

Focus of negation: its identification in Spanish

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Abstract

This paper describes the criteria for identifying the focus of negation in Spanish. This work involved an in-depth linguistic analysis of the focus of negation through which we identified some ten different types of criteria that account for a wide variety of constructions containing negation. These criteria have accounted for all the cases that appear in the *NewsCom* corpus and were assessed in the annotation of this corpus. The *NewsCom* corpus consists of 2,955 comments posted in response to nine different hard news articles from online newspapers. An inter-annotator agreement test was conducted in order to ensure the reliability of the annotation obtaining 97.25% of observed agreement (0.89 kappa). The *NewsCom* corpus contains 2,975 negative structures with their corresponding negation marker, scope and focus. This is the first corpus annotated with focus in Spanish and it is freely available. It is a valuable resource that can be used both for the training and evaluation of systems that aim to automatically detect the scope and focus of negation and for the linguistic analysis of negation grounded in real data.

1 Introduction

In this article we present a set of criteria for the identification of the focus of negation in Spanish and outline the general framework used for its annotation in the *NewsCom* corpus. The identification of the focus of negation is an important issue in Natural Language Processing (NLP) because the focus not only identifies the most important negated element in a negation structure, but also has effects on the semantic interpretation of the overall sentence. Identifying the focus of negation is an important challenge in all NLP applications (such as Information Extraction, Information Retrieval and Sentiment Analysis) and NLP tasks (such as the detection of temporal and factual events, irony and hate speech). However, due to the difficulty of the task, the detection of the focus of negation has received little attention in NLP compared to the research carried out on the identification of negation markers and scope. The detection of the focus cannot be tackled relying on formal -morphological and syntactic- criteria because it is a phenomenon that goes beyond the limits of syntax, and often involves communicative intentions, world knowledge and paralinguistic information, such as gestures, prosody and stress. The difficulty of the task increases when we deal with written texts, for which this kind of information is not available. The complexity of the phenomenon explains the scarcity

of annotated corpora and detailed identification criteria able to cover a wide range of negated structures. The current situation is that, firstly, theoretical proposals from Linguistics are not always easy to implement in terms of concrete criteria for corpus annotation. And, additionally, linguistic theory does not always cover all the variety of negative structures that appear in real data. The situation becomes more critical when dealing with informal written texts extracted from the web or with sublanguages such as that of medical reports, in which linguistic conventions are not always followed.

Our aim is to contribute two new linguistic resources, the *NewsCom* corpus and an initial proposal of criteria for focus identification to the study of negation both for Linguistics and NLP research. To our knowledge, this is the only corpus annotated with negation markers, scope and focus in Spanish.

We followed the Huddleston and Pullum (2002) proposal that defines the focus of negation as the part of the scope that is most prominently or explicitly negated¹. This is the most-widely accepted definition of the focus of negation in NLP (Blanco and Moldovan (2014); Morante and Blanco (2012); Guzzi et al. (2017) and Francis and Taboada (2017)).

We have taken into account the works of all these authors for establishing the criteria for focus identification, given the lack of such studies for Spanish.

Finally, we describe the statistical data obtained from the *NewsCom* corpus.

This article is structured as follows: In Section 2, we present corpora annotated with the focus of negation and describe the *NewsCom* corpus. In Section 3, we present the general criteria for the identification of the focus of negation. Section 4 is devoted to the description of the specific criteria for focus identification in Spanish. In Section 5, we present how the corpus was annotated, the inter-annotator agreement tests performed and statistical information about the annotation of the *NewsCom* corpus. Finally, our conclusions and future work are set out in Section 6.

2 Corpora annotated with the focus of negation

To the best of our knowledge, there are few corpora annotated with the focus of negation in the literature and most are for English. All the corpora mentioned in this section annotate the focus as well as the scope and the negation markers, and all of them take into account the discourse context for focus detection.

Blanco and Moldovan (2011) presented the first corpus annotated with the focus of negation in English. They annotated 3,993 verbal negations that were marked with the MNEG tag in the *PropBank* corpus (Palmer, Gildea and Kinsbury 2005). They consider that “the focus corresponds to a single role or the verb. In cases where more than one role could be selected the most likely focus is chosen; context and text understanding helps ambiguities. We define the most likely focus as the one that yields the most meaningful implicit information” (Blanco and Moldovan 2014: 520).

¹ The scope of negation includes all the words affected by negation (Demonte and Bosque 1999; Española, 2009)

These authors assume that the focus of negation is the “element of the scope that is intended to be interpreted as false to make the overall negative true”, and therefore, a negated statement can carry a positive implicit meaning. The final aim of these authors is to build an approach for representing the semantics of negation by revealing implicit positive meanings. For instance, examples (1-3) are a selection of negated sentences taken from Blanco and Moldovan (2014: 508) that carry an implicit positive meaning.

1. (a) John didn’t build a house to impress Mary.
 (b) John build a house (for another purpose). (Underlying positive meaning)
2. (a) I don’t have a watch with me.
 (b) I have a watch (but it is not with me). (Underlying positive meaning)
3. (a) They didn’t release the UFO files until 2008.
 (b) They released the UFO files in 2008. (Underlying positive meaning)

In some cases (1a) and (2a), the implicit positive statement is obtained by removing the focus of negation and the negation cue (1b) and (2b). In other cases (3a), other modifications are needed, for instance a change in the preposition (‘in 2008’ (3b)). In this way, it is possible to obtain a positive statement, which is implicitly included in the original negated statement. Therefore, new knowledge (positive statements) can be obtained and, at the same time, this criterion is helpful for the identification of the focus.

This criterion is applicable only in restricted cases, mainly when the focus is the most oblique argument. In Section 4, we identify the cases in which this criterion is applicable. Henceforth, we will refer to this criterion as the “positive implicit meaning” criterion.

Blanco and Moldovan’s corpus has been used by different researchers to carry out experiments on the focus of negative expressions. Morante and Blanco (2012) used part of this corpus (3,544 instances) -which they called the *PB-FOC corpus*- as a training and test corpus in the Focus Detection Task held on ‘*SEM 2012 SharedTask: Resolving the scope and focus of negation’.

Anand and Martell (2012) re-annotated 2,304 examples from the *PB-FOC* corpus in terms of Questions Under Discussion (QUD, Rooth 1996) revising the annotations and proposing a different model that incorporates the pragmatic concept underlying QUD, in which the focus is determined by coherence discourse constraints. Banjade, Niraula and Rus (2016) developed the *Deep Tutor Negation corpus (DT-Neg)*: a corpus of dialogues in English that contains 1,088 instances of negative structures and for which they also followed the QUD model to identify the focus.

Kolhatkar et al. (2018) annotated with negation cues, scope and focus 1,043 comments from the *SFU Opinion and Comments Corpus (SOCC)*, a collection of opinion articles and the comments posted in response to the articles. They followed the criteria proposed by Blanco and Moldovan (2014) considering the focus of negation to be the element intended to be false and carrying the most meaningful information.

It is also noteworthy the work carried out by Altuna, Minard and Speranza (2017), who annotated two corpora in Italian: a news corpus containing 71 documents (1,290 sentences) from the *Fact-Ita-Bank* (Minard, Marchetti and Speranza 2014) and a corpus of 301 tweets used as a test set for the *FactA* pilot task² (Minard, Speranza and Caselli 2016). In both cases, the annotation includes the scope, the negation marker and the focus of negation for the purpose of studying temporal information and factuality.

Matsuyoshi, Otsuki and Fukumoto (2014) annotated the focus of negative expressions in a Japanese corpus consisting of reviews and newspaper articles. The review corpus consists of 5,178 sentences and the newspaper corpus consists of 5,582 sentences. The total number of negation cues annotated is 1,785, and the annotated foci are 490. They also followed the same annotation criteria as Blanco and Moldovan (2014).

All these authors agree that the linguistic context, that is, the context in discourse, is crucial for identifying the focus of negation. The way in which the context is modelled varies depending on the type of text, such as dialogues, narratives, discussions, comments and reviews. For example, Banjade et al. (2016) work on negation in dialogues and take into account for the detection of the focus the utterance preceding the one containing the negation structure. Blanco and Moldovan (2011) and Morante and Blanco (2012) take into account the full syntactic tree in which the negation occurs and the previous and following sentences. Zou et al. (2014) demonstrate the importance of inter-sentential features for the automatic identification of focus by means of different experimental settings. Their results show that using intra-sentential and inter-sentential features together for focus detection (i.e. contextual discourse information) gives better results than only considering the intra-sentential information.

Our proposal takes advantage of some of the ideas and criteria proposed by these authors, namely the positive implicit meaning, the discourse context and the obliquity criteria. Relying on these criteria, in this paper we present the linguistic analysis of the focus of negation in Spanish that is the basis for the criteria applied in the annotation process of the *NewsCom* corpus. The criteria we have defined are described in detail in the annotation guidelines³.

2.1 The *NewsCom* corpus

The *NewsCom* corpus, the first corpus annotated with the focus of negation in Spanish, consists of 2,955 comments posted in response to nine different hard news articles obtained from online Spanish newspapers from August 2017 to May 2019. These news articles cover to nine different topics: immigration, politics, technology, terrorism, economy, society, religion, refugees and real estate. We have only annotated those comments that contain at least one negative structure. Table 1 shows the distribution of the comments per topic in terms of: total of comments (column

² <http://facta-evalita2016.fbk.eu>

³ <http://clic.ub.edu/publications>

2); total of tokens of the comments (column 3); the number of comments containing at least a negation structure (column 4); the percentage of comments with negation (column 5); and the number of negative structures (column 6)⁴.

In order to facilitate further comparisons, we have selected topics comparable to those in the *SFU Opinion and Comments Corpus* (SOCC, Kolhatkar et al. 2018), which contains a subset of 1,043 comments annotated with negation, constructiveness and appraisal.

Table 1. *Distribution of comments per topic in the NewsCom corpus*

Topic	Comments	Tokens	Comments +negation	%	Negative structures
Immigration	356	17,105	209	58.71%	361
Politics	337	5,895	161	47.77%	175
Technology	234	8,227	113	48.29%	189
Terrorism	395	17,433	260	65.82%	502
Economy	271	12,217	159	58.67%	303
Society	360	17,056	259	71.94%	453
Religion	484	19,648	274	56.61%	554
Refugees	277	11,058	144	51.99%	237
Real Estate	241	8,451	129	53.53%	201
Total	2,955	117,090	1,708	57.80%	2,975

Comments were selected in the same order in which they appear in the time thread in the web. The corpus contains all unique comments after removing duplicates. The comments are written in informal language, therefore we found comments with non-grammatical writing.

57.80% of the comments (a total of 1,708) contain at least one negation structure. The total number of negative structures annotated with focus is 2,975.

3 Focus of negation in the *NewsCom* corpus

In what follows we present the general assumptions that we have made to build our criteria for the identification of the focus of negation in Spanish. The basic linguistic assumption on which we base our proposal is the Huddleston and Pullum (2002) approach, in which the focus of negation is the part of the scope that is most prominently or explicitly negated. This approach is followed by most of the

⁴ All the examples in this paper were extracted from the *NewsCom* corpus. When there were no examples of a specific phenomenon, we have exemplified it with data taken from the *SFU-ReviewSP-NEG* corpus (Jiménez-Zafra et al. 2018).

NLP researchers in this task. Regarding the scope, we follow the definition we presented in Jiménez-Zafra et al. (2018), that is, the scope includes all the words affected by the negation (Demonte and Bosque 1999, Española 2009). We follow the criteria of the maximum range of words affected by the negation (Vincze et al. 2008; Konstantinova et al. 2012; Francis and Taboada 2017). However, in contrast to these authors, we include the negative marker or cue within the scope like Morante and Daelemans (2012) and Banjade et al. (2016).

Given that our work investigates negation from the NLP perspective and is consequently based on data, that is, a corpus of real language use, we developed a framework for the annotation of focus based both on general linguistic assumptions and on empirical data obtained from the corpus. Our guidelines for the identification of the focus of negation are the result of an iterative process contrasting data and the criteria developed during the first steps of the annotation process (see Section 5). Taking into account the Huddleston and Pullum (2002) general assumption of focus, our annotation proposal assumes three criteria for identifying the most explicit negated element within the scope.

First, we consider the discourse context criterion. In our proposal, we take into account as discourse context the whole comment that contains the negation structure. We do not consider the previous and the following comments because they are not necessarily connected to the comment under analysis: the temporal thread does not guarantee that there exists a connection between a comment and the ones preceding and following it. Therefore, we take into account the inter-sentential relationships within the comments. All the comments refer to one online news article that can be considered their referential world. This news article also contains an important part of the pragmatic world knowledge necessary to understand the content of the comments. Therefore, we take into account this information in the annotation process.

Second, we consider the obliquity criterion. We assume that the most oblique argument in a sentence or in a clause is the most plausible candidate to be the focus, with the adjuncts the most oblique of the arguments⁵. The underlying idea is that negation affects the most specific (oblique) information, otherwise this information would not be explicitly stated, and this information is expressed because it is what we want to negate.

Third, we consider the criterion of implicit positive meaning (Blanco and Moldovan 2011, 2014), when possible: “(the focus of negation is) the element of the scope that is intended to be interpreted as false to make the overall negative true, therefore a negated statement can carry a positive implicit meaning”.

Following these semantic-pragmatic principles, we established a hierarchy of annotation criteria that we followed for the definition of the concrete guidelines described in detail in Section 4.

First of all, we distinguish between the explicit and implicit focus. The explicit focus is expressed by means of formal markers such as displacement and explicit

⁵ In the *PropBank* (Palmer, Gildea and Kinsbury 2005) and *AnCora* (Taulé, Martí and Recasens 2008) corpora adjuncts are annotated as ArgM, that is, Modifier Argument.

pronominal subjects. We define the implicit focus to be when there are no formal markers for its identification. In this case, we apply the most oblique argument criterion (Guzzi et al. (2017) and Francis and Taboada (2017)), as long as the context does not give other information. Taking into account the oblique criterion, we distinguish between arguments and adjuncts. When in a negation structure there is an adjunct we consider it to be the most oblique element and, therefore, the focus. If there is more than one adjunct, we consider manner to be the most oblique argument followed by place, and time, although in this specific case we are considering the possibility of accepting more than one focus in a future updated version of the corpus.

Regarding the arguments, the most oblique will be the indirect object, followed by the prepositional object, the direct object, and the least oblique will be the subject. When the negated sentence contains only one verb, it will be the focus.

4 Criteria for focus identification in Spanish

In this section we present the concrete criteria for the annotation of the focus of negation in Spanish. For this purpose, we used the *NewsCom* corpus as a benchmark to test our hypotheses and as a source of empirical data. We annotate the whole negation structure, which includes the negation marker or cue, the scope and the focus.

The negation structure corresponds either to a sentence, a clause or a phrase. In our approach, the focus is always included in the scope and corresponds to a verb form (4), an argument (5) or an adjunct (6). Arguments and adjuncts can be syntactically realized as a phrase (5) and (6) or as a clause (see Subsection 4.2.2, section c). This is in accordance with Blanco and Moldovan’s (2011, 2014) proposal, in which the focus is always the full text of a semantic argument (or adjunct).

4. [No es que **pasará**], es que ya ha pasado.⁶
‘[It is not that it **’s gonna happen**], it’s that it’s already happened.’
5. El mierda de Kent metiéndosela con vaselina a sus votantes. Nada nuevo bajo el sol. Es lo que tiene pactar con un miserable [que no asume **su responsabilidad**].
‘The cunt Kent sticking it to his voters. That’s nothing new, it’s what happens when you do a deal with a shady guy who doesn’t keep his promises. He/She has to deal with a miserable man [who doesn’t take **on his responsibility**].’
6. [No lo consideran **así**].
‘[They don’t consider to be **that way**].’

Example (5) demonstrates the difficulty of the task, and the importance of real world knowledge for understanding the meaning of the comment. In this comment,

⁶ In the examples given, we use underlining type to mark the negation marker, square brackets to mark the scope and bold type to mark the focus.

‘Kent’ stands for the Spanish Prime Minister Pedro Sánchez, and the ‘shady guy’ is Pablo Iglesias lider of the opposition party Podemos.

From a linguistic point of view, we consider that focus can be expressed explicitly (Section 4.1) by means of displacements, explicit pronominal subjects, contrastive constructions, reinforcement and typographic clues, or implicitly (Section 4.2). In the case of implicit focus, we consider that to be the most oblique argument within the scope of negation. It can be applied to simple or coordinated sentences. We go beyond the sentence that contains the negation marker to account for the focus that is present in the preceding or following sentence (Section 4.3). Because of the written character of our data, we cannot take into account those cases in which the focus is marked by means of prosody, probably the clearest means for marking it.

In what follows we present the criteria for the identification of both explicit and implicit focus, as well as elliptical scope and focus. These criteria are the result of an analysis of the Spanish language, but we think that they could also be applicable to other languages, especially Romance languages.⁷

4.1 *Explicit focus*

In Spanish, we can distinguish five ways to explicitly express the focus of negation in written texts: displacements, explicit pronominal subjects, contrastive constructions, reinforcement and typographic clues. In all cases, the sentence where the negation appears can be simple or coordinated.

4.1.1 *Displacements*

Displacement is a focalization mechanism consisting of moving the focused element into a marked, usually fronted position (see examples (7) and (8)).

7. (a) [**A su sobrino, no le** había tocado un piso de protección oficial].
‘[**His/Her nephew..., he/she** hasn’t been given an official protection flat].’
- (b) [**A mi, no me** parece mal introducir un poco de mentalidad anglosajona]. No puede ser que en este país no se pueda tocar nada y que cualquier intento de reforma abra las puertas del infierno.
‘[**I..., I** don’t think it’s a bad idea to introduce a little Anglo-Saxon mentality]. How can it be that in this country everything is untouchable and any attempt at reform opens up the gates of hell.’
8. Eso sí, tenemos el nivel de alquiler de Europa, somos ‘la polla’. [**Y de los sueldos europeos (de los que no disfrutamos los españoles) no** dicen nada?].
‘That’s right, we have the same rent prices as Europe, we re the ‘bee’s knees’. [And what **about European salaries** don’t they say anything about them?].’

⁷ We checked the applicability of these criteria for Catalan and Italian with positive results.

The indirect object *A su sobrino* (7a) or *A mí* (7b), are examples of a leftward displacement used to emphasize this constituent as the focus. In (8), the noun complement *de los sueldos europeos* is an example of a noun complement leftward displacement.

A specific type of displacement is the pleonastic focus. It happens when an argument is expressed twice in a sentence, one in a displaced position *A su sobrino* (7a) or *A mí* (7b), and the other as a pronoun *-le* (7a) and *me* in (7b)- inside the sentence and before the verb. In order to distinguish between these two focus expressions, we tag the former as pleonastic focus (displaced focus) and the latter (the pronoun) simply as focus.

Another type of displacement is the emphatic subject, that is, when the subject (*Luisa* or *yo*) is displaced to a postverbal position (9). In the case of (9b), the use of the personal pronoun *yo* makes the subject even more emphatic as a focus, because Spanish is a pro-drop language in which the subject may be omitted because it can be inferred from the verbal inflection (*pienso* is translated in English as ‘I think’).

9. (a) Dice [que no vendrá **Luisa**].
 ‘He/She says that [it is **Luisa** who won’t come].’
 (b) [No pienso joderme **yo** por su culpa], ni quitarle la posibilidad a otra persona de tener un puesto de trabajo.
 ‘[I’m not going to get screwed because of him], or deprive another person of the chance of getting a job.’

We tag as displaced the verb arguments that have been displaced to the beginning of the sentence. We do not consider adverbial complements (adjuncts) that express time, location and manner as displaced focus, when they appear in a preverbal position because their position in a sentence is free in Spanish.

In this kind of structures the positive implicit meaning criterion can be applied: a positive statement can be obtained by removing the negation marker and the focus. For instance, in (7a) the underlying positive meaning is *ha tocado un piso de protección oficial (a alguien)*, that is, somebody has been given an official protection flat.

4.1.2 Explicit pronominal subject

Spanish is a pro-drop language and the subject is not usually explicitly expressed. We consider that, in these cases, the speaker/writer wants to highlight the communicative role of the pronoun in the sentence. We consider these explicit pronominal subjects to be the focus of the negative structure when they appear with an intransitive verb (10a) or in a contrastive construction, as in (10b):

10. (a) El agnóstico es el que dice: “[**Yo no** creo], pero no vaya a ser...”
 ‘The agnostic is the one who says: “[I don’t believe], but it could be...”’
 (b) [Si **ellos no** hacen nada], nosotros tampoco.
 ‘[If **they don’t** do anything], neither do we.’

4.1.3 Contrastive constructions

Contrastive constructions, introduced by *pero*, *no obstante*, *sino*⁸, among others, help in the detection of the focus of negation as they express the element which is in contrast to the focus (11) and (12). The contrastive construction in (11) marks the focus (*una religión*) by introducing the alternative object *un sistema político* ('a political system'), which is its contrast. However, in (12) the focus is the prepositional object *en mercados locales* ('in local markets') because the element in contrast is the prepositional object *en mercados globales* ('in global markets').

11. [El islam no es **una religión**], sino un sistema político. El más agresivo de sus postulados lo defienden los salafistas financiados por Arabia Saudí (...).
'[Islam is not a **religion**], but a political system. Its most aggressive teachings are defended by the Salafists financed by Saudi Arabia'
12. Es el mundo globalizado y ha llegado para quedarse. [Ya no se compite **en mercados locales**], sino en globales, donde se trabaja.
'This is the globalize world and it's here to stay. [There is no longer competition **in local markets**], but in global markets, which is where we all work.'

In contrastive constructions, the positive meaning tend to be explicitly expressed in the second part of the contrast. The underlying positive meaning in (11) is 'Islam is a political system' and in (12) 'There is competition in global markets'. The positive meaning is introduced by the conjunction *sino* ('but') in both examples.

4.1.4 Reinforcement of negation

Reinforcement is another explicit mechanism for marking the focus of negation. Reinforcements are negative constructions that contain two or more negation markers or cues. They usually consist of the *no* adverb and a second, usually discontinuous, negative marker (13) and (14).

13. [No he defendido nunca **esto**].
'[I've **never not** defended that].' (literal translation)
14. [No ha comprado **nada**].
'[He/She hasn't bought **nothing**].' (literal translation)

In these cases the implicit positive meaning criterion cannot be applied. For instance, in (13), there is implication that the speaker has defended another position but, in (14), saying *No ha comprado nada* ('He/She has not bought nothing') does not imply that the speaker has bought something.

⁸ Translations to English: 'but', 'nonetheless', 'but rather'.

4.1.5 Typographic clues

Typographic clues are considered explicit markers and a strategy to emphasize one of the elements of the negation structure, the focus of negation. They include uppercase letters, bold, underlined elements and italics. The element affected by these typographic changes is often the focus of negation (15) and (16).

15. [Yo jamás he visto **A NADIE** quejarse de que unos territorios gasten más que otros en pensiones].
‘[I’ve never seen **NOBODY** complain that some territories spent more on pensions than others].’ (literal translation)
16. No parecan tan listos [si no tuvieran prácticamente **TODOS** los medios de información bajo su control].
‘They wouldn’t seem so clever [if they didn’t have **ALL** the media under their control].’

4.2 Implicit focus

We use the term implicit focus of negation to refer to those cases in which there are no formal markers that allow for its identification. In this case, we assume that the most oblique argument or adjunct in a sentence is the most plausible candidate to be the focus. The underlying idea is that negation affects the most specific (oblique) information, otherwise this information would not be explicitly stated, and this information is expressed because it is what we want to negate.

17. Bueno, [no le atribuyamos méritos **a Rajoy**]. Que PDR ya se pone en ridículo él solo.
‘Well, [we shouldn’t give any credit **to Rajoy**]. PDR⁹ makes a fool of himself.
18. Pero [las autoridades no pueden responder **con una acción ilegal**]. Si los encuentran en el Mediterráneo es posible mandarlos devuelta pero una vez en Europa hay que hacer muchas formalidades y un juez debe aprobar la deportación.
‘But [the authorities cannot respond **with an illegal action**]. If you find them in the Mediterranean you can send them straight back, but once they’re in Europe you have to do a lot of paperwork and a judge has to approve their deportation.’

Following the oblique criterion, in (17) the focus should be *a Rajoy* (‘to Rajoy’) because it is the most oblique argument: what is negated is not giving the credit but rather giving the credit to a specific person, Rajoy (indirect object). In this example, *PDR* still reinforces the focus more on *a Rajoy*, because it contrasts the two politicians. In (18), the focus is *con una acción ilegal* (‘with an illegal action’) since what is negated is not that the authorities can respond but that they cannot

⁹ PDR stands for Prime Minister Pedro Sánchez.

respond with an illegal action, therefore ‘with an illegal action’ is the most oblique argument. Once again, the context also helps us to identify the focus, ‘but once they’re in Europe you have to do a lot of paperwork and a judge has to approve their deportation’ highlight that illegal action cannot be their response.

In the case of implicit focus the criterion for obtaining positive implicit meaning works better than in case of explicit focus since it facilitates the identification of focus. We use this criterion, when possible, to identify the focus.

In implicit focus, we distinguish between constituent focus and sentence focus according to whether the scope affects a constituent or the whole sentence.

4.2.1 Constituent focus

Constituent focus refers to those cases in which the focus is an element within a constituent (a phrase), where the constituent is the scope (see examples (19) and (20)):

19. Un problema [no muy preocupante].
 ‘[A not-too-worrying problem].’
20. Coca-cola[sin cafeína].
 ‘Coca-cola[without caffeine].’

Note that in (19) the focus is *muy preocupante* (‘too-worrying’). Although the quantifier adverb (‘too’) could be interpreted as the focus, because what is negated is the degree of the property, not the property *per se*, we selected the whole argument following the general criterion (see Section 3).

In these cases, the positive implicit meaning criterion is applicable. The underlying positive meaning in (19) is that there is a problem and in (20) that it is a Coca-cola.

4.2.2 Sentence focus

We distinguish two types of sentence focus: a) when the focus is an argument and b) when the focus is an adjunct. We describe how to represent the focus when an argument or an adjunct is expressed by a subordinate clause in subsection c) below.

a) Argument as focus of negation. In the case of intransitive verbs without adjuncts, the focus can be the verb or the subject (external argument) depending on the context (21). The meaning is often ambiguous, and, in these cases, we apply the oblique criterion and mark the explicit subject as the focus, when the context does not help in its identification.

21. (a) [No es que **pasará**], es que ya ha pasado.
 ‘[It is not that it **’s gonna happen**], it’s that it’s already happened.’
- (b) No tengo ni idea de si hay un enriquecimiento para alguien con ese sistema, yo solo pretendo decir que [**ese sistema no** funciona].
 ‘I have no idea whether someone is getting rich off this system, I only mean that [**this system isn’t** working].’

- (c) [**Esto** no cambia].
 ‘[**This** won’t change].’

In example (21a), the focus is the verb *pasará* (‘is gonna happen’), whereas in (21b) the focus is the subject *ese sistema* (‘this system’). In both cases the interpretation of the focus depends on the content of the context: the second clause in (21a) and the previous sentence in (21b). However, if we did not have access to this information, we would apply the oblique criterion and the focus would be the subject (21c).

In the case of existential verbs, the focus of negation is the internal argument, that is, the existential subject, because the verb is lexically empty (Morante, Schrauwen and Daelemans 2011) (22).

22. [No hay **trabajo**].
 ‘[There isn’t **any work**].’

In the case of verbs with two arguments, that is, transitive verbs (23), copulative verbs (24) and verbs with a prepositional object (25), the focus of negation is the direct object, the attribute and the prepositional complement respectively.

23. [No se especifica **el precio**].
 ‘[**The price** is not specified].’
24. [La fe no es **premoderna**] y tampoco es ninguna superstición .
 ‘[Faith isnot **premoder,**] and neither is superstition.’
25. Los catalanes se quieren ir y España aun se pregunta por qué. [España no reacciona **ante estas barbaridades**] y además lo dicen tan tranquilos y la gente no reacciona.
 ‘The Catalans want to leave and the Spanish are still asking themselves why. [Spain isn’t reacting **to these atrocities**] and what’s more they show no qualms and the people don’t react.’

Ditransitive verbs require three arguments: subject, direct object and indirect object or prepositional complement. The criterion applied in these cases is to consider the most oblique argument as the focus (26).

26. [María no regaló la camisa **a Pedro**].
 ‘[María didn’t give the shirt **to Pedro**].’

In the case of periphrastical verbs, we apply the same criterion as for verbs with one, two or three arguments, taking into account the argument structure of the verb in the non-finite form (gerund, past participle or infinitive) (27).

27. [La rabia no puede vencer **al odio**].
 ‘[Rage cannot defeat **hatred**].’

When the focused verbal argument has a complement, the focus is the whole argument, including the head and its complements. The head of an argument can be a noun (28), an adjective (29) or an adverb (30):

28. (a) [No defiendo **el modelo de capitalización**].
 ‘[I’m not defending **that model of capitalization**].’
 (b) [No hay **alternativa que valga la pena**].
 ‘[There is no **alternative that is worth it**].’
 (c) ‘Lo mejor es hacerse una cartera propia con ING o algún broker [que no cobre **comisiones desorbitadas**].
 ‘The best thing to do is to get up a portfolio with ING or a broker [who don’t charge **astronomical commissions**].’
29. Esta claro [que no es **tan fácil**].
 ‘It’s clear, [that it isn’t **that easy**].’
30. Otra generalización: “Los británicos son ratas como ellos solos, no gastan un céntimo”. Esperemos [que a los españoles no nos etiqueten **tan libremente** cuando hacemos turismo].
 ‘Another generalization: “the British are complete misers, they don’t spent a penny”. Let’s hope [that Spanish tourists don’t get labelled **so freely** when we travel abroad].’

In these cases, the criterion of positive implicit meaning is applicable when the focus of negation are arguments and adjuncts others than the verb (21a) or the subject (21b) and when the verb is a copulative ((24) and (25)) or existential verbs ((22) and (28b)).

b) Adjuncts as focus of negation. Since adjuncts are optional, their presence in negative structures denotes that they carry important information (31-33) and constitute the focus of negation.

31. [Las pruebas no han proporcionado, **hasta el momento**, resultados aplicables].
 ‘[**Till now**, the tests have not provided appreciable results].’
32. [No quiere comer **aquí**].
 ‘[He/She doesn’t want to eat **here**].’
33. [No puede explicarse **en pocas palabras**].
 ‘[It cannot be explained **in few words**].’

The criterion of positive implicit meaning is also applicable. For instance, in (32) he/she does not want to eat here, but he/she wants to eat.

It is worth noting that the restrictive adverbs such as *solo*, *solamente*, *únicamente*¹⁰ are the focus of negation. In this case, what is negated is the restriction denoted by the adverbs. In (34), what is negated is that something can be exclusively explained by culture.

34. [Eso no se explica **solo** con la cultura].
 ‘[This cannot be explained **solely** as culture].’

¹⁰ Translation to English: ‘only’, ‘solely’.

c) **Focus and subordinate clauses.** When the most oblique argument is a subordinate clause (a nominal or an adverbial clause) the focus is the whole clause.

Sentences (35-38) are examples in which the focus is a nominal subordinated clause with different syntactic functions: subject (35), attribute (36), direct object (37) and prepositional object (38).

35. [Lo malo no es **que te guste**] sino que dejes que afecte a tu vida.
‘[The bad thing is not that you like it] but that you let it affect your life.’
36. Esto parece que [no es **lo que desean nuestros amados líderes**].
‘[This doesn’t seem to be **what our beloved leaders desire**].’
37. [No sé **si ves la diferencia**].
‘[I don’t know **if you can see the difference**].’
38. [La gente no se queja **de que hagas horas extras**].
‘[People don’t complain **about you doing overtime**].’

Sentence (39) is an example in which the focus is an adverbial subordinated clause.

39. [No estoy dispuesto a mentir **para que consigas más ventajas**].
‘[I’m not willing to lie **so that you can gain more advantages**].’

In these cases, the criterion of positive implicit meaning is applicable in the same cases that we mentioned in Section 4.2.2a.

4.3 *Discontinuous scope and elliptical focus*

There are negative structures in which the scope is discontinuous, that is, part of the scope is outside of the sentence containing the negation marker and the focus (40).

40. [Las pensiones se asignan] por individuo y [no **por territorio**].
‘[Pensions are assigned] on an individual basis, [not a territorial basis].’

In which the scope is *las pensiones se asignan por territorio*.

In other negative structures, the scope and the focus are located in the sentence that is previous to or following the sentence containing the negation marker. These sentences can be independent from the syntactic point of view (41) or connected by coordination or juxtaposition (42). We consider that in these cases the focus is elliptical. In (41) and (42), the scope and the focus of negation are located in the preceding sentence (*planes de pensiones privados* ‘private pensions’, and *vivos* ‘alive’).

41. [**Planes de pensiones privados**]? [No, gracias].
‘[**Private pensions plans**]? [No, thanks].’
42. Les da igual si [llegan **vivos**] o [no].
‘They don’t care if [they make it **alive**] or [not].’

In these cases, the criterion of positive implicit meaning can also be applied, depending on whether the discontinuity occurs in a coordinated sentence (like in (40) and (42)) or in two independent sentences like in (41), and it will also depend on the number of arguments and adjuncts involved (like the cases that we mentioned in Section 4.2.2a.).

5 Annotation process: Inter-annotator agreement tests

The *NewsCom* corpus was annotated automatically with the PoS tagger available in the Freeling open source language-processing library (Padró and Stanilovsky 2012)¹¹ and manually annotated with negation: the negation marker, scope and focus. The corpus was annotated by two annotators trained in the specific task of negation.¹² We performed the annotation process in three steps: in the first step we annotated the negation structure including negation markers and their scope following Martí et al. (2016) and Jiménez-Zafra et al. (2018), whose work included a complete typology of negation patterns in Spanish. In the second step we restricted the annotation to the identification of the focus, applying and testing the previously established criteria (see Section 4). In the third and final step, we checked the whole corpus in order to verify definitively that all the criteria had been applied correctly.

In the second step the two annotators underwent a two-months training program on the specific task of identifying the focus of negation. The training consisted of the annotation of a small subset of the corpus by the two annotators working in parallel without consulting the other. The training corpus consisted of 202 comments corresponding to the economy file, which included a total of 131 different negative structures and a total of 942 attributes (tags) that were annotated and tested. In this step we conducted a first inter-annotator agreement test in order to evaluate the reliability of the annotation and the guidelines. As a result of this first inter-annotator agreement test we detected problematic cases, updated the guidelines when necessary and then conducted a second inter-annotator agreement test using the same comments. Table 2 and Table 3 below show the results obtained for each attribute in the first and second inter-annotator agreement tests. As the results of the second inter-annotator agreement test were highly positive (97,25% observed agreement, 0,89 Kappa), we proceeded with the annotation of the whole corpus by the two annotators who worked separately on half of the corpus each. Even then, due to the complexity of the task, we met once a week to discuss problematic cases during the whole annotation process.

The tagset used for the annotation of negative structures including the negation markers, scope and focus is the following:

- <sentence_complexity>: this attribute can have two values ‘simple’ if the sentence only contains one negative structure or ‘multiple’ if there is more than one.

¹¹ <http://nlp.lsi.upc.edu/freeling>.

¹² These annotators had previously annotated the *SFU-ReviewSP-NEG* corpus with negation (negation markers and scope).

- <neg coord>: is used to mark coordinated negative structures.
- <neg structure>: is assigned to a syntactic structure, corresponding either to a sentence, a clause or a phrase. It can include the attribute <polarity modifier='increment'> if the negation is expressed through a reinforcement (see Subsection 4.1.4).
- <value>: indicates the meaning expressed by the negative structure. This attribute has four values, which tag whether the negation structure indicates negation, contrast, comparison or structures including a negative marker but which do not negate.
- <scope>: delimits the part of the negative structure that is within the scope of the negation.
- <discontinuous_scope>: indicates when part of the scope, but not the focus, occurs in the sentences preceding or following the sentence containing the negative structure.
- <elliptical_scope>: is used when the scope and focus occur in the sentences preceding or following the sentences containing the negative structure.
- <negexp>: includes the word or words that express negation. Negation in Spanish can be expressed by one or more than one negative element. In the latter, the elements can be continuous or discontinuous. In that case, negation cues show the attribute <discid>.
- <focus>: indicates the element directly affected by negation. This tag can have the attribute <pleonastic_focus> when the focus is displaced and repeated.
- <displacement_focus>: indicates when the focus is displaced to a fronting position.

The criteria applied for the evaluation of the inter-annotator agreement test were the following:

1. In the case of attributes related to sentence complexity (with two possible values) and negative structure (with four possible values), we consider there to be disagreement when the annotators assign different values to these attributes and we consider that there is agreement when they assign the same value.
2. In the case of negation markers and discontinuous negation markers¹³, we consider there to be agreement when the annotators tag the same exact word(s) as negation markers.
3. For the rest of attributes, we consider there to be agreement when the span of the negative structure, focus and scope match exactly, if the span coincides partly or does not match at all it counts as disagreement.

We calculated observed agreement and Cohen's kappa (Cohen 1960). Table 2 and Table 3 show the results obtained for each attribute in the first and second inter-annotator agreement tests.

¹³ For instance, in negative structure *El coche no frena en absoluto* ('The car does not break at all') the negation marker is discontinuous *no ...en absoluto*.

The results of the first inter-annotator agreement test are summarized in Table 2. The observed agreement obtained was 91.76% (0.83 kappa). Most of the disagreements arose from issues concerning the discontinuous and elliptical scope -specifically in the delimitation of the scope- and ‘displacement focus’, due to a misunderstanding in the interpretation of this tag and ‘pleonastic-focus’.

Table 2. *Inter-annotator agreement test (1)*

Attributes	Instances	Agreement	Disagreement	%	kappa
Sentence complexity	202	201	1	99.75	0.99
Neg.Structure span	131	126	5	98.09	0.96
Neg.Structure value	131	126	5	98.09	0.96
Scope	131	127	4	98.47	0.96
Discontinuous scope	35	16	19	72.86	0.45
Elliptical scope	10	5	5	75.00	0.50
Focus	131	104	27	89.69	0.79
Displaced focus	12	3	9	62.50	0.25
Pleonastic focus	4	3	1	87.50	0.75
Negation marker	131	127	4	98.92	0.95
Disc. Neg.marker	24	23	1	97.92	0.95
Total	942	861	81	91.76	0.83

Table 3. *Inter-annotator agreement test (2)*

Attributes	Instances	Agreement	Disagreement	%	kappa
Sentence complexity	202	202	0	100	1
Neg.Structure span	131	131	0	100	1
Neg.Structure value	131	129	2	99.24	0.98
Scope	131	127	4	98.47	0.96
Discontinuous scope	35	32	3	95.71	0.91
Elliptical scope	5	5	0	100	1
Focus	131	118	13	95.04	0.90
Displaced focus	6	6	0	100	1
Pleonastic focus	4	3	1	87.50	0.75
Negation marker	131	129	2	99.24	0.98
Disc. Neg.marker	24	23	1	97.92	0.95
Total	931	905	26	97.25	0.89

Regarding the discontinuous scope, the two annotators disagreed on which exact

words should be considered to be the the first part of the discontinuous scope (tagged as *discount_scope1*). Thus, one of the annotators marked a wider context as discontinuous scope with the idea that the whole discourse was necessary in order to fully understand the subsequent negative structure. In contrast, the other annotator chose as discontinuous scope only the words necessary to reconstruct the subsequent sentence. Example (43) shows the difference between a narrower (43a) and wider (43b) discontinuous scope.

43. (a) [Las pensiones se asignan]_{*discount_scope1*} por individuo y [no por territorio]_{*discount_scope2*}.
 ‘[Pensions are assigned]_{*discount_scope1*} on an individual basis, [not a territorial basis]_{*discount_scope2*}.’
- (b) [Las pensiones se asignan por individuo]_{*discount_scope1*} y [no por territorio]_{*discount_scope2*}.
 ‘[Pensions are assigned on an individual basis]_{*discount_scope1*}, [not a territorial basis]_{*discount_scope2*}.’

We finally agreed on a narrower discontinuous scope and marked only the words that would help reconstruct the negative structure.

As for the pleonastic focus, both annotators disagreed on which one of the two pronouns should be marked as pleonastic in the examples in which an indirect object was repeated. After some discussions where cases of disagreement were analyzed, we decided to mark as pleonastic the displaced focus in examples like (44a), that is *A mí*, as this is the pronoun that could be eliminated from the sentence. In contrast, the pronoun *me* must appear in the sentence, otherwise it would be ungrammatical (44b).

44. (a) [**A mí**<pleonastic>no me parece mal introducir un poco de mentalidad anglosajona].
 ‘[**I...**, **I don't** think it's a bad idea to introduce a little Anglo-Saxon mentality].’
- (b) *[**A mí** no parece mal introducir un poco de mentalidad anglosajona].

Another source of disagreement was determining the focus when there was more than one adjunct that could be interpreted as the focus. This dilemma tends to arise when manner, time and location are present in the same negative structure. For instance, in example (45), one annotator selected *con una buena vigilancia* (45a) and the other *aplicando medidas drásticas* (45b), and both can be interpreted as the focus.

45. (a) [Eso no debería ser posible **con una buena vigilancia** aplicando medidas drásticas].
 ‘[That wouldn't happen if there was **adequate supervision**, applying extreme measures].’
- (b) [Eso no debería ser posible con una buena vigilancia **aplicando medidas drásticas**].
 ‘[That wouldn't happen if there was adequate supervision, **applying extreme measures**].’

When the context does not give a cue for disambiguating the focus, the annotators do not always coincide in their selection. Therefore, this is a source of disagreement that is difficult to eliminate. We are considering the possibility of accepting more than one focus when such ambiguity occurs or considering the last element in the sentence to be the focus (*aplicando medidas drásticas*). At the moment, in these cases, the selection of the focus relies on the annotators' criterion.

After a revision of the criteria adopted, the updating of the guidelines and a discussion of the problematic cases, a second inter-annotator agreement test was conducted, in which a total average of 97.25% of observed agreement (0.94 kappa) was obtained, which is almost perfect following Landis and Koch Kappa's benchmark scale (1977), given the complexity of the task (See Table 3). We found 26 cases of disagreement, half of which corresponded to the identification of the focus, especially when there were two possible candidates to be the focus (45).

We can conclude that weekly meetings definitely helped annotators reach a higher agreement, as problematic cases were widely discussed. However, we have not measured the impact of these meetings on inter-annotator agreement, although we are certain that they were useful for training the annotators and helped us to establish clearer criteria, especially when dealing with cases of pleonastic focus, elliptic scope and how to identify the focus.

We used the AnCoraPipe¹⁴ tool for the annotation of the *NewsCom* corpus and the corpora texts annotated were XML documents with UTF-8 encoding.

5.1 Statistics of the annotation

In Table 4 we present relevant data resulting from the annotation of negation.

The *NewsCom* corpus contains 4,980 sentences, of which 2,232 (44.82%) contain at least one negative structure. The total number of negative structures is higher than the number of negative sentences because some sentences contain more than one negative expression. It is worth noting that 7.69% of the negative structures do not express a negative meaning. For instance (46) and (47):

46. Pues no os queda por tragar todavía.

'There is a lot more to come.'

47. No hay ideología más criminal que el neoliberalismo.

'There is no more criminal ideology than neoliberalism.'

In these examples, negation markers in these contexts do not have a negative value but rather a rhetorical one as in (46) or are part of a comparative construction as in (47) (see Jiménez et al. 2018).

The number of negation markers (3,430) is higher than the number of negative structures because some of these markers are discontinuous (20.23%) and contain two or more negation markers (see Section 4.1.4). Table 5 contains the most frequent negation markers and discontinuous negation markers in the corpus.

¹⁴ <http://annotation.exmaralda.org/index.php/AnCoraPipe>
Users guide: <http://clic.ub.edu/ca/ancorapipe>

Table 4. *Distribution of negative structures, negation markers, scope and focus*

Sentences	4,980	
with negation	2,232	44.82%
Negative structures	3,223	
with negation	2,975	92.31%
false negation	248	7.69%
Negation markers	3,430	
Discontinuous	694	20.23%
Scope	2,975	
Discontinuous	136	4.57%
Elliptical	161	5.41%
Focus	2,975	
Displaced	85	2.86%
Pleonastic	39	1.31%

Regarding the scope, 5.41% of cases are elliptical, meaning that the focus (as part of the scope) is located in one of the previous or following sentences. Whereas the scope is discontinuous in 4.57% of the cases, that is, part of the scope (but not the focus) is located in one of the previous or following sentences (see Section 4.3).

Finally, the *NewsCom* corpus contains a total number of 2,975 foci (the same number of scopes and negative structures), of which 85 (2.86%) correspond to displaced focus and 39 (1.31%) to pleonastic focus.

In order to get a clearer idea of the frequency of each phenomenon related to the focus of negation, we have calculated how the focus is expressed in a sample of the corpus. To do so, we have selected four files: economy, refugees, terrorism and technology. This sample includes 709 negative structures that contain a focus (we have excluded from our consideration negative structures that do not express negation). We offer, for each file, the relative frequency of each type of focus in relation to the total number of negative structures that include a focus. Table 6 and Table 7 show the distribution of the explicit and implicit focus respectively.

As we can see in Table 6, explicit focus is much less frequent than implicit focus in all the files we have analyzed. If we take into account typographic clues, for example, we can see that it is a very residual phenomenon. It is worth noting that reinforcement is the most explicit focus used. In contrast, the majority of focus are implicitly expressed in negative structures (Table 7). A relevant number of negative structures show an adjunct as the focus of negation (although this number can vary

Table 5. *Top 10 negation markers*

Neg_markers	Freq. n=3,430	%	Disc_markers	Freq. n=347	%
no	2,547	74.26	no/nada	90	25.94
ni	253	7.38	no/ning(-ún/...)	35	10.09
nada	205	5.98	no/nadie	17	4.90
sin	162	4.72	no/ni	11	3.17
ning(-ún/-una/-uno)	85	2.48	nunca/ning(-ún/...)	8	2.31
nunca	78	2.27	no/nunca	8	2.31
nadie	65	1.90	nunca/nada	7	2.02
tampoco	52	1.52	sin/nada	6	1.73
jamás	12	0.35	ni/nunca	4	1.15
ni_siquiera	8	0.23	no/en absoluto	4	1.15

from 27.27% in the economy file to 12.65% in the terrorism file) and, lastly, all files show a clear preference for expressing the focus through an argument. Thus, around 50% of the negative structures show an argument (such as the direct or indirect object) as the focus of negation. Examples where the focus of negation is expressed with a constituent are also very scarce.

Table 6. *Distribution of explicit focus*

	Economy n=132	Refugees n=109	Terrorism n=411	Technology n=57
Displacements	6 (4.54%)	1 (0.91%)	11 (2.67%)	3 (5.26%)
Pronominal Subj.	3 (2.27%)	2 (1.83%)	1 (0.24%)	2 (3.50%)
Contrastive	5 (3.78%)	0 (0%)	20 (4.86%)	0 (0%)
Reinforcement	21 (15.90%)	12 (11%)	49 (11.92%)	12 (21.05%)
Typographic clues	0 (0%)	0 (0%)	2 (0.48%)	1 (1.75%)

6 Conclusions and future work

In this article we have presented the criteria for the identification of the focus of negation in Spanish. We have distinguished between explicit and implicit focus, guided by whether formal explicit markers are used to emphasize the relevant information (explicit focus) or not (implicit focus). When these markers are not present, we apply the criterion of the most oblique argument, as long as the context does not

Table 7. *Distribution of implicit focus*

	Economy n=132	Refugees n=109	Terrorism n=411	Technology n=57
Constituent focus	6 (4.54%)	5 (4.58%)	5 (1.21%)	1 (1.75%)
Argument	65 (49.24%)	67 (61.46%)	264 (64.23%)	34 (59.64%)
Adjunct	36 (27.27%)	16 (14.67%)	52 (12.65%)	9 (15.78%)
Elliptic focus	24 (18.18%)	11 (10.09%)	56 (13.62%)	8 (14.03%)

provide any other information, with adjuncts being more oblique than arguments. We have also taken into account the positive meaning criterion when possible.

We tested the adequacy of these criteria by annotating the *NewsCom* corpus. The annotation process involved an in-depth linguistic analysis of the focus of negation through which we identified some ten different types of concrete criteria that cover a wide variety of constructions containing negative expressions. This corpus is a new linguistic resource containing 2,955 comments, 1,780 of which contain at least one negative structure. We assume that with this number of negative structures we have covered the main phenomena involved in the expression of negation in Spanish.

The annotation of the corpus was tested by applying inter-annotator agreement tests, which obtained a total average of 97.25% of observed agreement (0.89 Kappa), which is almost perfect following Landis and Koch Kappas benchmark scale (1977). The criteria were applied to Spanish, but we believe that they could also be useful for other languages.

Although the identification of the focus of negation is crucial in several NLP applications, especially for obtaining reliable information, it has received scant attention in NLP. Our aim is to contribute to the study of focus by creating a new linguistic resource, the *NewsCom* corpus, on which the criteria we developed were applied. This new resource provides empirical data that can be used for theoretical studies and for training systems in the identification of focus of negation.

As future work, we will first take advantage of the knowledge acquired to develop an automatic system for the detection of negation including the negation marker, scope and focus. Secondly, we will analyze the relationship between negation and factuality.

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