32	64
<i>M. gastri</i>	0	1	<i>M. frederiksbergense</i>	5	5
<i>M. gordonae</i>	39	23	<i>M. goodii</i>	3	4
<i>M. haemophilum</i>	0	2	<i>M. hassiacum</i>	1	1
<i>M. heraklionense</i>	4	4	<i>M. holsaticum</i>	1	1
<i>M. interjectum</i>	3	3	<i>M. insubricum</i>	0	1
<i>M. intracellulare/chimaera</i>	155	78	<i>M. iranicum</i>	1	2
<i>M. kansasii</i>	41	45	<i>M. madagascariense</i>	1	1
<i>M. kumamotoense</i>	10	10	<i>M. mageritense</i>	19	29
<i>M. lentiflavum</i>	10	13	<i>M. monacense</i>	2	2
<i>M. longobardum</i>	1	1	<i>M. moriokaense</i>	1	1
<i>M. malmoense</i>	3	7	<i>M. mucogenicum</i>	27	32
<i>M. marinum</i>	11	24	<i>M. neoaurum</i>	1	3
<i>M. marseillense</i>	0	3	<i>M. novocastrense</i>	1	1
<i>M. palustre</i>	0	5	<i>M. peregrinum</i>	10	13
<i>M. parascrofulaceum</i>	17	15	<i>M. phlei</i>	1	1
<i>M. paraterrae</i>	1	1	<i>M. porcinum</i>	10	12
<i>M. scrofulaceum</i>	4	2	<i>M. senegalense</i>	1	1
<i>M. senuense</i>	2	2	<i>M. septicum</i>	1	1
<i>M. sherrisii</i>	0	2	<i>M. setense</i>	4	4
<i>M. shimoidei</i>	4	6	<i>M. smegmatis</i>	2	4
<i>M. simiae</i>	0	5	<i>M. thermoresistibile</i>	3	4
<i>M. szulgai</i>	8	11	<i>M. wolinskyi</i>	1	1
<i>M. terrae</i>	1	2			
<i>M. triplex</i>	0	2			
<i>M. vulneris</i>	0	1			
<i>M. xenopi</i>	37	32			
<i>M. yongonense</i>	1	1			

**TABLE 2** Misidentifications obtained by MALDI-TOF MS with score  $\geq 1.60$ .

<b>Strain</b>	<b>Culture media</b>	<b>MALDI-TOF MS top identification</b>	<b>Score</b>
<i>M. scrofulaceum</i> 53447	Solid	<i>M. parascrofulaceum</i>	1.98
<i>M. scrofulaceum</i> 163633	Solid	<i>M. parascrofulaceum</i>	1.78
<i>M. scrofulaceum</i> 62886	Liquid	<i>M. parascrofulaceum</i>	1.81
<i>M. setense</i> 26612	Liquid	<i>M. porcinum</i>	1.76
<i>M. setense</i> 26824	Solid	<i>M. peregrinum</i>	1.81
<i>M. setense</i> 27376	Liquid	<i>M. porcinum</i>	1.82
<i>M. szulgai</i> 65533	Liquid	<i>M. angelicum</i>	1.83
<i>M. kansasii</i> 315208	Solid	<i>M. gastri</i>	1.64

**TABLE 3** Proposal of score criteria for the species analysed in this study.

Score	Reliable species			Unreliable species
≥ 2.00	All species tested			-
1.60-1.99	<i>M. abscessus</i> <i>M. algericum</i> <i>M. arupense</i> <i>M. aubagnense</i> <i>M. avium</i> <i>M. bohemicum</i> <i>M. branderi</i> <i>M. brumae</i> <i>M. canariasense</i> <i>M. celatum</i> <i>M. chelonae</i> <i>M. colombiense</i> <i>M. conspicuum</i> <i>M. cosmeticum</i> <i>M. doricum</i> <i>M. elephantis</i> <i>M. europaeum</i> <i>M. fortuitum</i> <i>M. frederiksbergense</i> <i>M. goodii</i> <i>M. gordonae</i>	<i>M. haemophilum</i> <i>M. hassiacum</i> <i>M. heraklionense</i> <i>M. holsaticum</i> <i>M. insubricum</i> <i>M. interjectum</i> <i>M. intracellulare/chimaera</i> <i>M. iranicum</i> <i>M. kansasii</i> <i>M. kumamotonense</i> <i>M. lentiflavum</i> <i>M. longobardum</i> <i>M. madagascariense</i> <i>M. mageritense</i> <i>M. malmoense</i> <i>M. marinum</i> <i>M. marseillense</i> <i>M. monacense</i> <i>M. moriokaense</i> <i>M. mucogenicum</i> <i>M. neoaurum</i>	<i>M. novocastrense</i> <i>M. palustre</i> <i>M. paraterrae</i> <i>M. phlei</i> <i>M. scrofulaceum</i> <i>M. senegalense</i> <i>M. senuense</i> <i>M. septicum</i> <i>M. setense</i> <i>M. sherrisii</i> <i>M. shimoidae</i> <i>M. simiae</i> <i>M. smegmatis</i> <i>M. szulgai</i> <i>M. terrae</i> <i>M. thermoresistibile</i> <i>M. triplex</i> <i>M. vulneris</i> <i>M. wolinskyi</i> <i>M. xenopi</i> <i>M. yongonense</i>	<i>M. angelicum</i> <i>M. parascrofulaceum</i> <i>M. peregrinum</i> <i>M. porcinum</i> <i>M. gastri</i>
< 1.60	Unreliable			