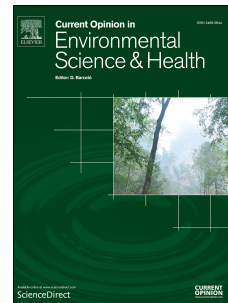


Journal Pre-proof

Concentration methods for the quantification of coronavirus and other potentially pandemic enveloped virus from wastewater

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24h composite
wastewater sample
(150-200ml)



VIRAL CONCENTRATION (SARS-CoV-2 and other enveloped viruses)



**Centrifugal
ultrafiltration**

25.1-56.0%

18.2-53.8%



**PEG/Al(OH)₃
flocculation-precipitation**

10.9-44.0%

5%



**Electronegative
filtration**

26.7-65.7%

-



Ultracentrifugation

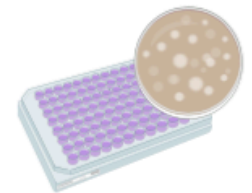
1-33.5%

1%

VIRAL DETECTION

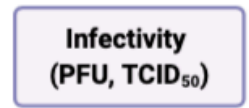
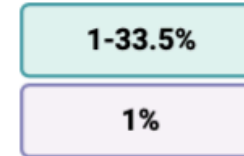
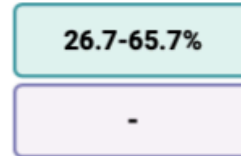
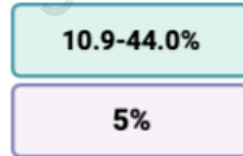
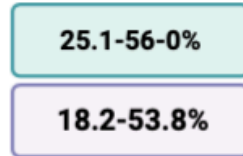


qPCR



**Infectivity
(PFU, TCID₅₀)**

Viral recoveries
calculated
using surrogates



1 **Concentration methods for the quantification of coronavirus and other potentially** 2 **pandemic enveloped virus from wastewater**

3

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6

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12

13 **keywords:** concentration methods, enveloped virus, SARS-CoV-2, recovery efficiency, surrogate virus

14 **Abstract**

15

16 Since the novel SARS-CoV-2 was detected in faeces, environmental researchers have been using
17 centrifugal ultrafiltration, polyethylene glycol precipitation and aluminium hydroxide flocculation to
18 describe its presence in wastewater samples. High recoveries (up to 65%) are described with
19 electronegative filtration when using surrogate viruses, but few literature reports recovery efficiencies
20 using accurate quantification of enveloped viruses. Considering that every single virus will have a
21 different behaviour during viral concentration, it is recommended to use an enveloped virus, and if
22 possible, a betacoronaviruses as murine hepatitis virus (MHV), as a surrogate. In this review we show
23 new data from a new available technology that provides a quick ultrafiltration protocol for SARS-
24 CoV-2. Wastewater surveillance is an efficient system for the evaluation of the relative prevalence of
25 SARS-CoV-2 infections in a community, and there is the need of using reliable concentration
26 methods for an accurate and sensitive quantification of the virus in water.

27

28 **Introduction**

29

30 Many viruses that infect humans are excreted in large amounts through faeces and urine or skin
31 desquamation, contributing to wastewater virome. Wastewater is a complex matrix that comprises a
32 large variety of pathogenic and commensal viruses and provides important information about virus
33 circulation, the introduction of emergent viruses and how they are transmitted among the population
34 [1]. Waterborne viruses are generally non enveloped and excreted in high numbers by infected
35 individuals with or without disease, and in some cases long after the resolution of symptoms [2]. The

36 study of excreted viruses is a very useful tool known as Wastewater-Based Epidemiology (WBE),
37 which has the potential to act as a complementary approach for current infectious disease surveillance
38 systems and an early warning system for disease outbreaks [3].

39

40 The incidence of emerging microbes is a serious health concern worldwide. The increase of human-
41 livestock contacts [4], population mobility and trade networks [5,6], climate change [7] or the wild
42 meat trade and loss of animal habitats [8] has raised the risk of a global pandemics. Since 1980, nearly
43 90 novel human pathogen species have been discovered, more than 70 of those corresponded to novel
44 human viruses, that compared to other pathogens have the potential to evolve more rapidly, being 80
45 of these associated with nonhuman reservoirs [9,10]. Influenza viruses (H1N1, H7N1, H7N9), human
46 immunodeficiency virus (HIV), ebola virus, coronaviruses as SARS-CoV, MERS, and the SARS-
47 CoV-2 causing the COVID-19 pandemic have been the most significant.

48

49 SARS-CoV-2 was identified in China at the end of 2019 [11] and has become the first pandemic
50 coronavirus (CoV). After the first case report of the presence of SARS-CoV-2 RNA in faeces [12],
51 and because of the presence in the past of SARS-CoV-1 in feces and sewage [13–15], the scientific
52 community started to investigate if this virus could spread into the environment. Specific stability of
53 SARS-CoV-2 has only been tested in aerosols and surfaces [16], but it is known that enveloped virus
54 are capable of retaining infectivity for days to months in aqueous environments [17–19]. On March
55 30th, SARS-CoV-2 was reported as detectable in wastewater three weeks before the first case was
56 reported in the Netherlands [20]. On the following weeks, studies from Australia, China, Italy and
57 Spain, reported the presence of SARS-CoV-2 and concentrations in raw wastewater to be between
58 10^4 - 10^6 GC/L [21–24].

59

60 One of the major challenges in SARS-CoV-2 research in wastewater samples is the lack of
61 standardized protocols for its detection. From sample collection to virus concentration, there is still no
62 consensus on the most efficient procedure. The way the sample is collected, or the virus is
63 concentrated seems to be crucial in order to avoid false negative results or inaccurate reported
64 concentrations. Although viral titers in composite samples are being reported to be lower than in non-
65 composite ones, the persistent variability between non-composite replicates suggest using an
66 autosampler that collects a volume proportional to flow as the best sampling strategy. Also, the fact
67 that different studies use different nucleic acid extraction and detection methods made difficult to
68 establish comparisons among different studies.

69 After conducting an extensive revision on the most commonly used methods for concentrating viruses
70 from wastewater samples in the last two years, Bofill-Mas and Rusiñol (2020) described that viral
71 concentration methods had been mostly focused on combinations of flocculation/precipitation
72 strategies [25]. Traditionally, viral environmental surveillance has considered principally RNA enteric

73 viruses and also DNA viruses abundantly excreted in feces, urine or desquamation as adenoviruses,
74 polyomaviruses and papillomaviruses, which are all non-enveloped virus [2]. In fact, in 2015,
75 Wigginton and collaborators noticed that research should focus on the study of enveloped viruses in
76 the urban water cycle as future pandemics could involve this type of viruses [26].

77 This review provides a brief on what it is known about the efficiency of viral concentration methods
78 for CoV as well as for other enveloped viruses and new data of a comparative study analysing three
79 concentration methods, skimmed milk flocculation, a new quick technology for ultrafiltration and a
80 centrifugal ultrafiltration protocol.

81

82 **SARS-CoV-2 in wastewater studies.**

83

84 To date, the published SARS-CoV-2 surveillance studies use centrifugal ultrafiltration (CeUF)
85 [20,21], methods including polyethylene glycol (PEG) or aluminium hydroxide ($\text{Al}(\text{OH})_3$)
86 flocculation-precipitation [22–24] to concentrate SARS-CoV-2 from untreated wastewater. Figure 1
87 summarizes the methods used in recently published studies to concentrate SARS-CoV-2 from
88 wastewater samples.

89 As wastewater becomes a surveillance tool for potential incidence regrowth, the interest to understand
90 the performance of the concentration methods used increases as well as the interest towards those
91 methods developed and validated for non-enveloped viruses testing. Culturing SARS-CoV-2 requires
92 BSL-3 laboratories and specially trained personnel, thus the use of surrogate CoV (e.g. non-human
93 infectious CoV strains, or other enveloped viruses) should be considered for methods development or
94 as positive control at this stage of research.

95

96 La Rosa *et al.* [27] recently published a review on CoV in water environments, including data on
97 occurrence, persistence and survival. Also Carducci *et al.* [28] revised the current state of the art
98 regarding CoV in water and highlighted the research gaps of the methods commonly used for
99 sampling and concentration of enteric viruses which need to adapt to enveloped viruses. Both reviews
100 are focused in the 4 available studies on human CoV that use two-step methodologies based on a pre-
101 centrifugation and ultrafiltration [18], glass wool filtration and PEG elution [29,30] and
102 electropositive filter media columns and PEG precipitation [31]. Kitajima *et al.* [32] reviewed the
103 state of the knowledge regarding the potential role of wastewater in the transmission of SARS-CoV-2.

104

105 The mouse hepatitis (MHV), a surrogate for human CoV, has been used for persistence, survival and
106 method comparison studies [18,33,34]. Ye *et al.* [18] compared, by means of MHV recoveries, three
107 methodologies to concentrate enveloped viruses from wastewater samples, PEG precipitation and
108 ultracentrifugation recovered approximately 5% of the spiked viruses whereas with ultrafiltration
109 protocol the concentration was significantly higher (25%). The best performing method involved

110 removal of debris, prefiltering 250 mL of wastewater through a 0.22 μm PES membrane, followed by
111 Centricron® Plus-70 10 kDa filtration. Recently, Ahmed *et al.* [34] have also evaluated six
112 concentration strategies using MHV as a surrogate. The three filtration methods assayed provided
113 highest mean recoveries: when MgCl_2 pre-treatment was included 65% of the MHV were recovered,
114 when sample was directly filtered through 0.45- μm pore-size electronegative membranes, MHV
115 recoveries were 60%, but when pre-acidifying the sample the mean recovery decreased to 27%.
116 Between the two CeUF methods tested, the Amicon® Ultra-15 30KDa recovered 56% of the spiked
117 surrogate and Centricron® Plus-70 10KDa recovered 28%. Finally, by means of PEG precipitation and
118 ultracentrifugation, MHV recoveries were 44% and 33% respectively.

119
120 Although some enveloped viruses could be adequate surrogates for betacoronavirus concentration,
121 only 5/15 published studies on SARS-CoV-2 occurrence in wastewater have used whole process
122 controls, some non-enveloped virus including RNA phages [20] and Mengo virus [22], and an
123 enveloped virus as porcine epidemic diarrhea virus (PEDV) [22]. The use of these controls prove that
124 the protocol worked correctly and provide with an estimation of the recovery efficiency of the method
125 for the control, although this could be different for the virus of interest. Highest recoveries were
126 obtained with CeUF devices, like Centricron® Plus-70 30KDa, reaching 73% of the seeded F-specific
127 RNA phages [20]. Randazzo *et al.* [22] used a surrogate CoV to calculate recovery. It is remarkable
128 that with the $\text{Al}(\text{OH})_3$ flocculation method a similar recovery (11%) was obtained for the enveloped
129 virus, PEDV, and the non-enveloped virus, Mengo virus. Different viruses, even those sharing
130 physical properties, use to show a different recovery when concentrated by the same method. To
131 observe similar recovery values could have been a mere casualty or it could be that both viruses
132 attached to flocs with similar efficiencies due to their negative charge when they are above the
133 isoelectric point [35].

134
135 Preliminary data obtained by our research group in a study analysing different concentration methods
136 for the detection of SARS-CoV-2 in wastewater from Catalonia (Spain), using MS2 as a process
137 control, showed no statistically significant differences (p -value of the ANOVA test: 0,332) between
138 the quantitative data (RT-qPCR) produced by the three viral concentration methods both for SARS-
139 CoV-2 and for MS2. Four wastewater samples were concentrated using: the Skimmed Milk
140 Flocculation (SMF) protocol [36] with an initial sample volume of 250 ml, the centrifugal
141 ultrafiltration of 70 ml of the sample with Centricron® Plus-70 100 kDa (CeUF) [20] and a new and
142 quick 80 ml ultrafiltration protocol using the automatic Concentrating Pipette (CP-Select™) from
143 Innovaprep using 150 kDa ultrafiltration tips (www.innovaprep.com) (Figure 2). Debris were
144 removed before the ultrafiltration by pelleting using centrifugation at 4750xg for 30 mins. A volume
145 of the three concentration methodologies, the equivalent of 2ml of sewage was analysed at the qPCR.

146

147 Concentration of other enveloped viruses with pandemic potential in wastewater

148

149 On the lack of much data regarding CoV recovery efficiency when using commonly applied methods
150 and until more data will be available, we should rely on what it is known for other enveloped viruses
151 considering that every single virus will have a different behaviour during viral concentration. Alone or
152 combined, the electropositive and electronegative filtration, CeUF, the organic flocculation and the
153 PEG/Al(OH)₃ precipitation methods, have been used in different studies covering enveloped viruses'
154 detection in environmental waters. Table 1, revises the concentration methods used until now for
155 enveloped virus and summarises a selection of studies reporting recovery efficiencies.

156

157 It has been reported that higher percentage of enveloped viruses adsorb to the solid fraction of
158 wastewater compared to non-enveloped viruses [18] and it is believed that these suspended solids
159 protect viruses from inactivation [19,37,38]. None of the published studies included the first step
160 separated solids into the analysis, but most of them involved an initial step to remove wastewater
161 solids and then focused on recovering the viruses from the liquid phase.

162

163 Despite the proposed viral concentration methods for SARS-CoV-2 or generally for CoV, extensively
164 reviewed by others, the organic flocculation, has been also used for the concentration of viruses in
165 water including enveloped viruses. The enveloped virus, bovine viral diarrhea virus (BVDV),
166 presented mean recoveries of 15% when tested with qPCR and 0,7% when tested for infectivity, but
167 acid pH (for approximately 16h) that is used in the SMF protocol seems to reduce the infectivity, as
168 the Log₁₀ ratio RT-qPCR/infectivity for that virus was 2.03 [36,39,40]. The same observation has
169 been described for PEG precipitation methods which disrupt the lipid bilayers and thus are not
170 optimal for recovering infective enveloped viruses [18,41].

171

172 When testing viral recovery methods, it is relevant to consider how recovery rates are calculated and
173 at this point the quantification of viral stocks used for spiking is of relevance since different values
174 may be obtained when the quantification is done directly from viral stocks used for spiking or when
175 quantifying after adding viral stock into a similar matrix from which recovered viruses will be
176 quantified. Different enzymatic inhibition could be observed depending on the matrix in which
177 viruses are embedded. On the other hand, if recovery is calculated according to infectivity by means
178 of plaque forming unit's quantification assays, viral aggregation phenomena could lead to an under
179 quantification of viral stocks. Disaggregation protocols before spiking should be considered to correct
180 this effect [42]. Finally, direct quantification of viral stocks without pre-purification or enzymatic pre-
181 treatment may overestimate the real amount of infectious viruses as the presence of free RNA may be
182 quantified in viral suspensions from cell cultures [43].

183

184 **Future research directions and conclusions**

185

186 Agents causing novel infections are often zoonotic, crossing from the natural host into the human
187 population. Hence, a one-health surveillance approach of virus-infected animals as well as humans is
188 required. Structural and biochemical differences between enveloped viruses suggest that the same
189 methods would not exhibit the same recoveries between them. As there is a potential for new
190 outbreaks, the molecular detection of SARS-CoV-2 RNA in wastewater and the correlation between
191 its concentration and reported prevalence of COVID19 may be a sensitive monitoring tool to evaluate
192 the prevalence of the virus in a community, becoming a potential source of epidemiological data and
193 public health risks information [20].

194

195 In order to face off novel outbreaks important public health organizations such as CDC, ECDC or
196 WHO, highlight the role of scientific research to combat infectious disease, especially those emerging
197 or re-emerging disease that may reappear in a more threatening form. CDC establishes that detection
198 and identification should be prioritized by expanding research on ecologic and environmental factors
199 influencing disease emergence and transmission, meanwhile the ECDC highlights as a general
200 surveillance objective, detect and monitor food- and waterborne and zoonotic outbreaks with respect
201 to source, time, population and place in order to provide a rationale for public health actions. On the
202 other hand, one of the WHO actions is to provide an integrated global alert and response system for
203 epidemics and other public health emergencies for an effective international coordinated response.
204 More scientific research is needed to identify viral transmission routes, characterizing protocols and
205 early detection strategies for a better understanding of the factors involved in disease emergence,
206 prevention, and elimination. In order to furnish health management models with wastewater
207 surveillance data, more research should focus on optimizing and evaluating concentration methods
208 able to recover enveloped potentially pandemic viruses or their surrogates from environmental
209 samples.

210

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216

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Table 1. Concentration methods and mean recoveries for enveloped viruses

Sample type	Family, genera and virus	Concentration method	Mean recovery \pm SD (detection method)	ref	
Waste water	<i>Coronaviridae</i> , <i>Betacoronavirus</i>	Ultrafiltration (Centricon® Plus-70 100kDa)	25,1 \pm 3,6% (PFU)	[18]	
		PEG/NaCl flocculation-precipitation	5% (PFU)		
		Ultracentrifugation	1% (PFU)		
		MHV	Ultrafiltration (Centricon® Plus-70 30KDa)	28,0 \pm 9,10% (qPCR)	[34]
			Ultrafiltration (Amicon® Ultra-15 30KDa)	56,0 \pm 32,3% (qPCR)	
			Electronegative filtration (pre-acidification)	26,7 \pm 15,3% (qPCR)	
			Electronegative filtration (direct filtration)	60,5 \pm 22,2% (qPCR)	
			Electronegative filtration (pre-treated MgCl ₂)	65,7 \pm 23,0% (qPCR)	
			PEG/NaCl flocculation-precipitation	44,0 \pm 27,7% (qPCR)	
			Ultracentrifugation	33,5 \pm 12,1% (qPCR)	
		SARS-CoV	Positive charged filter media + PEG elution	1,02% (TCID ₅₀)	[31]
		PEVD	Al(OH) ₃ flocculation-precipitation	Influent 10,90 \pm 3,54% (qPCR) Effluent 3,29 \pm 1,58% (qPCR)	[22]
		<i>Cystoviridae</i> , <i>Cystovirus</i>	Phi 6	Ultrafiltration (Centricon® Plus-70 100kDa)	18,2 \pm 9,5% (PFU)
PEG/NaCl flocculation-precipitation	5% (PFU)				
Ultracentrifugation	1% (PFU)				
<i>Orthomyxoviridae</i> , <i>Alphainfluenzavirus</i>	Influenza A (H5N1)	Ultrafiltration (Centricon® Plus-70 30KDa)	Influent 53,8% (qPCR) Effluent 42,7% (qPCR)	[44]	
Surface water	<i>Coronaviridae</i> , <i>Alphacoronavirus</i>	TGEV	Glass wool (electropositive filtration) + 20% PEG elution	51,3 \pm 10,5% (qPCR)	[30]
	<i>Coronaviridae</i> , <i>Betacoronavirus</i>	BCoV	Glass wool (electropositive filtration) + 10% PEG elution	Low turbidity 0,5 NTU: 25,8 \pm 21,3% (qPCR) Medium turbidity 125 NTU: 9,2 \pm 2,4% (qPCR) High turbidity 447 NTU: 19,5 \pm 27,1% (qPCR)	[29]
	<i>Flaviviridae</i> , <i>Pestivirus</i>	BVDV type 1	Glass wool (electropositive filtration)+ 10% PEG elution	Low turbidity 0,5 NTU: 12,9 \pm 5,4% (qPCR) Medium turbidity 125 NTU: 12,9 \pm 13,3% (qPCR) High turbidity 447 NTU: 21,1 \pm 5,3% (qPCR)	[29]
			Skimmed Milk flocculation	15 \pm 1,6% (qPCR) 0,7 \pm 0,13% (TCID ₅₀)	[36]
	<i>Orthomyxoviridae</i> , <i>Alphainfluenzavirus</i>	Influenza A (H5N1)	Glass wool (electropositive filtration)+ 10% PEG elution	River water 1% (TCID ₅₀) Rain water 3,63-13,79 % (qPCR)	[45]

Influenza A (H5N3)	Ultrafiltration (Hemoflow F80S)	Lake water 0,01-7,89% (qPCR)	[44]
	Pre-filtration + borosilicate glass membrane	Surface water 5,4% (qPCR)	
	GF/F (electropositive filtration)	Riverwater: $4.7 \pm 0.05\%$ (qPCR)	[46]
	Electronegative filtration (SMWP membranes)	Seawater: $16.7 \pm 0.04\%$ (qPCR)	
		Riverwater: $1.5 \pm 0.01\%$ (qPCR)	
		Seawater: 5.00 (qPCR)	

2

3 MHV: murine hepatitis virus; PEVD: porcine epidemic diarrhea virus; TGEV: transmissible gastroenteritis virus; BVDV: bovine viral diarrhea virus; BCoV: Bovine

4 coronavirus.

5

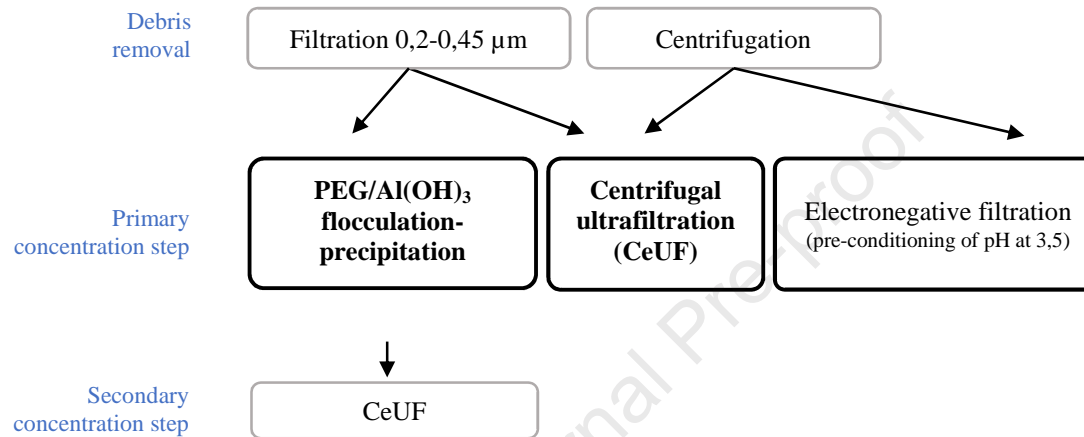
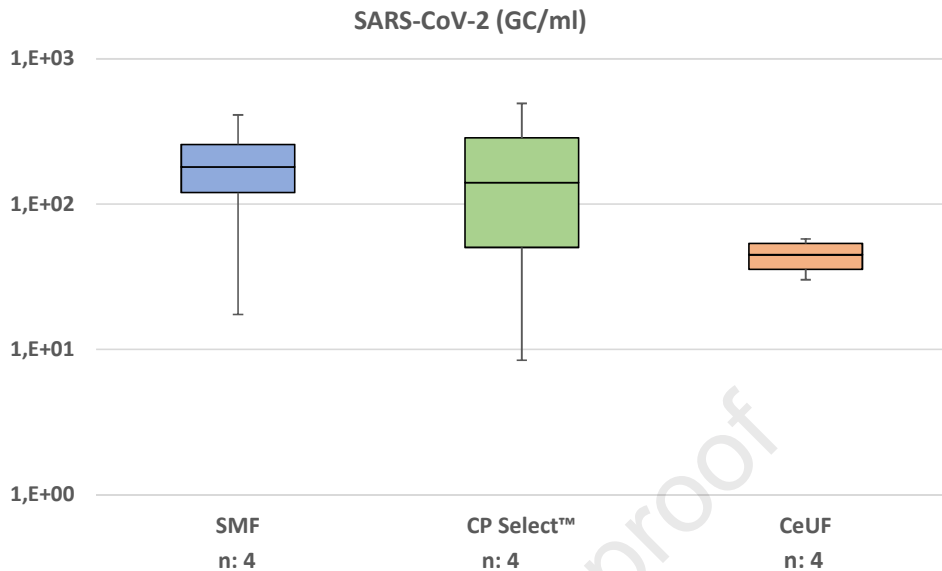


Figure 1: Summary of the different strategies used in the published literature to concentrate SARS-CoV-2 from wastewater samples. PEG/Al(OH)₃ flocculation-precipitation based methods [22–24] centrifugal ultrafiltration methods [20,21] and electronegative filtration [21] have been used to date, in the published studies for SARS-CoV-2.

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Percentage of recovery using MS2 as process control

	SMF	CP Select™	CeUF
Sample 1	23%	50%	8%
Sample 2	37%	43%	23%
Sample 3	32%	66%	23%
Sample 4	24%	45%	12%

Figure 2. Barplots of the concentrations of naturally occurring SARS-CoV-2 in 4 sewage samples by using three different concentration methods: Skimmed Milk Flocculation (SMF), InnovaPrep concentrating pipette with single-use ultrafiltration tips 150KDa (CP Select™) and centrifugal ultrafiltration with Centricon Plus 70 100KDa (CeUF).

Highlights

There are efficient methods to concentrate enveloped virus such as coronaviruses
More data on the recovery of the specific pathogen of interest is needed
Viral surrogates, ideally betacoronavirus, may be used as SARS-CoV-2 process control
Recovery calculation needs an accurate quantification of the viral stock

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