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# A consistency result on long cardinal sequences $\stackrel{\Rightarrow}{\sim}$

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#### A R T I C L E I N F O

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### 1. Introduction

If X is a locally compact, scattered Hausdorff (in short: LCS) space and  $\alpha$  is an ordinal, we let  $I_{\alpha}(X)$  denote the  $\alpha$ th Cantor-Bendixson level of X. The cardinal sequence of X, CS(X), is the sequence of the cardinalities of the infinite Cantor-Bendixson levels of X, i.e.

$$\mathrm{CS}(X) = \langle |I_{\alpha}(X)| : \alpha < \mathrm{ht}^{-}(X) \rangle,$$

where ht<sup>-</sup>(X), the reduced height of X, is the minimal ordinal  $\beta$  such that  $I_{\beta}(X)$  is finite. The height of X, denoted by ht(X), is defined as the minimal ordinal  $\beta$  such that  $I_{\beta}(X) = \emptyset$ . Clearly ht<sup>-</sup>(X)  $\leq$  ht<sup>-</sup>(X) + 1.

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#### ABSTRACT

For any regular cardinal  $\kappa$  and ordinal  $\eta < \kappa^{++}$  it is consistent that  $2^{\kappa}$  is as large as you wish, and every function  $f: \eta \longrightarrow [\kappa, 2^{\kappa}] \cap Card$  with  $f(\alpha) = \kappa$  for  $cf(\alpha) < \kappa$  is the cardinal sequence of some locally compact scattered space.

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If  $\alpha$  is an ordinal, let  $\mathcal{C}(\alpha)$  denote the class of all cardinal sequences of LCS spaces of reduced height  $\alpha$  and put

$$\mathcal{C}_{\lambda}(\alpha) = \{ s \in \mathcal{C}(\alpha) : s(0) = \lambda \land \forall \beta < \alpha \ s(\beta) \ge \lambda \}.$$

Let  $\langle \kappa \rangle_{\alpha}$  denote the constant  $\kappa$ -valued sequence of length  $\alpha$ .

In [4] it was shown that the class  $C(\alpha)$  is described if the classes  $C_{\kappa}(\beta)$  are characterized for every infinite cardinal  $\kappa$  and ordinal  $\beta \leq \alpha$ . Then, under GCH, a full description of the classes  $C_{\kappa}(\alpha)$  for infinite cardinals  $\kappa$  and ordinals  $\alpha < \omega_2$  was given.

The situation becomes, however, more complicated for  $\alpha \geq \omega_2$ . In [9] we gave a consistent full characterization of  $C_{\kappa}(\alpha)$  for any uncountable regular cardinals  $\kappa$  and ordinals  $\alpha < \kappa^{++}$  under GCH.

If *GCH* fails, much less is known on  $C_{\kappa}(\alpha)$  even for  $\alpha < \kappa^{++}$ .

In [11] it was proved that  $\langle \omega \rangle_{\omega_1} \cap \langle \omega_2 \rangle \in \mathcal{C}_{\omega}(\omega_1 + 1)$  is consistent.

In [5] a similar result was proved for uncountable cardinals instead of  $\omega$ : if  $\kappa$  is a regular cardinal with  $\kappa^{<\kappa} = \kappa > \omega$  and  $2^{\kappa} = \kappa^+$ , then in some cardinality preserving generic extension of the ground model we have

$$\langle \kappa \rangle_{\kappa^+} \stackrel{\frown}{} \langle \kappa^{++} \rangle \in \mathcal{C}(\kappa^+ + 1).$$

In [10] we proved that if  $\kappa$  and  $\lambda$  are regular cardinals with  $\kappa \leq \lambda$ ,  $\kappa^{<\kappa} = \kappa$ ,  $2^{\kappa} = \kappa^+$ , and  $\delta < \kappa^{++}$  with  $cf(\delta) = \kappa^+$ , then in some cardinality preserving generic extension of the ground model we have

$$\langle \kappa \rangle_{\delta}^{\frown} \langle \lambda \rangle \in \mathcal{C}(\delta+1).$$

In this paper we will prove a much stronger result than the above mentioned one.

**Theorem 1.1.** Assume that  $\kappa$  and  $\lambda$  are regular cardinals,  $\kappa^{++} \leq \lambda$ ,  $\kappa^{<\kappa} = \kappa$ ,  $2^{\kappa} = \kappa^{+}$ ,  $\lambda^{\kappa^{+}} = \lambda$  and  $\delta < \kappa^{++}$ . Then, in some cardinality preserving generic extension of the ground model, we have  $2^{\kappa} = \lambda$  and

$$\{f \in {}^{\delta}([\kappa, \lambda] \cap Card) : f(\alpha) = \kappa \text{ whenever } cf(\alpha) < \kappa\} \subset \mathcal{C}_{\kappa}(\delta).$$

**Definition 1.2.** Let  $\mathcal{C}$  be a family of sequences of cardinals. We say that an LCS space X is universal for  $\mathcal{C}$  iff  $CS(X) \in \mathcal{C}$  and for each  $s \in \mathcal{C}$  there is an open subspace  $Z \subset X$  with CS(Z) = s.

**Remark.** The assumption  $\delta < \kappa^{++}$  is essential in the construction as we will explain in a Remark on page 8.

So, we do not know whether Theorem 1.1 can be generalized to  $\delta = \kappa^{++}$ . In fact, if  $\kappa$  is a specific uncountable cardinal, the problem whether it is relatively consistent with ZFC that  $\langle \kappa \rangle_{\kappa^{++}} \in C(\kappa^{++})$  is a long-standing open question. Nevertheless, by a well-known result of Baumgartner and Shelah, it is known that it is relatively consistent with ZFC that  $\langle \omega \rangle_{\omega_2} \in C(\omega_2)$  (see [2]).

Instead of Theorem 1.1 we prove the following stronger result:

**Theorem 1.3.** Assume that  $\kappa$  and  $\lambda$  are regular cardinals,  $\kappa^{++} \leq \lambda$ ,  $\kappa^{<\kappa} = \kappa$ ,  $2^{\kappa} = \kappa^+$ ,  $\lambda^{\kappa^+} = \lambda$  and  $\delta < \kappa^{++}$ . Then, in some cardinal preserving generic extension, we have  $2^{\kappa} = \lambda$  and there is an LCS space X which is universal for

$$\mathcal{C} = \{ f \in {}^{\delta} ([\kappa, \lambda] \cap Card) : f(\alpha) = \kappa \text{ whenever } cf(\alpha) < \kappa \}.$$

**Definition 1.4.** Let  $\kappa < \lambda$  be cardinals,  $\delta$  be an ordinal, and  $A \subset \delta$ . An LCS space X of height  $\delta$  is called  $(\kappa, \lambda, \delta, A)$ -good iff there is an open subspace  $Y \subset X$  such that

- (1)  $CS(Y) = \langle \kappa \rangle_{\delta},$
- (2)  $I_{\zeta}(Y) = I_{\zeta}(X)$ , and so  $|I_{\zeta}(X)| = \kappa$ , for  $\zeta \in \delta \setminus A$ ,
- (3)  $|I_{\zeta}(X)| = \lambda$  for  $\zeta \in A$ ,
- (4) for ζ ∈ A the set Z<sub>ζ</sub> = I<sub><ζ</sub>(Y) ∪ I<sub>ζ</sub>(X) is an open subspace of X such that
  (a) I<sub>ξ</sub>(Z<sub>ζ</sub>) = I<sub>ξ</sub>(Y) for ξ < ζ,</li>
  (b) I<sub>ζ</sub>(Z<sub>ζ</sub>) = I<sub>ζ</sub>(X).

Theorem 1.3 follows immediately from Koszmider's Theorem, Theorem 1.6 and Proposition 1.7 below. The following result of Koszmider can be obtained by putting together [7, Fact 32 and Theorem 33]:

**Definition 1.5** (See [6, 7]). Assume that  $\kappa < \lambda$  are infinite cardinals. We say that a function  $\mathcal{F} : [\lambda]^2 \longrightarrow \kappa^+$  is a  $\kappa^+$ -strongly unbounded function on  $\lambda$  iff for every ordinal  $\vartheta < \kappa^+$  and for every family  $\mathcal{A} \subset [\lambda]^{<\kappa}$  of pairwise disjoint sets with  $|\mathcal{A}| = \kappa^+$ , there are different  $a, b \in \mathcal{A}$  such that  $\mathcal{F}\{\alpha, \beta\} > \vartheta$  for every  $\alpha \in a$  and  $\beta \in b$ .

**Koszmider's Theorem.** If  $\kappa, \lambda$  are infinite cardinals such that  $\kappa^{++} \leq \lambda$ ,  $\kappa^{<\kappa} = \kappa$ ,  $2^{\kappa} = \kappa^{+}$  and  $\lambda^{\kappa^{+}} = \lambda$ , then in some cardinal preserving generic extension  $\kappa^{<\kappa} = \kappa$ ,  $\lambda^{\kappa} = \lambda$  and there is a  $\kappa^{+}$ -strongly unbounded function on  $\lambda$ .

For an ordinal  $\delta < \kappa^{++}$  let

$$\mathcal{L}_{\kappa}^{\delta} = \left\{ \alpha < \delta : \mathrm{cf}(\alpha) \in \{\kappa, \kappa^+\} \right\}.$$

**Theorem 1.6.** If  $\kappa < \lambda$  are regular cardinals with  $\kappa^{<\kappa} = \kappa$ ,  $\lambda^{\kappa} = \lambda$ , and there is a  $\kappa^+$ -strongly unbounded function on  $\lambda$ , then for each  $\delta < \kappa^{++}$  there is a  $\kappa$ -complete  $\kappa^+$ -c.c poset  $\mathcal{P}$  of cardinality  $\lambda$  such that in  $V^{\mathcal{P}}$  we have  $2^{\kappa} = \lambda$  and there is a  $(\kappa, \lambda, \delta, \mathcal{L}^{\delta}_{\kappa})$ -good space.

We will prove Theorem 1.6 in Section 2.

**Proposition 1.7.** If  $\kappa < \lambda$  are regular cardinals and  $\delta < \kappa^{++}$ , then a  $(\kappa, \lambda, \delta, \mathcal{L}^{\delta}_{\kappa})$ -good space is universal for

$$\mathcal{C} = \{ f \in {}^{\delta}([\kappa, \lambda] \cap Card) : f(\alpha) = \kappa \text{ whenever } cf(\alpha) < \kappa \}$$

**Proof.** Let X be a  $(\kappa, \lambda, \delta, \mathcal{L}_{\kappa}^{\delta})$ -good space. Fix  $f \in \mathcal{C}$ . For  $\zeta \in \mathcal{L}_{\kappa}^{\delta}$  pick  $T_{\zeta} \in [I_{\zeta}(X)]^{f(\zeta)}$ , and let

$$Z = Y \cup \bigcup \{ T_{\zeta} : \zeta \in \mathcal{L}_{\kappa}^{\delta} \}.$$

Since  $I_{<\zeta}(Y) \cup T_{\zeta}$  is an open subspace of X for  $\zeta \in \mathcal{L}_{\kappa}^{\delta}$ , for every  $\alpha < \delta$  we have

$$\mathbf{I}_{\alpha}(Z) = \mathbf{I}_{\alpha}(Y) \cup \bigcup \{ \mathbf{I}_{\alpha}(\mathbf{I}_{<\zeta}(Y) \cup T_{\zeta}) : \zeta \in \mathcal{L}_{\kappa}^{\delta} \}.$$

Since

$$\mathbf{I}_{\alpha}(\mathbf{I}_{<\zeta}(Y) \cup T_{\zeta}) = \begin{cases} \mathbf{I}_{\alpha}(Y) & \text{if } \alpha < \zeta, \\ T_{\zeta} & \text{if } \alpha = \zeta, \\ \emptyset & \text{if } \zeta < \alpha, \end{cases}$$

we have

$$\mathbf{I}_{\alpha}(Z) = \begin{cases} \mathbf{I}_{\alpha}(Y) & \text{if } \alpha \notin \mathcal{L}_{\kappa}^{\delta}, \\ \mathbf{I}_{\alpha}(Y) \cup T_{\alpha} & \text{if } \alpha \in \mathcal{L}_{\kappa}^{\delta}. \end{cases}$$

Since  $|I_{\alpha}(Y)| = \kappa$  and  $|I_{\alpha}(Y) \cup T_{\alpha}| = \kappa + f(\alpha) = f(\alpha)$ , we have CS(Z) = f, which was to be proved.  $\Box$ 

#### 2. Proof of Theorem 1.6

#### 2.1. Graded posets

In [5], [8], [11] and in many other papers, the existence of an LCS space is proved in such a way that instead of constructing the space directly, a certain "graded poset" is produced which guaranteed the existence of the wanted LCS-space. From these results, Bagaria, [1], extracted the notion of s-posets and established the formal connection between graded posets and LCS-spaces. For technical reasons, we will use a reformulation of Bagaria's result introduced in [12].

If  $\leq$  is an arbitrary partial order on a set X then define the topology  $\tau_{\leq}$  on X generated by the family  $\{ U_{\prec}(x), X \setminus U_{\prec}(x) : x \in X \}$  as a subbase, where  $U_{\prec}(x) = \{ y \in X : y \leq x \}.$ 

In what follows, if i is a partial function from  $[X]^2$  to X where X is the domain of some poset, for every  $\{s,t\} \in [X]^2 \setminus \text{dom}(i)$  we will write  $i\{s,t\} = undef$ . So, we will write  $i: [X]^2 \longrightarrow X \cup \{undef\}$  in order to represent a partial function i from  $[X]^2$  to X.

**Proposition 2.1** ([12, Proposition 2.1]). Assume that  $\langle X, \preceq \rangle$  is a poset,  $\{X_{\alpha} : \alpha < \delta\}$  is a partition of X and  $i: [X]^2 \longrightarrow X \cup \{undef\}$  is a function satisfying (a)-(c) below:

(a) if  $x \in X_{\alpha}$ ,  $y \in X_{\beta}$  and  $x \leq y$  then either x = y or  $\alpha < \beta$ , (b)  $\forall \{x, y\} \in [X]^2$  ( $\forall z \in X \ (z \leq x \land z \leq y)$  iff  $z \leq i\{x, y\}$ ),

(c) if  $x \in X_{\alpha}$  and  $\beta < \alpha$  then the set  $\{y \in X_{\beta} : y \leq x\}$  is infinite.

Then  $\mathcal{X} = \langle X, \tau_{\prec} \rangle$  is an LCS space with  $I_{\alpha}(\mathcal{X}) = X_{\alpha}$  for  $\alpha < \delta$ .

**Definition 2.2.** Let  $\kappa < \lambda$  be cardinals,  $\delta$  be an ordinal, and  $A \subset \delta$ . Assume that  $\langle X, \preceq \rangle$  is a poset,  $\{X_{\alpha} : \alpha < \delta\}$  is a partition of X and  $i : [X]^2 \longrightarrow X \cup \{undef\}$  is a function satisfying conditions (a)–(c) from Proposition 2.1.

We say that poset  $\langle X, \preceq \rangle$  is  $(\kappa, \lambda, \delta, A)$ -good iff there is a set  $Y \subset X$  such that:

- (d) if  $x_0 \leq x_1$ , then either  $x_0 = x_1$  or  $x_0 \in Y$ ;
- (e)  $X_{\zeta} \in [Y]^{\kappa}$  for  $\zeta \in \delta \setminus A$ ;
- (f)  $|X_{\zeta}| = \lambda$  and  $|X_{\zeta} \cap Y| = \kappa$  for  $\zeta \in A$ .

**Proposition 2.3.** Let  $\kappa < \lambda$  be cardinals,  $\delta$  be an ordinal, and  $A \subset \delta$ . If  $\langle X, \preceq \rangle$  is a  $(\kappa, \lambda, \delta, A)$ -good poset, then  $\mathcal{X} = \langle X, \tau_{\prec} \rangle$  is a  $(\kappa, \lambda, \delta, A)$ -good space.

**Proof.** By Proposition 2.1,  $\mathcal{X} = \langle X, \tau_{\preceq} \rangle$  is an LCS space with  $I_{\alpha}(\mathcal{X}) = X_{\alpha}$  for  $\alpha < \delta$ .

By (d), the subspace Y is open, and so  $I_{\zeta}(Y) = I_{\zeta}(X) \cap Y$ . Thus  $|I_{\zeta}(Y)| = \kappa$  by (e) and (f). So  $CS(Y) = \langle \kappa \rangle_{\delta}$ , i.e. 1.4(1) holds.

If  $\zeta \in \delta \setminus A$ , then  $I_{\zeta}(X) \subset Y$  by (e), so  $I_{\zeta}(X) = I_{\zeta}(Y)$ . Thus 1.4(2) holds. Moreover  $I_{\zeta}(Y) = I_{\zeta}(X) \cap Y$ . 1.4(3) follows from (f).

Also, for  $\zeta \in A$  (a) and (d) imply that  $U_{\preceq}(s) \subset Z_{\zeta}$  for  $s \in Z_{\zeta}$ , and so  $Z_{\zeta}$  is an open subspace of  $\mathcal{X}$ . Hence  $I_{\xi}(Z_{\zeta}) = I_{\xi}(X) \cap Z_{\zeta} = X_{\xi} \cap Z_{\zeta}$ . Thus  $I_{\xi}(Z_{\zeta}) = I_{\xi}(Y)$  for  $\xi < \zeta$ , and  $I_{\zeta}(Z_{\zeta}) = X_{\zeta}$ . So 1.4(4) also holds.

Thus  $\mathcal{X}$  is a  $(\kappa, \lambda, \delta, A)$ -good space.  $\Box$ 

So, instead of Theorem 1.6, it is enough to prove Theorem 2.4 below.

**Theorem 2.4.** If  $\kappa < \lambda$  are regular cardinals with  $\kappa^{<\kappa} = \kappa$ ,  $\lambda^{\kappa} = \lambda$ , and there is a  $\kappa^+$ -strongly unbounded function on  $\lambda$ , then for each  $\delta < \kappa^{++}$  there is a  $\kappa$ -complete  $\kappa^+$ -c.c poset  $\mathcal{P}$  of cardinality  $\lambda$  such that in  $V^{\mathcal{P}}$  we have  $2^{\kappa} = \lambda$  and there is a  $(\kappa, \lambda, \delta, \mathcal{L}^{\delta}_{\kappa})$ -good poset.

So, assume that  $\kappa$ ,  $\lambda$  and  $\delta$  satisfy the hypothesis of Theorem 2.4. In order to construct the required poset  $\mathcal{P}$ , first we need to recall some notion from [8, Section 1].

2.2. Orbits

If  $\alpha \leq \beta$  are ordinals let

$$[\alpha,\beta) = \{\gamma : \alpha \le \gamma < \beta\}.$$

We say that I is an ordinal interval iff there are ordinals  $\alpha$  and  $\beta$  with  $I = [\alpha, \beta)$ . Write  $I^- = \alpha$  and  $I^+ = \beta$ .

If  $I = [\alpha, \beta)$  is an ordinal interval let  $E(I) = \{\varepsilon_{\nu}^{I} : \nu < cf(\beta)\}$  be a cofinal closed subset of I having order type  $cf(\beta)$  with  $\alpha = \varepsilon_{0}^{I}$  and put

$$\mathcal{E}(I) = \{ [\varepsilon_{\nu}^{I}, \varepsilon_{\nu+1}^{I}) : \nu < \operatorname{cf} \beta \}$$

provided  $\beta$  is a limit ordinal, and let  $E(I) = \{\alpha, \beta'\}$  and put

$$\mathcal{E}(I) = \{ [\alpha, \beta'), \{\beta'\} \}$$

provided  $\beta = \beta' + 1$  is a successor ordinal.

Define  $\{\mathcal{I}_n : n < \omega\}$  as follows:

$$\mathcal{I}_0 = \{[0, \delta)\} \text{ and } \mathcal{I}_{n+1} = \bigcup \{\mathcal{E}(I) : I \in \mathcal{I}_n\}.$$

Put  $\mathbb{I} = \bigcup \{ \mathcal{I}_n : n < \omega \}.$ 

Note that  $\mathbb{I}$  is a *cofinal tree of intervals* in the sense defined in [8]. So, the following conditions are satisfied:

- (i) For every  $I, J \in \mathbb{I}, I \subset J$  or  $J \subset I$  or  $I \cap J = \emptyset$ .
- (ii) If I, J are different elements of  $\mathbb{I}$  with  $I \subset J$  and  $J^+$  is a limit ordinal, then  $I^+ < J^+$ .
- (iii)  $\mathcal{I}_n$  partitions  $[0, \delta)$  for each  $n < \omega$ .
- (iv)  $\mathcal{I}_{n+1}$  refines  $\mathcal{I}_n$  for each  $n < \omega$ .
- (v) For every  $\alpha < \delta$  there is an  $I \in \mathbb{I}$  such that  $I^- = \alpha$ .

Then, for each  $\alpha < \delta$  we define

$$n(\alpha) = \min\{n : \exists I \in \mathcal{I}_n \text{ with } I^- = \alpha\},\$$

and for each  $\alpha < \delta$  and  $n < \omega$  we pick

 $I(\alpha, n) \in \mathcal{I}_n$  such that  $\alpha \in I(\alpha, n)$ .

**Proposition 2.5.** Assume that  $\zeta < \delta$  is a limit ordinal. Then, there is an interval

$$J(\zeta) \in \mathcal{I}_{n(\zeta)-1} \cup \mathcal{I}_{n(\zeta)}$$

such that  $\zeta$  is a limit point of  $E(J(\zeta))$ . If  $cf(\zeta) = \kappa^+$ , then  $J(\zeta) \in \mathcal{I}_{n(\zeta)}$  and  $J(\zeta)^+ = \zeta$ .

**Proof.** If there is an  $I \in \mathcal{I}_{n(\zeta)}$  with  $I^+ = \zeta$  then  $J(\zeta) = I$ . If there is no such I, then  $\zeta$  is a limit point of  $E(I(\zeta, n(\zeta) - 1))$ , so  $J(\zeta) = I(\zeta, n(\zeta) - 1)$ .

Assume now that  $cf(\zeta) = \kappa^+$ . Then  $\zeta \in E(I(\zeta, n(\zeta) - 1))$ , but  $|E(I(\zeta, n(\zeta) - 1)) \cap \zeta| \leq \kappa$ , so  $\zeta$  can not be a limit point of  $E(I(\zeta, n(\zeta) - 1))$ . Therefore, it has a predecessor  $\xi$  in  $E(I(\zeta, n(\zeta) - 1))$ , i.e.  $[\xi, \zeta) \in \mathcal{I}_{n(\zeta)}$ , and so  $J(\zeta) = [\xi, \zeta)$  and  $J(\zeta) \in \mathcal{I}_{n(\zeta)}$ .  $\Box$ 

If  $\operatorname{cf}(J(\zeta)^+) \in \{\kappa, \kappa^+\}$ , we denote by  $\{\epsilon_{\nu}^{\zeta} : \nu < \operatorname{cf}(J(\zeta)^+)\}$  the increasing enumeration of  $\operatorname{E}(J(\zeta))$ , i.e.  $\epsilon_{\nu}^{\zeta} = \varepsilon_{\nu}^{J(\zeta)}$  for  $\nu < \operatorname{cf}(J(\zeta)^+)$ .

Now if  $\zeta < \delta$ , we define the *basic orbit* of  $\zeta$  (with respect to I) as

$$\mathbf{o}(\zeta) = \bigcup \{ (\mathbf{E}(\mathbf{I}(\zeta, m)) \cap \zeta) : m < \mathbf{n}(\zeta) \}.$$

We refer the reader to [8, Section 1] for some fundamental facts and examples on basic orbits. In particular, we have that  $\alpha \in o(\beta)$  implies  $o(\alpha) \subset o(\beta)$ .

If  $\zeta \in \mathcal{L}^{\delta}_{\kappa}$ , we define the *extended orbit* of  $\zeta$  by

$$\overline{\mathrm{o}}(\zeta) = \mathrm{o}(\zeta) \cup (\mathrm{E}(J(\zeta)) \cap \zeta).$$

Observe that if  $J(\zeta) \in \mathcal{I}_{n(\zeta)-1}$  then  $\overline{o}(\zeta) = o(\zeta)$ .

The underlying set of our poset will consist of blocks. The following set  $\mathbb{B}$  below serves as the index set of our blocks:

$$\mathbb{B} = \{S\} \cup \mathcal{L}^{\delta}_{\kappa}.$$

Let

$$B_S = \delta \times \kappa$$

and

$$B_{\zeta} = \{\zeta\} \times [\kappa, \lambda)$$

for  $\zeta \in \mathcal{L}_{\kappa}^{\delta}$ .

The underlying set of our poset will be

$$X = \bigcup \{ B_T : T \in \mathbb{B} \}.$$

To obtain a  $(\kappa, \lambda, \delta, \mathcal{L}_{\kappa}^{\delta})$ -good poset we take  $Y = B_S$  and

$$X_{\zeta} = \begin{cases} \{\zeta\} \times \kappa & \text{if } \zeta \in \delta \setminus \mathcal{L}_{\kappa}^{\delta}, \\ \{\zeta\} \times \lambda & \text{if } \zeta \in \mathcal{L}_{\kappa}^{\delta}. \end{cases}$$

Define the functions  $\pi: X \longrightarrow \delta$  and  $\rho: X \longrightarrow \lambda$  by the formulas

$$\pi(\langle \alpha, \nu \rangle) = \alpha$$
 and  $\rho(\langle \alpha, \nu \rangle) = \nu$ .

Define

$$\pi_B: X \longrightarrow \mathbb{B}$$
 by the formula  $x \in B_{\pi_B(x)}$ .

Finally we define the *orbits* of the elements of X as follows:

$$o^*(x) = \begin{cases} o(\pi(x)) & \text{ for } x \in B_S, \\ \overline{o}(\pi(x)) & \text{ for } x \in X \setminus B_S. \end{cases}$$

Observe that  $o^*(x) \in [\pi(x)]^{\leq \kappa^+}$  and

$$|o^*(x)| \leq \kappa$$
 unless  $x \in B_{\xi}$  with  $cf(\xi) = \kappa^+$ 

To simplify our notation, we will write  $o(x) = o(\pi(x))$  and  $\overline{o}(x) = \overline{o}(\pi(x))$ .

## 2.3. Forcing construction

Let  $\Lambda \in \mathbb{I}$  and  $\{x, y\} \in [X]^2$ . We say that  $\Lambda$  separates x from y if

$$\Lambda^- < \pi(x) < \Lambda^+ < \pi(y)$$

Let  $\mathcal{F}: [\lambda]^2 \longrightarrow \kappa^+$  be a  $\kappa^+$ -strongly unbounded function. Define

$$f: [X]^2 \longrightarrow [\delta]^{\leq \kappa}$$

as follows:

$$f\{x,y\} = \begin{cases} o(x) \cup \left\{\epsilon_{\zeta}^{\pi(x)} : \zeta < \mathcal{F}\{\rho(x), \rho(y)\}\right\} & \text{if } \pi_B(x) = \pi_B(y) \neq S, \\ & \text{and } cf(\pi(x)) = \kappa^+, \\ o^*(x) \cap o^*(y) & \text{otherwise.} \end{cases}$$

Observe that

$$|f\{x,y\}| \le \kappa$$

for all  $\{x, y\} \in [X]^2$ .

**Definition 2.6.** We define the poset  $\mathcal{P} = \langle P, \leq \rangle$  as follows:  $\langle A, \leq, i \rangle \in P$  iff the following conditions hold:

(P1)  $A \in [X]^{<\kappa}$ ; (P2)  $\leq$  is a partial order on A such that  $x \leq y$  implies x = y or  $\pi(x) < \pi(y)$ ; (P3) if  $x \leq y$  and  $\pi_B(x) \neq S$ , then x = y; (P4) i:  $[A]^2 \longrightarrow A \cup \{\text{undef}\}$  such that for each  $\{x, y\} \in [A]^2$  we have

$$\forall a \in A([a \leq x \land a \leq y] \text{ iff } a \leq i\{x, y\});$$

(P5) for each  $\{x, y\} \in [A]^2$  if x and y are  $\leq$ -incomparable but  $\leq$ -compatible, then

$$\pi(\mathrm{i}\{x,y\}) \in \mathrm{f}\{x,y\};$$

(P6) If  $\{x, y\} \in [A]^2$  with  $x \prec y$ , and  $\Lambda \in \mathbb{I}$  separates x from y, then there is  $z \in A$  such that  $x \prec z \prec y$  and  $\pi(z) = \Lambda^+$ .

The ordering on P is the extension:  $\langle A, \preceq, i \rangle \leq \langle A', \preceq', i' \rangle$  iff  $A' \subset A, \preceq' = \preceq \cap (A' \times A')$ , and  $i' \subset i$ .

**Remark.** Property (P5) will be used to prove that  $\mathcal{P}$  satisfies the  $\kappa^+$ -chain condition. For this, we will use in an essential way that  $\delta < \kappa^{++}$  and  $f : [X]^2 \to [\delta]^{\leq \kappa}$ . Then, if  $R = \langle r_{\nu} : \nu < \kappa^+ \rangle$  is a subset of P of size  $\kappa^+$ with  $r_{\nu} = \langle A_{\nu}, \preceq_{\nu}, i_{\nu} \rangle$  for  $\nu < \kappa^+$ , by using the assumption that  $\kappa^{<\kappa} = \kappa$ , we can assume that  $\{A_{\nu} : \nu < \kappa^+\}$ forms a  $\Delta$ -system with kernel  $A_{\Delta}$  and that the conditions  $r_{\nu}$  ( $\nu < \kappa^+$ ) are pairwise isomorphic. Note that if  $\kappa^+ < \delta < \kappa^{++}$ , we can not assume that  $A_{\Delta}$  is an initial segment of each  $A_{\nu}$  for  $\nu < \kappa^+$ . However, since  $|f\{x,y\}| \leq \kappa$  for all  $\{x,y\} \in [X]^2$ , we can assume by (P5) that if  $x, y \in A_{\Delta}$  with  $x \neq y$  and  $\nu < \mu < \kappa^+$ , we have that  $i_{\nu}\{x,y\} = i_{\mu}\{x,y\}$ . Then, by using the fact that  $\mathcal{F}$  is a  $\kappa^+$ -strongly unbounded function, we will be able to find two different conditions  $r_{\nu}$  and  $r_{\mu}$  in R that are compatible in  $\mathcal{P}$ . To show that  $r_{\nu}$  and  $r_{\mu}$  are compatible, we will be able to define the infimum of pairs of elements  $\{x,y\}$  where  $x \in A_{\nu} \setminus A_{\mu}$  and  $y \in A_{\mu} \setminus A_{\nu}$  by using the properties of trees of intervals and orbits (specially Proposition 2.5). Note that if  $\delta = \kappa^{++}$ , we can not define the notion of a basic orbit of an element  $\zeta < \delta$  on a tree of intervals  $\{\mathcal{I}_n : n < \omega\}$ where  $\mathcal{I}_0 = \{[0, \delta)\}$  in such a way that  $|o(\zeta)| \leq \kappa$ .

For  $p \in P$  write  $p = \langle A_p, \preceq_p, \mathbf{i}_p \rangle$ .

To complete the proof of Theorem 2.4 we will use the following lemmas which will be proved later:

**Lemma 2.7.**  $\mathcal{P}$  is  $\kappa$ -complete.

**Lemma 2.8.**  $\mathcal{P}$  satisfies the  $\kappa^+$ -c.c.

#### Lemma 2.9.

(a) For all  $x \in X$ , the set

$$D_x = \{q \in P : x \in A_q\}$$

is dense in  $\mathcal{P}$ .

(b) If  $x \in X$ ,  $\alpha < \pi(x)$  and  $\zeta < \kappa$ , then the set

$$E_{x,\alpha,\zeta} = \{q \in P : x \in A_q \land \exists b \in A_q \cap (\{\alpha\} \times (\kappa \setminus \zeta)) \ b \preceq_q x\}$$

is dense in  ${\mathcal P}$ 

Since  $\lambda^{<\kappa} = \lambda$ , the cardinality of P is  $\lambda$ . Thus, Lemma 2.7 and Lemma 2.8 above guarantee that forcing with P preserves cardinals and  $2^{\kappa} = \lambda$  in the generic extension.

Let  $G \subset P$  be a generic filter. Put  $A = \bigcup \{A_p : p \in G\}$ ,  $i = \bigcup \{i_p : p \in G\}$  and  $\preceq = \bigcup \{\preceq_p : p \in G\}$ . Then A = X by Lemma 2.9(a).

We claim that  $\langle X, \preceq \rangle$  is a  $(\kappa, \lambda, \delta, \mathcal{L}_{\kappa}^{\delta})$ -poset.

Recall that we put  $X_{\zeta} = \{\zeta\} \times \kappa$  for  $\zeta \in \delta \setminus \mathcal{L}_{\kappa}^{\delta}$  and  $X_{\zeta} = \{\zeta\} \times \lambda$  for  $\zeta \in \mathcal{L}_{\kappa}^{\delta}$ . Then the poset  $\langle X, \preceq \rangle$ , the partition  $\{X_{\zeta} : \zeta < \delta\}$ , the function *i* and  $Y = \delta \times \kappa$  clearly satisfy conditions 2.1(a,b) and 2.2(d,e,f) by the definition of the poset  $\mathcal{P}$ .

Finally condition 2.1(c) holds by Lemma 2.9(b).

So to complete the proof of Theorem 2.4 we need to prove Lemmas 2.7, 2.8 and 2.9.

Since  $\kappa$  is regular, Lemma 2.7 clearly holds.

**Proof of Lemma 2.9.** (a) Let  $p \in P$  be arbitrary. We can assume that  $x \notin A_p$ .

Let  $A_q = A_p \cup \{x\}, \leq_q = \leq_p \cup \{\langle x, x \rangle\}$ , and define  $i' \supset i$  such that  $i'\{a, x\} = undef$  for  $a \in A_p$ . Then  $q = \langle A_q, \leq_q, \mathbf{i}_q \rangle \in D_x$  and  $q \leq p$ .

(b) Let  $p \in P$  be arbitrary. By (a) we can assume that  $x \in A_p$ . Write  $\beta = \pi(x)$ .

Let *m* be the natural number such that  $I(\alpha, m) = I(\beta, m)$  and  $I(\alpha, m+1) \neq I(\beta, m+1)$ . We put  $I_k = I(\alpha, k)$  for  $k \ge m+1$ . Let  $K = \{\alpha\} \cup \{I_k^+ : m+1 \le k < n(\alpha)\}$ .

For each  $\gamma \in K$  pick  $b_{\gamma} \in (\{\gamma\} \times (\kappa \setminus \zeta)) \setminus A_p$ . So  $\pi(b_{\gamma}) = \gamma$ . Let  $A_q = A_p \cup \{b_{\gamma} : \gamma \in K\},$ 

 $\leq_q = \leq_p \cup \{ \langle b_{\gamma}, b_{\gamma'} \rangle : \gamma, \gamma' \in K, \gamma \leq \gamma' \} \cup \{ \langle b_{\gamma}, z \rangle : \gamma \in K, z \in A_p, x \leq_p z \}.$ 

We let  $i_q\{y, z\} = i_p\{y, z\}$  if  $\{y, z\} \in [A_p]^2$ ,  $i_q\{b_\gamma, b_{\gamma'}\} = b_\gamma$  if  $\gamma, \gamma' \in K$  with  $\gamma < \gamma'$ ,  $i_q\{b_\gamma, z\} = b_\gamma$  if  $\gamma \in K$  and  $x \leq_p z$ , and  $i_q\{b_\gamma, z\} = undef$  otherwise.

Let  $q = \langle A_q, \preceq_q, \mathbf{i}_q \rangle$ . Next we check that  $q \in P$ . Clearly (P1), (P2), (P3) and (P5) hold for q. (P4) also holds because if  $y \in A_p$  and  $\gamma \in K$  then either  $b_{\gamma} \preceq_q y$  or they are  $\preceq_q$ -incompatible.

To check (P6) assume that  $b_{\gamma} \prec_q y$  and  $\Lambda$  separates  $b_{\gamma}$  from y. If  $\Lambda^+ < \beta$ , then  $z = b_{\Lambda^+}$  meets the requirements of (P6). If  $\Lambda^+ = \beta$ , we have  $b_{\gamma} \prec_q x \prec_q y$  and  $\pi(x) = \beta$ , and so we are done. And if  $\Lambda^+ > \beta$ , we apply condition (P6) for p, and so there is  $z \in A_p$  such that  $x \prec_p z \prec_p y$  and  $\pi(z) = \Lambda^+$ , and hence  $b_{\gamma} \prec_q z \prec_q y$ .

By the construction,  $q \leq p$ .

Finally  $q \in E_{x,\alpha,\zeta}$  because  $b_{\alpha} \in A_q \cap (\{\alpha\} \times (\kappa \setminus \zeta))$  and  $b_{\alpha} \preceq_q x$ .  $\Box$ 

The rest of the paper is devoted to the proof of Lemma 2.8.

**Proof of Lemma 2.8.** Assume that  $\langle r_{\nu} : \nu < \kappa^+ \rangle \subset P$  with  $r_{\nu} \neq r_{\mu}$  for  $\nu < \mu < \kappa^+$ .

In the first part of the proof, till Claim 2.16, we will find  $\nu < \mu < \kappa^+$  such that  $r_{\nu}$  and  $r_{\mu}$  are twins in a strong sense, and  $r_{\nu}$  and  $r_{\mu}$  form a *good pair* (see Definition 2.15). Then, in the second part of the proof, we will show that if  $\{r_{\nu}, r_{\mu}\}$  is a good pair, then  $r_{\nu}$  and  $r_{\mu}$  are compatible in  $\mathcal{P}$ .

Write  $r_{\nu} = \langle A_{\nu}, \preceq_{\nu}, \mathbf{i}_{\nu} \rangle$  and  $A_{\nu} = \{ x_{\nu,i} : i < \sigma_{\nu} \}.$ 

Since we are assuming that  $\kappa^{<\kappa} = \kappa$ , by thinning out  $\langle r_{\nu} : \nu < \kappa^+ \rangle$  by means of standard combinatorial arguments, we can assume the following:

- (A)  $\sigma_{\nu} = \sigma$  for each  $\nu < \kappa^+$ .
- (B)  $\{A_{\nu} : \nu < \kappa^+\}$  forms a  $\Delta$ -system with kernel  $A_{\Delta}$ .

(C) For each  $\nu < \mu < \kappa^+$  there is an isomorphism  $h_{\nu,\mu} : \langle A_{\nu}, \preceq_{\nu}, \mathbf{i}_{\nu} \rangle \longrightarrow \langle A_{\mu}, \preceq_{\mu}, \mathbf{i}_{\mu} \rangle$  such that for every  $i, j < \sigma$  the following holds:

- (a)  $h_{\nu,\mu} \upharpoonright A_{\Delta} = \mathrm{id},$
- (b)  $h_{\nu,\mu}(x_{\nu,i}) = x_{\mu,i},$

(c) 
$$\pi_B(x_{\nu,i}) = \pi_B(x_{\nu,j})$$
 iff  $\pi_B(x_{\mu,i}) = \pi_B(x_{\mu,j}),$ 

(d)  $\pi_B(x_{\nu,i}) = S$  iff  $\pi_B(x_{\mu,i}) = S$ ,

(e) if 
$$\{x_{\nu,i}, x_{\nu,j}\} \in [A_{\Delta}]^{\perp}$$
 then  $x_{\nu,i} = x_{\mu,i}, x_{\nu,j} = x_{\mu,j}$  and  $i_{\nu}\{x_{\nu,i}, x_{\nu,j}\} = i_{\mu}\{x_{\mu,i}, x_{\mu,j}\}$ 

(f) 
$$\pi(x_{\nu,i}) \in o(x_{\nu,j})$$
 iff  $\pi(x_{\mu,i}) \in o(x_{\mu,j}),$ 

(g) 
$$\pi(x_{\nu,i}) \in \overline{o}(x_{\nu,j})$$
 iff  $\pi(x_{\mu,i}) \in \overline{o}(x_{\mu,j})$ ,

(h)  $\pi(x_{\nu,i}) \in o^*(x_{\nu,j})$  iff  $\pi(x_{\mu,i}) \in o^*(x_{\mu,j})$ ,

(i)  $\pi(x_{\nu,k}) \in f\{x_{\nu,i}, x_{\nu,j}\}$  iff  $\pi(x_{\mu,k}) \in f\{x_{\mu,i}, x_{\mu,j}\}$ . (j)  $cf(\pi(x_{\nu,i})) = \kappa^+$  iff  $cf(\pi(x_{\mu,i})) = \kappa^+$ .

Note that in order to obtain (C)(e) we use condition (P5) and the fact that  $|f\{x, y\}| \le \kappa$  for all  $x \ne y$ . Also, we may assume the following:

(D) There is a partition  $\sigma = K \cup^* F \cup^* D \cup^* M$  such that for each  $\nu < \mu < \kappa^+$ : (a)  $\forall i \in K \ x_{\nu,i} \in A_\Delta$  and so  $x_{\nu,i} = x_{\mu,i}$ .  $A_\Delta = \{x_{\nu,i} : i \in K\}$ . (b)  $\forall i \in F \ x_{\nu,i} \neq x_{\mu,i}$  but  $\pi_B(x_{\nu,i}) = \pi_B(x_{\mu,i}) \neq S$ . (c)  $\forall i \in D \ x_{\nu,i} \notin A_\Delta, \ \pi_B(x_{\nu,i}) = S$  and  $\pi(x_{\nu,i}) \neq \pi(x_{\mu,i})$ . (d)  $\forall i \in M \ \pi_B(x_{\nu,i}) \neq S$  and  $\pi(x_{\nu,i}) \neq \pi(x_{\mu,i})$ .

(E) If  $\pi(x_{\nu,i}) = \pi(x_{\nu,j})$  then  $\{i, j\} \in [K \cup F]^2 \cup [D \cup M]^2$ .

By [3, Corollary 17.5], if  $\sigma < \kappa = \kappa^{<\kappa}$  then the following partition relation holds:

$$\kappa^+ \longrightarrow (\kappa^+, (\omega)_\sigma)^2$$

(i.e. given any function  $c : [\kappa^+]^2 \longrightarrow 1 + \rho$  either there is a set  $A \in [\kappa^+]^{\kappa^+}$  such that  $c''[A]^2 = \{0\}$ , or for some  $\xi < \sigma$  there is a set  $B \in [\kappa^+]^{\omega}$  such that  $c''[B]^2 = \{1 + \xi\}$ .)

Hence we can assume:

(F)  $\pi(x_{\nu,i}) \leq \pi(x_{\mu,i})$  for each  $i \in \sigma$  and  $\nu < \mu < \kappa^+$ .

For  $i \in \sigma$  let

$$\delta_i = \begin{cases} \pi(x_{\nu,i}) & \text{if } i \in K \cup F, \\ \sup\{\pi(x_{\nu,i}) : \nu < \kappa^+\} & \text{if } i \in D \cup M. \end{cases}$$

**Claim 2.10.** (a) If  $i \in D \cup M$ , then the sequence  $\langle \pi(x_{\nu,i}) : \nu < \kappa^+ \rangle$  is strictly increasing,  $cf(\delta_i) = \kappa^+$  and  $sup(J(\delta_i)) = \delta_i$ . Moreover for every  $\nu < \kappa^+$  we have  $\pi(x_{\nu,i}) < \delta_i$ . (b) If  $\{i, j\} \in [M]^2$  and  $x_{\nu,i} \preceq_{\nu} x_{\nu,j}$ , then  $x_{\nu,i} = x_{\nu,j}$ .

**Proof.** If  $i \in D \cup M$ , then (F) and (D)(c-d) imply that the sequence  $\{\pi(x_{\nu,i}) : \nu < \kappa^+\}$  is strictly increasing. Hence  $cf(\delta_i) = \kappa^+$  and  $\pi(x_{\nu,i}) < \delta_i$  for  $i \in D \cup M$ .

Thus Proposition 2.5 implies  $\sup(J(\delta_i)) = \delta_i$ . So (a) holds. (D)(d) and condition (P3) imply (b).  $\Box$ 

We put

$$Z_0 = \{\delta_i : i \in \sigma\}.$$

Since  $\pi''A_{\Delta} = \{\delta_i : i \in K\}$  we have  $\pi''A_{\Delta} \subset Z_0$ . Then, we define Z as the closure of  $Z_0$  with respect to  $\mathbb{I}$ :

$$Z = Z_0 \cup \{I^+ : I \in \mathbb{I}, I \cap Z_0 \neq \emptyset\}.$$

Observe that

 $|Z| < \kappa.$ 

(G) 
$$\pi(x_{\nu,i}) \notin o^*(x_{\nu,k})$$
 for  $x_{\nu,k} \in B_S \cap A_{\Delta}$  and  $i \in D \cup M$ .

Our aim is to prove that there are  $\nu < \mu < \kappa^+$  such that the forcing conditions  $r_{\nu}$  and  $r_{\mu}$  are compatible. However, since we are dealing with infinite forcing conditions, we will need to add new elements to  $A_{\nu} \cup A_{\mu}$ in order to be able to define the infimum of pairs of elements  $\{x, y\}$  where  $x \in A_{\nu} \setminus A_{\mu}$  and  $y \in A_{\mu} \setminus A_{\nu}$ . The following definitions will be useful to provide the room we need to insert the required new elements. Let

$$\sigma_1 = \{i \in \sigma \setminus K : \mathrm{cf}(\delta_i) = \kappa\}$$

and

$$\sigma_2 = \{ i \in \sigma \setminus K : \operatorname{cf}(\delta_i) = \kappa^+ \}.$$

Assume that  $i \in \sigma_1 \cup \sigma_2$ . Let

$$\xi_i = \min\{\zeta \in \operatorname{cf}(\delta_i) : \epsilon_{\zeta}^{J(\delta_i)} > \sup(\delta_i \cap Z)\}.$$

Since  $|Z| < \kappa \le cf(\delta_i)$ , the ordinal  $\xi_i$  is defined and  $\delta_i > \epsilon_{\xi_i}^{J(\delta_i)}$ . Then, if  $i \in \sigma_1$  we put

$$\underline{\gamma}(\delta_i) = \epsilon_{\xi_i}^{J(\delta_i)} \text{ and } \gamma(\delta_i) = \delta_i$$

and if  $i \in \sigma_2$  we put

$$\underline{\gamma}(\delta_i) = \epsilon_{\xi_i}^{J(\delta_i)} \text{ and } \gamma(\delta_i) = \epsilon_{\xi_i+\kappa}^{J(\delta_i)}$$

For  $i \in \sigma_2$ , since  $\gamma(\delta_i) < \delta_i$  and  $\delta_i = \lim \{\pi(x_{\nu,i}) : \nu < \kappa^+\}$  by Claim 2.10(a) for all  $i \in D \cup M$ , we can assume that

(H)  $\pi(x_{\nu,i}) \in J(\delta_i) \setminus \gamma(\delta_i)$ , and so  $\pi(x_{\nu,i}) \notin Z$ , for all  $i \in D \cup M$ .

We will use the following fundamental facts.

Claim 2.11. If  $x_{\nu,i} \leq_{\nu} x_{\nu,j}$  then  $\delta_i \leq \delta_j$ .

**Proof.**  $x_{\nu,i} \leq_{\nu} x_{\nu,j}$  implies  $\pi(x_{\nu,i}) \leq \pi(x_{\nu,j})$  by (P2).  $\Box$ 

**Claim 2.12.** Assume  $i, j \in \sigma$ . If  $x_{\nu,i} \preceq_{\nu} x_{\nu,j}$  then either  $\delta_i = \delta_j$  or there is  $a \in A_{\Delta} \cap B_S$  with  $x_{\nu,i} \preceq_{\nu} a \preceq_{\nu} x_{\nu,j}$ .

**Proof.** Assume that  $i, j \notin K$  and  $\delta_i \neq \delta_j$ . By Claim 2.11, we have  $\delta_i < \delta_j$ . Since  $i \in F \cup M$  and  $x_{\nu,i} \leq_{\nu} x_{\nu,j}$  imply  $x_{\nu,i} = x_{\nu,j}$  and so  $\delta_i = \delta_j$ , we have that  $i \in D$ , and so  $\pi(x_{\nu,i}) < \delta_i$ ,  $\operatorname{cf}(\delta_i) = \kappa^+$  and  $J(\delta_i)^+ = \delta_i$  by Proposition 2.5.

Since  $\delta_i < \delta_j$ , we have  $\delta_i < \gamma(\delta_j) < \pi(x_{\nu,j})$  by (H), and so  $J(\delta_i)$  separates  $x_{\nu,i}$  from  $x_{\nu,j}$ . By (P6), we infer that there is an  $a = x_{\nu,k} \in A_{\nu}$  such that  $\pi(a) = \delta_i$  and  $x_{\nu,i} \preceq_{\nu} a \preceq_{\nu} x_{\nu,j}$ .

Since  $x_{\nu,k} \neq x_{\nu,j}$ , we have  $x_{\nu,k} \in B_S$ , and so  $k \in K \cup D$ . But as  $\pi(x_{\nu,k}) = \delta_i \in Z$  we obtain  $k \notin D$  by (H), and so  $k \in K$ , which implies  $a = x_{\nu,k} \in A_{\Delta} \cap B_S$ .  $\Box$ 

**Claim 2.13.** If  $x_{\nu,i} \in A_{\Delta} \cap B_S$  and  $x_{\nu,j} \in A_{\nu}$  are compatible but incomparable in  $r_{\nu}$ , then  $x_{\nu,k} = i_{\nu}\{x_{\nu,i}, x_{\nu,j}\} \in A_{\Delta} \cap B_S$ .

**Proof.** First, (P2) implies  $x_{\nu,k} \in B_S$ .

Since  $\pi(x_{\nu,k}) = \pi(i_{\nu} \{x_{\nu,i}, x_{\nu,j}\}) \in f\{x_{\nu,i}, x_{\nu,j}\} = o^*(x_{\nu,i}) \cap o^*(x_{\nu,j}) \subset o^*(x_{\nu,i})$  by (P5), and  $x_{\nu,i} \in A_{\Delta} \cap B_S$ , we have  $k \notin D \cup M$  by (G). Thus  $k \in K$ , and so  $x_{\nu,k} \in A_{\Delta}$ . Hence  $x_{\nu,k} = i_{\nu}\{x_{\nu,i}, x_{\nu,j}\} \in A_{\Delta} \cap B_S$ .  $\Box$ 

**Claim 2.14.** Assume that  $x_{\nu,i}$  and  $x_{\nu,j}$  are compatible but incomparable in  $r_{\nu}$ . Let  $x_{\nu,k} = i_{\nu} \{x_{\nu,i}, x_{\nu,j}\}$ . Then either  $x_{\nu,k} \in A_{\Delta}$  or  $\delta_i = \delta_j = \delta_k$ .

**Proof.** If  $\delta_k \neq \delta_i$ , we infer that there is  $b \in A_{\Delta} \cap B_S$  with  $x_{\nu,k} \preceq_{\nu} b \preceq_{\nu} x_{\nu,i}$  by Claim 2.12. So  $x_{\nu,k} = i_{\nu} \{b, x_{\nu,j}\}$  and thus  $x_{\nu,k} \in A_{\Delta}$  by using Claim 2.13.

Similarly,  $\delta_k \neq \delta_j$  implies  $x_{\nu,k} \in A_{\Delta}$ .  $\Box$ 

**Definition 2.15.**  $\{r_{\nu}, r_{\mu}\}$  is a *good pair* iff the following holds:

(a) for all  $i \in F$  with  $cf(\delta_i) = \kappa^+$  we have

$$f\{x_{\nu,i}, x_{\mu,i}\} \supset \overline{o}(\delta_i) \cap \gamma(\delta_i),\tag{(V)}$$

(b) for all  $\{i, j\} \in [F]^2$  with  $\delta_i = \delta_j$  and  $cf(\delta_i) = \kappa^+$  we have

$$f\{x_{\nu,i}, x_{\mu,j}\} \supset \overline{o}(\delta_i) \cap \gamma(\delta_i). \tag{(A)}$$

**Claim 2.16.** There are  $\nu < \mu < \kappa^+$  such that the pair  $\{r_{\nu}, r_{\mu}\}$  is good.

**Proof.** Let

$$\vartheta = \sup\{\xi_{\ell} + \kappa : \ell \in \sigma_2 \cap F\}.$$

Since  $\mathcal{F}$  is a  $\kappa^+$ -strongly unbounded function on  $\lambda$  we can find  $\nu < \mu < \kappa^+$  such that for all  $i \in F$  we have

$$\mathcal{F}\{\rho(x_{\nu,i}), \rho(x_{\mu,i})\} \ge \vartheta$$

and for all  $\{i, j\} \in [F]^2$  with  $\delta_i = \delta_j$  and  $\operatorname{cf} \delta_i = \kappa^+$  we have

$$\mathcal{F}\{\rho(x_{\nu,i}), \rho(x_{\mu,j})\} \ge \vartheta$$

Hence,  $\{r_{\nu}, r_{\mu}\}$  is good.  $\Box$ 

To finish the proof of Lemma 2.8 we will show that

If 
$$\{r_{\nu}, r_{\mu}\}$$
 is a good pair, then  $r_{\nu}$  and  $r_{\mu}$  are compatible.

So, assume that  $\{r_{\nu}, r_{\mu}\}$  is a good pair. Write  $\delta_{x_{\nu,i}} = \delta_{x_{\mu,i}} = \delta_i$ . If  $s = x_{\nu,i}$  write  $s \in K$  iff  $i \in K$ . Define  $s \in F$ ,  $s \in M$ ,  $s \in D$  similarly.  $(\dagger)$ 

In order to amalgamate conditions  $r_{\nu}$  and  $r_{\mu}$ , we will use a refinement of the notion of amalgamation given in [8, Definition 2.4].

Let  $A' = \{x_{\nu,i} : i \in F \cup D \cup M\}$ . For  $x \in (A_{\nu} \setminus A_{\mu}) \cup (A_{\mu} \setminus A_{\nu})$  define the *twin* x' of x in a natural way:  $x' = h_{\nu,\mu}(x)$  for  $x \in A_{\nu} \setminus A_{\mu}$ , and  $x' = h_{\nu,\mu}^{-1}(x)$  for  $x \in A_{\mu} \setminus A_{\nu}$ .

Let  $\mathrm{rk} : \langle A', \preceq_{\nu} \upharpoonright A' \rangle \longrightarrow \theta$  be an order-preserving injective function for some ordinal  $\theta < \kappa$ , and for  $x \in A'$  let

$$\beta_x = \epsilon_{\underline{\gamma}(\delta_x) + \mathrm{rk}(x)}^{\delta_x}.$$

Since  $cf(\gamma(\delta_x)) = \kappa$  and  $|A'| < \kappa$  we have

$$\beta_x \in (\overline{o}(\delta_x) \cap [\gamma(\delta_x), \gamma(\delta_x))) \setminus \sup\{\beta_z : \mathrm{rk}(z) < \mathrm{rk}(x)\}.$$

For  $x \in A'$  let

$$y_x = \langle \beta_x, 0 \rangle$$

and put

$$Y = \{y_x : x \in A'\}.$$

So, for every  $x \in A'$ ,  $y_x \in B_S$  with  $\pi(y_x) < \pi(x)$ .

Define the functions  $g: Y \longrightarrow A_{\nu}$  and  $\overline{g}: Y \longrightarrow A_{\mu}$  as follows:

$$g(y_x) = x$$
 and  $\overline{g}(y_x) = x'$ ,

where x' is the "twin" of x in  $A_{\mu}$ .

Now, we are ready to start to define the common extension  $r = \langle A, \preceq, i \rangle$  of  $r_{\nu}$  and  $r_{\mu}$ . First, we define the universe A as

$$A = A_{\nu} \cup A_{\mu} \cup Y.$$

Clearly, A satisfies (P1). Now, our purpose is to define  $\preceq$ . Extend the definition of g as follows:  $g: A \longrightarrow A_{\nu}$  is a function,

$$g(x) = \begin{cases} x & \text{if } x \in A_{\nu}, \\ x' & \text{if } x \in A_{\mu} \setminus A_{\nu}, \\ s & \text{if } x = y_s \text{ for some } s \in A'. \end{cases}$$

We introduce two relations on  $A_p \cup A_q \cup Y$  as follows:

Then, we put

$$\preceq = \preceq_{\nu} \cup \preceq_{\mu} \cup \preceq^{R1} \cup \preceq^{R2} . \tag{(\bigstar)}$$

The following claim is well-known and straightforward.

**Claim 2.17.**  $\leq_{\nu,\mu} = \leq [(A_{\nu} \cup A_{\mu})]$  is the partial order on  $A_{\nu} \cup A_{\mu}$  generated by  $\leq_{\nu} \cup \leq_{\mu}$ .

The following straightforward claim will be used several times in our arguments.

Claim 2.18. If  $x \leq z$  then  $g(x) \leq_{\nu} g(z)$ .

**Sublemma 2.19.**  $\leq$  is a partial order on  $A_{\nu} \cup A_{\mu} \cup Y$ .

**Proof.** We should check that  $\leq_{\nu}$  is transitive, because it is trivially reflexive and antisymmetric. So let  $s \leq t \leq u$ . We should show that  $s \leq u$ . Since  $x \leq z$  implies  $g(x) \leq_{\nu} g(z)$ , we have  $g(s) \leq_{\nu} g(t) \leq_{\nu} g(u)$  and so

$$g(s) \preceq_{\nu} g(u). \tag{(\star)}$$

If  $\langle s, u \rangle \in (Y \times A) \cup (A_{\nu} \times A_{\nu}) \cup (A_{\mu} \times A_{\mu})$ , then  $(\star)$  implies  $s \preceq^{R_1} u$  or  $s \preceq_{\nu} u$  or  $s \preceq_{\mu} u$ , which implies  $s \preceq u$  by  $(\bigstar)$ .

So we can assume that  $s \in A_{\nu}$  (the case  $s \in A_{\mu}$  is similar), and so  $u \in Y$  or  $u \in A_{\mu}$ .

Case 1.  $u \in A_{\mu}$ .

If  $t \in A_{\nu} \cup A_{\mu}$ , then  $s \leq_{\nu,\mu} t \leq_{\nu,\mu} u$ , and so  $s \leq_{\nu,\mu} u$  by Claim 2.17. So  $s \leq u$ .

Assume that  $t \in Y$ . Then  $s \leq^{R_2} t$ , and so there is  $a \in A_{\Delta}$  such that  $g(s) \leq_{\nu} a \leq_{\nu} g(t)$ . Since  $t \leq u$  implies  $g(t) \leq_{\nu} g(u)$ , we have  $g(s) \leq_{\nu} a \leq_{\nu} g(u)$ , and so  $s \leq^{R_2} u$ . Thus  $s \leq u$ .

## Case 2. $u \in Y$ .

If  $t \in Y$ , then  $s \preceq^{R_2} t$ , and so there is  $a \in A_{\Delta}$  such that  $g(s) \preceq_{\nu} a \preceq_{\nu} g(t)$ . Since  $t \preceq u$  implies  $g(t) \preceq_{\nu} g(u)$ , we have  $g(s) \preceq_{\nu} a \preceq_{\nu} g(u)$ , and so  $s \preceq^{R_2} u$ . Thus  $s \preceq u$ .

Assume that  $t \in A_{\nu} \cup A_{\mu}$ . Then  $t \preceq^{R_2} u$ , and so there is  $a \in A_{\Delta}$  such that  $g(t) \preceq_{\nu} a \preceq_{\nu} g(u)$ . Then  $g(s) \preceq_{\nu} a \preceq_{\nu} g(u)$ , and so  $s \preceq^{R_2} u$ . Thus  $s \preceq u$ .  $\Box$ 

So, by the previous Sublemma 2.19 and by the construction, (P2) and (P3) hold for  $\leq$ . Next define the function  $i : [A]^2 \longrightarrow A \cup \{undef\}$  as follows:

 $i \supset i_{\nu} \cup i_{\mu},$ 

and for  $\{s,t\} \in [A]^2 \setminus ([A_\nu]^2 \cup [A_\mu]^2)$  such that s and t are  $\preceq$ -compatible, put  $i\{s,t\} = i\{s,y_s\} = i\{t,y_s\} = y_s$  if  $s \in A'$  and t = s', and otherwise consider the element

$$v = \mathbf{i}_{\nu} \{ g(s), g(t) \},$$

and let

$$\mathbf{i}\{s,t\} = \begin{cases} v & \text{if } v \in A_{\Delta}, \\ \\ y_v & \text{if } v \notin A_{\Delta}. \end{cases}$$

Let

$$i\{s,t\} = undef$$

if s and t are not  $\leq$ -compatible.

If s and t are compatible, then so are g(s) and g(t) because  $x \leq y$  implies  $g(x) \leq_{\nu} g(y)$  by Claim 2.18. Moreover  $i_{\nu}\{s,t\} = i_{\mu}\{s,t\}$  for  $\{s,t\} \in [A_{\Delta}]^2$  by condition (C)(e), so the definition above is meaningful, and gives a function i.

**Claim 2.20.** If  $v \in A_{\Delta}$  and  $s \in A$ , then  $\pi(v) \in o^*(g(s))$  iff  $\pi(v) \in o^*(s)$ .

**Proof.** If  $s \in A_{\nu} \cup A_{\mu}$  then g(s) = s or g(s) = s', and so  $\pi(v) \in o^*(g(s))$  iff  $\pi(v) \in o^*(s)$  by (C)(b) and (C)(h).

Consider now the case  $s = y_x \in Y$ . Then  $\pi(s) \in E(J(\delta_x)) \cap [\underline{\gamma}(\delta_x), \gamma(\delta_x))$ , and so

$$o^*(s) = o(\pi(s)) = \bigcup \{ E(I) : I \in \mathbb{I}, I^- < \pi(s) < I^+ \} \cap \pi(s) = \bigcup \{ E(I) : I \in \mathbb{I}, J(\delta_x) \subset I \} \cap \pi(s).$$

We distinguish the following two cases.

Case 1.  $\pi(x) < \delta_x$ .

If  $x \in B_S$  then  $\gamma(\delta_x) < \pi(x) < \delta_x$  by (H), and so

$$o^{*}(x) \cap \pi(s) = o(\pi(x)) \cap \pi(s) = \bigcup \{ E(I) : J(\delta_x) \subset I \} \cap \pi(s) = o^{*}(s)$$

If  $x \notin B_S$  then  $x \in M$  and  $\gamma(\delta_x) < \pi(x) < \delta_x$  by (H), and so

$$\mathbf{o}^*(x) \cap \pi(s) = \overline{\mathbf{o}}(\pi(x)) \cap \pi(s) = \left(\bigcup \{ E(I) : J(\delta_x) \subset I \} \cup E(J(\pi(x))) \right) \cap \pi(s) = \bigcup \{ E(I) : J(\delta_x) \subset I \} \cap \pi(s) = \mathbf{o}^*(s).$$

Case 2.  $\pi(x) = \delta_x$ .

Then  $x \in F$  and so

$$o^*(x) = \overline{o}(\pi(x)) = o(x) \cup (E(J(\delta_x)) \cap \delta_x) = \left(\bigcup \{E(I) : I^- < \pi(x) < I^+\} \cup E(J(\delta_x))\right) \cap \pi(x) = \bigcup \{E(I) : J(\delta_x) \subset I\} \cap \pi(x),$$

so  $o^*(s) = o^*(x) \cap \pi(s)$ .

So in both cases  $o^*(s) = o^*(x) \cap \pi(s)$ . Also, note that as  $v \in A_{\Delta}$ , we have that  $\pi(v) \notin (\underline{\gamma}(\delta_x), \delta_x)$ , and hence if  $v \in o^*(g(s))$  then  $\pi(v) < \pi(s)$ . So,  $\pi(v) \in o^*(x) = o^*(g(s))$  iff  $\pi(v) \in o^*(s)$ .  $\Box$ 

**Claim 2.21.** If  $\{s,t\} \in [A]^2$ ,  $v \in A_{\Delta}$  and  $\pi(v) \in f\{g(s), g(t)\}$  then  $\pi(v) \in f\{s,t\}$ .

**Proof.** We should distinguish two cases.

**Case 1.**  $f\{g(s), g(t)\} = o^*(g(s)) \cap o^*(g(t)).$ 

As  $\pi(v) \in f\{g(s), g(t)\}$ , we have  $\pi(v) \in o^*(g(s)) \cap o^*(g(t))$ . Since  $\pi(v) \in o^*(g(s))$  implies  $\pi(v) \in o^*(s)$ and  $\pi(v) \in o^*(g(t))$  implies  $\pi(v) \in o^*(t)$  by Claim 2.20, we have  $\pi(v) \in o^*(s) \cap o^*(t) = f\{s, t\}$ .

Case 2.  $f\{g(s), g(t)\} = o(g(s)) \cup \{\epsilon_{\zeta}^{\pi(g(s))} : \zeta < F\{\rho(g(s)), \rho(g(t))\}\}.$ 

So  $\pi_B(g(s)) = \pi_B(g(t)) \neq S$  and  $cf(\pi(g(s))) = \kappa^+$ . We can assume that  $s \in A_\nu \setminus A_\mu$  and  $t \in A_\mu \setminus A_\nu$ . If  $g(s) \in M$ , then  $g(t) \in M$  by (E). Then as  $[\gamma(\delta_{g(s)}), J(\delta_{g(s)})^+) \cap \pi'' A_\Delta = \emptyset$ , we infer that  $\pi(v) \in o(g(s)) = o(g(t))$ , and thus  $\pi(v) \in o(s) \cap o(t) \subset f\{s,t\}$ . Now assume that  $g(s), g(t) \in F$ . So  $s, t \in F$ , and  $\delta' = \delta_{g(s)} = \delta_{g(t)}$  has cofinality  $\kappa^+$ . So,

$$\pi(v) \in \mathbf{f}\{g(s), g(t)\} = \mathbf{o}(\delta') \cup \left\{\epsilon_{\zeta}^{\delta'} : \zeta < F\{\rho(g(s)), \rho(g(t))\}\right\}.$$
 ( $\Delta$ )

Since  $\pi'' A_{\Delta} \cap (\gamma(\delta'), \delta') = \emptyset$ , ( $\Delta$ ) implies

$$\pi(v) \in \overline{\mathbf{o}}(\delta') \cap \gamma(\delta').$$

But, by  $(\blacktriangle)$ 

$$\overline{\mathbf{o}}(\delta') \cap \gamma(\delta') \subset \mathbf{f}\{s, t\},\$$

and so  $\pi(v) \in f\{s, t\}$ .  $\square$ 

Sublemma 2.22.  $\langle A, \leq, i \rangle$  satisfies (P4) and (P5).

**Proof.** Let  $\{s,t\} \in [A]^2$  be a pair of  $\leq$ -incomparable and  $\leq$ -compatible elements. We distinguish the following cases.

**Case 1.**  $\{s,t\} \in [A_{\nu}]^2$ . (The case  $\{s,t\} \in [A_{\mu}]^2$  is similar)

Since  $\leq_{\nu} \subset \leq$ , we have  $i_{\nu}\{s,t\} \leq s,t$ , so to check (P4) we should show that  $x \leq s,t$  implies  $x \leq i_{\nu}\{s,t\}$ . We can assume that  $x \notin A_{\nu}$ .

If  $x \in Y$ , then  $x \leq^{R_1} s$  and  $x \leq^{R_1} t$ , i.e.  $g(x) \leq_{\nu} g(s), g(t)$  and so  $g(x) \leq_{\nu} i_{\nu} \{g(s), g(t)\} = i_{\nu} \{s, t\} = g(i_{\nu}\{s, t\})$ , and so  $x \leq^{R_1} i_{\nu} \{s, t\}$ . Thus  $x \leq i_{\nu} \{s, t\}$ .

If  $x \in A_{\mu} \setminus A_{\nu}$ , then  $x \leq^{R^2} s$  and  $x \leq^{R^2} t$ , i.e.  $g(x) \leq_{\nu} a \leq_{\nu} g(s)$  and  $g(x) \leq_{\nu} b \leq_{\nu} g(t)$  for some  $a, b \in A_{\Delta}$ . Then  $c = i_{\nu}\{a, b\} \in A_{\Delta}$ , and so  $g(x) \leq_{\nu} c \leq_{\nu} i_{\nu}\{g(s), g(t)\} = i_{\nu}\{s, t\} = g(i_{\nu}\{s, t\})$ , and so  $x \leq^{R^2} i_{\nu}\{s, t\}$ . Thus  $x \leq i_{\nu}\{s, t\}$ .

Finally (P5) holds in Case 1 because  $r_{\nu}$  satisfies (P5).

**Case 2.**  $\{s,t\} \notin [A_{\nu}]^2 \cup [A_{\mu}]^2$ .

To check (P4) we should prove that  $i\{s,t\}$  is the greatest common lower bound of s and t in  $\langle A, \preceq \rangle$ . Assume first that s and t are not twins. Note that by Claim 2.18, g(s) and g(t) are  $\preceq_{\nu}$ -compatible. Write  $v = i_{\nu}\{g(s), g(t)\}$ .

**Case 2.1.**  $v \in A_{\Delta}$ , and so  $i\{s, t\} = v$ .

Since  $v = g(v) \leq_{\nu} g(s)$  and  $v \in A_{\Delta}$ , we have  $v \leq^{R^2} s$ . Similarly  $v \leq^{R^2} t$ . Thus v is a common lower bound of s and t.

To check that v is the greatest lower bound of s, t in  $\langle A, \preceq \rangle$  let  $w \in A, w \preceq s, t$ . Then  $g(w) \preceq_{\nu} g(s), g(t)$ . Thus  $g(w) \preceq_{\nu} i_{\nu} \{g(s), g(t)\} = v$ .

Since  $v \in A_{\Delta}$ ,  $g(w) \preceq_{\nu} v$  implies  $w \preceq^{R_2} v$ . Thus  $w \preceq v$ . Thus (P4) holds.

To check (P5) observe that g(s) and g(t) are incomparable in  $A_{\nu}$ . Indeed,  $g(s) \leq_{\nu} g(t)$  implies  $v = g(s) \in A_{\Delta}$  and so  $g(s) \leq_{\nu} g(t)$  implies  $s \leq^{R2} t$ , which contradicts our assumption that s and t are  $\leq$ -incomparable.

Thus, by applying (P5) in  $r_{\nu}$ ,

$$\pi(v) \in \mathbf{f}\{g(s), g(t)\}.$$

Thus  $\pi(v) \in f\{s, t\}$  by Claim 2.21, and so (P5) holds.

**Case 2.2.**  $v \notin A_{\Delta}$ , and so  $i\{s, t\} = y_v$ .

First, we show that  $\delta_v = \delta_{g(s)} = \delta_{g(t)}$ . Note that if g(s) and g(t) are  $\leq_{\nu}$ -comparable, then v = g(s) or v = g(t), and we have that  $\delta_{g(s)} = \delta_{g(t)}$ , because otherwise we would infer from Claim 2.12 that s, t are  $\leq$ -comparable, which is impossible.

Now assume that g(s) and g(t) are  $\leq_{\nu}$ -incomparable.

If  $\delta_v < \delta_{g(s)}$ , then there is  $a \in A_{\Delta} \cap B_S$  with  $v \preceq_{\nu} a \preceq_{\nu} g(s)$  by Claim 2.12. Thus  $v = i_{\nu} \{a, g(t)\}$  and so  $v \in A_{\Delta}$  by Claim 2.13, which is impossible. Thus  $\delta_v = \delta_{g(s)}$ , and similarly  $\delta_v = \delta_{g(t)}$ . Hence

$$\delta_{g(s)} = \delta_{g(t)} = \delta_v.$$

And we have

$$\pi(y_v) \in E(J(\delta_v)) \cap [\gamma(\delta_v), \gamma(\delta_v)).$$

Then, if  $s, t \in F$  and  $cf(\delta_v) = \kappa^+$ , by condition ( $\blacktriangle$ ), we deduce that  $E(J(\delta_v)) \cap \gamma(\delta_v) \subset f\{s, t\}$ , and so as  $\pi(y_v) < \gamma(\delta_v)$ , we have  $\pi(y_v) \in f\{s, t\}$ . Otherwise,

$$E(J(\delta_v)) \cap \min(\pi(s), \pi(t)) \subset f\{s, t\}.$$

Then as  $v = i_{\nu} \{g(s), g(t)\}$ , we have  $\pi(v) < \pi(g(s)), \pi(g(t))$ , hence  $\pi(y_v) < \pi(s), \pi(t)$  and thus  $\pi(y_v) \in f\{s, t\}$ . Thus (P5) holds.

To check (P4) first we show that  $y_v \leq s, t$ . Indeed  $g(v) \leq_{\nu} g(s)$  implies  $y_v \leq^{R_1} s$ . We obtain  $y_v \leq^{R_1} t$  similarly.

Let  $w \leq s, t$ .

Assume first that  $\delta_{g(w)} < \delta_v$ . Since  $w \leq s, t$  we have  $g(w) \leq_{\nu} g(s), g(t)$  by Claim 2.18 and hence  $g(w) \leq_{\nu} i_{\nu} \{g(s), g(t)\} = v$ . By Claim 2.12 there is  $a \in A_{\Delta}$  such that  $g(w) \leq_{\nu} a \leq_{\nu} v$ . Thus  $w \leq^{R_2} y_v$ .

Assume now that  $\delta_{g(w)} = \delta_v$ .

Then, we have that  $w \in Y$ . To check this fact, assume on the contrary that  $w \in A_{\nu} \cup A_{\mu}$ . So, we have  $\delta_w = \delta_{g(w)} = \delta_v = \delta_{g(s)} = \delta_{g(t)}$ . Note that if  $s \in Y$ , then  $\pi(s) \in [\underline{\gamma}(\delta_w), \gamma(\delta_w))$ , which contradicts the assumption that  $w \leq s$ . So  $s \notin Y$ , and analogously  $t \notin Y$ .

Assume that  $w \in A_{\nu}$ . If  $s \in A_{\mu}$ , as  $w \leq s$  there is  $b \in A_{\Delta}$  such that  $w \leq b \leq s$ , which is impossible because  $\pi(w) > \gamma(\delta_w) = \gamma(\delta_s)$  and  $[\gamma(\delta_s), J(\delta_s)^+) \cap \pi'' A_{\Delta} = \emptyset$ . Thus  $s \notin A_{\mu}$ . And by means of a parallel argument, we can show that  $t \notin A_{\mu}$ . So  $s, t \in A_{\nu}$ , which was excluded. Analogously,  $w \in A_{\mu}$  implies  $s, t \in A_{\mu}$ .

Therefore,  $w = y_z$  for some  $z \in A'$ . Then  $z \preceq_{\nu} g(s)$  and  $z \preceq_{\nu} g(t)$ , and so  $z \preceq_{\nu} i_{\nu} \{g(s), g(t)\} = v$ . Thus  $y_z \preceq^{R_1} y_v$ .

Now, assume that s and t are twins. So t = s' and  $i\{s, s'\} = y_s$ . If  $s \in F$  and  $cf(\pi(s)) = \kappa^+$ , we have that  $\pi(y_s) \in \overline{o}(\delta_s) \cap \gamma(\delta_s) \subset f\{s, s'\}$  by  $(\mathbf{\nabla})$ . Otherwise,  $\pi(y_s) \in o^*(\pi(s)) \cap o^*(\pi(s')) = f\{s, s'\}$ . Thus (P5) holds. To check (P4), it is clear that  $y_s \prec s, s'$ . So, assume that  $w \prec s, s'$ . If  $w = y_u \in Y$ , then as  $w \prec s$  we infer that  $u \preceq s$ , and thus  $w \preceq y_s$ . Now, suppose that  $w \in A_{\nu} \cup A_{\mu}$ . Then, there is  $b \in A_{\Delta}$  such that either  $w \preceq b \preceq s$  or  $w \preceq b \preceq s'$ . In both cases, we have  $w \preceq y_s$ .

So we proved Sublemma 2.22.  $\hfill\square$ 

Sublemma 2.23.  $\langle A, \preceq, i \rangle$  satisfies (P6).

**Proof.** Assume that  $\{s,t\} \in [A]^2$ ,  $s \leq t$  and  $\Lambda$  separates s from t, i.e.,

$$\Lambda^- < \pi(s) < \Lambda^+ < \pi(t)$$

We should find  $v \in A$  such that  $s \leq v \leq t$  and  $\pi(v) = \Lambda^+$ . Note that since  $s \leq t$ , we have  $\delta_{g(s)} \leq \delta_{g(t)}$  by Claim 2.11. We can assume that  $\{s,t\} \notin [A_{\nu}]^2 \cup [A_{\mu}]^2$  because  $r_{\nu}$  and  $r_{\mu}$  satisfy (P6). We distinguish the following cases.

Case 1.  $\delta_{g(s)} < \delta_{g(t)}$ .

As  $g(s) \leq_{\nu} g(t)$ , there is  $a \in A_{\Delta} \cap B_S$  with  $g(s) \leq_{\nu} a \leq_{\nu} g(t)$  by Claim 2.12.

Case 1.1.  $\pi(a) \in \Lambda$ .

Thus  $\Lambda$  separates a from g(t).

Applying (P6) in  $r_{\nu}$  for a and g(t) and  $\Lambda$  we obtain  $b \in A_{\nu}$  such that  $a \preceq_{\nu} b \preceq_{\nu} g(t)$  and  $\pi(b) = \Lambda^+$ . Note that as  $\pi(a) \in \Lambda, a \in A_{\Delta}$  and  $\pi(b) = \Lambda^+$ , we have that  $\pi(b) \in Z$ . Thus  $b \in A_{\Delta}$  by (H). Thus  $g(s) \preceq_{\nu} b \preceq_{\nu} g(t)$  implies  $s \preceq^{R2} b \preceq^{R2} t$ , and so  $s \preceq b \preceq t$ .

Case 1.2.  $\pi(a) \notin \Lambda$ .

If  $\Lambda^+ = \pi(a)$ , then we are done because  $g(s) \leq_{\nu} a \leq_{\nu} g(t)$  implies  $s \leq a \leq t$ . So we can assume that  $\Lambda^+ < \pi(a)$ . Since  $r_{\nu}$  and  $r_{\mu}$  satisfy (P6) and  $\Lambda$  separates s from a, we can assume that  $s \notin A_{\nu} \cup A_{\mu}$ .

Hence  $s = y_{g(s)}$  and  $\Lambda$  separates g(s) from a because  $\pi(s) \in J(\delta_{g(s)}) \subset \Lambda$ . (If  $\Lambda \subsetneq J(\delta_{g(s)})$ , then  $\Lambda^- < \pi(s) < \Lambda^+$  is not possible.)

Thus there is  $b \in A_{\nu}$  such that  $g(s) \leq_{\nu} b \leq_{\nu} a$  and  $\pi(b) = \Lambda^+$ . Since  $\delta_{g(s)} \in Z_0$ , we have  $\pi(b) \in Z$ , and so  $b \in A_{\Delta}$  by (H). Thus  $s = y_{g(s)} \leq^{R_1} b \leq^{R_2} t$ , and so  $s \leq b \leq t$ .

Case 2.  $\delta_{g(s)} = \delta_{g(t)}$ .

We will see that this case is not possible.

Case 2.1.  $s \in A_{\nu}$ .

Note that if  $t \in A_{\mu}$ , then since  $s \leq t$  there is  $b \in A_{\Delta}$  such that  $s \leq b \leq t$ , which is impossible because  $\pi(s) > \gamma(\delta_s)$  and  $[\gamma(\delta_s), J(\delta_s)^+) \cap \pi'' A_{\Delta} = \emptyset$ . Thus  $t \notin A_{\mu}$ .

Since  $s \in A_{\nu}$ ,  $s \leq t$  and  $\delta_s = \delta_{g(t)}$  we have  $t \notin Y$ , and so  $t \in A_{\nu}$ , which was excluded.

By means of a similar argument, we can show that  $s \in A_{\mu}$  is also impossible.

Case 2.2.  $s = y_{g(s)}$ .

Then  $\pi(s) \in E(J(\delta_{g(s)}))$  and so  $\Lambda^- < \pi(s) < \Lambda^+$  implies  $J(\delta_{g(s)}) \subset \Lambda$ . But then  $\pi(t) \leq \Lambda^+$ , so  $\Lambda$  can not separate s from t.

Thus (P6) holds.

So we proved Sublemma 2.23.  $\Box$ 

Thus we proved that r is a common extension of  $r_{\nu}$  and  $r_{\mu}$ . This completes the proof of Lemma 2.8, i.e.  $\mathcal{P}$  satisfies  $\kappa^+$ -c.c.  $\Box$ 

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