



ppGpp mediates the growth phase dependent regulation of *agn43*, a phase variable gene, by stimulating its promoter activity.

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1 **ppGpp mediates the growth phase dependent regulation of *agn43*, a phase**
2 **variable gene, by stimulating its promoter activity.**

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27 **Originality-Significance statement**

28

29 Expression studies of phase variable genes are challenging since the switching
30 between the ON- and OFF-states of the individual bacterial cells provides
31 heterogeneity within a clonal population. In this report, the expression of Antigen 43, a
32 biofilm promoting factor in *E. coli*, has been studied. The expression is strictly
33 dependent of the growth phase in a ppGpp-dependent manner. Single cell studies
34 demonstrate that ppGpp does not affect phase variation but the promoter activity of the
35 Antigen 43 expressing cells. Our data let to speculate that the ppGpp-mediated
36 regulation of Antigen 43 may have relevant ecological implications for the adaptation
37 and survival of bacteria to different stress conditions, since changes in the ON/OFF
38 states require DNA replication and cell division.

39

40 **ABSTRACT**

41 Antigen 43 (Ag43) is a self-recognizing outer membrane protein of *Escherichia coli*
42 expressed during intracellular growth and biofilm formation, suggesting a role in
43 infection. The expression of *agn43* is under phase variation control, meaning that there
44 are regulatory mechanisms adjusting the percentage of *agn43*-expressing cells in the
45 population, in addition to mechanisms modulating the transcriptional expression level in
46 each expressing cell. Phenotypic and transcriptional studies indicate that Ag43
47 expression is induced upon entry into the stationary phase in a ppGpp-dependent and
48 RpoS-independent manner. The use of single cell approaches and phase variation
49 deficient strains let to conclude that ppGpp stimulates *agn43* promoter activity, rather
50 than affecting the percentage of *agn43*-expressing cells. The data highlight the
51 relevance that promoter activity regulation may have, without any involvement of the
52 phase variation state, in the final Ag43 expression output. The *agn43* promoter of the
53 MG1655 strain carries an AT-rich discriminator between positions -10 and +1, which is
54 highly conserved among the *agn43* genes present in the different pathotypes of *E. coli*.
55 Remarkably, the AT-rich discriminator is required for the positive transcriptional control
56 mediated by ppGpp.

57 INTRODUCTION

58 Antigen 43 (Ag43) is a self-recognizing, afimbrial adhesin that promotes cell
59 aggregation, biofilm formation, resistance to oxidative stress and survival in human
60 neutrophils (Diderichsen, 1980; Henderson *et al.*, 1997; Kjaergaard *et al.*, 2000;
61 Schembri *et al.*, 2003; Fexby *et al.*, 2007). Ag43 belongs to the family of
62 autotransporters and it is composed of two subunits present in an equimolar ratio. The
63 α -subunit is exposed in the cell surface through non-covalent binding to the β -subunit,
64 an integral outer membrane component (Kjaergaard *et al.*, 2002; van der Woude and
65 Henderson, 2008). The *agn43* gene has been detected in many, but not all, *E. coli*
66 isolates and in some strains of the related species *Shigella flexneri* and *Citrobacter*
67 *rodentium*. Interestingly, *agn43* is more frequently found among pathogenic than
68 commensal *E. coli* strains, with higher prevalence in uropathogenic *E. coli* (UPEC),
69 where more than one *agn43* allele coding for highly homologous proteins is often found
70 (Al-Hasani *et al.*, 2001; van der Woude and Henderson, 2008). Ag43 is expressed
71 when UPEC grow intracellularly within uroepithelial cells, contributing to the long-term
72 persistence of urinary tract infections (Anderson *et al.*, 2003; Restieri *et al.*, 2007; Ulett
73 *et al.*, 2007).

74 The expression of *agn43* is under phase variation control. Thus, in a clonal population
75 both *agn43*-expressing cells (ON-cells) and non-expressing cells (OFF-cells) coexist.
76 The phenotypic diversity generated by phase variation in genetically homogeneous
77 populations is considered an adaptive advantage to survive in challenging conditions,
78 such as living within the host. Phase-variable genes are widely spread among bacteria
79 and most of them encode for bacterial surface structures (van der Woude, 2011).

80 The phase variation is mediated by two proteins, OxyR (a DNA-binding protein) and
81 Dam (a deoxyadenosine methyltransferase), that target specific sequences of the
82 *agn43* promoter (reviewed by (van der Woude and Henderson, 2008). The binding of
83 OxyR to the *agn43* promoter overlaps with the -10 element and three GATC boxes that
84 are susceptible to be methylated by Dam. If the GATC boxes are not methylated, OxyR

85 binding will interfere with the RNA polymerase, inhibiting transcription (OFF-phase).
86 But if they are methylated, OxyR cannot bind allowing transcription from the *agn43*
87 promoter (ON-phase). DNA replication is required to switch between phase states.
88 The secondary messenger ppGpp is involved in bacterial adaptation to diverse
89 environmental stresses (Magnusson *et al.*, 2005; Hauryliuk *et al.*, 2015; Steinchen and
90 Bange, 2016). In *E. coli*, the ppGpp levels dramatically change during the growth curve.
91 Under standard laboratory growth conditions, ppGpp concentration is around 40 μM
92 during exponential growth, increasing up to 800 μM upon entry to stationary phase to
93 later stabilizing at 150 μM (Varik *et al.*, 2017). ppGpp promotes severe physiological
94 changes upon entry into the stationary phase by directly affecting transcription of a
95 broad set of genes or by promoting the activity of RpoS, the stationary phase sigma
96 subunit, at different levels (Aberg *et al.*, 2009; Traxler *et al.*, 2011; Landini *et al.*, 2014).
97 In Gram-negative bacteria, most of the ppGpp regulatory effects are consequence of its
98 interaction with the RNA polymerase, either at site I (Mechold *et al.*, 2013) or together
99 with DksA at site II (Ross *et al.*, 2016; Molodtsov *et al.*, 2018), although ppGpp can
100 also bind to other specific proteins (Zhang *et al.*, 2018; Wang *et al.*, 2019). In UPEC,
101 ppGpp is involved in the control of biofilm formation and pathogenesis through
102 regulation of type 1 fimbriae expression (Aberg *et al.*, 2006, 2008).
103 In this report, we show that Ag43 expression is induced upon entry into stationary
104 phase at the transcriptional level by the secondary messenger ppGpp. Using single-cell
105 approaches and *oxyR* strains, which are phase variation deficient, we demonstrate that
106 ppGpp regulates Ag43 expression solely at the promoter activity level. The ppGpp-
107 mediated regulation of *agn43* expression requires the AT-rich discriminator located
108 between the -10 box and the position +1, which is highly conserved among the *agn43*
109 alleles present in the different pathotypes of *E. coli*. Our data highlight the relevance of
110 regulation at the promoter activity level defining the final *agn43* gene expression
111 output, despite being a phase variation-controlled gene.

112

113 RESULTS

114 Induction of Ag43 expression upon entry into stationary phase requires the 115 secondary messenger ppGpp

116 To gain insight into the physiological conditions affecting *agn43* expression in *E. coli*,
117 the levels of Ag43 along the growth curve were monitored by immunodetection of the
118 Ag43 α -subunit in LB cultures grown at logarithmic phase (OD₆₀₀ of 0.4) and after entry
119 into the stationary phase (OD₆₀₀ of 2.0) (Fig. 1A). The amount of Ag43 was up to five-
120 fold higher in early stationary than in logarithmic phase cultures.

121 ppGpp together with its cofactor DksA and RpoS are global regulators involved in the
122 drastic rearrangement of gene expression that takes place when *E. coli* enter into the
123 stationary phase (Magnusson *et al.*, 2005). Ag43 levels were determined in cultures of
124 mutant strains deficient in ppGpp, DksA and RpoS. No relevant differences in growth
125 kinetics were detected for the different strains in rich medium, as previously observed
126 (Aberg *et al.*, 2008). The levels of Ag43 were strongly reduced in both the ppGpp-
127 deficient (ppGpp⁰) and the $\Delta dksA$ mutant strains compared to Wt (Fig. 1B), indicating
128 that the ppGpp/DksA regulatory system is required for the stationary phase induction of
129 the Ag43 expression. Consistent with a previous work, showing a reduction on the
130 *agn43* transcript levels in ppGpp-deficient and $\Delta dksA$ mutant strains (Aberg *et al.*,
131 2009). On the contrary, the Ag43 levels were not significantly affected in a $\Delta rpoS$
132 mutant strain, suggesting that Ag43 induction was independent of the alternative sigma
133 factor RpoS (Fig. 1B). The unresponsiveness of *agn43* expression to RpoS has been
134 previously reported (Wallecha *et al.*, 2002).

135 Some *agn43* alleles promote cell-to-cell aggregation supporting both oxidative stress
136 protection and biofilm formation (Klemm *et al.*, 2004). The ability to cell aggregate,
137 measured as a decrease in the turbidity (OD₆₀₀) of cell suspensions, was monitored
138 (Fig. 1C). The Wt strain forms cell aggregates as indicated by a clear decrease in the
139 turbidity (>60%) after one hour whereas no change in turbidity was observed with cell
140 suspensions of the $\Delta agn43$ strain. No apparent cell aggregation was detected with

141 ppGpp⁰ cell suspensions, consistent with the low Ag43 expression detected. In further
142 accordance with the levels of Ag43 (Fig. 1B), cell aggregation was observed for the
143 $\Delta rpoS$ strain, whereas no cell aggregation was detected for the $\Delta dksA$ strain (Fig. S2,
144 supplementary information). These results strongly suggest that ppGpp is required for
145 the Ag43-mediated cell aggregation in MG1655.

146 **Transcription of *agn43* is stimulated by ppGpp**

147 To further characterize the ppGpp-mediated regulation, the transcriptional expression
148 of *agn43* through the growth curve was monitored in Wt and ppGpp⁰ strains (Fig. 1D).
149 A chromosomal *agn43::lacZ* transcriptional fusion at position +98, containing all known
150 regulatory elements required for phase variation, was used. The transcriptional profile
151 of *agn43* was monitored. Although no differences in the growth kinetics (open symbols)
152 were observed between the strains, the *agn43* expression profile (closed symbols)
153 displayed significant differences. In the Wt strain, *agn43* expression was low during the
154 logarithmic growth phase and rapidly increases at the beginning of the stationary phase
155 (OD₆₀₀ of 1.5 - 2.0). No such induction was observed in absence of ppGpp, resulting on
156 a 5-fold difference compared to Wt during the stationary phase.

157 To corroborate the stimulatory effect of ppGpp, the *agn43* transcriptional expression
158 was monitored when the intracellular levels of ppGpp were ectopically increased in
159 logarithmic-phase cells. Strains carrying the *relA256 spoT203* alleles produce an
160 excess of ppGpp (up to 7-fold above the basal levels) under normal exponential growth
161 conditions (Sarubbi *et al.*, 1988). The expression of *agn43* was induced in *relA256*
162 *spoT203* as compared to Wt in logarithmic-phase cells (OD_{600nm} of 0.4), reaching
163 values higher than those observed for Wt in stationary phase (Fig. 1E). ppGpp levels
164 were also increased in logarithmic-phase cells by overexpressing a catalytically active
165 RelA-variant (RelA'), being RelA one of the two ppGpp synthetases present in *E. coli*
166 (Hauryliuk *et al.*, 2015). Upon IPTG induction of the synthesis of the RelA' protein, a
167 robust increase in the *agn43* expression was observed, clearly indicating that ppGpp
168 stimulates *agn43* transcription (Fig. 1F).

169 **ppGpp stimulates transcription from the main promoter of *agn43***

170 To establish the *agn43* promoter that is affected by ppGpp, primer extension analyses
171 were performed in total RNA samples from early stationary phase cultures of Wt and
172 ppGpp⁰. Three different primer extension products were detected in Wt samples and
173 none in ppGpp⁰ (Fig. 1G). Consistently, a 5-fold reduction in *agn43* mRNA levels was
174 detected in the ppGpp⁰ strain compared to that in the Wt strain, when assayed by semi-
175 quantitative RT-PCR (Fig. S3). The 5'-end of the *agn43* transcript deduced from the
176 longer primer extension product (labeled 1 in Fig. 1G and 1H) coincides with the *agn43*
177 transcriptional start site previously described (Wallecha *et al.*, 2002) and it is located
178 downstream of predicted -35 and -10 elements, highly homologous to the consensus
179 sigma 70 promoter sequence (Fig. 1H). The two short primer extension products
180 mapped at positions +155 and +157 (labeled 2 and 3 in Fig. 1G and 1H). *In silico*
181 analyses did not identify any putative -35 and -10 elements upstream of these locations
182 suggesting that the primer extension products 2 and 3 are generated by mRNA
183 processing from the transcript initiated in the described P_{*agn43*}. To confirm the *in silico*
184 data, chromosomal *lacZ* fusions with two different fragments of the *agn43* gene were
185 generated (Fig. S4). Fragment I spans from -109 to +248 and fragment II from +44 to
186 +248. Both fragments contain the sequences upstream of the transcriptional start sites
187 2 and 3, but only fragment I carries the previously described P_{*agn43*} (responsible for
188 transcriptional start site 1). Fragment I fusion allowed clear detection of *agn43*
189 expression (2500 MU), whereas no significant expression was sensed with fragment II
190 (8 MU) (Fig. S4). These results indicate that there is not an active promoter
191 immediately upstream of the transcriptional starts 2 and 3.

192 **ppGpp stimulates the *agn43* promoter activity**

193 Genes under phase variation control, have two independent levels of transcriptional
194 regulation: i) the percentage of ON-cells (expressing a specific promoter) in the
195 population and ii) the classical regulation at the promoter activity level in each ON-cell.
196 To elucidate at which level ppGpp exerts its effect, single cell studies were performed

197 using a reporter system based on the green fluorescent protein (GFP). This *agn43-gfp*
198 reporter system was successfully used to monitor the percentage of ON-cells (phase
199 variation regulation) and the expression level in each single bacterial cell (P_{agn43}
200 activity) with the MC4100 *E. coli* strain (Lim and van Oudenaarden, 2007). Since
201 MC4100 is deficient for the ppGpp synthetase RelA (Ferenci *et al.*, 2009), the reporter
202 system was transferred to the MG1655 genetic background (see experimental
203 procedures in supplementary information). Validation assays were performed to
204 corroborate the appropriate monitoring of *agn43* expression in both strains, JDC69
205 (MG1655 derivative) and CHNL135 (MC4100 derivative). Phase variation can be
206 observed in both strains by detection of fluorescent sectors in colonies (Fig. S5A), a
207 certain percentage of cells emitting fluorescence in liquid cultures as shown by
208 confocal microscopy (Fig. S5B) and two distinct populations on the basis of green
209 fluorescence intensity (GFI) by flow cytometry (Fig. S5C). High GFI population (green)
210 corresponds to ON-cells whereas low GFI population (red) corresponds to OFF-cells.
211 As a control, MG1655 $\Delta oxyR$ strain (JDC95), where *agn43* expression is locked in the
212 ON-phase (Owen *et al.*, 1996), generates a homogeneous fluorescence-emitting
213 population (Fig. S5). Overall, we conclude that the *agn43-gfp* reporter system monitors
214 efficiently both levels of *agn43* transcriptional control in MG1655 derivatives.
215 Cultures of the Wt and ppGpp⁰ derivative strains were analyzed for *agn43* expression
216 by flow cytometry (Fig. 2A). In addition to the GFI (P_{agn43} activity), the accumulation of
217 propidium iodide (PI) was also monitored, indicating the presence of dead cells in the
218 population and allowing to distinguish OFF-cells from dead cells. In Wt cultures, two
219 clear populations were detected according to the GFI signal, with low (OFF-cells) and
220 high (ON-cells) intensities, and the average percentage of ON-cells was approximately
221 6% (Fig. 2B). In ppGpp⁰ cultures, the well-defined cell population with similar GFI as
222 the Wt ON-cells (220 arbitrary units) was not detected (Fig. 2A). Instead, cells with an
223 intermediate intensity (45 units), significantly higher than that of the well-defined OFF-
224 population, were detected (green dots). To clarify if these cells represent the ON-

225 population, cultures of the ppGpp⁰ strain inoculated with a cell suspension enriched in
226 ON-cells (GFP-emitting colonies) were analyzed (ppGpp⁰-ON). Two well-defined
227 populations were detected, strongly suggesting that the ppGpp⁰ ON-cells have an
228 average GFI lower than 50 units, about 5-fold lower than that of the ON-cells from the
229 Wt strain (Fig. 2A and 2B). No significant difference was observed in the percentage of
230 ON-cells between the Wt and ppGpp⁰ strains (Fig. 2B). Our data suggest that ppGpp
231 notably affects P_{agn43} activity at the promoter output level whereas it has no significant
232 effect on phase variation, under the conditions tested. The number of dead cells in a
233 ppGpp⁰ strain is higher than in a Wt strain (Fig. 2A, orange plot), underlining the
234 physiological relevance of ppGpp.

235 GFI determination in the *oxyR* strain monitors regulatory effects occurring at the P_{agn43}
236 output since P_{agn43} is locked-ON. Consistent with the previous results, ppGpp-
237 deficiency in a $\Delta oxyR$ genetic background causes a significant drop (5-fold) in the
238 average GFI (Figs. 2C and Fig. S6). Additionally, using $\Delta oxyR$ derivatives, the *agn43*
239 downregulation in a ppGpp⁰ was also detected at the protein level (Fig. 2D) and no
240 significant cell aggregation was observed in the absence of ppGpp, in contrast with the
241 very rapid decrease in turbidity (>80%) in the ppGpp-proficient derivative (Fig. 2E). As
242 controls, cell aggregation profiles of Wt and $\Delta oxyR \Delta agn43$ mutant strains were used.
243 Our results suggest that regulation at the level of P_{agn43} activity is the driving force of *the*
244 *agn43* growth phase dependent regulation. Accordingly, in $\Delta oxyR$ strains, a substantial
245 induction of P_{agn43} activity occurs in stationary phase as compared to logarithmic phase
246 (up to 5-fold) and the ability to cell aggregate is greater after entering stationary phase
247 (Fig. 2F).

248 **The growth phase control of P_{agn43} activity is abolished by alteration of the AT-** 249 **rich discriminator**

250 A hallmark of genes directly regulated by ppGpp is the particular composition of their
251 discriminator sequence located between the -10 element and the transcription start
252 (Sanchez-Vazquez *et al.*, 2019). In the presence of a GC-rich discriminator and other

253 suboptimal transcriptional elements, ppGpp destabilization of the RNA polymerase –
254 promoter open complexes results in direct repression (Haugen *et al.*, 2008). In
255 contrast, ppGpp-stimulated genes are often characterized by having an AT-rich
256 discriminator, as exemplified by several amino acid biosynthetic operons (Sanchez-
257 Vazquez *et al.*, 2019). The AT-rich discriminator promotes the formation of stable RNA
258 polymerase-promoter open complexes that are efficiently transcribed even in the
259 presence of ppGpp (Haugen *et al.*, 2008). The promoter sequence of 13 amino acid
260 biosynthetic operons, where an AT-rich discriminator was found, is depicted (Fig. 3A).
261 Nucleotide frequency analysis reveals that in five positions (labelled in bold in Fig. 3A),
262 AT nucleotides were present in more than 90% of those promoters. The *agn43*
263 promoter contains an AT-rich discriminator and AT nucleotides were detected in all five
264 positions considered (Fig. 3A). In a previous report, nucleotide substitutions leading to
265 exchange the AT-rich discriminator of the *uspA* gene for the GC-rich discriminator of
266 the *rnnB* P1 promoter proved that the *uspA* AT-rich discriminator is crucial for the
267 ppGpp-mediated transcriptional direct stimulation (Gummesson *et al.*, 2013). An
268 identical discriminator exchange was performed in the *agn43* promoter (Fig. 3B, upper
269 panel). The *agn43* promoter activity of the P_{agn43}^{Wt} (Wt promoter) and the P_{agn43}^{Mt}
270 (genetic construct with the AT-rich discriminator exchanged for the GC-rich
271 discriminator of the *rnnB* P1 promoter) was monitored in different genetic backgrounds,
272 using chromosomal *lacZ* promoter fusions (Fig. 3B). The *agn43* expression was
273 normalized to 100% ON cells, after determination of the percentage of ON cells on X-
274 gal LB plates. The P_{agn43}^{Wt} activity was induced in the stationary phase, whereas the
275 P_{agn43}^{Mt} activity did not respond to the growth phase stimulus. The unresponsiveness of
276 the P_{agn43}^{Mt} to the growth phase was confirmed using a locked-ON ($\Delta oxyR$) strain since
277 apparently identical results were obtained (Fig. 3C). Therefore, the exchange of the
278 discriminator abolishes the induction of the P_{agn43} activity upon entry into the stationary
279 phase. To rule out that the discriminator exchange done causes the inactivation of the
280 promoter, the P_{agn43} activity was analyzed in a Δdam strain, since Dam activity, despite

281 promoting switching to the ON-state, is required for the full activation of P_{agn43}
282 (Haagmans and van der Woude, 2000; Wallecha *et al.*, 2002). The P_{agn43} activity was
283 further downregulated in a Δdam derivative strain.

284 Notably, the sequence of the *agn43* discriminator was fully conserved among several
285 *E. coli* strains – including commensals, human pathogenic (both intestinal and
286 extraintestinal) and avian pathogenic isolates – and one strain of *Shigella* (Fig. S7)
287 suggesting a pivotal role of this regulatory motif in the control of *agn43* expression
288 levels by ppGpp in a growth phase dependent manner.

289 **DISCUSSION**

290 Expression studies of phase variable genes are challenging since the switching
291 between the ON- and OFF-states of the individual bacterial cells provides
292 heterogeneity within a clonal population (Chauhan *et al.*, 2013). Most studies, as
293 exemplified by the *fim* and *pap* operon – coding for type 1 and P fimbriae, respectively
294 – have focused on the impact of phase variation on the gene expression profile,
295 whereas the contribution of promoter output modulation has often been overlooked
296 (Blomfield, 2001; Casadesús and Low, 2006).

297 The epigenetic switch responsible for the *agn43* phase variation has been extensively
298 studied, resulting in an exhaustive dissection of its molecular mechanisms (Henderson
299 and Owen, 1999; Haagmans and van der Woude, 2000; Waldron *et al.*, 2002;
300 Wallecha *et al.*, 2002; van der Woude and Henderson, 2008). Conversely, very little is
301 known about the factors and environmental conditions that regulate expression at the
302 *agn43* promoter activity level and the relevance of this regulation in defining the final
303 Ag43 expression output in the cell. Different genetic screenings showed that
304 expression of Ag43 is affected by regulatory factors such as RfaH (Beloin *et al.*, 2006),
305 SeqA (Correnti *et al.*, 2002) and YdgG (Herzberg *et al.*, 2006), suggesting that
306 regulation of Ag43 might be influenced by more factors than initially expected.
307 In this report, we showed that the physiological state of the cell, through the secondary
308 messenger ppGpp, controls the expression of Ag43 (Fig. 4). Previously, it was shown

309 that cultures of the ppGpp deficient strain did not cell aggregate which was associated
310 with a stimulatory effect of ppGpp in the expression of Ag43 (Magnusson *et al.*, 2007).
311 Here, we demonstrated that ppGpp, in coordination with DksA and independently of
312 RpoS, is required for proper transcriptional expression of *agn43*. The no
313 responsiveness of *agn43* expression to RpoS confirmed previous data showing that
314 *agn43* expression in a locked ON state by overexpressing the Dam methylase was not
315 affected by the presence or absence of RpoS (Wallecha *et al.*, 2002). By using single
316 cell approaches, we showed that ppGpp acts as a positive regulator of P_{agn43} activity
317 and it does not alter the percentage of ON-cells in the population. To the best of our
318 knowledge, this is the first mechanism of *agn43* expression regulation acting at the
319 level of P_{agn43} activity. It highlights the impact of environmental fine-tuning of P_{agn43}
320 activity for the overall regulation of Ag43 expression, which may have relevant
321 ecological implications for the adaptation and survival of bacteria to different stress
322 conditions. Taking into account that switching from OFF- to ON-states requires up to
323 two cycles of chromosome replication (van der Woude and Henderson, 2008), it seems
324 imperative that, under cell arrest, stimulation of *agn43* expression should occur at the
325 level of promoter activity. *E. coli* cells, particularly during host colonization and
326 infection, may encounter conditions of sudden growth arrest. If replication is quickly
327 impaired, the cells will be unable to switch the *agn43* expression phase. Growth arrest
328 causes a concomitant increase in the intracellular levels of ppGpp, which could act as a
329 trigger to the rapid increase in the amount of Ag43 protein expressed on the surface of
330 the ON-cells, promoting cell-to-cell aggregation and biofilm formation. This will endorse
331 adaptation and/or survival of a part of the population in the prevailing harsh conditions.
332 *In vitro* studies identify a putative transcriptional terminator in the 5'-UTR of the *agn43*
333 transcript (Wallecha *et al.*, 2014). The authors suggest that antitermination is required
334 for efficient expression of Ag43 and that it decreases as the culture goes from the
335 logarithmic to the stationary phase. Although these results would suggest a higher level
336 of Ag43 expression in the logarithmic phase, the P_{agn43} activity level in different growth

337 phases was not monitored. Our data, at both protein and mRNA levels, are highly
338 convincing of the fact that Ag43 expression is promoted at the stationary phase of
339 growth, in agreement with the stimulating role of the regulators, ppGpp and DksA.
340 Moreover, one of the chromosomal *agn43-lacZ* fusions used (CBPU137 and
341 derivatives) lacks the putative terminator but responds to both the growth phase and
342 ppGpp in an apparently identical way as when mRNA levels were monitored (RT-PCR)
343 and the GFP reporter system, which carries the putative terminator, was used.
344 The *agn43* gene carries an AT-rich discriminator between the -10 and +1 promoter, a
345 common feature among many genes directly stimulated by ppGpp. The relevance of
346 this DNA sequence in the ppGpp-mediated control of *agn43* expression was shown by
347 sequence exchange of the AT-rich discriminator by the GC-rich *rrnB* P1 discriminator
348 as in previous reports (Gummesson *et al.*, 2013).
349 Our data indicate that the physiological state of the cell, and presumably diverse
350 environmental parameters, are sensed through the secondary messenger ppGpp,
351 causing alteration of Ag43 expression. We previously described that ppGpp-mediated
352 regulation occurs for the phase variable *fim* operon encoding the type 1 fimbriae (Aberg
353 *et al.*, 2006, 2008, 2009). Both Ag43 and type 1 fimbriae are associated with *E. coli*
354 virulence, particularly in the case of uropathogenic isolates (UPEC). Interestingly, it
355 was reported that during cystitis, UPEC cells, following the invasion of umbrella bladder
356 cells, form complex intracellular bacterial communities with an initial stage of rapid
357 intracellular growth followed by a drastic drop in the growth rate and expression of
358 biofilm traits such as Ag43 and type 1 fimbriae (Justice *et al.*, 2004). It is tempting to
359 speculate that ppGpp, the general stress response regulator that responds to growth
360 kinetics, plays a crucial role in coordinating the complex process of biofilm formation
361 and intracellular survival.

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377 on the manuscript.

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- 515

516 **FIGURE LEGENDS**

517 **FIGURE 1.** Ag43 expression is induced during entry into stationary phase in a ppGpp-
518 dependent manner. A and B. Immunodetection of Ag43 in whole cell lysates using a
519 polyclonal serum raised against the α -subunit of Ag43. In A, lysates from cultures of the
520 MG1655 strain grown in LB at 37°C up to logarithmic phase (OD_{600} of 0.4) and early
521 stationary phase (OD_{600} of 2.0). In B, lysates from cultures of the strains: Wt (MG1655),
522 ppGpp⁰ (AAG93), $\Delta dksA$ (TE8114) and $\Delta rpoS$ (JDC111) grown to early stationary
523 phase. Two independent cultures were analyzed, numbers indicate the average \pm
524 standard deviation of the relative signal intensity. The band corresponding to Ag43 α -
525 subunit is indicated. The amount of protein loaded was normalized by biomass (OD_{600})
526 and verified by Coomassie blue-stained SDS-PAGE of the same extracts (Fig. S1). C,
527 cell aggregation assays of cell suspensions from overnight cultures of the strains Wt
528 (MG1655), $\Delta agn43$ (JDC100) and ppGpp⁰ (AAG93). Percentage of the initial turbidity
529 (OD_{600}) at the indicated time points. Values are the average and standard deviations of
530 three independent cultures. D, expression profile of *agn43* assayed at different time-
531 points through the growth curve in cultures of Wt (CBPU137, triangles) and ppGpp⁰
532 (CBPU158, squares) strains carrying a chromosomal $P_{agn43}::lacZ$ fusion. The growth
533 curve (empty symbols) was monitored by measurements of the OD_{600} . The *agn43*
534 expression (filled symbols) was monitored by determination of the β -galactosidase
535 activity (Miller units). E and F, effect of ectopic production of ppGpp on *agn43*
536 expression. E, *agn43* transcriptional expression in cultures of Wt and the *relA256*
537 *spoT203* derivative grown up to logarithmic phase (OD_{600} of 0.4) and early stationary
538 phase (OD_{600} of 2.0). F, *agn43* transcriptional expression in cultures of the CBPU137
539 strain carrying the pVI751 plasmid (open bars), coding a RelA' protein, after induction
540 of ppGpp production by adding IPTG (0.02 mM) at an OD_{600} of 0.1. As control, cultures
541 of the same strain carrying the vector pMMB66HE (filled bars) were also tested. β -
542 galactosidase activity was monitored at different time points after IPTG addition in. In

543 D, E and F, data are mean values of duplicate determinations in at least three
 544 independent experiments plotted with standard errors. G, primer extension analyses
 545 using the primer Ag43-7 (see location in panel H) of total RNA samples from cultures of
 546 Wt (AAG1) and ppGpp⁰ (AAG3) strains grown up to early stationary phase. Two
 547 independent cultures were analyzed. Sequencing reactions used as size markers are
 548 shown to the right. The primer extension products detected are labeled from 1 to 3. H,
 549 promoter sequence of *agn43*. In bold are indicated the -35 and -10 elements and the
 550 ATG codon. The three Dam-methylation sites (GATC) are underlined. The OxyR
 551 binding site is labeled with a discontinuous line. The position of the Ag43-7 primer is
 552 indicated by underlined italics characters. The nucleotides corresponding to the 5' start
 553 of the primer extension products detected are labeled 1, 2 and 3. All cultures were
 554 grown in LB at 37°C.

555 **FIGURE 2.** A *gfp* reporter system reveals that ppGpp affects *agn43* transcription at the
 556 level of promoter activity. A, flow cytometry analyses of cultures of the strains Wt
 557 (JDC69) and ppGpp⁰ (JDC94). ppGpp⁰-ON indicates cultures enriched in ON-cells. The
 558 upper plots depict distribution of live/dead cells (PI, Y-axis) depending on P_{agn43} activity
 559 (GFI, X-axis). The lower plots depict cell count vs. P_{agn43} activity of the upper plots. B,
 560 summary of flow cytometry analyses of the Wt and ppGpp⁰ strains shown in A. C,
 561 summary of flow cytometry analyses of $\Delta oxyR$ and $\Delta oxyR$ ppGpp⁰ shown in Fig. S6. D,
 562 immunodetection of Ag43 in whole cell lysates from cultures of the Wt (MG1655),
 563 ppGpp⁰ (AAG93), $\Delta oxyR$ (JDC99) and $\Delta oxyR$ ppGpp⁰ (JDC98) strains grown up to an
 564 OD₆₀₀ of 2.0. The amount of protein loaded was normalized by biomass (OD₆₀₀) and
 565 verified by Coomassie blue-stained SDS-PAGE of the same extracts (Fig. S1). E, cell
 566 aggregation assays of cell suspensions of Wt (MG1655), $\Delta oxyR$ (JDC99), $\Delta oxyR$
 567 $\Delta agn43$ (CBPU1262) and $\Delta oxyR$ ppGpp⁰ (JDC98) strains. Percentage of the initial
 568 turbidity (OD₆₀₀) at the specified time points is indicated. F. Ag43 is induced in
 569 stationary phase in a locked-ON phase genetic background. P_{agn43} activity (*agn43*
 570 transcriptional expression, light grey bars) in cultures of the $\Delta oxyR$ strain (CBPU140),

571 grown in LB at 37°C up to logarithmic (log, OD₆₀₀ of 0.4) and early stationary phase
572 (stat, OD₆₀₀ of 2.0), monitored by determination of the β-galactosidase activity (Miller
573 units). Cell aggregation (% of initial OD_{600nm} after 1 hour incubation, dark grey bars) of
574 cultures of the ΔoxyR strain (JDC99) grown as indicated. In all cases, values are the
575 average and standard deviations of three independent cultures. All cultures were grown
576 in LB at 37°C.

577 **FIGURE 3.** The AT-rich discriminator of *agn43* is crucial for the growth dependent
578 regulation. A, partial sequence of the promoter region of the indicated genes. The
579 location of the -10 element is shown. With asterisk are labeled the bases that are either
580 A or T in more than 90 % of the genes analyzed. B, upper panel, schematic
581 representation of the promoter including the discriminator sequence of the *rnnB* P1, the
582 native *agn43* (Wt) and the hybrid *agn43* (Mt). Boxes represent the -35 and -10
583 hexamers (white *rnnB* P1 and light grey *agn43*). Lower panel, transcriptional expression
584 in logarithmic (OD₆₀₀ of 0.4, grey bars) and stationary phase (OD₆₀₀ of 2.0, black bars)
585 using the indicated promoter *lacZ* fusions either in Wt (LFC101 and LFC102) or its
586 Δ*dam* derivative (LFC105 and LFC106) strains. The transcriptional expression was
587 corrected to 100 % cells (see materials and methods). C, transcriptional expression
588 studies as in B in locked ON-phase (ΔoxyR) strains (LFC103 and LFC104). Values are
589 the average and standard deviations of three independent cultures.

590 **FIGURE 4.** Proposed model of regulation of *agn43* expression in logarithmic and
591 stationary phase of growth.

FIGURE 1

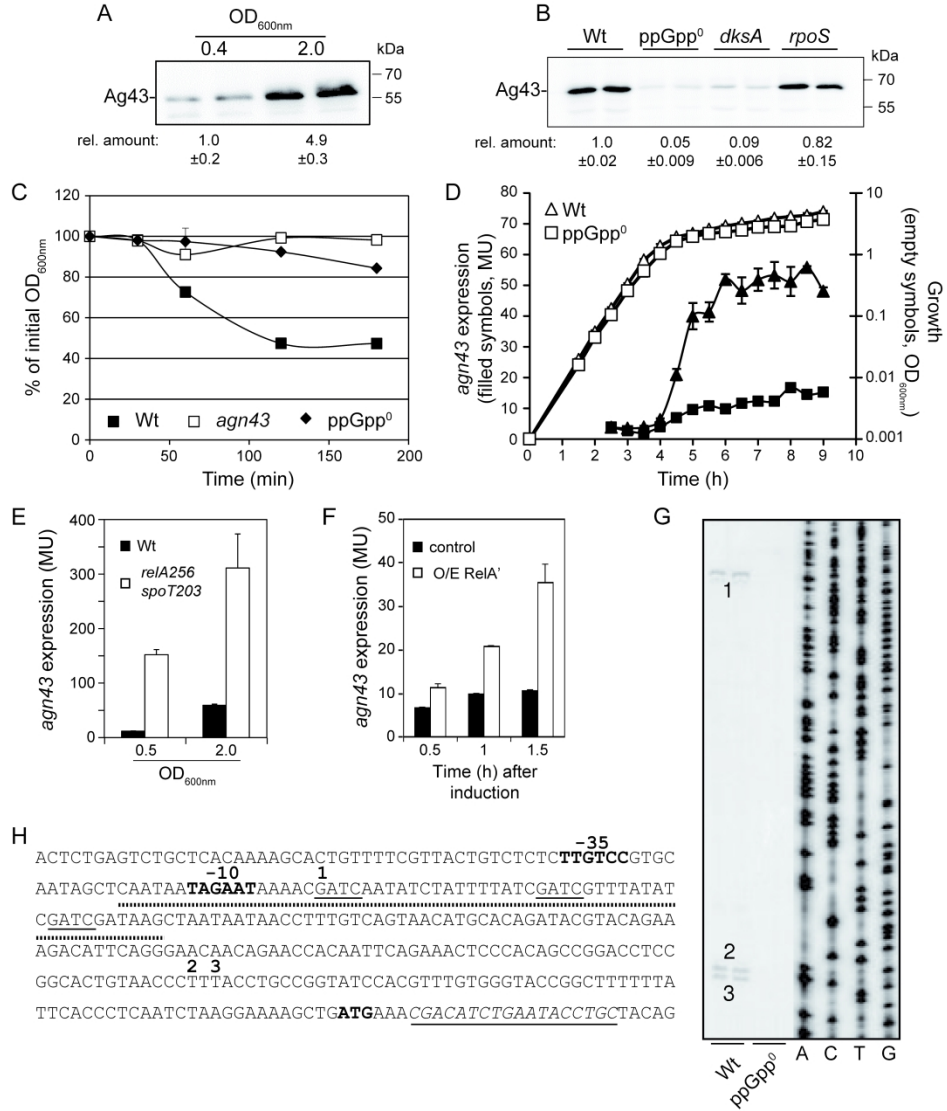


Figure 1

FIGURE 2

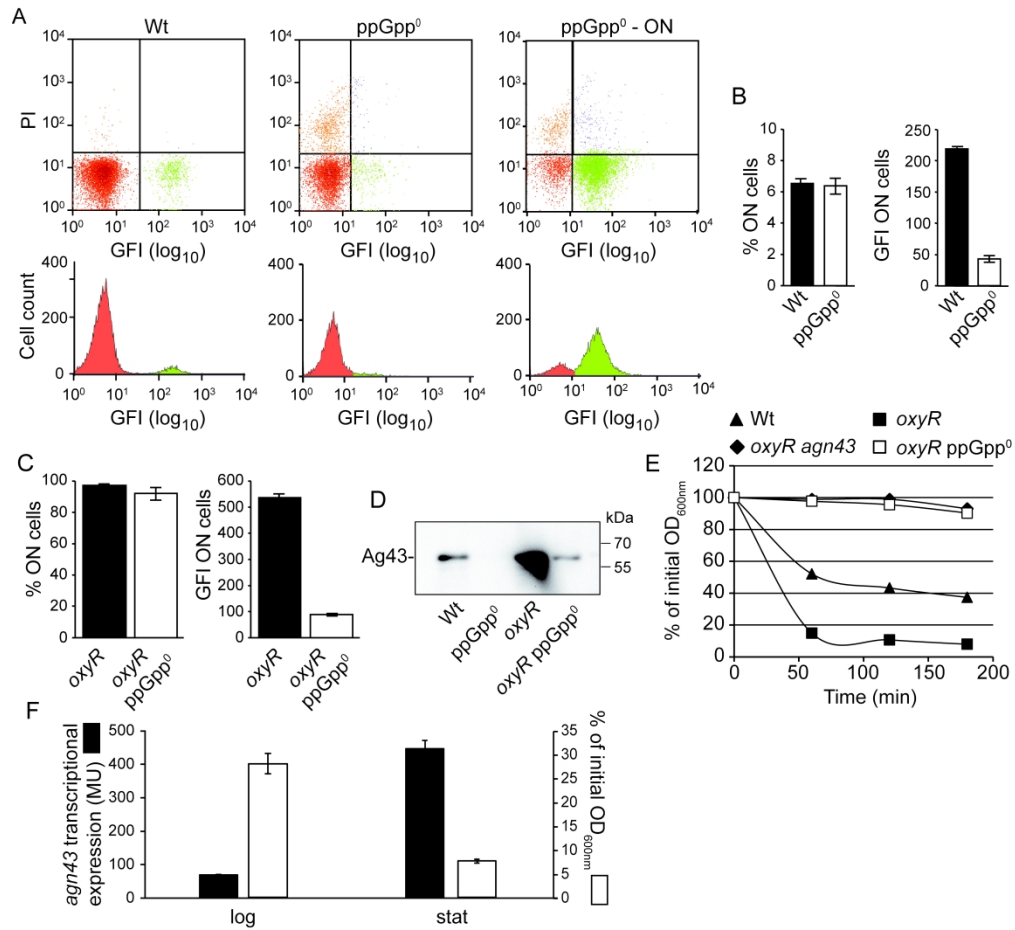


Figure 2

FIGURE 3

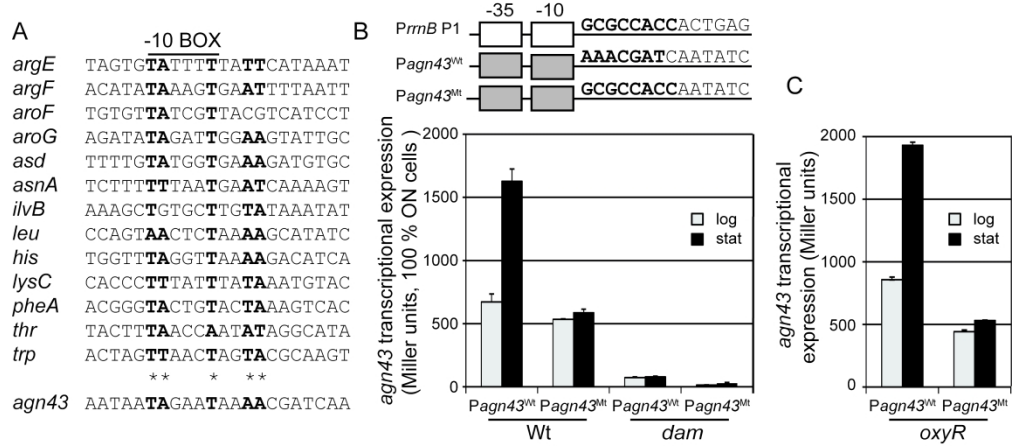


Figure 3

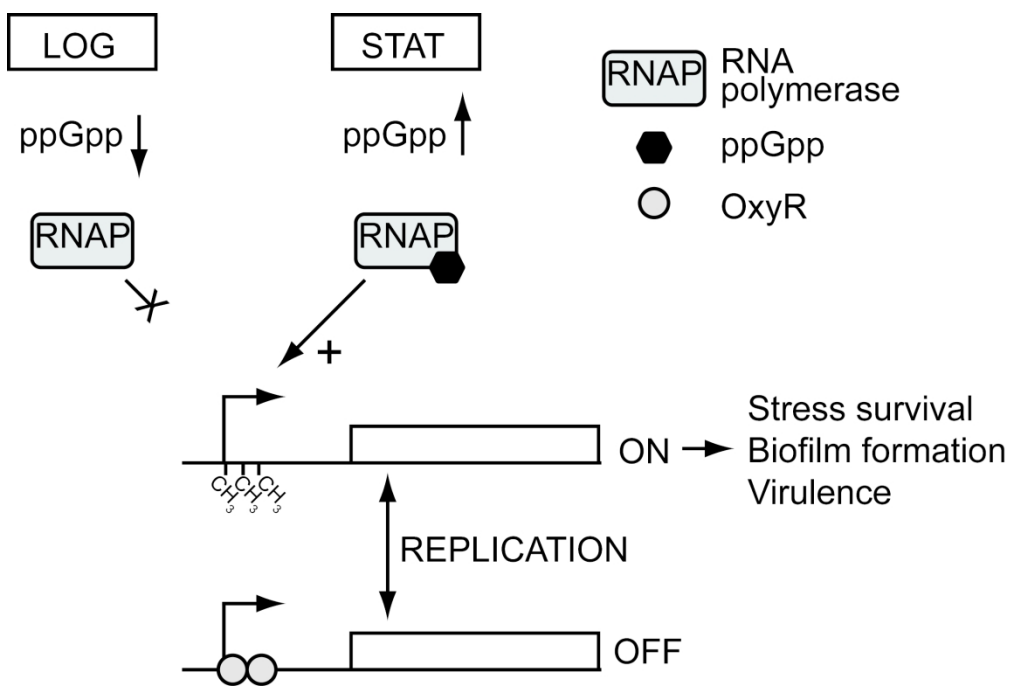


Figure 4