1	Binding of the anticancer drug BI-2536 to human serum albumin. A spectroscopic
2	and theoretical study
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18	Abstract

19 BI-2536 is a potent Polo-like kinase inhibitor which induces apoptosis in diverse human cancer cell lines. The binding affinity of BI-2536 for human serum albumin (HSA) 20 protein may define its pharmacokinetic and pharmacodynamic profile. We have studied 21 the binding of BI-2536 to HSA by means of different spectroscopic techniques and 22 23 docking calculations. We have experimentally observed that the affinity of BI-2536 for 24 HSA is higher than that of other common HSA binding drugs. Therefore, it can be postulated that the drug dose should be increased to achieve a certain concentration of 25 free drug in plasma, although BI-2536 could also reach tumour tissues by uptaking 26 HSA/BI-2536 complex. Only a single binding site on HSA has been observed for BI-27 2536 which seems to correspond to the subdomain IIA pocket. The formation of the 28

HSA/BI-2536 complex is a spontaneous and entropy-driven process that does not cause
a significant change of the secondary structure of the protein. Its endothermic character
could be related to proton release. Thermodynamic analysis showed that the main protein
- drug interactions are of the van der Waals type although the presence of amide and ether
groups in BI-2536 could also allow H-bonding with some residues in the subdomain IIA
pocket.

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Keywords: BI-2536; human serum albumin; fluorescence quenching; drug-protein
binding; ligand-protein docking

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#### 39 1. Introduction

40 BI-2536, a dihydropteridinone derivative, is a potent ATP-competitive Polo-like kinase 1 (PLK1) inhibitor which has already been studied in both preclinical and clinical phases 41 42 (see Fig. 1) [1-5]. PLK1 belongs to a large family of conserved serine/threonine protein 43 kinases and has been proposed as an attractive and novel anticancer drug target because 44 of its key role in processes such as mitosis and cytokinesis [5,6]. Thus, overexpression of PLK1 is tightly associated with the development of cancer in humans as breast, colorectal, 45 46 prostate and non-small cell lung cancer [5]. The biological activity of BI-2536 has been proved both in vitro and in vivo drawing great attention to its PLK1 inhibitory capacity 47 48 in diverse cancer cells. Apart from inhibiting PLK1, BI-2536 also cross-inhibits other kinases such as PLK2 and PLK3, although less efficiently. 49

Human serum albumin (HSA) is the most abundant protein of blood plasma and binds a wide variety of drugs and endogenous ligands. It plays a vital role in physiological processes like the regulation of colloidal osmotic pressure and the transport of numerous endogenous compounds such as fatty acids, hormones, bile acids, amino acids, metals and toxic metabolites [7-9]. HSA can alter the pharmacokinetic and pharmacodynamic properties of drugs, decrease their side effects, protect them against oxidation and improve targeting [9-11]. A certain degree of albumin-binding is required to solubilize some compounds that would otherwise aggregate and undergo a poor distribution. However, drugs with high affinity for HSA require higher doses to reach the effective concentration because only molecules in unbound form interact with therapeutic targets [11]. Hence, albumin-drug binding is an essential factor to determine the pharmacokinetics and pharmacological profile of drugs.

It has been reported that the altered organization of tumour vasculature results in 62 vascular leakage and the accumulations of macromolecules (> 40 kDa), preferentially 63 64 HSA, within the tumour interstitium [9,12-14]. Albumin is used by tumours as a source of energy and, therefore, when a HSA/drug complex is uptaken into a tumour, it is 65 metabolized delivering the drug [14]. In this sense, important applications of plasma 66 67 proteins on anticancer drug delivery have been reported [9,12-14]. For instance, Abraxane<sup>®</sup>, a paclitaxel-loaded in albumin nanoparticle, was approved for cancer therapy 68 by the European Commission in 2008 [14]. The aim of this work is to study the 69 association process of BI-2536 with HSA by means of steady state and time resolved 70 fluorescence (SSF and TRF, respectively), UV-Vis absorption and Fourier transform 71 72 infrared (FTIR) spectroscopies. HSA shows native fluorescence because of one tryptophan residue (TRP214) located in the subdomain IIA (also known as drug site 1, 73 see Fig. 1) [9,11]. The intrinsic fluorescence of proteins due to tryptophan is highly 74 75 sensible to its local environment. Changes in the emission spectra of tryptophan often occur in response to conformational transitions, subunit associations, substrate binding or 76 denaturalization [15]. Fluorescence quenching is therefore a useful method to study 77 binding processes between proteins and drugs. In order to get a deeper insight into the 78

- 79 interactions being established for the protein-ligand complex a biased docking protocol
- 80 followed by a second scoring was also performed.



Fig. 1. Chemical formula of BI-2536 showing the protonation sites and X-ray structure of human serum
albumin (pdb-entry 1AO6) [16].

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## 85 2. Material and methods

## 86 2.1. Chemicals

87 BI-2536 (≥99.5%) was supplied by MedChem Express. HSA (≥99%; fatty acids and

- 68 Globulin free), ibuprofen (> 98%) and warfarin (99.9%) were supplied by Sigma-Aldrich.
- 89 The samples were dissolved in 0.02 M Tris-HCl buffer solutions at pH 7.4 containing 0.1
- 90 M NaCl. Bis-Tris (Sigma) and NaCl (Panreac) had a purity of no less than 99.0%. Water
- 91 was purified in a Mili-RO System (Millipore).
- 92

#### 93 2.2. Equipment and spectral measurements

94 The UV-Vis absorption spectra of BI-2536 were recorded using a Cary 100 (Varian)

95 spectrophotometer in a 10 mm quartz cuvette, with a step of 1 nm and at room

temperature. Solutions of BI-2536 (10 µM) were prepared in different solvents. Small 96 97 volumes of concentrated HCl and NaOH solutions were also added to the aqueous solutions of BI-2536 in order to collect its spectra at different pHs. Fluorescence spectra 98 of the samples were recorded employing a FLS920 (Edinburgh Instruments) 99 spectrofluorometer equipped with a time correlated single photon counting (TCSPC) 100 detector. A Xe lamp of 450 W and a sub-nanosecond pulsed Light-Emitting Diode, 101 102 EPLED-290 (Edinburgh Photonics) were employed as light sources at 291 nm to record the SSF and TRF spectra. 103

For HSA/BI-2536 binding experiments, working solutions of HSA (5  $\mu$ M) were daily prepared in buffer solution and titrated in cuvette by successive addition of a BI-2536 solution (6.0 mM). The final concentration of BI-2536 in HSA solution varied from 0.0 to 50.0  $\mu$ M (the [BI-2536]:[HSA] ratios were 0; 1; 2; 3; 5; 7; and 10). For SSF spectra, the excitation wavelength chosen was 295 nm to avoid the excitation of tyrosine and the emission fluorescence intensity was collected at 320 nm. Tryptophan fluorescence from HSA was corrected for the inner filter effect through

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$$F_{corr} = F_{obs} \, 10^{(A_{exc} + A_{em})/2} \tag{1}$$

where  $F_{corr}$  and  $F_{obs}$  are the corrected and observed fluorescence intensities, and  $A_{ex}$  and A<sub>em</sub> are the absorbance of the system at excitation and emission wavelengths (295 and 320 nm, respectively) [15]. The excitation and emission slits were fixed at 1 and 5 nm, respectively. The step and dwell time were 1 nm and 0.1 s, respectively. Temperature was controlled within 298 – 310 K by a temperature-controlled cuvette holder, TLC 50 (Quantum Northwest). Each experiment was repeated at least four times.

118 TRF emission was also collected at 320 nm. The fluorescence intensity decay, I(t), 119 was fitted to the following multiexponential function using an iterative least square fit 120 method

$$I(t) = \sum_{i=1}^{n} \alpha_i \exp\left(-t/\tau_i\right) \tag{2}$$

where  $\alpha_i$  and  $\tau_i$  are the amplitude and lifetime for each *i*th term. The mean lifetime of the decay was then calculated as

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$$\tau_m = \frac{\sum_{i=1}^n \alpha_i \tau_i^2}{\sum_{i=1}^n \alpha_i \tau_i} \tag{3}$$

FTIR measurements were carried out at room temperature using a 640-IR (Varian) spectrophotometer equipped with an attenuated total reflection (ATR) accessory. The spectra of HSA (1 mM), BI-2536 (1 mM) and HSA+BI-2536 (1 mM, molar ratio of 1:1) solutions were recorded with resolution of 4 cm<sup>-1</sup> and 64 scans. HSA+BI-2536 spectrum was corrected with the absorbance spectra of both the buffer solution and free BI-2536.

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# 131 2.3. Analysis of fluorescence quenching measurements

132 Fluorescence quenching is generally described by the Stern-Volmer equation

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$$F_0/F = 1 + K_{SV}[Q] = 1 + k_q \tau_0[Q]$$
 (4)

where the fluorescence intensity F decreases as a function of the quencher concentration [Q] and F<sub>0</sub> corresponds to the fluorescence intensity in absence of quencher. K<sub>SV</sub>,  $k_q$  and  $\tau_0$  are the Stern-Volmer quenching constant, the quenching rate constant and the fluorescent lifetime of the biomolecule in absence of quencher, respectively [15].

In many cases the fluorescent biomolecule can be quenched, not only by collisions, but also by complex formation with the quencher, what is called static quenching. In those cases, an upward curvature in the plot of F<sub>0</sub>/F *vs*. [Q] is commonly observed [15]. Fluorescence lifetime measurements also allow analysing the contribution of the static mechanism.  $\tau_0$  is only affected by the dynamic quenching, while the static mechanism does not produce changes in  $\tau_0$  [15]. For static quenching, the relationship between fluorescence intensity and [Q] is described by the following equation

$$\log \frac{(F_0 - F)}{F} = \log K_a + n \log[Q]$$
(5)

where *n* is the number of binding sites and  $K_a$  corresponds to the binding constant [17-147 19].

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## 149 2.4. Density functional theory (DFT) calculation details

DFT calculations were carried out to assign the BI-2536 spectra recorded at different pHs 150 151 and analyze the neutral and protonated states of the drug. A previous thorough 152 conformational analysis involving up to 14 different conformers was carried out for the neutral state of BI-2536 in gas phase to obtain the lowest energy conformation. The 153 protocol consisted of two steps, i.e. (i) random conformational search with Avogadro [20] 154 155 and (ii) geometry optimization of the lowest energy conformers, obtained in the previous step, with Gaussian (revision C.01) [21]. The nature of the stationary points was assessed 156 by means of the normal vibration frequencies calculated from the analytical second 157 158 derivatives of the energy. PBE0 method [22,23] as implemented in Gaussian09 along with the 6-31G\* and 6-31+G\*\* basis sets were used for the conformational analysis and 159 160 the subsequent optimization of the molecular structure of BI-2536 in its neutral and protonated states. The 6-31+G\*\* basis set is especially recommended in calculations 161 involving anionic species [24]. Polarizable Continuum Model (PCM) was employed to 162 163 include the solvent (water) effect [25,26].

 $\Delta G^0$  was calculated for different protonation equilibria of BI-2536 to analyse their thermodynamics. A free energy of -270.28 kcal mol<sup>-1</sup> in aqueous solution was used for the solvation energy of the proton following the recommendation of Camaioni and Schwerdtfeger [27]. For the most thermodynamically-favoured species, the electronic transitions were calculated at the time-dependent (TD)-PBE0/6-31+G\*\* level (including solvent effects). TD-PBE0 has previously been successfully employed to calculate lowenergy transitions for conjugated organic compounds when solvent effects are taken intoaccount through the PCM approach [28-31].

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## 173 2.5. Docking methodology

The program Autodock Vina [32] as implemented within Chimera [33] was initially 174 175 applied to the experimental X-Ray structure of the complex HSA-warfarin in order to 176 check the suitability of our docking protocol. Thus, the initial X-Ray structure, PDB code 2BXD [11], was downloaded into Chimera from the Protein Data Bank [34]. The system 177 was prepared with the Dock Prep utility of Chimera with default parameters. This utility 178 179 prepared both the protein and ligand adding missing hydrogens and assigning charges (Amber ff14SB charges for the protein and AM1-BCC charges for the ligand). Taking 180 into account that the  $pK_a$  of warfarin is 5.08 a total charge of -1 was assigned to warfarin. 181 182 Once the ligand and receptor were prepared Autodock Vina was run defining a search box of 20 Å<sup>3</sup> around a central C of warfarin, with default parameters for ligand and 183 184 receptor, requiring 10 binding modes with exhaustiveness of search equal to 8. Flexibility of sidechains of the receptor was not taken into account. The initial HSA/BI-2536 185 186 complex was manually constructed superimposing the pteridine core of BI-2536 to the 187 chromene core of warfarin in the experimental HSA-warfarin complex, using as initial conformation for BI-2536 that determined by the DFT calculations. The preparation and 188 189 docking processes used the same protocol as before, and a total charge of 0 was assigned to BI-2536. 190

A second step was conducted on the 10 obtained docked structures for the HSA/BI-2536 complex by means of the Amber 14 suite of programs [35]. Thus, each of the 10 complexes were prepared with the Antechamber and Leap modules of the AmberTools14 [35] package, using the Amber ff14SB [36] and gaff [37] force fields for

the protein and the ligand, respectively. Periodic boundary conditions through the 195 196 particle-mesh Ewald method [38] for the treatment of the long-range electrostatic interactions were applied, and a cut-off distance of 9 Å was selected to compute non-197 bonded interactions. The solvent was considered explicitly using TIP3P [39] water 198 molecules with a minimum distance from the edge of the box of 15 Å and removing those 199 water molecules closer than 2.2 Å from any atom. Complexes were energy minimized 200 201 with the sander module of Amber 14 [35] following a three steps protocol which first 202 minimizes only water molecules, counterions and the ligand (5000 steepest descent steps), later also side chains are allowed to move (5000 steepest descent steps), and ends 203 204 with 5000 (steepest descent) steps allowing the whole system to move.

205 Minimized structures were employed to predict the binding free energy ( $\Delta G_{bind}$ ) of each 206 of the predicted poses of warfarin and BI-2536 docked to HSA according to the 207 MMPBSA and MMGBSA methodologies, as implemented in the MMPBSA.py module 208 of the AmberTools 14 package [35]. Thus, the binding free energy is computed as the 209 difference

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$$\Delta G_{\text{bind}} = \Delta G_{\text{complex}} - (\Delta G_{\text{protein}} + \Delta G_{\text{ligand}}) \tag{6}$$

and each term can be estimated as follows

$$\Delta G = \Delta G^0 + \Delta G_{sol} = \Delta H^0_{MM} - T\Delta S^0 + \Delta G_{sol}$$
(7)

with the 0 superscript referring to values *in vacuo*, being  $\Delta H^0_{MM}$  the molecular mechanics energy,  $\Delta G_{sol}$  the solvation free energy, and  $T\Delta S^0$  the entropic contribution. Taking into account the high computational cost to obtain the entropic term, it was not calculated and these results should be analysed as relative. The molecular mechanics energy is in turn calculated as a sum of the internal, electrostatic and van der Waals interactions:

218 
$$\Delta H^0_{MM} = \Delta H^0_{int} + \Delta H^0_{ele} + \Delta H^0_{vdw}$$
(8)

219 while the solvation free energy is obtained from the polar and nonpolar contributions

220	$\Delta G_{sol} = \Delta G_{ele,sol} + \Delta G_{nonpol,sol}$	(9)

221	The polar contribution to solvation free energy can be calculated by solving the I	Poisson-
222	Boltzmann (PB) equations [40] in the case of MMPBSA (using values of 1 and 80	0 for the
223	interior and exterior dielectric constants, respectively), or by using the generaliz	ed Born
224	(GB) approach (option igb=5 as implemented in Amber 14) [41] for MMGBSA.	
225	Finally, the nonpolar contribution to solvation free energy is determined	through
226	the solvent accessible area (SASA, $Å^2$ ) according to	
227	$\Delta G_{nonpol,sol} = \gamma SASA + b$	(10)
228	where $\gamma$ and $b$ are both assigned default values.	
229		
230	3. Results and discussion	
230 231	<ol> <li>Results and discussion</li> <li>Spectroscopic characterization and deprotonation equilibria of BI-2536</li> </ol>	
230 231 232	<ul> <li>3. Results and discussion</li> <li>3.1. Spectroscopic characterization and deprotonation equilibria of BI-2536</li> <li>Fig. 2 shows the UV-Vis absorption spectra of BI-2536 recorded in different second second</li></ul>	olvents.
230 231 232 233	<ul> <li>3. Results and discussion</li> <li>3.1. Spectroscopic characterization and deprotonation equilibria of BI-2536</li> <li>Fig. 2 shows the UV-Vis absorption spectra of BI-2536 recorded in different s</li> <li>The absorption maximum (λ<sub>ab</sub><sup>max</sup>) of BI-2536 corresponds to the HOMO→LUMO</li> </ul>	colvents. Ο (π,π*)
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230 231 232 233 234 235 236	3. Results and discussion 3.1. Spectroscopic characterization and deprotonation equilibria of BI-2536 Fig. 2 shows the UV-Vis absorption spectra of BI-2536 recorded in different s The absorption maximum ( $\lambda_{ab}^{max}$ ) of BI-2536 corresponds to the HOMO $\rightarrow$ LUM transition and is slightly red-shifted in polar solvents (see Table 1). Nevertheless, red-shift of the fluorescence emission maximum in polar solvents was observed ( 3 and Table 1). This may be due to stabilization of the excited state in polar solve	solvents. O $(\pi,\pi^*)$ a strong see Fig. ents that
230 231 232 233 234 235 236 237	3. Results and discussion 3.1. Spectroscopic characterization and deprotonation equilibria of BI-2536 Fig. 2 shows the UV-Vis absorption spectra of BI-2536 recorded in different so The absorption maximum ( $\lambda_{ab}^{max}$ ) of BI-2536 corresponds to the HOMO $\rightarrow$ LUMO transition and is slightly red-shifted in polar solvents (see Table 1). Nevertheless, red-shift of the fluorescence emission maximum in polar solvents was observed ( 3 and Table 1). This may be due to stabilization of the excited state in polar solven in donor-acceptor molecules is generally associated to intramolecular charge	colvents. O $(\pi,\pi^*)$ a strong (see Fig. ents that transfer



Fig. 2. UV-Vis absorption spectra of BI-2536 in different solvents (concentration of the samples was 10 μM).
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Fig. 3. Fluorescence emission spectra of BI-2536 in different solvents. Concentration of the samples was  $10 \,\mu\text{M}$  with the exception of the mixture of BI-2536 (50  $\mu\text{M}$ ) and HSA (5  $\mu\text{M}$ ).

254 Table 1

Maximum absorption and emission wavelengths ( $\lambda_{ab}^{max}$  and  $\lambda_{em}^{max}$ ) found for BI-2536 in different solvents.

Solvent	$\lambda_{ab}^{max}$ (nm)	$\lambda_{em}^{max}$ (nm)
n-hexane	324	363
dichloromethane	333	382
ethanol	331	377
acetonitrile	328	428
water (pH $\ge$ 13)	340	410

258 In water solution, three different absorption spectra were recorded for BI-2536 at different pH values and fluorescence emission was only observed at  $pH \ge 13$  (see Fig. 3) 259 and 4). In contrast, the fluorescence signal of BI-2536 was detected in presence of HSA 260 261 at pH = 7.4 (see Fig. 3). This interesting behaviour along with the deprotonation equilibria of BI-2536 was investigated before carrying out binding experiments between BI-2536 262 263 and HSA. BI-2536 is a molecule containing some secondary and tertiary amino groups and, therefore, it can accept a variable number of protons as a function of the pH of the 264 265 medium. Chart 1 shows the five protonation sites excluding the nitrogen atoms belonging 266 to amide groups. In table 2,  $\Delta G_i$ ,  $\Delta G_{ii}$  and  $\Delta G_{iik}$  correspond to the Gibbs free energy 267 difference of the first, second and third protonation equilibria, respectively, being i, j and k the protonation sites as numbered in Chart 1 (for the position 1 two different 268 269 enantiomeric products, 1a and 1b, were also calculated). Product 3 (monoprotonated 270 state), product 34 (diprotonated state) and product 345 (triprotonated state) are the most thermodynamically favoured species on the basis of the values obtained for  $\Delta G$  of the 271 different protonation equilibria (see Fig. 5). Table 3 shows the lowest electronic 272 273 transitions calculated for the neutral and protonated forms of BI-2536. Very close 274 energies were found for the electronic transitions of the neutral and monoprotonated forms and therefore the absorption spectrum of the species 1 which appears at  $pH \ge 13$ 275 cannot be exclusively assigned to any of the two protonation states (see Fig. 4). The 276 limited contribution of the terminal 1-methylpiperidine ring to the frontier molecular 277

orbitals (see Fig. 5) results in the similarity of the spectra of the neutral and monoprotonated forms. Species 2 appears within the range of pH 5-12 and was assigned to the diprotonated form of the drug (product 34). The protonation at position 4 results in a conjugation breaking, a blue-shift of the absorption band and the loss of the fluorescence signal. Finally, the absorption spectrum observed for the species 3 at pH  $\leq$  5 was assigned to the triprotonated form of the drug (product 345).



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**Fig. 4.** UV-Vis absorption spectra of BI-2536 at different pHs (concentration of the samples was 10  $\mu$ M). Species 1 at pH  $\geq$  13; species 2 within the range of pH 5-12; and species 3 at pH  $\leq$  5.

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290

#### 288 Table 2

289 Gibbs free energy calculated for the different protonation equilibria.

Protonation equilibria	Product	$\Delta G_i$ (kcal mol <sup>-1</sup> )	$\Delta G_{ij}$ (kcal mol <sup>-1</sup> )	$\Delta G_{ijk}$ (kcal mol <sup>-1</sup> )
First	la	17.9		
	1b	25.2		
	2	17.3		
	3	-6.9		
	4	-1.2		
	5	7.6		
Second	31a		19.0	
	31b		26.6	
	32		20.0	
	34		-0.1	
	35		8.2	
Third	341a			36.9
	341b			43.0
	342			37.9
	345			25.6



Fig. 5. Optimized molecular geometry of BI-2536 and its protonated forms. Frontier molecular orbitals of
 BI-2536 are also shown

#### 298 Table 3

Electronic transitions computed for BI-2536 in neutral, monoprotonated, diprotonated and triprotonated
 forms at the TD-PBE0/6-31+G\* level of theory including solvation effects.

chemical species	E (eV [nm])	E (eV [nm])	f	main component of the transition (% contribution)
	Experimental	Calculated		
neutral		3.73 [332]	0.9132	HOMO→LUMO (95%)
	3.65 [340]	4.11 [301]	0.1985	HOMO→LUMO+1 (88%)
monoprotonated	0 (5 50 40]	3.70 [335]	0.9103	HOMO $\rightarrow$ LUMO (95%)
	3.65 [340]	4.12 [301]	0.1832	HOMO→LUMO+1 (87%)
diprotonated		3.77 [328]	0.1936	HOMO $\rightarrow$ LUMO (97%)
	3.78 [328]	3.99 [310]	0.8647	HOMO $\rightarrow$ LUMO+1 (97%)
triprotonated	4.13 [300]	3.56 [348] 4.16 [298]	$0.0709 \\ 0.3574$	HOMO→LUMO (98%) HOMO→LUMO+1 (97%)

## 304 3.2. Fluorescence quenching of HSA by BI-2536 and binding site

305 Fig. 6 shows a large decrease in the HSA fluorescence intensity as a function of the BI-306 2536 concentration where the fluorescence signal of the protein practically disappears for the [BI-2536]:[HSA] ratio of 10:1. This strong quenching suggests that the drug closely 307 interacts with the single tryptophan residue at the distal end of the site 1 pocket [9,11,42]. 308 309 This assumption was also confirmed by competitive binding studies with warfarine and ibuprofen (vide infra). Site 1 is a pre-formed binding pocket within the core of subdomain 310 IIA, is bigger than the binding site 2 and is predominantly apolar but contains two clusters 311 312 of basic and polar residues in the bottom and in the entrance of the pocket [9,11]. Different 313 non-charged drugs such as oxyphenbutazone and phenylbutazone bind to the site 1 pocket and all of them have a planar group pinned snugly between the apolar side-chain of 314 315 Leu238 and Ala291 [11]. Some small drugs with acid groups such as 3-carboxy-4methyl-5-propyl-2-furanpropanoic acid (CMPF) also bind to site 1 in which some the 316 317 basic residues produce a charge neutralization and hydrogen bonding interactions with 318 acidic ligand [11]. Consequently, BI-2536 should not be able to bind directly to site 1 319 since it is a basic drug and is mainly protonated at the working pH. Nevertheless, a new 320 band centred at about 370 nm emerges with the increase of BI-2536 concentration in 321 presence of HSA (see Fig. 6) and corresponds to the fluorescence of BI-2536 which does not emit light in its diprotonated form at pH = 7.4. Hence, the fluorescence of BI-2536 in 322 323 presence of HSA may be originated by the binding of non-protonated BI-2536 molecules to hydrophobic pockets of the protein. In that case, a heterogeneous equilibrium in which 324 325 the non-protonated BI-2536 molecules are removed from the aqueous medium and bound to the protein should be stablished. That should cause an equilibrium shift and the 326 deprotonation of new drug molecules. In the hydrophobic environment of the protein, the 327

non-protonated BI-2536 molecule emits fluorescence and, consequently, the maximum 328 329 emission of BI-2536 in an apolar solvent such as *n*-hexane is close to that observed for a HSA (5  $\mu$ M) and BI-2536 (50  $\mu$ M) solution, in which the fluorescence of the protein has 330 been almost quenched. The binding stoichiometry for the HSA/BI-2536 complex was 331 analysed by the Jobs plot experiment (see Fig. 7) [43]. In that plot, the fluorescence 332 intensity of different protein/drug mixtures was measured (solutions at different molar 333 334 fraction of drug,  $x_{BI2536}$ , and total concentration [HSA] + [BI-2536] = 5  $\mu$ M). The samples were excited at 340 nm and emission signal was collected at 420 nm. Consequently, the 335 fluorescence intensity should mainly correspond to BI-2536 bound to HSA. The 336 337 prominent increase of the fluorescence intensity is observed at the equimolar concentrations of protein and drug and, hence, HSA should have approximately one 338 binding site for BI-2536. 339



340

**Fig. 6.** Effect of BI-2536 on the fluorescence emission spectrum of HSA (T = 310 K,  $\lambda_{exc}$  = 295 nm). [HSA] = 5  $\mu$ M; [BI-2536] = 5-50  $\mu$ M.



**Fig. 7.** Job plot for the complexation of BI-2536 and HSA. F corresponds to the fluorescence intensity of a solution of drug and protein at the molar fraction  $x_{BI2536}$ . F<sub>0</sub> = F<sub>HSA,0</sub> + F<sub>BI2536,0</sub> corresponds to the sum of the fluorescence intensity of a free protein solution (F<sub>HSA,0</sub>) and a free drug solution (F<sub>BI2536,0</sub>) at the molar fraction  $x_{BI2536}$ . The total concentration was 5  $\mu$ M ([HSA] + [BI-2536]) and the excitation and emission wavelengths were 340 and 420 nm, respectively.

Stern-Volmer plots of fluorescence quenching at different temperatures show 351 352 upward curvature, which is generally attributed to the existence of static quenching (see Fig. 8) [15]. Curved Stern-Volmer plots have been observed for different fluorescence 353 quenchers of serum albumins such as phenols, flavonoids, isoflavones and 354 hydroxycinnamic acids [44-49]. Apart from the static quenching, charges in the 355 fluorophore and quencher as well as the formation of closely spaced fluorophore-356 357 quencher pairs (which are not ground-state complexes but are immediately quenched and appear to be dark complexes) can also produce deviations from the classical Stern-Volmer 358 equation [15]. The study of the dependence of the protein fluorescence lifetime with the 359 quencher concentration, [Q], is also useful to estimate the relative contribution of the 360 static mechanism, because fluorescence lifetime is usually only affected by the dynamic 361 quenching [15]. Fig. 9 shows the fluorescence intensity decay for HSA in the presence of 362 the drug in a [BI-2536]:[HSA] ratio of 5:1. Fluorescence decay data measured for HSA 363

in the absence and presence of the drug at 298 K are collected in Table 4. Fluorescence 364 365 intensity decays were fitted to a tri-exponential function (n = 3 in eqn. (2)) and the distribution of the weighted residuals was random. A mean fluorescence lifetime,  $\tau_m$ , of 366 4.44 ns has been determined for free HSA at 298 K in our laboratory ( $\lambda_{exc} = 291$  nm;  $\lambda_{em}$ 367 = 320 nm). This value is lower than other previously reported fluorescence lifetimes for 368 HSA (6.58 ns) measured at 293 K by Tayed et al. since the three-dimensional protein 369 370 structure and, therefore, the fluorescence decay are in general dramatically affected by temperature [42]. No significant variations in the  $\tau_m$  of the protein was observed in 371 presence of BI-2536 (see Table 4). The low incidence of the collisional quenching to 372 373 TRP214 seems to suggest that the diprotonated form of BI-2536, the major species in solution, cannot penetrate directly into the binding site. 374

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Fig. 8. Stern-Volmer plots for the binding of BI-2536 to HSA (5μM). Steady state fluorescence experiments
 at different temperatures.



**Fig. 9.** Fluorescence intensity decay of HSA (1  $\mu$ M) in the presence of the ligand in a [BI-2536]:[HSA] ratio of 5:1 at 298 K. The black line corresponds to the fluorescence decay of the sample and the red line is the instrument response function (IRF). The green line represents the distribution of the weighted residuals.

385

#### 386 Table 4

Fluorescence decay data measured for HSA in the absence and presence of BI-2536 (298 K).

[BI-2536]:[HSA]	$\tau_1(ns)$	$\tau_{2}\left(ns\right)$	$\tau_{3}\left(ns\right)$	$\alpha_1 \ge 10^{-3}$	$\alpha_2 \ge 10^{-3}$	α <sub>3</sub> x 10 <sup>-3</sup>	$\chi^2$	$\tau_{m}\!(ns)$	$\tau_0 \ / \ \tau$
0:1	0.4698	2.358	6.094	12.47	5.918	5.177	1.129	4.437	
1:1	0.3822	2.060	6.130	9.215	4.836	3.721	1.168	4.455	0.996
2:1	0.4114	2.433	6.268	8.550	3.542	2.697	1.145	4.421	1.008
3:1	0.3302	2.100	6.317	6.338	3.368	2.193	1.155	4.477	0.987
5:1	0.5999	3.674	9.150	3.921	2.416	0.4866	1.071	4.768	0.939

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#### 390

#### **391 3.3. Binding parameters of the HSA/BI-2536 complex**

Binding parameters at different temperatures were determined according to eqn. (5) and an example is shown in Fig. 10. Corrected and uncorrected binding constants with eqn. (1),  $K_a^c$  and  $K_a^u$ , along with the number of binding sites, *n*, are collected in Table 5 and 6. The values obtained for *n* indicate the existence of about one binding site on the HSA for BI-2536, being *n* dependent on the temperature. The increase of *n* with the temperature has been also observed for the binding of some other drugs such as dexamethasone and tenofovir [50-51]. The large differences observed between  $K_a^c$  and  $K_a^u$  show the

399	importance of correcting the fluorescence signal for inner effects of protein and drug.
400	Nevertheless, both binding constants will be used for comparative purposes. BI-2536
401	shows a strong affinity to HSA ( $K_a^c = 3.78 \times 10^6$ ; $K_a^u = 1.14 \times 10^9$ at 310 K) in comparison
402	with the published binding constants of drugs which bind to site I such as warfarin $(K_a^u)$
403	= $6.17 \times 10^4$ at 310 K) [52], tenofovir (K <sub>a</sub> <sup>u</sup> = $5.70 \times 10^4$ at 310 K) [51], dexamethasone (K <sub>a</sub> <sup>u</sup>
404	= 7.1×10 <sup>3</sup> at 308 K) and furosemide ( $K_a^c = 1.99 \times 10^5$ at 310 K) [53]. Affinities to HSA
405	comparable to that of BI-2536 were found for two members of the imidazo[1,2-a]pyridine
406	family, with different known pharmaceutical applications ( $K_a^c = 1.69 \times 10^6 - 4.28 \times 10^6$ at
407	310 K) [54]. Both those compounds and BI-2536 show a certain structural similarity, as
408	they are extended molecules with several aromatic rings. In general, the binding constants
409	to HSA reported for antioxidants such as flavonoids and phenolic acids ( $K_a^u = 2.3 \times 10^4$
410	for quercetin and $K_a^{\ u} = 2.23 \times 10^4$ for ferulic acid; both at room temperature) are also lower
411	than that of BI-2536. In the competitive binding studies with ibuprofen (site 2 binder),
412	the binding constant did not vary significantly ( $K_a^c = 2.29 \times 10^6$ , at 310 K) (see Fig. 11).
413	Nevertheless, a strong decrease of the binding constant was observed for warfarin (site 1
414	binder) ( $K_a^c = 2.78 \times 10^4$ , at 310 K) supporting our previous assumption, that the
415	preferential binding site of BI-2536 corresponds to the site 1. The high binding constant
416	observed for the HSA/BI-2536 complex should bring on low concentration of free drug
417	in the blood plasma. Nevertheless, as previously mentioned, the complex would be
418	preferentially uptaken by tumours and might result in a higher drug concentration than in
419	other tissues.

421 Table 5

422 Binding parameters from the HSA/BI-2536 complex formation at different temperatures.423

T (K)	$n \pm 2\sigma$	$Log \; K_a{}^c \pm 2\sigma$
310	$1.35\pm0.16$	$6.58 \pm 0.88$
303	$1.30\pm0.09$	$6.27\pm0.36$
298	$1.22\pm0.16$	$5.87\pm0.74$



425
426 Fig. 10. Plot of log [(F<sub>o</sub>-F)/F] vs. log [Q] at different temperatures. Determination of K<sub>a</sub><sup>c</sup>.

# 430431 Table 6

432 Binding constants and thermodynamic parameters determined for the HSA/BI-2536 complex.433

433						
	T (K)	$K_a{}^c \times 10{}^{-6}$	$K_a{}^u \times 10^{-8}$	$\Delta H^0$ (KJ mol <sup>-1</sup> )	$\Delta S^0 (J \text{ K}^{-1} \text{ mol}^{-1})$	$\Delta G^0$ (kJ mol <sup>-1</sup> )
	310	3.78	11.4			-39.2
	303	1.86	2.08	103.8	461.3	-36.0
-	298	0.745	0.554			-33.6
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445 (A)



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449 Fig. 11. Competitive binding studies with ibuprofen (A) and warfarin (b) (T = 310 K,  $\lambda_{exc}$  = 295 nm, [HSA] 450 = [competitive drug] = 5  $\mu$ M; [BI-2536] = 5-50  $\mu$ M. 451

# 452 **3.4.** Thermodynamic parameters and binding mode

453 Binding constants were determined at three different temperatures to study the 454 thermodynamics of the formation of the HSA/BI-2536 complex. A strong dependence of the affinity of BI-2536 to HSA with temperature was observed.  $K_a^c$  exhibits a 5-fold decrease when the temperature increases from 298 to 310 K. Enthalpy ( $\Delta$ H) and entropy ( $\Delta$ S) changes for the binding process were obtained through the integrated van't Hoff equation:

$$Ln K_a = -\frac{\Delta H}{RT} + \frac{\Delta S}{R} \tag{11}$$

460 Fig. 12 shows the corresponding plot of Ln K<sub>a</sub> vs. T<sup>-1</sup> and Table 6 collects the 461 thermodynamic parameters obtained from the fitting ( $\Delta$ H and  $\Delta$ S). The free energy 462 change ( $\Delta$ G) was calculated from the following equation:

 $\Delta G = \Delta H - T \Delta S \tag{12}$ 

The binding process is exergonic although positive values were found for both  $\Delta H$  and 464  $\Delta$ S. Hence, the binding process between BI-2536 and HSA is spontaneous and entropy-465 driven. The positive values of  $\Delta S$  could indicate that hydrophobic interactions are the 466 467 dominant ones in the complex formation [55,56]. The endothermic character of a drug protein association process is generally attributed to proton release or electrostatic 468 interactions [55,56]. As previously discussed, the interior of the site I pocket is 469 470 predominantly apolar [9,11] and, hence, the protonated forms of BI-2536 should not be able to bind directly to site 1. In consequence the endothermic character of the association 471 472 process could be more related to proton release (from the protein or protonated drug) than for electrostatic interactions. 473



**Fig. 12.** Van't Hoff plot for the binding of BI-2536 to HSA. Error bars correspond with  $\pm \sigma$ .

478 The experimental results were used to perform a biased docking of BI-2536 to HSA in order to get a deeper insight into their possible interactions. Taking into account 479 480 that BI-2536 seems to bind in the same pocket as warfarin, we first conducted a docking protocol on warfarin as a way of testing that our protocol is suitable. Fig. S1 (in 481 Supplementary Data), which compares the docking poses of experimental and docked 482 warfarin (best energy pose) allows to conclude that the protocol seems to be correct to be 483 used with this system. Thus we used the experimental docking pose of warfarin as a 484 485 starting model, as explained before, and considered the ligand to interact with the protein with a total charge of zero. 486

Table 7 summarizes the results after the docking, minimization and rescoring protocol with the MMPBSA and MMGBSA methodologies. Both MMPBSA and MMGBSA methodologies agree to predict poses 10, 3, 5 and 1 to be the best ones. Poses 1 and 10 are, indeed, very similar, as can be visualized in Fig. 13 and S2. Pose 3 is rotated 180° as compared with poses 1 and 10, while interacting with the same parts of HSA. On the contrary, pose 5 interacts with the protein in a completely different way.

#### 

 

 Table 7

 Calculated binding free energies (in kcal mol<sup>-1</sup>) for each of the 10 proposed poses obtained with Autodock Vina and minimized with sander.

 

Pose	$\Delta G_{bind}(MMPBSA)$	Pose	$\Delta G_{bind}(MMGBSA)$
10	-27.8	1	-73.3
3	-27.3	5	-67.0
5	-26.7	3	-66.5
1	-24.6	10	-66.0
9	-23.1	8	-61.2
2	-22.7	9	-51.1
7	-11.2	7	-48.5
8	-9.2	2	-43.3
4	-6.4	6	-34.3
6	-0.5	4	-34.1



- 502 **Fig. 13.** Proposed docked structures for warfarin (green) and poses 1 (orange), 10 (yellow), 3 (black) and 5 (pink) of BI-2536.



Fig. 14. Theoretical structure for pose 1 of BI-2536 docked to HSA, showing the protein with worm radii
 proportional to the MMGBSA predicted contribution of that residue to binding energy.

511

We thus further compared these 4 proposed BI-2536 poses by running a 512 MMGBSA energy decomposition calculation, which allowed us to filter out which 513 residues of HSA interact most with the ligand. Table 8 shows, defining a cut off of -1 kcal 514 mol<sup>-1</sup>, those residues for poses 1, 10, 3 and 5, along with the best pose of warfarin. 515 516 TRP214 was included in all cases irrespective of its calculated interaction energy. These 517 results can be visualized in an alternative way in Fig. 14 and Fig. S3-S6 (in ESI), which show the predicted structures using worm radii for HSA residues proportional to its 518 contribution to binding energy. A global comparison for each of the proposed poses of 519 520 the number of H-bonds established with the protein and the interaction energy of TRP214 (which according to the experimental results seems to interact with the ligand), together 521 with a visual inspection and how these poses compare to the experimental structure of the 522 HSA-warfarin complex, allows us to suggest pose 1 to be a feasible HSA/BI-2536 523 524 complex. This pose predicts that the main interactions between ligand and complex are of the van der Waals type ( $\Delta G_{vdW} = -69.1$  kcal mol<sup>-1</sup>,  $\Delta G_{ele} = -41.8$  kcal mol<sup>-1</sup> for pose 1 525 of BI-2536). 526

527	Our experimental results conclude that BI-2536 binds stronger to HSA than
528	warfarin [52]. The proposed BI-2536 docked structure can be considered in fact an
529	elongation of warfarin which is able of establishing new favourable interactions with the
530	protein. These similarities and dissimilarities in their interaction with HSA can be easily
531	visualized using Ligplot+ [57] (see Fig. 15). Fig. 15 allows to conclude also that the main
532	interactions established between BI-2536 and HSA are of the van der Waals type. It seems
533	also important for the ligands to have at least one oxygen as a substituent in the fused ring
534	motif in order to establish an H-bond with His242. Besides, the bigger size of BI-2536 as
535	compared to warfarin allows the first to establish a new H-bond with Arg218 and with
536	Lys436.

#### 538 Table 8

MMGBSA energy decomposition (in kcal mol<sup>-1</sup>), showing those residues of HSA that interact most with
the ligand. The H-bond column determines which of those residues are establishing an H-bond with the
ligand.

Compound	Pose	Residue	$\Delta G_{\text{bind}}$	H-bond
BI-2536	1	LYS436	-5.4	
		TYR150	-4.0	YES
		LYS195	-2.9	
		HIS242	-2.3	YES
		GLN196	-2.2	
		ARG218	-1.6	YES
		TYR452	-1.6	
		ALA291	-1.5	
		TRP214	-1.2	
BI-2536	Pose 10	TYR150	-4.6	YES
		LYS195	-2.5	
		CYS448	-2.4	
		HIS242	-2.1	YES
		LYS199	-1.4	YES
		ALA291	-1.2	
		TRP214	-1.1	
		LEU238	-1.0	
BI-2536	Pose 3	ARG222	-3.5	
		LYS199	-3.2	YES
		GLU292	-2.6	
		LYS195	-1.6	
		TYR150	-1.6	
		ALA291	-1.5	
		LEU238	-1.5	
		TRP214	-1.4	
BI-2536	Pose 5	ARG218	-7.4	
		CYS448	-3.1	
		LYS444	-2.0	

	ARG222	-2.0	YES
	HIS440	-1.9	
	LYS195	-1.6	
	VAL343	-1.2	
	TRP214	-0.9	
	GLU292		YES
Warfarin	<b>TYR150</b>	-5.0	
	HIS242	-3.9	YES
	LEU238	-2.5	
	ARG222	-2.5	
	ALA291	-2.2	
	ILE290	-1.5	
	LEU260	-1.4	
	ARG257	-1.1	
	TRP214	-0.5	



Fig. 15. Interactions established between the ligands warfarin and BI-2536 and the protein HSA. Shared interactions are circled in red.



# 549 3.5. Changes of the secondary structure of HSA induced by BI-2536

Fig. 16 shows the FTIR spectra recorded at room temperature for the free protein and for the HSA/BI-2536 complex in Tris-HCl buffer solution. For free HSA, the protein amide I and amide II bands appear at 1652 cm<sup>-1</sup> and 1547 cm<sup>-1</sup>, respectively. These bands are mainly attributed to C=O stretching and N–H in plane deformation coupled with C–N stretching, respectively [58-61]. Both peaks, but particularly the first one, are related to

555	the secondary structure of proteins. Amide I consists of many overlapping component
556	bands that represent different structural elements, i.e. $\alpha$ -helices, $\beta$ -sheets, $\beta$ -turns and
557	random coils. The bands within the range $1610 - 1640 \text{ cm}^{-1}$ are generally assigned to $\beta$ -
558	sheet, $1640 - 1650 \text{ cm}^{-1}$ to random coil, $1650 - 1658 \text{ cm}^{-1}$ to $\alpha$ -helix and $1660 - 1700$
559	cm <sup>-1</sup> to $\beta$ -turn structure [58-60]. In general, no substantial changes were observed in the
560	FTIR spectrum of the protein in presence of the drug (in a ratio [BI-2536]:[HSA] of 1:1).
561	Fig. 14 shows the curve fit in the amide I region for the free protein and for the protein-
562	drug complex. The binding of BI-2536 to HSA does not result in a significant change of
563	the amount of $\alpha$ -helix (from 40.5 for the free HSA to 41.3% in presence of BI-2536). The
564	amounts of $\beta$ -sheet and $\beta$ -turn decreased from 40.1 to 36.8% and from 19.4 to 21.9%.
565	From these results, it can be inferred that the binding of BI-2536 to HSA does not result
566	in a large change of the secondary structure of the protein.
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(A)



Fig. 16. (A) FTIR spectra of free HSA (solid line) and HSA/BI-2536 complex (dashed line) [(HSA+BI-2536) solution spectrum – BI-2536 solution spectrum] at room temperature (B) HSA/BI-2536 complex (C)

HSA and BI-2536 concentrations used were of 1mM.

#### 590 4. Conclusions

591 In this paper, the binding of the anticancer drug BI-2536 to HSA has been studied by means of different spectroscopic techniques and docking calculations. First of all, it was 592 established that the diprotonated state (non-fluorescent) is the main form of the drug at 593 the physiological pH of 7.4 by using UV-Vis absorption spectroscopy and DFT 594 calculations. A set of quenching fluorescence experiments using the native fluoresce of 595 596 HSA allows determining the binding constant of the complex HSA/BI-2536 at three different temperatures. In general, the binding constants determined for that complex are 597 598 high in comparison to values reported for common drugs that bind to HSA such as 599 warfarin, tenofovir, dexamethasone and furosemide. However, FTIR experiments showed that the binding did not result in a large change of the secondary structure of the protein. 600 601 The strong quenching observed for the HSA/BI-2536 complex and the competitive 602 binding studies with warfarin and ibuprofen indicate that the drug closely interacts with TRP214 at the distal end of the site 1 pocket. This is a hydrophobic pocket that should 603 604 not allow the binding of BI-2536 in a charged state. On this assumption, only non-605 protonated BI-2536 molecules could bind within the pocket causing an equilibrium shift 606 and the deprotonation of new molecules in the aqueous medium. As a result, BI-2536 607 emits fluorescence in presence of the protein despite the drug should be protonated (nonfluorescent) in the aqueous medium at the working pH. In addition, the Jobs plot 608 experiment indicated that HSA should have only one binding site for BI-2536. 609

610 On the basis of the determined thermodynamic parameters for the binding process 611  $(\Delta H > 0, \Delta S > 0 \text{ and } \Delta G < 0)$ , it can be concluded that this process is spontaneous and 612 entropy-driven. The endothermic character of the binding could be related to proton 613 release processes. Calculations also showed that the main protein - drug interactions are

of the van der Waals type although the presence of amide and ether groups in BI-2536

allow H-bonding with some residues such as His242, Arg218 and Tyr150.

616

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