

1 **Genome-wide compound heterozygote analysis highlights *DPY19L2* alleles in a non-**  
2 **consanguineous Spanish family with total globozoospermia**

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18 **Key words:** globozoospermia; male infertility; non-consanguinity; Genome wide association  
19 studies (GWAS); genetic diagnosis; sperm ultrastructure.

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22 **ABSTRACT**

23 **Research question:** Would the use of genome-wide genotyping be an advantageous strategy to  
24 identify the molecular aetiology of two brothers from a non-consanguineous family, clinically  
25 diagnosed with total globozoospermia?

26 **Design:** Two related Spanish globozoospermic patients were studied. Eight first- and second-  
27 degree family members were also included in the study. The clinical procedure included  
28 anamnesis, physical examination, and semen analyses. Acrosome visualization was performed  
29 by FITC-PSA labelling and ultrastructural TEM and SEM sperm analysis. Sperm DNA  
30 fragmentation was determined by TUNEL and SCD. Molecular analysis included: the detection  
31 of deletion of *DPY19L2* gene by a BPa gap-PCR; and genotyping by using a high-throughput  
32 genome-wide genotyping platform and a genotype imputation strategy.

33 **Results:** The biological characteristics of the two globozoospermic siblings included round-  
34 shaped sperm head without acrosome; ultrastructural defects in sperm; increased sperm  
35 fragmentation and aneuploidies, inability of sperm to activate oocytes (correctable with artificial  
36 activation) and good developmental potential of IVF-ICSI generated embryos. Our genetic study  
37 focused on a genome-wide compound heterozygote analysis which identified two deleterious  
38 rare coding-variants in *DPY19L2* gene [rs771726551 (c.431T>A exon3) and rs147579680  
39 (c.869G>A exon8)].

40 **Conclusion:** Genome-wide compound heterozygote analysis strategy should be considered for  
41 molecular screening in globozoospermia and other rare congenital diseases, particularly in cases  
42 from non-consanguineous families.

## 43 INTRODUCTION

44 While most cases of male infertility are associated with quantitative defects leading to the  
45 absence of sperm or a reduction in sperm number, a significant number of cases of male  
46 infertility are caused by morphological or qualitative defects of sperm affecting its fertilizing  
47 ability. Morphological characterization of sperm defects during routine light microscopic  
48 examination of semen samples permits the definition of specific teratozoospermic phenotypes.  
49 Globozoospermia (OMIM 613958) is a severe form of monomorphic teratozoospermia  
50 characterized by a round-shaped sperm head without acrosome (best visualized by transmission  
51 electron microscopy) which negatively impacts the function of the male gamete, leading to the  
52 sperm being unable to fertilize an oocyte (Dam *et al.* , 2007a). Other reported defects involve  
53 the sperm cell cytoskeleton such as: a round nucleus; absence of the post-acrosomal sheath and  
54 their associated proteins; separation of the nuclear membranes; residual cytoplasmic  
55 body/droplet surrounding the nucleus or the midpiece; and frequently coiled tails. It is a very  
56 rare form of infertility, with an estimated incidence of 0.1% of all cases of male infertility (Dam  
57 *et al.*, 2007a); being 0.03% the globozoospermia prevalence in Spanish population (from a  
58 cohort of 12094 studied infertile patients in our Center, unpublished results).

59 Assisted reproduction technology (ART) allows many infertile couples to parent their biological  
60 children. A precise diagnosis of infertile couples is essential for the correct clinical management  
61 of these cases, and to choose the better ART option. The morphological defects shown in  
62 globozoospermic sperm, which are unable to adhere and penetrate the zona pellucida, are  
63 related to a worsening of spontaneous conception, accordingly, intracytoplasmic sperm  
64 microinjection (ICSI) has been proposed as the best ART option for these patients, however,  
65 fertilization, pregnancy and live-birth success rates remain low. The first explanation for the low  
66 rate of fertilization is the reduction or the absence of the phospholipase C zeta (PLC $\zeta$ ), a sperm  
67 phospholipase responsible for oocyte activation (Escoffier *et al.* , 2015), thus ICSI associated with  
68 artificial oocyte activation (AOA) has been implemented in ART treatment for these patients. A  
69 second explanation is that it may be due to sperm DNA damages related to defective chromatin  
70 condensation and DNA fragmentation described in globozoospermic sperm cells (Dam *et al.*,  
71 2007a, Yassine *et al.* , 2015).

72 Most publications describing this abnormal sperm morphology disease deal with patients who  
73 produced ejaculates showing 100% round sperm heads lacking an acrosome. Nevertheless,  
74 having a variable proportion of round headed spermatozoa in ejaculate has been described in a

75 significant number of patients. Whether this 'partial' globozoospermia is an incomplete form of  
76 the same syndrome or a separate disorder remains to be elucidated (Dam *et al.*, 2007a).

77 A recessive genetic basis has been proposed at least for total and for >50% globozoospermia  
78 (Celse *et al.*, 2021). It has been shown that *DPY19L2* (Dpy-19 Like 2) deletion accounts for 80%  
79 of total globozoospermia, although mutations in Golgi related genes associated with acrosome  
80 biogenesis such as *DPY19L2*, *SPATA16* (spermatogenesis associated 16) (Dam *et al.*, 2007b),  
81 *PICK1* (protein interacting PRKCA1) (Liu *et al.*, 2010), *ZBP1* (Zona Pellucida Binding Protein 1)  
82 (Yatsenko *et al.*, 2012) have been also described, supporting a monogenic contribution to  
83 globozoospermia. Based on published data, research of homozygous *DPY19L2* deletions is  
84 widely proposed as the first-line genetic analysis in globozoospermic patients. Conversely, in the  
85 absence of this alteration, full *DPY19L2* sequencing or the investigation of other genes such as  
86 *SPATA16* are questionable due to the very low number of reported mutations, thus whole  
87 exome sequencing (WES) or whole genome sequencing (WGS) analysis has been proposed as a  
88 logical next step of study (Ray *et al.*, 2017).

89 In the present study, we investigate if the use of a genome-wide genotyping strategy would  
90 identify the molecular aetiology of a non-consanguineous Spanish family with two affected  
91 brothers who both present a homogeneous phenotype with ≈100% round-headed sperm; and  
92 we provide the description of related sperm ultrastructure and the ART treatment outcome.

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94

95 **MATERIALS AND METHODS**

96 ***Subjects***

97 Two related globozoospermic patients were recruited from the Andrology Service of the  
98 Fundació Puigvert (Barcelona, Spain) and they gave their informed consent for the study, which  
99 was approved by the institutional ethical committee (June 2018; PR224/18). Eight first- and  
100 second-degree family members were also included in the study (Figure 1). They were Spaniards  
101 with European ancestry.

102 The clinical procedure for infertile patients included anamnesis, physical examination and  
103 semen analyses, performed in accordance with World Health Organization Guidelines (2010).  
104 Routine genetic study included karyotype for chromosomal aberration detection.

105

106 ***Pisum sativum agglutinin sperm labelling for evaluation of acrosome morphology***

107 Spermatozoa from 100-200µl of semen were washed and diluted with PBS to adjust the sperm  
108 concentration in the slide. Slide cells were fixed in ethanol for 20 min, allowed to dry and  
109 subsequently incubated with fluorescein isothiocyanate conjugated with *Pisum sativum*  
110 agglutinin (FITC-PSA) (50µg/ml in PBS) for 30 min. Slides were examined on a fluorescence  
111 microscope (Zeiss, Axioskop 40, Germany) at 100x.

112

113 ***Sperm DNA fragmentation assessment***

114 DNA fragmentation was evaluated using the TdT (terminal deoxynucleotidyl transferase)-  
115 mediated dUDP nick-end labelling (TUNEL) assay (Cell Death Detection Kit; Roche Diagnostics;  
116 Switzerland). Fluorescein isothiocyanate (FITC)-dUTP was used as the label according to the  
117 manufacturer's instructions and counterstained with ethidium bromide (10µg/µl). Sperm DNA  
118 fragmentation was also evaluated, as an alternative method, by using Sperm Chromatin  
119 Dispersion test (Halosperm, Halotech®; Spain). The upper reference limits of DFI for proven  
120 fertile donors tested in our laboratory were 30% (SCD) and 26% (TUNEL) respectively.

121

122 ***Fluorescent in situ hybridization (FISH)***

123 Sperm aneuploidy study analysis was performed at Reprogenetics (Barcelona, Spain) according  
124 to the previously described protocol (Sánchez-Castro *et al.* , 2009).

125

126 ***Transmission electron microscopy (TEM) and scanning electron microscopy (SEM)***

127 TEM analysis was performed as described elsewhere. Briefly a semen sample was diluted 1:5  
128 with 0.1 M phosphate buffer (pH 7.4) and fixed with 2.5% glutaraldehyde. Then, the samples  
129 were treated with OsO<sub>4</sub> (1%) and potassium ferrocyanide (0.8%), washed and dehydrated. After  
130 inclusion in epoxy resin, ultrafine sections were examined with electron microscope (JEOL J1010  
131 TEM) at 80kV. SEM was also performed in similarly treated samples, with the exception that  
132 samples were dried on a SEM support and then examined with a JEOL JSM7001 scanning  
133 electron microscope at 15kV.

134

135 ***In vitro fertilization (IVF)***

136 IVF-ICSI (in vitro fertilization/intracytoplasmic sperm injection) was selected as ART treatment  
137 for these patients.

138 Controlled ovarian stimulation was performed according to the standard procedures of the  
139 centre, using the GnRH antagonist protocol. Initial daily doses of 150–300 IU of gonadotropins  
140 (human menopausal gonadotropin, or recombinant human follicle stimulating hormone) were  
141 started on day 2 or 3 of the menstrual cycle. The initial dose of gonadotropins was decided based  
142 on female characteristics such as age, body mass index, baseline serum FSH, number of pre-  
143 antral follicles and the levels of anti-Müllerian hormone. Gonadotropin-releasing hormone  
144 antagonist (Centrotide® 0.25 mg/day) was added starting on the sixth day of the menstrual cycle  
145 and maintained until the day of human chorionic gonadotropin administration.

146 Oocyte retrieval was performed under sedation through transvaginal ultrasonography 34–36  
147 hours after administration of 250 µg of HCG. Cumulus-corona-oocytes complexes were treated  
148 with hyaluronidase, denuded, classified according to nuclear maturity and maintained in culture  
149 until ICSI. Ejaculated spermatozoa were selected by discontinuous gradient centrifugation  
150 (PureSperm®, Nidacon Int. AB, Gothenburg, Sweden) and resuspended in washing medium.  
151 Motile sperm were selected, immobilized, and microinjected with an Eppendorf  
152 micromanipulator under a 400X magnification. In some cycles, artificial oocyte activation was  
153 done immediately after ICSI by incubation with 10 µmol/L of 4-bromo-calcium ionophore  
154 A23187 (Sigma-Aldrich; USA) for 30 minutes, followed by extensive washing.

155 The injected oocytes were incubated individually for 16–18 hours at 37°C and 5.5% CO<sub>2</sub>, until  
156 confirmation of fertilization. Embryo quality was scored daily, and transfer of one or two

157 embryos was done at day 3 after oocyte retrieval with the help of ultrasound guidance. Luteal  
158 phase support was initiated in the same day of oocyte retrieval with 200 mg progesterone  
159 vaginal capsules and maintained daily. Embryos, that were not transferred, were cryopreserved  
160 with vitrification media (Kitazato; Japan) according to the manufacturer's instructions.

161

### 162 ***Detection of DPY19L2 deletion***

163 DNA was isolated from blood samples according to routine procedures. For detection of deletion  
164 of the entire *DPY19L2* gene, a BPa gap-PCR was performed using primers flanking the *DPY19L2*  
165 deletion (Figure 2A), generating a fragment of 1700 bp in deletion carriers and primers for exon  
166 10 as control for the presence or absence of *DPY19L2*, as previously described (Koscinski *et al.* ,  
167 2011). A positive control (a fertile man) and negative controls [homozygous carriers of *DPY19L2*  
168 deletion, kindly provided by Dr. Pierre Ray (Institute for Advanced Biosciences, University of  
169 Grenoble, and CHU Grenoble Alpes; France)] were also used.

170

### 171 ***Genome-wide genotyping, imputation and detection of rare coding variants***

172 DNA samples were genotyped using the Infinium™ Global Screening Array-24 v3.0 (GSA,  
173 Illumina; USA), following the manufacturer's protocol. All the individuals reached a genotyping  
174 call rate over 98% and genotype information was obtained for 660,906 single nucleotide  
175 polymorphisms (SNPs). After quality control (QC) analyses, we extended the number of genetic  
176 variants analysed through a genotype imputation process, evidencing association at genetic  
177 markers that are not directly genotyped. This imputation step was carried out in the TOPMed  
178 Imputation Server (<https://imputation.biodatacatalyst.nhlbi.nih.gov/>) and using the 'NHLBI  
179 Trans-OMICs for Precision Medicine' (TOPMed), comprising 97,256 individuals for a total of  
180 308,107,085 genetic variants, as a reference panel (Das *et al.* , 2016, Kowalski *et al.* , 2019).

181 After the corresponding QC, we obtained the genotype information of rare variants (minor allele  
182 frequency < 0.01 in a population of 1,073 Iberian fertile men), which were polymorphic in the  
183 family (minor allele present in at least 1 individual). Finally, we focused on the genetic variation  
184 located in coding sequences as defined in GENCODE V36 (GRCh38/hg38). These analyses were  
185 performed by the means of a Genome-Wide Association Study gold-standard software, Plink 1.9  
186 (Chang *et al.* , 2015) and the bedtools v2.27.1 toolset (Quinlan and Hall, 2010).

187

188 ***Compound heterozygosity analysis***

189 We implemented a bio-computational analysis pipeline to identify genetic variants found in  
190 homozygosity exclusively in the globozoospermic men. No variants fulfilling these criteria were  
191 found in the X chromosome or in spermatogenesis-related autosomal loci. Consequently, we  
192 then proceeded to identify gene loci which harboured rare genetic variants that might lead to  
193 compound heterozygosity, i.e., the presence of two different mutant alleles (one per  
194 chromosome) at a particular gene, only in the affected individuals but not in the rest of the  
195 family members (or the fertile population).

196

197 ***Sanger sequencing of the selected variants***

198 The imputed genotype information for each family member for two genetic variants located in  
199 the *DPY19L2* locus, was confirmed by direct automated sequencing. *DPY19L2* exons 3 and 8 were  
200 amplified using previously described PCR primers (Chianese *et al.* , 2015). Sequences analyses  
201 were carried out on a 3130 Genetic Analyzer sequencer (Applied Biosystems, Hitachi, Japan).

202

203 ***In silico analysis of sequence variants***

204 Multiple alignments were performed as implemented in Clustal Omega (Sievers *et al.* , 2011).  
205 We addressed the impact of the selected variants on protein function based on the predictions  
206 reported by the Polyphen and SIFT algorithms, which evaluate the effect of non-synonymous  
207 single amino acid substitutions. PolyPhen-2 calculates naïve Bayes posterior probabilities that  
208 the mutation is damaging and classifies the variant as benign, possibly damaging, or probably  
209 damaging based on the false positive rate thresholds (Adzhubei *et al.* , 2010). SIFT scores range  
210 from 0 to 1 and the amino acid substitution is predicted to be damaging (score  $\leq 0.05$ ) or  
211 tolerated (score  $> 0.05$ ) (Sim *et al.* , 2012).

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214

215 **RESULTS**

216 ***Sperm analysis***

217 Patients were two brothers (28 and 29 years old) from a non-consanguineous Spanish family  
218 who consulted for primary infertility for at least 18 months. No previous history of male  
219 infertility was diagnosed in their family although semen analysis identified mild  
220 teratozoospermia in one of the two cousins of the maternal side of the family (III.7, III.8; Table  
221 1) and asthenozoospermia in one maternal uncle (II.9, Table 1).

222 Detection of 100% round-shaped sperm heads of both infertile siblings was determined during  
223 routine light microscopic examination of the semen sample (Figure 3B, 3C; semen sample from  
224 a fertile individual was also assessed as control Figure 3A). The absence of acrosome was  
225 visualized by FITC-PSA labelling (Figure 3E, 3F compared with control Figure 3D) and thus, the  
226 two brothers were diagnosed with total globozoospermia. Subsequent ultrastructural sperm  
227 analysis confirmed the absence of acrosome and revealed the presence of redundant nuclear  
228 membrane and intermediate piece defects such as disorganization or absence of the  
229 mitochondrial sheath (Figure 3H, 3I; control Figure 3G). Other ultrastructural defects are  
230 associated with sperm flagellum, and an increased frequency of short, coiled and irregular  
231 flagella was determined (Figure 3K, 3L; control Figure 3J).

232 An increased sperm DNA fragmentation was observed, by using both TUNEL [values 34% (P1)  
233 and 43% (P2)] and SCD [values 37% (P1) and 28% (P2)] (Table 1). There were 0.68% (P1) and  
234 2.26% (P2) total sperm aneuploidies. Karyotype analysis showed no chromosomal aberration.

235

236 ***Molecular analysis***

237 DNA samples of the affected brothers (III.4, III.5) were used for genetic analysis. DNA from the  
238 parents (II.3, II.4) was also available as well as from other members of the maternal side of the  
239 family [grandparents (I.3, I.4), aunt (II.5), uncle (II.9) and cousins (III.7, III.8)] (Figure 1; Table 1).

240 First, patients were tested but were negative for recurrent *DPY19L2* deletion, not in  
241 homozygosis or heterozygosis (data not shown), thus we used a high-throughput genome-wide  
242 genotyping platform and a genotype imputation strategy to determine potential pathogenic  
243 genetic variants at a genome-wide scale (Figure 4). Primary analysis of GWAS allowed us to  
244 obtain the genotype information of 277,483 rare variants (minor allele frequency < 0.01 in a  
245 population of 1,073 Iberian fertile men), which were polymorphic in the family (minor allele

246 present in at least 1 individual). Then, we focused on the 2651 genetic variants located in coding  
247 sequences. After genome-wide analysis of these polymorphisms, 887 instances were identified  
248 as possibly involved in compound heterozygosity (at least two mutant alleles, one per  
249 chromosome, linked to one gene). But only two SNPs in the *DPY19L2* locus were both present  
250 in the globozoospermic brothers and not in the rest of the members of the family (Figure 4).  
251 These *DPY19L2* SNPs, which result in amino acid substitutions, were later confirmed by direct  
252 automatic sequencing: c.869G>A in exon 8 (p.R290H; rs147579680) and c.431T>A in exon 3  
253 (p.M144K; rs771726551) (Figure 2B, 2C, 2D), the latter is described as associated with  
254 globozoospermia for the first time in this report. The *in silico* mutant model predicts c.431T>A  
255 SNP as a clinically damaging missense mutation, similarly as c.869G>A (Figure 2D). Sanger  
256 sequencing was used to confirm the variants obtained from the imputed genotype information  
257 for the available family members (Figure 1; Table 1). Both uncle (II.9) and cousins (III.7, III.8)  
258 were carriers of *DPY19L2* SNP c.431T>A and neither of them presented a globozoospermic  
259 phenotype (Table 1). Subsequent mutation screening by GWAS in a cohort of 1037 Spanish  
260 fertile control individuals identified no carrier for either of these *DPY19L2* mutations.

261 SNP c.431T>A in *DPY19L2* was absent from the 1000 Genomes Project (1000G) (Auton *et al.* ,  
262 2015) and the Exome Aggregation Consortium (ExAC) databases (Karczewski *et al.* , 2017).  
263 However, it was identified at a very low frequency in European-related cohorts (Latino/Admixed  
264 American 7/31984 allele frequency; and European non-Finnish 5/126408 allele frequency)  
265 included in the Genome Aggregation Database (gnomAD) project (Karczewski *et al.* , 2020),  
266 compared to a higher allele frequency for the c.869G>A *DPY19L2* SNP (European non-Finnish  
267 41/128530 allele frequency). These variants are both found in males and females in a similar  
268 frequency. They are very rare SNPs in overall population.

269 Thus, the pathogenicity classification of these rare variants in an acrosome related gene make  
270 these heterozygous *DPY19L2* alleles the most likely cause of globozoospermia in this family.

271

## 272 **ART reproductive results**

273 A total of 4 IVF-ICSI cycles were performed using ejaculated spermatozoa from the two  
274 globozoospermic brothers (Table 2). In the first cycle (P1) of IVF-ICSI, 11 metaphases II (MII) were  
275 obtained and injected with motile sperm without achieving fertilization. In the second cycle, 12  
276 MII were injected, and 25% normal fertilization was obtained with artificial oocyte activation  
277 (AOA) using Ca<sup>2+</sup> ionophore A23187, transfer of 2 quality A embryos and pregnancy and delivery  
278 of a girl. In the third cycle, 6 MII, 16.7% fertilization (with AOA), pregnancy of one transferred

279 embryo and miscarriage at 8 weeks. In P2, a cycle of IVF-ICSI, 18 MII, fertilization 22% (with  
280 AOA), transfer of 2 embryos of qualities B and C, pregnancy and delivery of a girl was achieved.

281 To summarize, the sperm of globozoospermic siblings were unable to activate oocytes, which  
282 could be partially corrected with AOA. Generated embryos showed good developmental  
283 potential.

## 284 DISCUSSION

285 The first studies of consanguineous families allowed the identification of different genetic  
286 factors involved in the pathogenesis of globozoospermia, most of them related to proteins  
287 involved in the biogenesis of the acrosome (Coutton *et al.* , 2015, Dam *et al.*, 2007b, Kosciński  
288 *et al.*, 2011). To date, globozoospermia-associated mutations in *C2CD6*, *C7orf61*, *CCDC62*, *CCIN*,  
289 *DNAH17*, *GGN*, *PICK1*, *SPATA16*, *ZBPB1* have been identified, but alterations in the testis-specific  
290 *DPY19L2* gene, which encodes an eleven-domain transmembrane protein necessary for sperm  
291 head elongation and acrosome formation (Harbuz *et al.* , 2011, Pierre *et al.* , 2012) are by far the  
292 most frequent molecular etiologic factors for this condition. The described prevalence rate and  
293 distribution pattern of *DPY19L2* alterations is highly heterogeneous in the literature, probably  
294 due to context-dependency such as the geographical origin of affected patients, the severity of  
295 globozoospermia and the variable degrees of consanguinity of patients studied. In  
296 globozoospermic patients of European origin, *DPY19L2* gene defects have been identified in 74%  
297 of patients with >50% of round-headed spermatozoa, while *DPY19L2* diagnosis efficiency rose  
298 to 80% for cases with >90% of globozoospermia (Celse *et al.*, 2021). *DPY19L2* deletion account  
299 for 45-50% of alleles, thus the majority of globozoospermic patients are homozygous or  
300 compound heterozygous for this alteration: *DPY19L2* homozygous deletions were identified in  
301 36% of globozoospermic patients or compound heterozygous of *DPY19L2* deletion on one allele  
302 and *DPY19L2* mutation in the other (18.2%) (Celse *et al.*, 2021). Thirty deleterious *DPY19L2*  
303 variants have been described, accounting for approximately 20% of the pathological alleles (Ray  
304 *et al.*, 2017) (Celse *et al.*, 2021).

305 The results of the present study revealed that total globozoospermia can result from the  
306 transmission of heterozygous mutations in *DPY19L2* from non-consanguineous parents. In fact,  
307 in patients with rare recessive diseases, compound heterozygosity of pathogenic mutations is  
308 the most likely inheritance model if the parents are non-consanguineous. To our knowledge, we  
309 describe the first case of compound heterozygosis for 2 different *DPY19L2* mutations in  
310 globozoospermic patients of European ancestry. The first change, in exon 3, p.M144K implies  
311 the exchange of a hydrophobic, nonpolar, aliphatic amino acid to a positively charged  
312 hydrophobic one in position 144. Variant in exon 8 p.R290H is a recurrent missense mutation,  
313 that affects a highly conserved arginine which was described as essential for the C-  
314 mannosyltransferase activity of DPY-19, the *DPY19L2* ortholog in *Caenorhabditis elegans*  
315 (Buettner *et al.* , 2013, Ray *et al.*, 2017). Moreover, these changes affect the extramembrane  
316 domains (extramembrane 1 and 3 in the perinuclear space) of the protein and are predicted to  
317 greatly affect the properties of *DPY19L2*.

318 The *DPY19L2* gene, located on 12q14.2, has 22 exons encoding for a 11-transmembrane domain  
319 protein and is flanked by two low-copy repeats (LCRs) sharing 96.5% identity. A non-allelic  
320 homologous recombination process between those LCRs underlies the complete *DPY19L2*  
321 deletion related to globozoospermia (Elinati *et al.* , 2012, Stankiewicz and Lupski, 2002). On the  
322 other hand, these LCR or segmental duplications have been shown to significantly contribute to  
323 evolution by duplication of the functional gene. In fact, another functional gene, *DPY19L1*, and  
324 six pseudogenes have been described within those LCRs (Carson *et al.* , 2006).

325 The emergence of Next generation sequencing technologies (NGS) has made it possible to  
326 analyse many genes in a single procedure, but all sequencing technologies have limitations. WES  
327 and WGS allow the analysis of the genetic variation in every position of either the coding regions  
328 or the complete genome sequence. Despite the technical advances and the decreasing  
329 economic cost of sequencing, WES and WGS currently involve long and still expensive  
330 procedures that present some difficulties in identifying pathogenic variants related to segmental  
331 duplications and pseudogenes. Remarkably, in this report, instead of NGS we have applied an  
332 innovative approach to make the most out of the genotyping data obtained by a high-  
333 throughput genotyping assay, which is used in genome-wide association studies (GWAS). This  
334 genotyping platform is fast, has very low cost per sample and contains probes for hundreds of  
335 thousands of polymorphic positions in the genome. Additionally, we expanded the number of  
336 interrogated loci to millions using a huge reference panel and an imputation algorithm, a  
337 common procedure in GWAS. Contrary to standard GWAS approach, we did not analyse  
338 common genetic variation, but we focused only on rare polymorphic coding variants and, among  
339 them, on those loci which showed compound heterozygous inheritance patterns. By these  
340 means, we were able to identify the two *DPY19L2* mutations associated with globozoospermia  
341 and we propose that this strategy could be applied in similar contexts.

342 It is worth noting, however, that our GWAS-based approach relies on the availability of DNA  
343 samples and genome-wide genotype information from several family members. Moreover, the  
344 causal variants should be present either in the genotyping arrays or in the haplotypes included  
345 in the imputation panel. Thus, the presented strategy would not be able to identify *de novo*  
346 mutations in affected individuals and WES or WGS would be necessary in these cases.

347 We confirm the negative correlation between *DPY19L2* mutation-related-100%  
348 globozoospermia and conventional intra-cytoplasmic spermatozoa injection outcomes, and the  
349 necessity of artificial oocyte activation using Ca<sup>+2</sup> ionophores for a successful ART outcome. A  
350 higher rate of DNA fragmentation, probably associated with the absence of protamines and poor

351 sperm chromatin compaction (Yassine *et al.*, 2015), observed in these globozoospermic patients  
352 could lead to an impaired development potential of embryos (Yassine *et al.*, 2015), which could  
353 be a contributing factor to the absence of PLC $\zeta$ , for low-IVF success rate, as previously suggested  
354 for *DPY19L2* homozygous-deleted patients (Coutton *et al.*, 2015).

355 As expected, heterozygous *DPY19L2* mutations do not affect female reproduction in this family.  
356 In fact, *DPY19L2* is mainly expressed in the spermatids and in no female reproductive organs. To  
357 our knowledge, no woman with homozygous *DPY19L2* mutations has been described,  
358 suggesting the absence of any phenotype in those women.

359 Overall, we describe the first case of compound heterozygosis for 2 different *DPY19L2* mutations  
360 in globozoospermic patients of European origin. The biological and molecular characteristics of  
361 two siblings with globozoospermia are described, of which the following stand out: increased  
362 sperm fragmentation and sperm aneuploidies; inability of sperm to activate oocytes (which  
363 could be partially corrected with artificial oocyte activation similarly as described in  
364 globozoospermic patients with different *DPY19L2* mutational status); and good developmental  
365 potential of the generated embryos. All these data highlight the fact that compound  
366 heterozygous mutations in *DPY19L2* should be considered for molecular screening in  
367 globozoospermia, particularly in cases from families without consanguineous relationships, and  
368 currently unexplained cases of globozoospermia in non-consanguineous families may be due to  
369 *DPY19L2* mutations.

370 The identification of genetic factors in infertile couples is clinically relevant not only to improve  
371 ART treatment but also to determine the risk for the future offspring since these genetic defects,  
372 which would not have been inherited otherwise, can be transmitted to the progeny through  
373 assisted reproductive techniques.

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375

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381

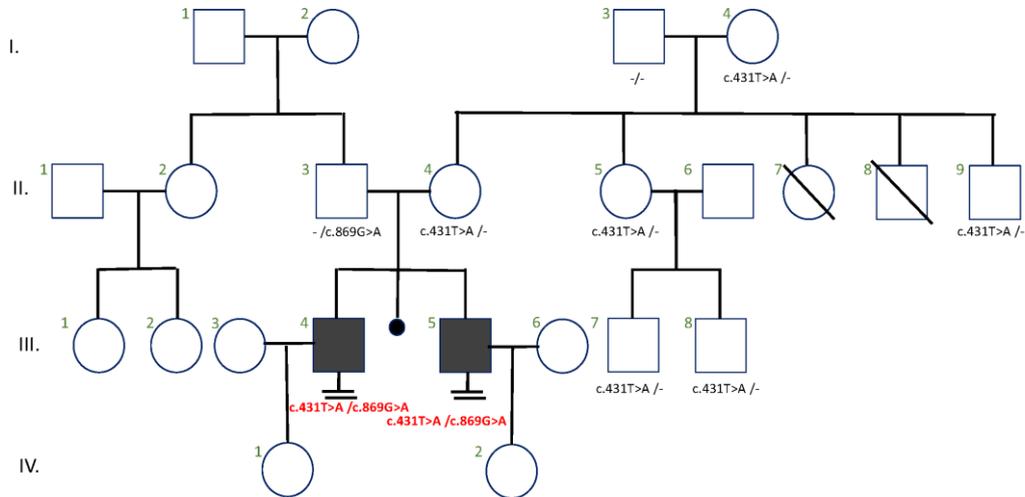
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392 **FIGURE LEGENDS**

393 **Figure 1. Pedigree of the Spanish family and segregation analysis of *DPY19L2* alleles**



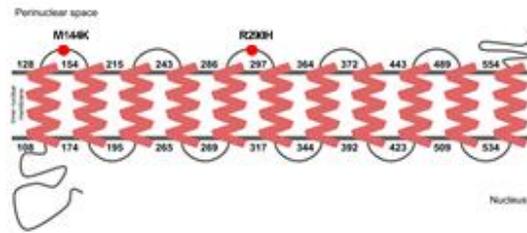
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395 **Figure 2. *DPY19L2* mutations in globozoospermic siblings.** A) Schematic representation of the  
 396 *DPY19L2* gene and the surrounding LCR regions. # symbol indicates the location of PCR  
 397 oligonucleotide sequences to amplify BPa fragment B) Electropherograms of *DPY19L2* exon 3,  
 398 and 8 showing the control and mutated sequences. C) Schematic representation of the 11-  
 399 transmembrane domain *DPY19L2* protein. The *DPY19L2* SNPs [c.431T>A in exon 3 (p.M144K;  
 400 rs771726551) and c.869G>A in exon 8 (p.R290H; rs147579680)] in globozoospermic brothers  
 401 affect amino acids in the extramembrane domains (extramembrane 1 and 3 in the perinuclear  
 402 space) of the protein. D) Structural model of *DPY19L2* obtained by the EBI tool (Jumper *et al.* ,  
 403 2021) showing the wild-type amino acids affected by the two sequence variants. These missense  
 404 mutations are predicted to greatly affect the properties of *DPY19L2*.

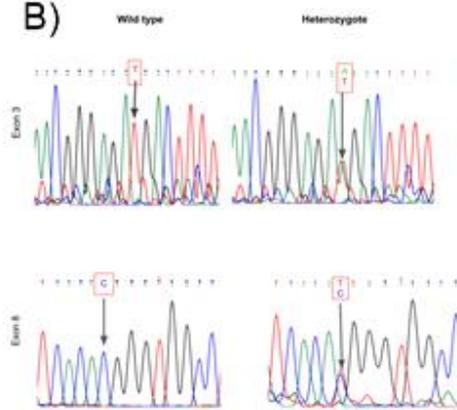
A)



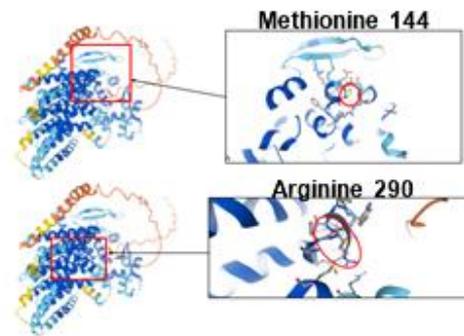
C)



B)



D)



406 **Figure 3. Round-shaped sperm head without acrosome in globozoospermic siblings**

407 Panels (A, D, G, J) show control spermatozoa, (B, E, H, K) P1 globozoospermic sperm and (C, F, I,

408 L) P2 globozoospermic sperm.

409 (A-C) Light microscopy. Conventional sperm analysis evidence round-shaped sperm head in P1

410 and P2 infertile patients

411 (D-F) Fluorescence microscopy. Fluorescein- pisum sativum agglutinin (FITC-PSA) labelling of

412 sperm evidence the absence of acrosome in globozoospermic sperm.

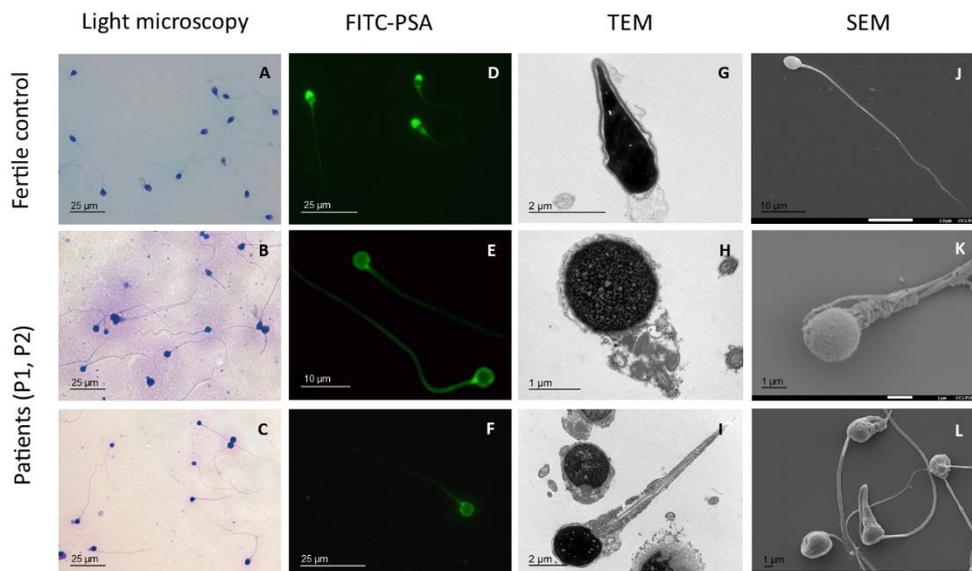
413 (G-I) Transmission electronic microscopy. Acrosome of control sperm is clearly identified (G). In

414 globozoospermic sperm, a round shape nucleus without acrosome is evidenced and

415 mitochondria disorganization at the midpiece of sperm

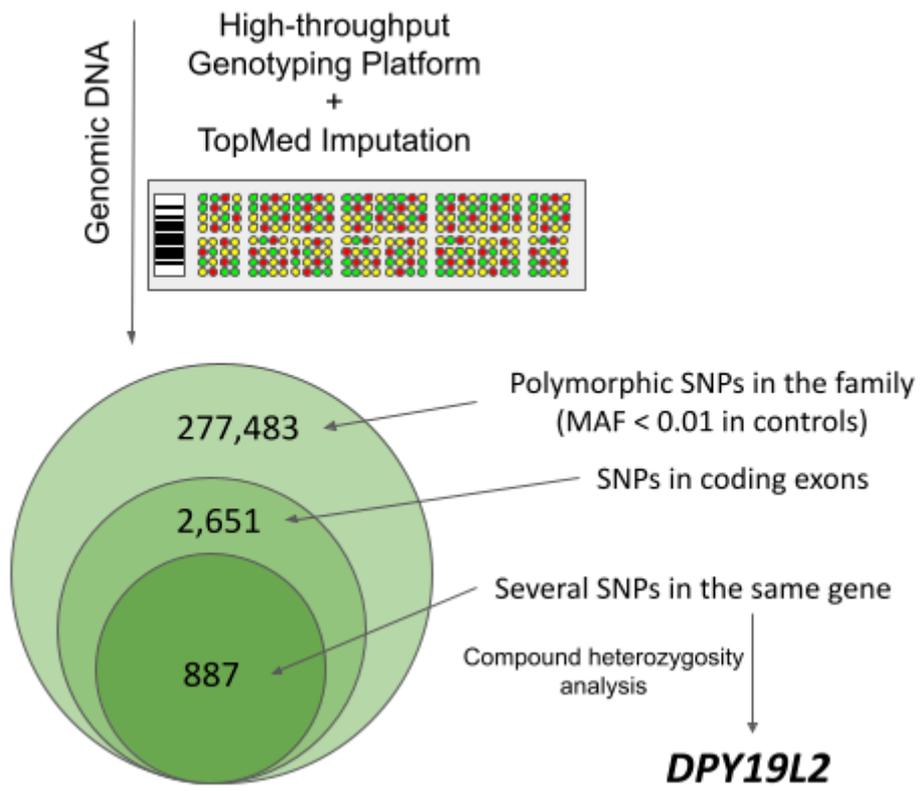
416 (J- L) Scanning electronic microscopy evidence additional morphological alterations at the

417 middle piece and tail of globozoospermic sperm



418

419 **Figure 4. Summary of results from GWAS bioinformatic analysis of globozoospermic brothers**



420

421

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