1	Deriving probabilistic soil distribution coefficients (K _d). Part 1: General
2	approach to decreasing and describing variability and example using
3	uranium K _d values
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Deriving probabilistic soil distribution coefficients (K_d). Part 1: General approach to decreasing and describing variability and example using uranium K_d values

4

5 Abstract

6 A general approach is presented to derive probabilistic radionuclide distribution coefficients 7 (K_d) in soils from a K_d dataset. The main aim was to derive informed estimates with a low 8 inherent uncertainty by restricting the K_d value data to subsets based on key soil factors and 9 the experimental approach used to calculate the K_d value (e.g., sorption and desorption tests). 10 As an example, the general approach was applied to uranium (U) K_d values that are part of a 11 critically reviewed dataset containing more than 5000 soil K_d entries for 83 elements and an 12 additional 2000 entries of K_d data for 75 elements gathered from a selection of other, non-soil, 13 geological materials. The overall soil U K_d dataset included 196 values spanning a range of four orders of magnitude (1 to 67,000 L kg⁻¹), with additional 50 entries for other geological 14 15 materials. Whereas the effect of the experimental approach could be disregarded, major 16 factors in decreasing U K_d variability were pH and organic matter concentration (OM). 17 Limitation in the number of entries made it difficult to use texture information (sand, silt, clay) to further decrease U K_d variability. The integrated combination of pH+OM permitted 18 19 some soil groups to have U K_d confidence intervals as narrow as two orders of magnitude. Specifically for U K_d, data in the Mineral (<20% OM) and Organic (\geq 20% OM) partial 20 21 datasets were significantly different. Analogue data from geological materials other than soils, 22 such as subsoil, till and gyttja (a lacustrine mud containing elevated organic matter (OM) 23 concentrations), were also statistically evaluated to determine whether they could be used to 24 fill U K_d data gaps. It was shown that U K_d from subsoils and tills, but not gyttjas, could be 25 used to enhance soil U K_d datasets. Selection of probabilistic K_d values for risk modelling can 26 be made more reliably and with less uncertainty by using appropriate geochemical data 27 representative of the study site to narrow the wide range of potential K_d values. 28

29 Keywords

30 Distribution coefficient; radionuclide; soil; cumulative distribution function; uranium;

31 probabilistic modeling

32 1. Introduction

33 The partitioning of radionuclides (RN) between solid and liquid phases largely affects the RN

- 34 mobility and bioavailability in soils. RN partitioning is often quantified by the solid-liquid
- 35 distribution coefficient (K_d), defined as the ratio of activity concentration of RN sorbed on a
- 36 specified solid phase (C_{solid}) to the RN activity concentration in a specified liquid phase
- (C_{liquid}) in equilibrium with the former (ICRU, 2001). Despite that the K_d model is based on
- 38 several theoretical assumptions, which are not always fulfilled (EPA, 1999a), its use
- 39 constitutes the simplest sorption model available. Risk assessment models use soil K_d values
- 40 to derive RN concentration in solution from the concentrations of RNs in contaminated soils
- 41 to estimate: the transfer of the bioavailable pool of RNs between soil layers and to plants, the
- 42 leaching of RNs from the surface of contaminated soils and further transport in the
- unsaturated zone (vadose zone) and saturated zone (groundwater), and the diffusion-driven
 transport of RN through soils (Simon-Cornu et al., 2015; Sy et al., 2016).
- 45 The K_d parameter alone does not give information about the sorption mechanisms 46 governing the phase partitioning of the target species. Reported K_d values of RNs can vary 47 greatly due to the experimental methods used for their quantification. Among the methods 48 used to measure K_d values are: 1) in situ measurements of RN concentrations in paired 49 samples of soil and porewater collected from the field; 2) batch uptake tests with dispersed 50 samples and RN-spiked aqueous phase; 3) desorption tests with RN-contaminated soils; and 51 4) dynamic column flow experiments or dynamic tank-leaching tests with compacted samples 52 (OECD, 2000; ASTM, 2003; Gil-García et al., 2008; ASTM, 2010; Aldaba et al., 2010; EPA, 53 2017). To complicate matters further, several experimental parameters also influence the 54 measured K_d values, including contact time of the RN and soil, the soil:solution ratio, and 55 solution chemical characteristics that is in contact with the soil during the uptake or 56 desorption experiments.
- 57 To make the K_d data derived from compilations suitable for risk assessment purposes, 58 it must be ensured that they were derived from experiments using similar solids, liquids, and 59 RN species as exist at the contamination scenario under study. This requires identifying the 60 factors controlling the RN-soil interaction to explain, and when possible to reduce, the K_d variability. Ideally, risk assessments models use site-specific K_d values, however such data are 61 62 often not available. To overcome this limitation, probabilistic models are a useful option 63 (Degryse et al., 2009). Probabilistic models use K_d compilations to derive, among other 64 parameters, the most likely/best-estimate K_d values, statistical functions describing the overall 65 variability of K_d values, and to derive confidence intervals at specified significance levels
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66 (Sheppard and Thibault, 1990; Stenhouse, 1994; EPA, 2001; Simon-Cornu et al., 2015).

67 However, the derived statistics from these K_d compilations are often suitable only for

68 screening purposes because the variability is high. One approach to reducing this variability is

69 to create K_d data subsets for different soil types based on the soil characteristics governing the

target RN sorption in soils, if this information is available (IAEA, 2010) and describing K_d

variability by using distribution functions, so the input data in risk assessment models are

72 entered with their variability thus contributing to the final uncertainty derived from the

73 application of the model.

74 The International Atomic Energy Agency (IAEA) launched the Environmental 75 Modelling for Radiation Safety Programme (EMRAS) (2003-2007), whose main purpose was 76 to identify and reduce uncertainties in the predictive capability of environmental models. The 77 EMRAS Programme produced the Technical Report Series 472 'Handbook of Parameter 78 Values for the Prediction of Radionuclide Transfer in Terrestrial and Freshwater 79 Environments' (IAEA, 2010), and a related TECDOC 1616 document entitled, 'Quantification 80 of radionuclide transfer in terrestrial and freshwater environments for radiological assessments' (IAEA, 2009). These documents summarized a new soil K_d data compilation 81 82 that included approximately 2900 records for 67 elements and were a major update of the 83 previous K_d compilation (IAEA, 1994).

84 One of the main outcomes resulting from the analyses of this compilation was the 85 proposal of K_d best estimate values (as a geometric mean value) and their related variability 86 (expressed as a geometric standard deviation value, and with the minimum-maximum K_d 87 values range) (Gil-García et al., 2009a; Gil-García et al., 2009b; Vandenhove et al., 2009). 88 Partial datasets were constructed based mainly on soil groups according to the organic matter 89 (OM), and clay and sand (textural) contents of the mineral soil samples, the so-called 90 OM+Texture criterion. This approach was uniformly applied to all RNs with sufficient data to 91 permit such analysis. But even after following this approach, it was shown that the K_d data 92 could still vary more than 4 orders of magnitude within one OM+Texture soil group, thus 93 compromising the usefulness and reliability of the derived best-estimate values.

The Modelling and Data for Radiological Impact Assessments (MODARIA)
Programme was auspicied by IAEA during the period 2012 and 2015, which was followed by
the MODARIA II Programme (Development, Testing and Harmonization of Models and Data
for Radiological Impact Assessment; 2016-2019). Working groups were established whose
main goal was to analyse radiological data aiming at, among others, identifying the data gaps
of those parameters relevant for the radiological assessments; filling the priority data gaps by

- 100 updating previous parameter data compilations with recently available data and exploring the 101 viability of applying approaches based on the extrapolation of the current existing data to 102 foresee other scenarios lacking of data, *i.e.*, analogue approach; and developing strategies for 103 handling of variability of parameter values to provide end-users with less uncertain data for 104 radiological assessment purposes. Specifically, an aim of the working groups was to update 105 the available soil RN K_d dataset, as well as to develop a strategy to reduce and describe K_d 106 variability based on probabilistic models, including the construction of distribution functions 107 to statistically describe the K_d values of a target RN.
- 108 This is the first in a series of three papers, in which we describe and illustrate the 109 approach followed to derive best-estimate K_d values and K_d statistical distributions from an 110 updated and critically reviewed compilations of RN K_d values (Ramírez-Guinart et al. 2020a; 111 Ramírez-Guinart et al. 2020b). In this first paper the approach to reducing the distribution of 112 K_d values is described and illustrated for uranium K_d values. Parts two and three in this series of papers show the application of the approach to radiocaesium and americium K_d values, 113 114 respectively. In all three papers, the most suitable criteria for reducing uncertainty, such as 115 soil characteristics (e.g., pH, texture, organic matter content, etc.) and factors related to the 116 experimental approach applied (e.g., sorption vs. desorption test, or effect of long-term 117 interaction), are evaluated; the cumulative distribution functions of K_d values for the 118 optimized groupings are proposed; and the viability of using K_d data gathered from geological 119 materials other than soils (material analogue approach) and/or for elements chemically similar 120 to the target element (chemical analogue approach) to enhance datasets containing insufficient 121 entries of the target element are assessed.
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- 123

124 **2. Data collection and treatment**

125 2.1. Soil RN K_d compilation update: revision of data acceptance criteria

126 The former TRS-472 soil K_d data compilation was critically reviewed and updated, by

127 examining more than 100 new documents (reviewed papers and grey literature). The K_d

128 values available, along with ancillary information regarding the method used and soil

129 characteristics, such as soil pH, organic matter content, clay and silt contents in the mineral

- 130 fraction and cationinc exchange capacity, were incorporated into the K_d compilation.
- K_d values not directly quantified as the ratio between concentrations of the target
 element measured in a liquid and a solid phase were rejected. Consequently, the current
 compilation does not include data: 1) indirectly derived from parametric equations, 2)

134 indirectly deduced from mass-transport experiments, such as column tests or diffusion 135 experiments, or 3) pooled or averaged K_d values from former K_d data compilations. 136 Furthermore, K_d data originated from the same element-sample combination by applying a set 137 of tests at varying operational variables related to the experimental approach, but not 138 considered as a relevant factor for grouping K_d values (e.g., contact time or solid-to-liquid 139 ratio in batch experiments) were pooled to become a single entry, calculated as a geometric 140 mean (GM). Instead, they were entered into the data base as individual entries if the variable 141 tested could be considered as a relevant factor (e.g., experiments at varying pH). K_d data were 142 only accepted if they were obtained under experimental conditions representative of typically 143 environmental conditions. For example, K_d measurements measured under extreme chemical 144 conditions (< pH 2 or > pH 12) were not included. K_d data for stable isotopes were accepted 145 so long as they were obtained using isotope concentrations within the linear sorption range. In 146 agreement with the previous compilation (IAEA, 2009), K_d data from pure mineral phases, 147 such as clay minerals or metal (hydro)oxides, were not accepted. The K_d compilation also 148 included data from subsoils, surface sediments (formed by deposition of transported organic 149 matter or mineral soils by the action of wind, water, or ice, and/or by the force of gravity 150 acting on the particles), gyttja (which refer to a mud formed from organic and mineral matter, 151 which can be found at the bottom or near the shore of certain lakes) and till materials (which 152 are unsorted and non-stratified materials deposited directly by glacial ice, which consist of a 153 mixture of clay, silt, sand, gravel, stones, and boulders in any proportion).

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5 2.2. Structure of the updated soil RN K_d compilation: creation of partial datasets

156 The current soil RN K_d compilation is a spreadsheet-type document in which K_d and 157 ancillary data are organized based on elements in independent sheets. It contains more than 158 5000 entries of soil K_d for 83 elements, and additional more than 2000 entries of K_d data for 159 75 elements gathered from a selection of other solid materials. Besides fields related to the 160 sources of information, radioisotopes, soil characteristics and ancillary information, new 161 fields related to the experimental approach and type of material were added. The category of 162 'type of material' is used to distinguish between K_d data for soils (the unconsolidated 163 geological material comprising the terrestrial root zone) versus those from other types of 164 geological materials, such as sediment, subsoils, till and gyttja. Various categories were 165 created to classify the experimental approaches (see Table 1: short or long-term experiments; in situ, batch sorption or batch desorption tests) that permit selecting K_d values for specific 166 167 transport scenarios. Either for the overall dataset, or from partial datasets based on the

experimental approach, grouping criteria based on soil factors were used to create further partial datasets from which were derived K_d best estimate values and related distribution function with a lower variability obtained.

171 A strategy was then developed and applied to create partial datasets with less 172 variability, based on grouping the K_d data of a given element according to optimized criteria 173 that consider factors related to the soil characteristics and the experimental approach applied, 174 relevant for the RN-soil interaction. The aim was to identify the soil properties that reduced 175 RN K_d variability, especially those routinely analysed (and, thus, more likely to have 176 available information); to establish a series of criteria to properly create K_d partial datasets; 177 and to construct cumulative distribution functions (CDFs) or, at least, to derive best-estimate 178 values for each group.

179 The OM content was the first soil property to be tested (the OM criterion), and the 180 effect of the soil texture for mineral soils was also tested when relevant (the OM+Texture 181 criterion). Thus, four soil groups were created based on the texture (Sand, Loam, and Clay 182 soils) and organic matter content (Organic soils). Initially, a soil was included in the Organic 183 group if its OM content was >20%. For the mineral soils, three groups were created according 184 to the following criteria (% refers to mineral matter): Sand group: sand fraction $\geq 65\%$; clay 185 fraction < 18%; Clay group: clay fraction > 35%; and Loam group (IAEA, 2010). Additional 186 soil factors were also checked for specific radionuclides. For instance, the pH and hierarchical 187 application of various soil factors, such as pH and OM, were tested as described later in 188 Section 3. Finally, statistical tests (Fisher's least significant differences (FLSD) test for 189 multiple samples; 95% confidence level; StatGraphics 18) were performed to check whether 190 the geometric mean K_d values for the partial datasets were significantly (p ≤ 0.05) different.

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192 2.3. Analysis of the influence of the experimental approach on $RN K_d$ data variability

193 The influence of the experimental approach was simultaneously evaluated along with 194 relevant soil factors. Before applying the grouping criteria based on the experimental 195 approach factor, a data treatment based on group mean centering (GMC) was carried out to 196 minimize the effect of soil factors identified as relevant to the interaction of the target 197 element. Figure 1 illustrates this approach for the pH+OM criterion as the key soil-factor for 198 the GMC treatment for U K_d values. Other soil factors may be applicable to other 199 radionuclides. The GMC consisted in log-transforming the overall dataset of the target 200 element, grouping the K_d data according to the soil criteria previously established based on a 201 key soil factor, calculating the arithmetic mean (AM) of log K_d values of each soil-type group

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- 202 created and correcting each single log K_d value within a given group by subtracting the AM 203 log K_d value of the respective soil-type group. Secondly, the GMC-corrected log K_d datasets 204 were splitted in subsets according to the type of the experimental approach, that is, firstly 205 long- versus short-term experiments, and then a further splitting was carried out based on in 206 situ, sorption and/or desorption experiments. No in situ data were available in the current 207 datasets and, thus, it was only necessary to split the short-term partial datasets into sorption 208 and desorption categories. Finally, statistical tests (Fisher's Least Significant Differences 209 (FLSD) test for multiple samples; 95% confidence level; StatGraphics 18) were performed to 210 check whether the K_d geometric means for each partial datasets were significantly different.
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212 2.4. Construction of Cumulative Distribution Functions (CDF) to describe K_d variability

213 A suitable method to describe the population and variability of K_d values for a certain RN-214 material combination is the construction of Cumulative Distribution Functions (CDF). In 215 short, CDFs are equations describing the different values of a real-valued variable, in this case 216 K_d, and their related accumulated frequency, which means that the probability that K_d takes a 217 value less than or equal to a certain value can be explained in a continuous form with a 218 function. CDFs are built with the statistical parameters (e.g., arithmetical mean, geometric 219 mean, mode, variance, etc.) of the underlying frequency distribution (e.g., normal, lognormal, 220 exponential, etc.) (Ciffroy et al., 2009). Thus, in order to construct reliable CDFs it is 221 necessary to unequivocally ascertain the statistical distribution describing the data population, 222 as well as to properly derive the corresponding statistical parameters.

223 Since the K_d parameter is a ratio of concentrations, K_d data are expected to follow a 224 lognormal distribution (Sheppard et al., 2011). If a K_d dataset is lognormally distributed, then 225 it inherently implies that the log-transformed K_d values of this dataset (log K_d) follows a 226 normal distribution. The statistical parameters describing a symmetrical log K_d distribution 227 are: the location parameter (μ), which can be considered as the most probable log K_d value 228 since it corresponds to the 50th percentile of the log K_d distribution, and the scale parameter 229 (σ), which gives an estimation of the dispersion among log K_d values. Both statistical 230 parameters can be determined either by calculating the arithmetic mean (AM) and standard 231 deviation (SD), respectively, of the experimental log K_d values comprising a given dataset or 232 by fitting the experimental cumulative distribution of the log K_d dataset to the theoretical CDF 233 equation of the normal distribution. Figure S1 in the Supplementary Material illustrates the 234 graphical representation of the CDF of an ideal lognormal dataset that contains K_d values within the 1 to 10⁶ L kg⁻¹ range. CDFs also informs of all the potential values and their 235

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- probability of occurrence (Ciffroy et al., 2009). Thus, confidence intervals of K_d values can 236 237
- also be established from a CDF constructed with a K_d dataset by calculating the
- 238 corresponding percentile ranges (e.g., the 90% and 95% confidence intervals correspond to
- 239 the 5th - 95th and 2.5th - 97.5th percentile ranges, respectively).

For the construction of CDFs (see Figure S2 of the Supplementary Material), K_d data 240 241 were log-transformed and the presence of possible outlier values in the datasets was examined 242 by performing an exploratory analysis based on box-and-whisker plots. A threshold of three 243 times the interquartile range was established to identify if a log K_d values was an outlier. The log K_d data within every dataset were sorted by increasing value and an empirical frequency 244 $(f_{exp,i})$ equal to 1/N (where N is the total number of K_d entries in the respective dataset) was 245 246 assigned to each entry. Experimental cumulative frequency distribution were constructed by 247 assigning to each sorted log K_d value their corresponding cumulative frequency ($F_{exp,i}$), *i.e.*, the sum of the preceding frequencies $(F(K_{d,j}) = \sum_{i=0}^{j} f(K_{d,i}))$. Following this, the 248 249 Kolmogorov-Smirnov test was applied to test if the experimental cumulative distribution 250 function did not statistically differ from the theoretical cumulative frequency distribution 251 constructed by assuming a lognormal distribution. As expected, it was found that all the K_d 252 datasets analysed followed a lognormal distribution. Consequently, the experimental 253 cumulative frequency distributions constructed with the log K_d data were fitted to the 254 theoretical normal CDF equation (Eq. 1) and the location and scale parameters (μ and σ ,

- 255 respectively) were derived to construct CDFs.
- 256

257 P (log K_{d,i} ≤ log K_{d,j}) =
$$\sum_{\log K_{d,i} \le \log K_{d,j}} p$$
 (log K_{d,i}) = $\frac{1}{2} + \frac{1}{2} erf\left(\frac{\log (K_{d,i}) - \mu}{\sigma\sqrt{2}}\right)$; K_{d,i} > 0. [1]
258

259 where P is the cumulative probability, erf is the error function, and subscripts *i* and *j* represent 260 two different K_d values in the ranked grouping.

261

262 Since the statistical parameters determined are in log-scale, the derived best-estimate value 263 and confidence range are also in log-scale. Thus, the corresponding antilog parameters were 264 calculated, that is, the geometric mean (GM) and geometric standard deviation (GSD), as 265 shown in Eq. (2) and Eq. (3):

266 GM (K_d) =
$$\left(\prod_{i=1}^{N} K_{d_i}\right)^{\frac{1}{N}} = 10^{\left(\frac{1}{N}\sum_{i=1}^{N} \log(K_{d_i})\right)} = 10^{\mu} = \text{antilog}(\mu)$$
 [2]

267 GSD (K_d) = 10
$$\left(\sqrt{\frac{\sum_{i=1}^{N} (\log \frac{K_{d_i}}{GM})}{n}}\right)$$
 = 10 ^{σ} = antilog (σ) [3]

268

269 To properly derive a reliable CDF from a given K_d dataset it is necessary that it contains a 270 minimum number of entries (around N > 10). Exceptionally, from those datasets containing a 271 lower number of entries, CDFs were constructed as far as the datasets presented clear 272 experimental cumulative distributions and a good fitting of data could be done. For the rest of 273 cases only GM values were calculated directly from the dataset.

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275 3. Example of the application of the general approach for the data analyses using

276 uranium K_d values

277 3.1. Soil factors governing U sorption: derived soil criteria to group UK_d data

278 Uranium sorption in soils is known to be very complex and affected by several soil properties 279

and phases, mainly pH, soil texture, specific surface area (SSA), cation exchange capacity

280 (CEC), dissolved carbonate, amorphous iron oxides (Fe_{amorph}) and OM matter contents (EPA, 281 1999b; Payne et al., 2011). Uranium oxidation state or the redox status of the experimental

282 systems are also extremely important factors influencing U K_d values. U(IV) binds

283 appreciable more strongly and has a much lower solubility than U(VI) (Langmuir, 1978;

284 Vandenhove et al., 2010), which is expected to be the dominant U species in most topsoils. At

285 pH below 5, U(VI) is present as the uncomplexed uranyl ion, UO_2^{2+} . At a higher pH, the

286 uranyl ion hydrolyses, forming aqueous hydroxide complexes, which dominate U(VI)

287 speciation in the absence of dissolved inorganic ligands (e.g., carbonate, sulphate or

288 phosphate). At the pH range of 5–10, highly soluble carbonate complexes dominate the U

289 speciation (Vandenhove et al., 2010; Li et al. 2014). Few studies included in the UK_d dataset

290 provided information about the specific U species in their system and thus this information

291 could not be incorporated as a significant field of the dataset or be used for data treatment.

292 In general, the sorption of U by soils is low at pH values less than 3, increases rapidly with

293 increasing pH from 3 to 5, reaches a maximum in the pH range from 5 to 7, and then

294 decreases with increasing pH values greater than 7 (EPA, 1999b). Thus, U sorption in soils

295 frequently shows an inverted-U shaped trend in relation to the pH. The increase in sorption

- 296 between pH 3 and 5 has been attributed to increased number of available sorption sites at clay
- 297 minerals, while the decrease sorption above pH 7 has been attributed to the dominance of
- 298 U(VI)-carbonate complexes that have weak affinities for mineral surfaces (EPA, 1999b).

299 Organic matter and clay minerals provide exchange sites that are expected to increase sorption of UO_2^{2+} and other positively-charged U species (Kaplan and Serkiz 2001; Li et al. 300 301 2014; Li et al. 2015). The influence of organic matter on U interaction is twofold: an 302 increased sorption through exchange mechanisms on soil-bound OM and a decreased sorption 303 due to formation of soluble organic complexes, for those samples having large amounts of 304 dissolved organic matter content and colloids. U(VI) was found to be strongly retained by 305 organic aggregates and organic coatings on quartz minerals (Crançon and van der Lee, 2003), 306 whereas a large fraction of U(VI) was also found to be associated to humic colloids in soil, 307 thus forming a potential mobile uranium phase. Other studies also highlight the importance of 308 iron oxides/hydroxides for the sorption of U (Hsi and Langmuir, 1985; Waite et al., 1994; 309 Duff and Amrhein, 1996; Payne et al., 1996; Kaplan et al. 2016). The positively charged U-310 species are sorbed to the negatively charged surfaces of the sesquioxides or U-species become 311 structurally incorporated in the iron-oxides (coatings) over the many dissolution-precipitation 312 cycles of these amorphous or poorly crystalline iron oxides (Sowder et al., 2003). The soil 313 specific surface area (SSA; units of m² kg⁻¹) is also known to play a significant role in 314 uranium sorption, as shown for pure mineral phases (Payne et al., 2011). Soils containing 315 large fractions of amorphous or clay minerals are expected to have very large specific surface 316 area values. Unfortunately, there were an insufficient number of U K_d experiments in the 317 literature that included specific surface area soil measurements, consequently it was not 318 possible to assess soil surface area as an independent variable for predicting U K_d values. 319 Due to the varying soil properties affecting U sorption, it is difficult to use geochemical modelling or parametric equations to predict U K_d based on soil characteristics, 320 321 although there have been recent advances to notice. The use of the smart-K_d concept offers

322 new possibilities for the modelling of K_d for elements with complex speciation system as U,

323 as the coupling of a surface complexation model with a geochemical speciation code permits

to calculate more realistic distribution coefficients as a function of varying environmental

325 conditions (Stochmann et al., 2017). Using regression statistics, Echevarria et al. (2001)

326 explored the effect of soil characteristics on U sorption for a reduced soil dataset and deduced

327 a linear relationship between soil U K_d and pH that evidenced that U K_d values decreased

328 when increasing pH in the 5.5–8.8 pH range. A similar pattern was also observed by

329 Vandenhove et al. (2007) for a controlled dataset comprising soils with $pH \ge 6$, which was

330 explained by the increased amount of soluble uranyl–carbonate complexes at increasing basic

pH. However, Sheppard et al. (2006) found that, when considering heterogeneous dataset

composed by soils with pH ranging from 5.5 to 8.8 and data from varying sources, only

- relative low percentage of U K_d variance could be explained by the U K_d vs. pH correlations
 obtained, indicating that the U K_d cannot be univariantly predicted from pH variation.
- 335 Sheppard (2011) recently suggested updated $K_d vs.$ pH and clay correlations, based on a
- dataset enriched with a significant contribution of field data with indigenous uranium.
- 337 The use of soil carbonate content, or more specifically the CO_3^{2-} and HCO_3^{--} 338 concentration in soil solution, and the iron hydro(oxides) content or soil specific surface area 339 to group U K_d values, was not considered as this information usually is not available in the 340 current compilation. Instead, U K_d data was initially grouped according to the OM criterion to 341 distinguish between mineral and organic soils, as this approach indirectly includes the role of 342 not only organic matter but also specific surface area. To complete the examination of the 343 effect of specific surface area, the mineral dataset was splitted when possible into the different 344 textural groups. As pH strongly affects U species present in the soil solution, the U K_d overall dataset was also split into three pH groups (pH < 5; $5 \le$ pH < 7; and $7 \le$ pH < 9) defined 345 346 according to the U speciation (Vandenhove et al., 2009), which defines the pH criterion. 347 Finally, it was evaluated for the first time the hierarchical application of soil factors to group 348 U K_d data (pH, pH+OM; pH+OM+Texture). Thus, the U K_d groups created when the U 349 overall dataset was firstly split according to the pH criterion were further split according to 350 the OM content to create Mineral and Organic groups (pH+OM criterion). In a further step, U 351 K_d data in the pH-Mineral groups were also split according to the soil texture into the three 352 textural groups (pH+OM+Texture criterion).
- 353

354 3.2. Influence of experimental approach on $U K_d$ data

The overall U K_d dataset contained 196 entries with U K_d values varying more than 4 orders of magnitude. The overall GM value was 320 L kg⁻¹ (see Figure 2), in general agreement with previous reported values (Sheppard et al., 2006; Vandenhove et al., 2009). However, the GM or CDF that could be derived from the overall dataset are affected by a more than 4-orders of magnitude uncertainty (the 5th-95th percentile range was 3.5×10^{0} -9.9 × 10³ L kg⁻¹) and they may be not suitable for risk assessment in specific scenarios.

The overall U dataset contained entries of short-term sorption (ST-S), short-term desorption (ST-D) and long-term desorption (LT-D) experimental approaches, as defined in Table 1. The GMC data treatment to examine the effect of the experimental approach on U K_d variability considered the combination of soil factors most relevant to U sorption, that is, pH and OM. The FLSD statistical analyses performed are summarised in Table S1 of the Supplementary Material. When splitting the datasets according to the partial pH+OM groups,

- 367no effect of the experimental approach was observed for U K_d for data obtained neither at pH368< 5 nor at pH \geq 7, and only a few non-systematic discrepancies were noticed for the 5 \leq pH <</td>3697 dataset. Therefore, as the possible effect of the experimental methology was not370unequivocally proven by the statistical analyses of the partial datasets, U K_d data were not
- 371 seggregated based on experimental approach in the subsequent analyses.
- 372

373 3.3. UK_d best estimates and CDFs based on the OM and OM+Texture criteria

The current U dataset when refined for applying the OM criterion contained 153 entries varying in the same range of U K_d values as the overall dataset. Figure 2 displays the data obtained when applying the OM criterion as well as the graphical representation of the CDFs constructed from the overall and partial datasets.

378 The U K_d data in the Mineral and Organic partial datasets were significantly different 379 (Figure 2). The GM of the Organic group was around one order of magnitude greater than that 380 of the Mineral group, which confirmed the role observed in previous studies regarding the 381 enhancement of the uranium sorption due to its interaction with the soil organic matter 382 (Crançon and van der Lee, 2003). The application of the OM criterion allowed proposing 383 CDFs for the Mineral and Organic groups, which comprised K_d values varying within a 384 narrower range than in the case of the CDF constructed from the overall dataset, especially for 385 the Organic soils group. Despite this improvement, the usefulness of these CDFs for 386 performing radiological assessments may still be limited since they are constructed from 387 datasets with large variability.

388 The Mineral soils were splitted according to the soil texture and no significant 389 differences were observed in U K_d data among textural groups (Clay: GM=96 L kg⁻¹, N=8; 390 Loam: GM=258 L kg⁻¹, N=62; and Sand=204 L kg⁻¹, N=28), which did not follow a sequence 391 consistent with expected effects based on specific surface area. Thus, the OM+Texture 392 criterion to group soils did not add further relevant information than the simpler OM criterion. 393 Such results indicate that soil texture alone is not a soil factor relevant enough to control the 394 U-soil interaction and, thus, it is unnecessary to distinguish between textural soil-types for 395 mineral soils without further factors being preliminarily considered.

Since it was corroborated that soil OM content has a strong influence on the U
sorption behaviour, an exercise to optimise the threshold of OM content to consider a given
soil as "organic" was done. The aim of this exercise was to explore the possibility of
obtaining partial datasets with less variability, allowing a better distinction of those soils in
which the OM fraction really controls the U-soil interaction and, in turn, to succeed in

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- 401 distinguishing U K_d data of mineral soils among textural groups. The possibility was explored
- to decrease the initial OM threshold from 20% down to 5%, by examining the new partial
- 403 datasets based on grouping values according to 5% and 20% OM thresholds. Results,
- displayed in Table S2 of the Supplementary Material, showed that by lowering the threshold
- 405 to 5% OM there was no improvement neither in terms of decreasing the U K_d variability nor
- 406 deriving statistically different GMs among the new mineral and organic datasets. Therefore,
- 407 concerning the interaction of U in soils, the initial 20% OM threshold was maintained to
- 408 consider whether a soil is grouped as organic or as mineral.
- 409

410 3.4. UK_d best estimates and CDFs based on soil factors related to U sorption mechanisms. 411 3.4.1. The pH criterion

- 412 U K_d values were initially segregated into three pH categories (pH < 5; $5 \le$ pH < 7; and pH \ge 413 7) based on U speciation. Figure 3 presents the U K_d data and CDF derived by applying the 414 pH criterion, including the CDF of the overall dataset. The parameters derived from the pH 415 partial datasets were significantly different among them and contained U K_d values varying 416 less than in the overall dataset, as the 5th-95th interval of U K_d values decreased down to less 417 than three orders of magnitude. The derived U K_d best estimates and related confidence 418 ranges indicated a significant increase in U K_d values when increasing pH, reaching a 419 maximum within the 5-7 pH range, whereas U K_d values decreased at higher pH values. This 420 pH-dependent U sorption agreed with previous reports (Dalvi et al., 2014; Payne et al., 2011; 421 Vandenhove et al, 2009). At low pH values (pH < 5) the U sorption in soils is decreased due 422 to the competition of the uranyl cation with protonated sites whereas at pH > 7 the decrease in 423 U sorption is caused by the formation of stable, weakly sorbing uranyl carbonate complexes. 424 The 5th-95th percentile CDF region of the $5 \le pH < 7$ and $pH \ge 7$ partial datasets partially 425 overlapped. This fact, in conjunction with the large U K_d variability still existing within the 426 pH groups from which the CDF were constructed, suggested that it was still necessary to seek 427 a more meaningful U K_d grouping by means of taking into a simulateneous consideration of
- 428 several soil factors.
- 429
- 430 *3.4.2. Hierarchical application of pH and OM soil factors*
- 431 <u>The pH+OM criterion</u>
- 432 Figure 4 summarizes the U K_d data obtained from the datasets created based on the
- 433 hierarchical application of the pH and OM soil factors (the pH+OM criterion) and depicts the
- related CDFs. The simultaneous use of pH and OM factors led to the creation of partial

435 datasets with a much lower variability than the pH partial datasets. Besides, they followed the same pH dependence as the pH-based partial datasets. Thus, for mineral soils the U K_d values 436 437 for the intermediate pH mineral group ($5 \le pH < 7$) were statistically the highest, whereas for 438 organic soils, as the pH \geq 7 data set has only 2 entries, the only significant comparison 439 showed that the GM value of the intermediate group was also higher than that of the pH < 5440 organic dataset. For a given pH group, the FLSD test confirmed that organic soils always had 441 greater GMs and the 5th-95th percentile ranges were shifted to greater values than those of the 442 respective mineral group. These trends denote the suitability of using two soil factors for U K_d 443 grouping, as both are relevant and govern uranium soil interactions. The lack of U K_d values 444 for organic soils at $pH \ge 7$ prevented the construction of the related CDF. The scarcity of such 445 data is not surprising as most organic soils have acid-to-neutral pH values. Therefore, this soil 446 group has a reduced representativity of natural environmental conditions.

447

448 <u>The pH+OM+Texture criterion</u>

- A final strategy to refine the U K_d data of the pH-Mineral groups was tested by splitting them 449 450 into textural groups according to the clay and sand content of the soils, in order to check 451 whether the absence of texture effect on U K_d variability observed in section 3.3 was due to 452 not consider a previous grouping of soils by pH. As can be seen in Table S3 in the 453 Supplementary Material, most of the derived textural groups had scarce data (N < 10), which 454 hindered a proper evaluation of the role of introducing textural information to further decrease 455 U K_d variability. As an improvement from the previous analyses, the GM of the textural classes now followed the sequence based on specific surface area ($GM_{Clay} > GM_{Loam} >$ 456 457 GM_{Sand}). Thus, the possibility of using U K_d data from solid environmental materials other
- than soils to enhance the textural datasets was explored.

459 The analogy between soils and other solid environmental materials (till, subsoil and 460 gyttja) with respect to their capacity to sorb U was evaluated. These materials were mostly 461 mineral, although a few entries of organic gyttja materials were also available. For each material, all U K_d data available were pooled in an overall dataset and each material and 462 463 partial dataset were initially created according to the pH criterion. The U K_d statistics derived from each dataset are summarised in Table 2. U K_d data for gyttja materials had higher GMs 464 465 or were significantly higher than for soils (as the FLSD test revealed at pH < 5). Instead, U K_d 466 data for till and subsoils were not significantly different to the soil U K_d data. From this 467 analysis it can be concluded that U K_d data from tills and subsoils can be considered 468 analogous to U K_d values from soils. Conversely, U K_d values measured in gyttja were

- 469 significantly different from those of soils. Thus, available till and subsoil data, but not gyttja,470 were used to enhance the soil subsets.
- 471 Table 3 summarises the data derived from the datasets in which soil and analogous materials
- 472 U K_d values were pooled. The inclusion of subsoil and till U K_d data on the pH+OM+Texture
- 473 partial datasets allowed constructing CDFs with a higher number of entries, although the pH-
- 474 Clay groups still lacked enough data. Although the GMs and 5th-95th percentile ranges that
- 475 could be derived for the pH+OM+texture groups were again consistent with the U sorption
- 476 mechanisms (and the expected lower specific surface area values for sandy soils), no
- 477 statistically significant differences were observed among the textural groups at the 95% of
- 478 confidence level. However, low-p values, in some cases < 0.1, suggest that it may be
- 479 warrented to treat clay+loam and sand as separate textural classes, as their GM values differed
- 480 by more than one order of magnitude. Accordingly, the proposal of U K_d data for soil groups
- 481 based on pH+OM criteria, and further distinguishing clay+loam from sandy soils, is the more
- 482 detailed and statistically-based approach for radiological assessments from the available
- 483 entries in the current dataset.
- 484

485 4. Conclusions and recommendations

486 An integrated data treatment approach was demonstrated to decrease and describe K_d 487 variability by creating partial datasets based on the experimental approach and relevant soil 488 factors governing RN-soil interaction. When soil properties governing radionuclide 489 interactions are identified and datasets with a sufficient number of entries are available, this 490 approach can successfully derive best-estimate K_d values and related distribution functions 491 with a lower inherent variability. K_d values with lower variability are more useful for risk 492 assessment exercise.

493 As tested here for uranium, the analyses performed to the overall U K_d dataset 494 demonstrated that a single U K_d best-estimate value should be avoided as input data for 495 radiological risk assessment models. Instead, it is recommended that modellers make use of 496 informed data, more related to the scenario to be assessed, with as much information as 497 possible of the soil system, focusing on refining the input data according to the values of key 498 soil properties that govern uranium-soil interaction. This approach would lead to chose among 499 K_d best estimates that may differ in various orders of magnitude, as was observed here for the 500 case for U K_d (*e.g.*, sandy soils at pH < 5 (see Table 3; GM=20 L kg⁻¹) compared to organic 501 soils within the $5 \le pH \le 7$ range (see Figure 4; GM=8000 L kg⁻¹)).

15

502 In principle, it is not required to refine U K_d data based on RN-soil contact time 503 (short-term vs. long-term). However, soil factors had a greater effect on U K_d values and on 504 their variability than aging processes due to interaction dynamics. These conclusions cannot 505 be extrapolated to other radionuclides. In fact, it is recommended to examine the effect of the 506 experimental approach on K_d variability for each radionuclide under study. In the absence of 507 sufficient redox and U speciation data accompanying the reported U K_d values in the 508 literature, it is suggested to refine U K_d data based on both the pH and the OM content of the 509 soils of the scenarios to be assessed, and for every pH range, to distinguish between 510 clay+loam and sandy soils. However, if pH information is not available, it is recommended to 511 at least distinguish mineral and organic soils, as the latter has higher U K_d values. 512 It was evidenced that despite the efforts made to update the U K_d compilation there are 513 still evident U K_d gaps in certain soil groups, such is the case of organic soils at basic pH, or 514 clay soils, although these two scenarios are uncommon in the environment. It was 515 demonstrated that there were no statistical differences between the U K_d data gathered from

soils and other geological materials such as tills and subsoils. Therefore, data from these

analogue materials can be used to enhance the number of entries of the U K_d soil datasets and

518 contribute to their continuous update.

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Table 1

Description of the experimental approach categories followed for $K_{\rm d}$ quantification.

Experimental approach categories		Examples of experiments			
		K_d of anthropogenic elements determined from their concentration in paired water-and-soil samples collected in areas recently (<~1 yr) contaminated with radioisotopes or with low concentrations of stable isotopes of the target element.			
	In-situ	K_d of indigenous elements (radioisotopes or stable isotopes) derived from determining their concentration in paired water-and-soil samples collected in non-contaminated areas. The target element concentration in the soil-porewater and in the reversible fraction of the sorbed indigenous element (<i>e.g.</i> using mild extractant reagents, such as acetic acid 0.43M) are quantified.			
Short-term	Sorption	K_d of elements derived from applying a sorption batch test based on putting in contact for short times (<~1 yr) a clean soil (initially non-contaminated) with a solution spiked with radioisotopes or with low concentrations of stable isotopes of the target element. Soil bound radionuclide concentrations are derived by difference between aqueous radioisotope concentration before and after contact with the soil.			
	Descention	K_d of anthropogenic elements derived from estimating the solid material concentration by applying an extraction batch test to soils recently contaminated with radioisotopes or with low concentrations of stable isotopes of the target element (<i>e.g.</i> , soil residues coming from a previous sorption test or soils sampled from recently contaminated areas). The aqueous concentration used in the K _d calculation is the concentration of the target radionuclide in the contact solution.			
	Desorption	K_d of indigenous elements (radioisotopes or stable isotopes) derived from estimating the solid phase concentration by applying an extraction test to contaminated solid materials when only the reversible fraction of the sorbed indigenous element is quantified (<i>e.g.</i> , using mild extractant reagents, such as ammonium acetate 1M or acetic acid 0.43M). The aqueous concentration is the concentration of the target radionuclide in the contact solution.			
	In-situ	Similar to "Short-term/In-situ K_d Experimental Approach" except conducted with soils aged with target element or radionuclide for >1 yr.			
Long-term	Desorption	Similar to "Short-term/Desorption K_d Experimental Approach" except conducted with soils aged with target indigenous element or radionuclide for >1 yr.			

Table 2

Dataset	Material	Ν	GM	GSD	$FLSD^1$	5 th	95 th
	Soils	53	1.5×10^{2}	7.8	а	3.5×10^{0}	1.6×10 ³
pH < 5	Till	8	3.5×10 ²	8.8	а	2.6×10^{0}	3.6×10 ³
	Subsoil	9	1.0×10^{2}	5.0	а	2.6×10^{1}	5.0×10 ³
	Gyttja	11	3.4×10 ³	3.7	b	8.0×10^{2}	4.4×10^{4}
$5 \le pH < 7$	Soils	68	1.0×10 ³	5.2	a	8.3×10 ¹	1.5×10 ⁴
	Till*	5	1.5×10^{3}	2.6	а	n.a.	n.a
	Subsoil	13	2.2×10^{3}	8.7	а	7.9×10 ⁰	1.0×10^{4}
	Gyttja*	2	1.6×10 ³	n.a.	-	n.a.	n.a.
$pH \ge 7$	Soils	75	1.0×10^{2}	9.5	a	1.3×10^{0}	3.7×10 ³
	Till	7	8.0×10 ¹	12	а	8.1×10 ⁻¹	3.7×10^{2}
	Subsoil	24	4.7×10 ¹	11	а	6.3×10 ⁻¹	1.7×10^{3}
	Gyttja*	2	6.6×10 ²	n.a.	-	n.a.	n.a.

Descriptors of U K_d (L kg⁻¹) distributions derived from soils and other environmental materials after applying the pH criterion.

N = number of observations, GM = geometric mean, GSD = geometric standard deviation

¹ Different letters among the datasets compared indicate statistically significant differences between GMs according to the Fisher's Least Significant Differences test. *CDF not constructed due to lack of K_d data (N << 10); n.a.: not applicable

Table 3

Partial dataset		N	GM	GSD	FLSD ¹	FLSD ¹	5 th	95 th
pH < 5	Mineral	58	1.6×10 ²	7.3	a		3.0×10 ⁰	3.6×10 ³
	Clay*	1	4.8×10^{2}	n.a.		-	n.a.	n.a.
	Loam	15	2.2×10^{2}	3.6		а	1.6×10 ¹	1.6×10 ³
	Clay+Loam	16	2.6×10^{2}	3.5		a	1.6×10 ¹	1.6×10 ³
	Sand	17	2.0×10^{1}	16		а	7.0×10 ⁻¹	6.7×10 ³
$5 \le pH < 7$	Mineral	73	1.0×10 ³	5.3	b		4.6×10 ¹	1.0×10 ⁴
	Loam	42	1.2×10^{3}	3.9		a	1.5×10^{2}	1.0×10^{4}
	Sand	15	7.2×10^{2}	4.6		a	8.3×10^{1}	6.7×10 ⁴
$pH \ge 7$	Mineral	95	8.0×10 ¹	9.1	с		9.4×10 ⁻¹	2.0×10 ³
	Clay	7	2.1×10^{2}	7.7		а	5.0×10 ⁰	4.7×10^{2}
	Loam	42	1.0×10^{2}	9.0		а	1.2×10^{0}	2.0×10 ³
	Clay+Loam	49	1.0×10 ²	8.7		а	1.2×10^{0}	2.0×10 ³
	Sand	13	3.5×10 ¹	4.6		а	7.0×10^{0}	1.3×10 ³

Descriptors of U K_d (L kg⁻¹) distributions based on the pH+OM+Texture criterion, including data gathered from soils and from solid materials analogous to soils.

N = number of observations, GM = geometric mean, GSD = geometric standard deviation ¹ Different letters among the datasets compared indicate statistically significant differences between GMs according to the Fisher's Least Significant Differences test.

*CDF not constructed due to lack of K_d data (N << 10); n.a.: not applicable

Figure captions

Fig. 1. Illustration of the Group Mean Centering (GMC) statistical approach used to minimize the effect of soil factors identified as relevant to the interaction of the target element. In this example, the effects of the pH+OM criterion on experimental approach datasets are corrected. AM(#) is the arithmetic mean for grouping #; FLSD is the Fisher's least significant differences test.

Fig. 2. CDFs and descriptors of U K_d (L kg⁻¹) distributions for Mineral and Organic soil-types (data for the Overall dataset are included for comparison). Points indicate individual dataset values whereas lines indicate the fitted distributions.

Fig. 3. CDFs and descriptors of U K_d (L kg⁻¹) distributions for pH groups.

Fig. 4. CDFs and descriptors of U K_d (L kg⁻¹) distributions for soil-types according to the pH + OM criterion.





N = number of observations, GM = geometric mean, GSD = geometric standard deviation ¹Different letters among the datasets compared indicate statistically significant differences according to the Fisher's Least Significant Differences test.



N = number of observations, GM = geometric mean, GSD = geometric standard deviation ¹ Different letters among the datasets compared indicate statistically significant differences according to the Fisher's Least Significant Differences test.



N = number of observations, GM = geometric mean, GSD = geometric standard deviation ¹Different letters among the datasets compared indicate statistically significant differences according to the Fisher's Least Significant Differences test.

*CDF not constructed due to lack of K_d data (N << 10); n.a.: not applicable

SUPPLEMENTARY MATERIAL

Deriving probabilistic soil distribution coefficients (K_d). Part 1: General approach to decreasing and describing variability and example using uranium K_d values

Table S1

pH Group	Organic + Mineral Group	Experimental Approach ¹	Ν	FLSD ²
pH < 5	Mineral	ST-S	8	a
		ST-D	17	a
		LT-D	4	a
	Organic	ST-S	-	-
		ST-D	2	-
		LT-D	7	-
	Min+Org	ST-S	8	a
		ST-D	19	a
		LT-D	11	a
$5 \le pH < 7$	Mineral	ST-S	18	a
		ST-D	20	b
		LT-D	11	a
	Organic	ST-S	2	-
		ST-D	-	-
		LT-D	5	-
	Min+Org	ST-S	20	ab
		ST-D	20	b
		LT-D	16	a
$pH \ge 7$	Mineral	ST-S	32	a
		ST-D	1	-
		LT-D	24	a
	Organic	ST-S	2	-
		ST-D	-	-
		LT-D	-	-
	Min+Org	ST-S	34	a
		ST-D	1	-
		LT-D	24	а

Effect of the experimental approach and pH and mineral grouping on U K_d values.

¹ Experimental approach: ST-S = Short-term sorption; ST-D = Short-term desorption; LT-D = Long-term desorption.

² Fisher's Least Significant Difference. Different letters among the datasets compared indicate statistically significant differences according to the Fisher's Least Significant Differences test.

Table S2

Partial data set	N	GM	GSD	FLSD ¹
Mineral (OM < 5%)	82	1.6×10^{2}	7.0	а
Mineral (OM < 20%)	119	2.2×10^{2}	7.9	а
Organic (OM \ge 5%)	52	6.8×10^{2}	9.0	b
Organic (OM \ge 20%)	15	1.8×10^{3}	7.4	b

Optimisation of the OM% threshold to define organic and mineral soils for U $K_{\rm d.}$

¹ Different letter among the datasets compared indicate statistically significant differences according to the Fisher's Least Significant Differences test.

Table S3

Partial dataset		N	GM	GSD	FLSD ¹	5 th	95 th
pH < 5	Clay*	1	4.8×10^{2}	n.a.	-	n.a.	n.a.
	Loam	10	2.6×10 ²	3.7	a	1.6×10 ¹	1.6×10 ³
	Sand	14	1.4×10^{1}	18	a	7.0×10 ¹	6.7×10 ³
$5 \le pH < 7$	Loam	34	1.0×10 ³	4.2	a	1.4×10 ²	1.2×10^{4}
	Sand	13	5.6×10 ²	5.0	a	8.3×10 ¹	6.7×10 ⁴
$pH \ge 7$	Clay	7	2.1×10 ²	7.7	a	5.0×10 ⁰	4.7×10^{2}
	Loam	33	7.5×10^{1}	10	a	1.1×10^{0}	2.2×10 ³
	Sand	11	3.5×10^{1}	5.0	a	7.0×10^{0}	1.3×10 ³

Descriptors of U K_d (L kg⁻¹) distributions based on the pH+OM+Texture criterion for mineral soils.

N = number of observations, GM = geometric mean, GSD = geometric standard deviation

¹ Different letter among the datasets compared indicate statistically significant differences according to the Fisher's Least Significant Differences test.

*CDF not constructed due to lack of K_d data (N << 10); n.a.: not applicable



Fig. S1. Graphical representation of the CDF of an ideal lognormally distributed K_d dataset.



Fig. S2. Schematic process to construct CDFs and to derive best estimates values and related confidence intervals from K_d datasets.