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Abstract Intracellular traffic amongst organelles represents a key feature for eukaryotes and is orchestrated principally by members of Rab family, the largest within Ras superfamily. Given variations in Rab repertoire have been fundamental in animal diversification, we provided the most exhaustive survey regarding the Rab toolkit of chordates. Our findings reveal the existence of 42 metazoan conserved subfamilies exhibiting a univocal intron/exon structure preserved from cnidarians to vertebrates. Since the current view does not capture the Rab complexity, we propose a new Rab family classification in three distinct monophyletic clades. The *Rab* complement of chordates shows a dramatic diversification due to genome duplications and independent gene duplications and losses with sharp differences amongst cephalochordates, tunicates and gnathostome vertebrates. Strikingly, the analysis of the domain architecture of this family highlighted the existence of chimeric calcium-binding Rabs, which are animal novelties characterized by a complex evolutionary history in gnathostomes and whose role in cellular metabolism is obscure. This work provides novel insights in the knowledge of *Rab* family: our hypothesis is that chordates represent a hotspot of *Rab* variability, with many events of gene gains and losses impacting intracellular traffic capabilities. Our results help to elucidate the role of Rab members in the transport amongst endomembranes and shed light on intracellular traffic routes in vertebrates. Then, since the predominant role of Rabs in the molecular communication between different cellular districts, this study paves the way to comprehend inherited or acquired human disorders provoked by dysfunctions in *Rab* genes.

Keywords (separated by '-') Metazoan Rab - Calcium-binding Rab chimeras - Small GTPase superfamily - Amphioxus *Branchiostoma* - Ascidian *Ciona* - Larvacean *Oikopleura*

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The evolutionary landscape of the Rab family in chordates

Ugo Coppola^{1,3} · Filomena Ristoratore¹ · Ricard Albalat² · Salvatore D'Aniello¹

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Abstract

Intracellular traffic amongst organelles represents a key feature for eukaryotes and is orchestrated principally by members of Rab family, the largest within Ras superfamily. Given variations in Rab repertoire have been fundamental in animal diversification, we provided the most exhaustive survey regarding the Rab toolkit of chordates. Our findings reveal the existence of 42 metazoan conserved subfamilies exhibiting a univocal intron/exon structure preserved from cnidarians to vertebrates. Since the current view does not capture the Rab complexity, we propose a new Rab family classification in three distinct monophyletic clades. The *Rab* complement of chordates shows a dramatic diversification due to genome duplications and independent gene duplications and losses with sharp differences amongst cephalochordates, tunicates and gnathostome vertebrates. Strikingly, the analysis of the domain architecture of this family highlighted the existence of chimeric calcium-binding Rabs, which are animal novelties characterized by a complex evolutionary history in gnathostomes and whose role in cellular metabolism is obscure. This work provides novel insights in the knowledge of *Rab* family: our hypothesis is that chordates represent a hotspot of *Rab* variability, with many events of gene gains and losses impacting intracellular traffic capabilities. Our results help to elucidate the role of Rab members in the transport amongst endomembranes and shed light on intracellular traffic routes in vertebrates. Then, since the predominant role of Rabs in the molecular communication between different cellular districts, this study paves the way to comprehend inherited or acquired human disorders provoked by dysfunctions in *Rab* genes.

Keywords Metazoan Rab · Calcium-binding Rab chimeras · Small GTPase superfamily · Amphioxus *Branchiostoma* · Ascidian *Ciona* · Larvacean *Oikopleura*

Introduction

Intracellular membrane-bounded organelles are a distinguishing feature of eukaryotic cells with a crucial role in most of their biological processes [1]. As a consequence, the sophisticated transportation of cargo by different carriers and vesicles amongst internal compartments needs to be finely regulated. In the intracellular traffic, the Ras-related in brain (Rab) proteins, originally discovered in yeasts [2], are key players in the control of membrane transport in *Eukarya* [3]. Rabs represent by far the largest family within the small GTPase superfamily, comprising more than 60 members in humans [4].

At the structural level, Rabs consist approximately of 200 amino acids and are generally connected to lipid bilayers via a long hypervariable domain with a prenyl group on two cysteine residues [5]. Rabs have the same organization of other GTPases with the P-loop domain, which is a widespread nucleotide-binding motif necessary for

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42 cycling between GTP and GDP forms, and the Switch I
 43 and Switch II domains, which are responsible for the Rab
 44 folding and the interaction with different effectors [6]. At
 45 the functional level, Rab proteins govern the recruitment
 46 of vesicle tethering factors, motor proteins and receptors
 47 in a highly ordered manner [7, 8]. With the help of effec-
 48 tors, Rab family members are considered the fundamen-
 49 tal regulators of Golgi organization and functioning [9].
 50 Like other GTPases, they function by shifting between
 51 an inactive GDP state and an active GTP state catalysed
 52 by guanine nucleotide exchange factors (GEF). Specific
 53 GTPase activator proteins (GAP) act blocking the Rabs
 54 [10] and are successively recycled by GDP inhibitor pro-
 55 teins (GDI) located on membranes [11]. According to their
 56 functional role as key regulators of membrane transport
 57 and vesicular trafficking in the cellular endomembrane
 58 system, Rabs are involved in a myriad of basic biological
 59 processes being expressed in a wide range of tissues and
 60 developmental stages. It is not, therefore, surprising that
 61 Rab malfunctions are implicated in a plethora of human
 62 pathologies including Parkinson's disease [12], several
 63 inherited genetic disorders [13], neuroblastoma differen-
 64 tiation [14, 15] and invasive growth and metastasis of dif-
 65 ferent tumours [16]. Actually, given that Rab proteins are
 66 deregulated in cancer, they have been selected for novel
 67 therapies [17].

68 According to phylogenetic data, Rab proteins have been
 69 classified into six supergroups, each predominantly local-
 70 ized in distinct cell compartments and controlling specific
 71 cellular trafficking steps [18, 19]. From this large diversity,
 72 a set of five Rabs (Rab1, Rab5, Rab6, Rab7 and Rab11) has
 73 been defined as the “core” Rabs [20, 21], which might rep-
 74 resent the minimal protein trafficking machinery compatible
 75 with free life (not parasitic) [21]. These Rabs would regulate
 76 the basic secretory and endocytic pathways common to all
 77 eukaryotes [21, 22]. Initial phylogenetic analyses suggested
 78 that, however, the last eukaryotic common ancestor (LECA)
 79 had already a complex repertoire of Rabs, including the
 80 core Rabs plus other Rab subfamilies (e.g. Rab2, Rab8 and
 81 Rab18) [22], and following analyses increased the ancestral
 82 Rab repertoire up to 23 members, which is coherent with the
 83 presence of a functioning Golgi apparatus and the capability
 84 for both endocytosis and phagocytosis [22].

85 Lineage-specific duplications and losses of the primeval
 86 LECA repertoire have led to the current variable Rab num-
 87 ber in the different eukaryote species, from approximately
 88 20 in the majority of protists and unicellular algae [22] up
 89 to more than 60 in many multicellular species [18, 24–27].
 90 Interestingly, although unicellular organisms traditionally
 91 have limited Rab complements, there are species with large
 92 repertoires of Rabs, due to the presence of many novel and
 93 divergent subfamilies: this unusual peculiarity has been indi-
 94 cated as a requirement for their life cycles [23–25].

95 Changes in Rab family have been associated with major
 96 events in the evolution of the eukaryotic cells and the uni-
 97 cellular to multicellular transition, especially for metazoan
 98 multicellularity [18, 24, 28]. Despite the invertebrate to
 99 vertebrate transition is also considered a major event in
 100 evolution for which variations in Rab complement have
 101 been important [18], a systematic comparison of the Rab
 102 components in non-vertebrate chordates versus vertebrate
 103 chordates is lacking. To fill this gap, we have studied the
 104 *Rab* family of five selected species of the chordate phylum:
 105 three non-vertebrate chordates—one cephalochordate and
 106 two tunicate (also known as urochordate) species—and two
 107 vertebrate chordates. We have identified and classified more
 108 than 243 Rabs, creating the most comprehensive catalogue
 109 of chordate Rabs and unravelling different patterns of evo-
 110 lution in each chordate subphyla: a conservative pattern in
 111 cephalochordates retaining the ancestral repertoire of chor-
 112 date Rab subfamilies, a liberal pattern in tunicates charac-
 113 terized by numerous gene losses and an expansive pattern in
 114 vertebrates heavily impacted by the two rounds (1R, 2R) of
 115 whole-genome duplications (WGD) of this lineage. Finally,
 116 our analysis has improved the classification of metazoan
 117 Rabs and provided the first evolutionary reconstruction of
 118 the poorly studied calcium-binding Rab chimeras.

119 Results

120 Phylogenetic analysis of the Rab family

121 To understand the evolution of the chordate *Rab* family in
 122 the context of metazoans, we analysed the Rab complement
 123 of five selected species representing the three chordate sub-
 124 phyla: the amphioxus *Branchiostoma lanceolatum*, which
 125 belongs to the early branching, slow-evolving cephalochor-
 126 date subphylum [29]; the ascidian *Ciona robusta* (formerly
 127 *C. intestinalis* [30]), and the larvacean *Oikopleura dioica*,
 128 both belonging to the fast-evolving tunicate subphylum [31];
 129 and two vertebrate species: the mammal *Homo sapiens*, and
 130 the reptilian *Anolis carolinensis*. We selected this reptilian
 131 species because it is distantly related to mammals within
 132 the vertebrate subphylum, but it has not been affected by the
 133 extra whole-genome duplication event characteristic of tel-
 134 eost fish species (e.g. zebrafish, medaka or fugu). To provide
 135 a wider evolutionary framework to our study, we included
 136 several additional animal species on the basis of their posi-
 137 tion in the metazoan phylogeny. Two ambulacrarian spe-
 138 cies (non-chordate deuterostomes), the hemichordate *Sac-
 139 coglossus kowalevskii* and echinoderm *Strongylocentrotus
 140 purpuratus*; three protostome species: the nematode *Caeno-
 141 rhabditis elegans* (Ecdysozoa), the annelid *Capitella teleta*
 142 and the mollusc *Lottia gigantea* (Lophotrochozoa); and one
 143 non-bilaterian species, the cnidarian *Nematostella vectensis*.

144 We conducted an exhaustive and systematic survey of
 145 *Rab* genes in the available databases of these 11 selected
 146 animal species, retrieving 485 *Rab* sequences, which is the
 147 most comprehensive catalogue of metazoan *Rab* genes com-
 148 piled thus far. We aligned 457 protein sequences to build the
 149 phylogenetic tree shown in Fig. 1 (Supplementary files 1
 150 and 2), excluding 28 partial or highly divergent *Rabs* (Sup-
 151plementary file 3).

152 The phylogenetic reconstruction recovered 42 distinct
 153 metazoan *Rab* subfamilies (support values from approxi-
 154 mate likelihood ratio test (aLRT) and from the Bayesian-like

transformation of aLRT (aBayes) higher than 90% for 34
 out of the 42 subfamilies), most of them with representa-
 tives from cnidarians to vertebrates (Figs. 1, S1). Phy-
 logeny consistently supports the orthology of each *Rab*
 member from *N. vectensis* to *H. sapiens*, underpinning
 the scenario in which the last metazoan common ances-
 tor (LMCA) already possessed most of the *Rab* subfam-
 ily diversity [18, 24]. In fact, our results summarized in
 Fig. 2 supported the existence of at least 38 *Rab* subfam-
 ilies in the LMCA, considering two losses in *N. vectensis*
 (*Rab32/38* and *RabX1*) since they are present in the sponge

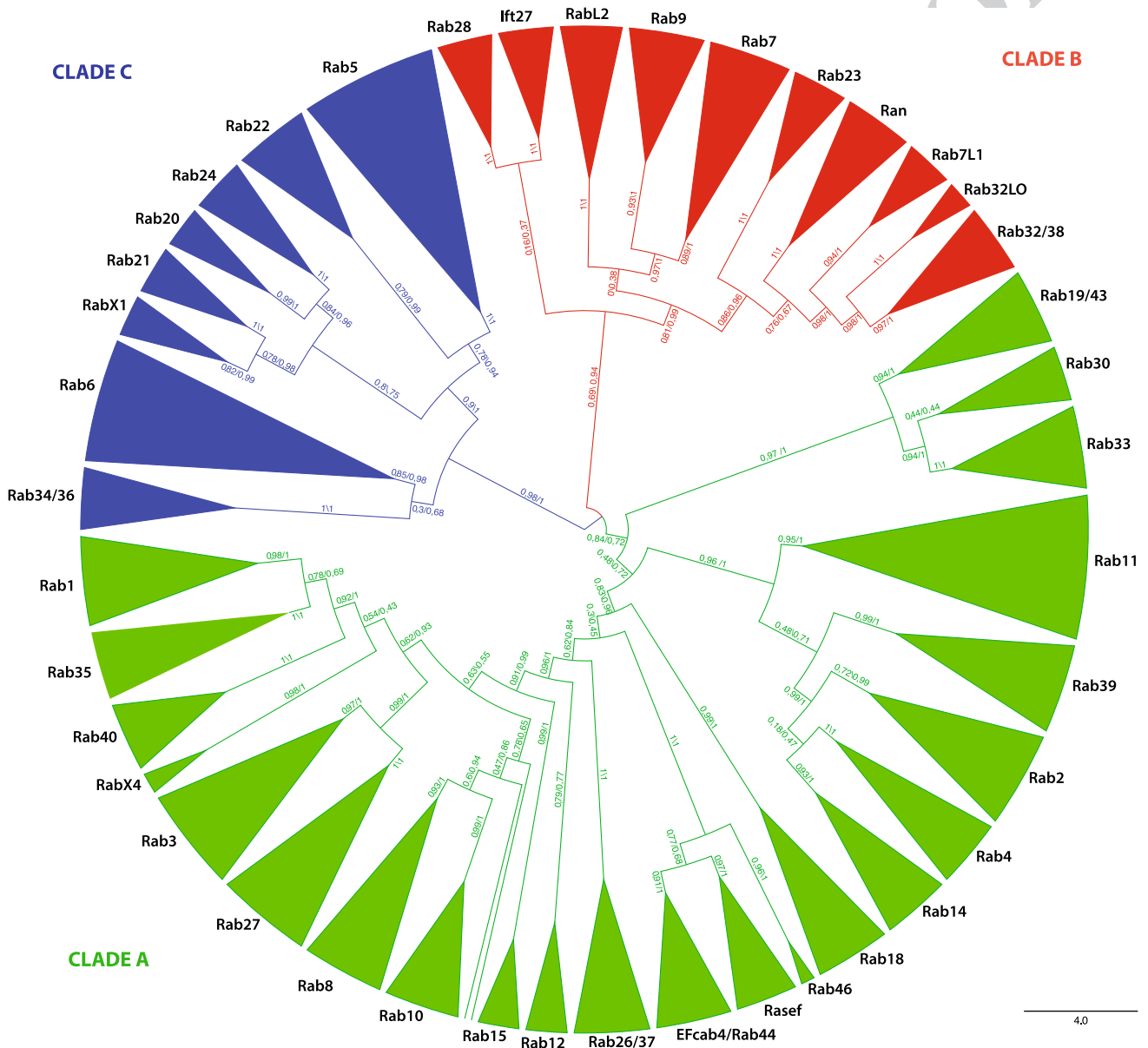


Fig. 1 Rab phylogeny in Metazoa. The cladogram shows three monophyletic Rab clades highlighted with different colours (A green, B red and C blue) encompassing Rab proteins of *Nematostella vectensis*, *Caenorhabditis elegans*, *Capitella teleta*, *Lottia gigantea*, *Sacco-*

glossus kowalevskii, *Strongylocentrotus purpuratus*, *Branchiostoma lanceolatum*, *Ciona robusta*, *Oikopleura dioica*, *Anolis carolinensis*, *Homo sapiens*. Values at the branches represent replicates obtained using aLRT and aBayes methods

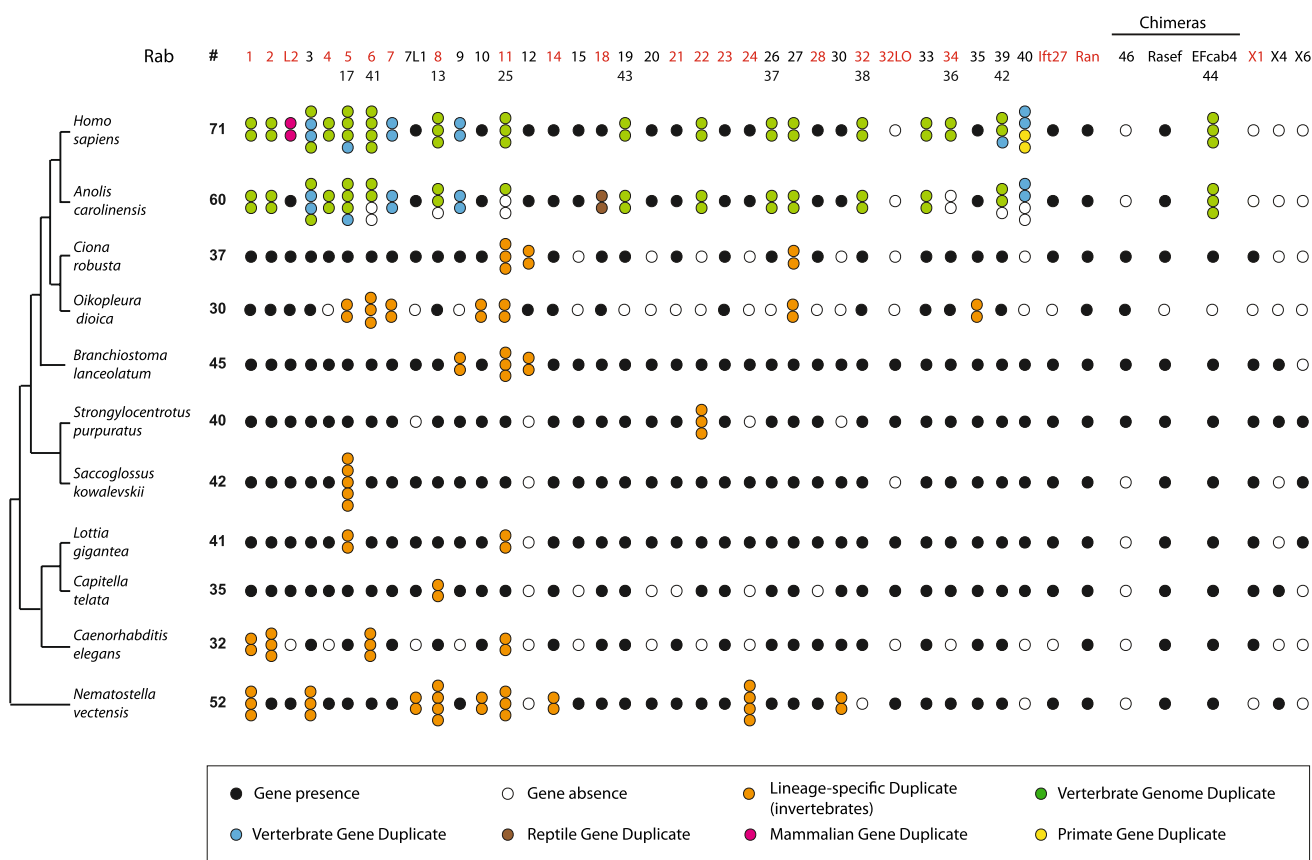


Fig. 2 Rab toolkit. Gene duplications and losses shaped the extant metazoan Rab complement. The number of Rab members for each species is indicated in correspondence of the column (#); red numbers represent *Rab* genes considered to be LECA, according to the classification proposed by Elias et al. [22], plus the *Ran* gene and without *RabTitan* and *Rab50*. The colour of dots indicates the gene

presence (black), gene absence (white), invertebrate lineage-specific duplications (orange), vertebrate whole-genome duplicates (green), vertebrate-specific gene duplications (blue), reptile-specific duplications (brown), mammalian-specific duplicates (magenta) and primate-specific duplicates (yellow)

166 *Amphimedon queenslandica* (Rab32/38: XP_003388475;
167 RabX1: XP_011407131). Importantly, this implies that
168 only four novel Rab subfamilies appeared during animal
169 evolution: Rab40 and RabX6 in bilaterians, Rab46 in deu-
170 terostomes, and Rab12 in chordates (Figure S1). In addi-
171 tion, our phylogeny provided good support for grouping
172 particular subfamilies and, thereby, for defining some Rab
173 clusters: Rab1–Rab35–Rab40 (aLRT=0.92/aBayes=1.00);
174 Rab2–Rab4–Rab14 (0.99/1.00); Rab3–Rab27
175 (0.99/1.00); Rab5/17–Rab22 (0.78/0.94); Rab7–Rab9
176 (0.97/1.00); Rab7L1–Rab32/38–Rab32LO (0.98/1.00);
177 Rab8–Rab10 (0.78/0.94); Rab19/43–Rab30–Rab33
178 (0.97/1.00); Rab20–Rab24–Rab21–RabX1 (0.80/0.75); and
179 Rab44/EFcab4–Rasef–Rab46 (1.00/1.00) (Figs. 1; S1).

180 Before this study, the 42 metazoan Rab subfamilies had
181 been classified into six supergroups (I–VI) corresponding
182 to distinct routes of membrane trafficking [18, 19], but the
183 monophyly of some of them was, however, poorly sup-
184 ported [18] or even not recovered [24, 32]. Our phyloge-
185 netic reconstruction sustained only some of the previously

186 identified supergroups. Hence, whereas monophyletic
187 supergroups II, III, IV and V were supported by our analy-
188 sis (aLRT=0.90, 0.81, 0.96 and 0.85, aBayes=1.00, 0.99,
189 1.00, 0.98, respectively), supergroup VI was poorly sus-
190 tained, and the monophyly of supergroup I was broken
191 (Fig. 1). We proposed, therefore, to reclassify the Rab fam-
192 ily into three major clades, named A, B and C (highlighted
193 in Fig. 1 with green, red and blue colours, respectively).
194 Clade A (aLRT=0.84/aBayes=0.92) encompassed the
195 Rab subfamilies of the former supergroups I (except
196 Rab34/36 subfamily) and IV; clade B (aLRT=0.69/
197 aBayes=0.94) grouped all Rabs belonging to the former
198 supergroup III and supergroup VI and the intraflagellar
199 transporter Ift27 [33], plus the RabL2 (previously called
200 RTW, [22]) and *Ran* subfamilies, the latter previously con-
201 sidered a distinct family of the small GTPase superfamily
202 [32] and utilized as outgroup for Rab phylogenies [24];
203 and clade C (aLRT=0.98/aBayes=1.00) comprised former
204 supergroups II and V, plus the Rab34/36 subfamily
205 previously included in supergroup I.

206 Finally, our phylogenetic analysis highlighted the strong
 207 impact of gene duplications and losses in modelling *Rab*
 208 family dimension and complexity in both protostome and
 209 deuterostome repertoires (Fig. 2), with a major relevance
 210 in chordates: 29 out of the 42 subfamilies retain duplicates
 211 in one or more analysed species, and at least 57 independ-
 212 ent gene losses might be deduced from the comparison of
 213 the *Rab* repertoire of the selected organisms. Interestingly,
 214 duplication and loss patterns revealed some notable biases.
 215 Duplicates, for instance, appeared to be more abundant in
 216 certain subfamilies (e.g. in Rab3, Rab5 and Rab11 subfam-
 217 ilies) or lineages (e.g. in vertebrates) than in others, while
 218 gene losses have been particularly frequent in fast-evolving
 219 species such as *O. dioica* and *C. elegans* (Fig. 2).

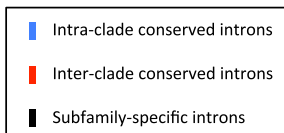
220 Rab evolution in chordates

221 The present work improved the knowledge regarding the
 222 evolutionary history of *Rab* family in metazoans compared
 223 to previous studies [18, 24, 34], identifying for the first time
 224 the *Rab* repertoire in five new organisms: the annelid *C.*
 225 *teleta*, the hemichordate *S. kowalevskii*, the cephalochordate
 226 *B. lanceolatum*, the tunicate *O. dioica* and the vertebrate *A.*
 227 *carolinensis* (Figs. 1, 2). We focused our attention on the
 228 chordate repertoires because we were interested in the Rabs
 229 of the chordate ancestor and in its changes during the tran-
 230 sition from non-vertebrate to vertebrate chordates (Fig. 2).
 231 Our survey revealed that the set of Rabs of the chordate
 232 ancestor was made of at least 41 out of the 42 metazoan
 233 *Rab* subfamilies, of which *Rab12* was a chordate gain and
 234 *RabX6* was a chordate loss occurred before the diversifi-
 235 cation of the phylum. *Rab12* is strongly expressed in rat
 236 Sertoli cells [35] and in migrating neural crest cells [36],
 237 and regulates endosomes–lysosomes shift [37]; otherwise,
 238 *RabX6* is present in neurons and in testis of male insect
 239 *Bombyx mori* [38]. With 41 *Rab* subfamilies, the current *Rab*
 240 toolkit of the cephalochordate amphioxus may be indeed
 241 very similar to the ancestral chordate one, corroborating
 242 the ‘genomic stasis’ attributed to cephalochordates [39–41]
 243 and supporting the idea that modern amphioxus resembles
 244 in many respects the ancestral chordate [42]. Amphioxus,
 245 however, also experimented gene expansions in three *Rab*
 246 subfamilies (*Rab9*, *Rab11*, *Rab12*), due to lineage-specific
 247 duplications during cephalochordate evolution (Figures S1;
 248 2), as shown by their presence also in sibling species *B.*
 249 *floridiae* (*Rab9*: XP_002599508 and XP_002600847;
 250 *Rab11*: XP_002605587 and XP_002602818; *Rab12*:
 251 XP_002588685 and XP_002588683).

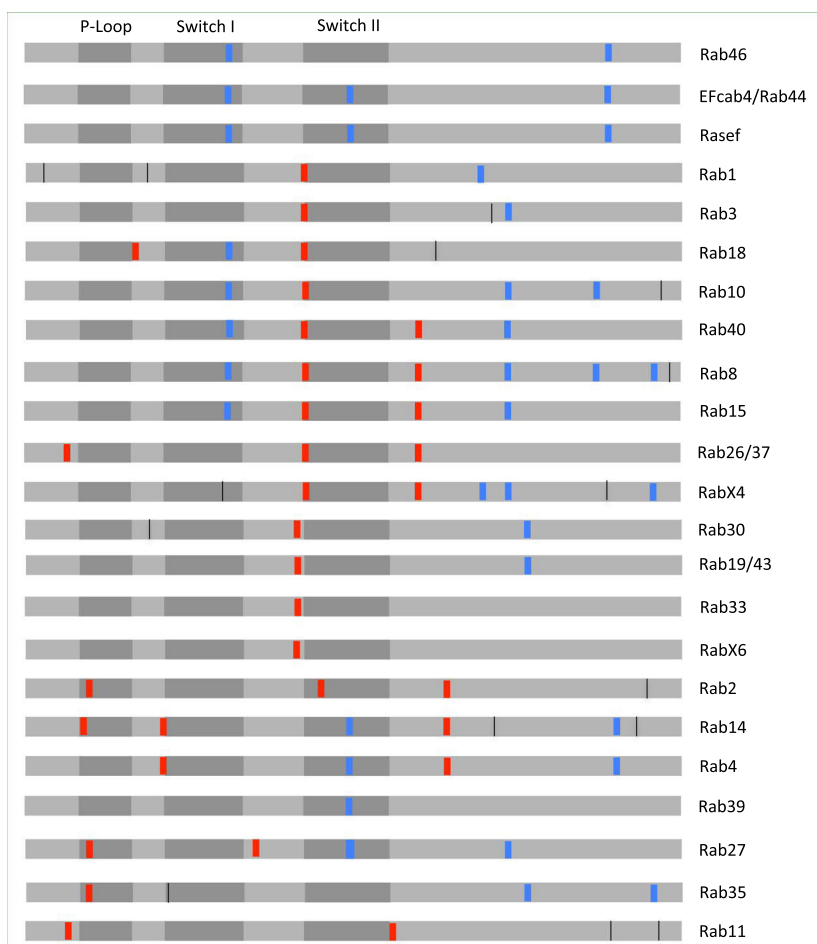
252 In contrast with amphioxus stasis, tunicate *Rab* genes
 253 showed a dynamic evolution, in which high evolutionary
 254 rates and many gene gains and losses characterized their his-
 255 tory. In the phylogenetic tree, tunicate *Rab* proteins had long
 256 branches (Figure S1) that, due to “long-branch attraction”

257 artefacts, rarely clustered as the sister group of vertebrate
 258 Rabs within each subfamily as expected from their taxo-
 259 nomic relationships. Although some *Rab* subfamilies were
 260 expanded in tunicates (*Rab11*, *Rab27* likely in the whole
 261 subphylum, *Rab12* specifically in the ascidian *C. robusta*,
 262 and *Rab5*, *Rab6*, *Rab7*, *Rab10* and *Rab35* in the appendicu-
 263 larian *O. dioica*), tunicate *Rab* evolution was predominantly
 264 impacted by gene loss events. As a matter of fact, *C. robusta*
 265 and *O. dioica* shared the absence of 8 chordate *Rab* subfam-
 266 ilies, two of them, *Rab32LO* and *RabX4*, as Olfactores (tuni-
 267 cate + vertebrate) losses (Fig. 2). *O. dioica* lineage showed
 268 11 additional losses, *Rab4*, *Rab7L1*, *Rab9*, *Rab19/43*, *Rab21*
 269 *Rab26/37*, *Rab28*, *Ift27*, *Rasef*, *EFcab4/Rab44* and *RabX1*.
 270 This species has, therefore, lost almost the 50% of the *Rab*
 271 toolkit, being the metazoan species with the smallest number
 272 of *Rab* subfamilies described so far.

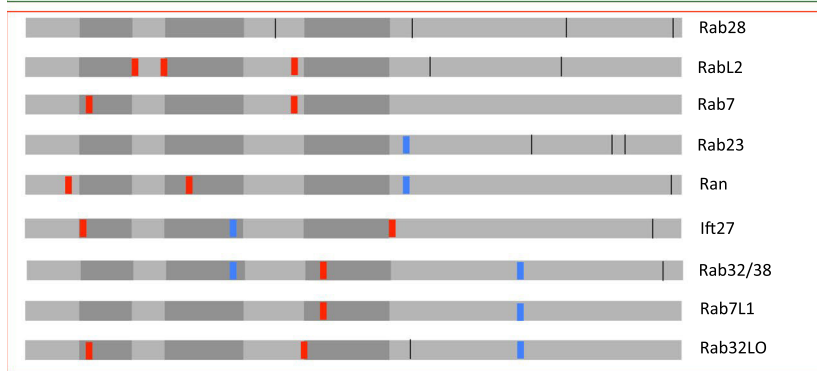
273 The *Rab* repertoire in the vertebrate ancestor was also
 274 impacted by events of gene duplication and loss. The two
 275 rounds of whole-genome duplications at the root of verte-
 276 brate evolution [43, 44] twice duplicated the pre-vertebrate
 277 *Rab* complement (around 39 Rabs), and local duplication
 278 events might have further amplified the *Rab* catalogue in
 279 vertebrates. The ancestor of vertebrates might have had,
 280 therefore, more than 150 *Rab* genes, derived either from
 281 WGDs or local duplication events. To distinguish between
 282 these two types of duplicates, we examined the syntenic con-
 283 servation (i.e. the tendency of neighbouring genes to retain
 284 their relative positions and orders on ohnologous chromo-
 285 somes) in human chromosomes containing *Rab* genes using
 286 the Synteny Database [45]. Our work proved a strong impact
 287 of the WGDs in the rise of many vertebrate *Rab* genes since
 288 results showed ohnology for many duplicates (Figures S2;
 289 S3): 17 pairs, trios or quartets out of the 21 vertebrate sub-
 290 families (81%) with more than one *Rab* gene appeared to
 291 have a WGD origin. The most parsimonious origin of the
 292 remaining non-ohnologous duplicates (Figure S2) is based
 293 on local duplications caused by either unequal recombi-
 294 nation events or retrotranscription/retrotransposition pro-
 295 cesses along vertebrate evolution. Unequal recombination,
 296 for instance, was the most likely origin of tandem dupli-
 297 cates *Rab3A–Rab3D*, whereas the intron-less structure of
 298 *Rab9A–Rab9B* and in *Rab40A–Rab40AL* duplicates pointed
 299 to a retrotranscriptional origin. The presence of these non-
 300 ohnologous duplicates in both human and lizard species sug-
 301 gested an ancient origin for most of them during vertebrate
 302 evolution, likely before amniotes diversification. Further
 303 non-ohnologous pairs of duplicates would be *Rab18A–B*,
 304 *RabL2A–B* and *Rab40A–AL* pairs that seemed to be reptil-
 305 ian-, mammalian-, and primate-specific duplications, respec-
 306 tively, as suggested by their presence in other available
 307 genomes of these three groups of gnathostome vertebrates.
 308 Thus, we uncovered the presence of two *Rab18* protein-
 309 encoding genes in *A. carolinensis* and in the painted turtle



CLADE A



CLADE B



CLADE C

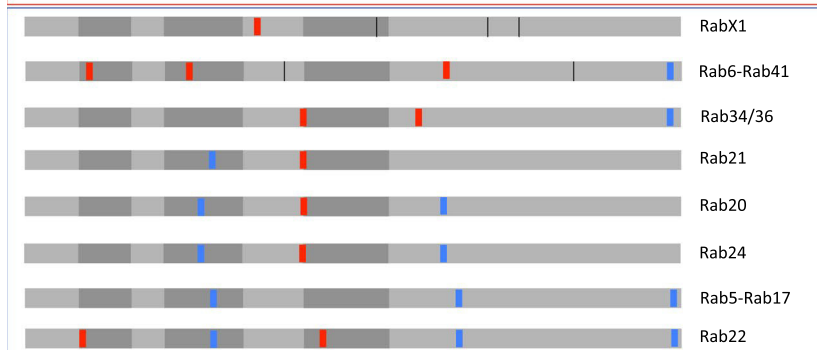


Fig. 3 Rab intron code. Schematization of metazoan *Rab* gene structure showing the intron conservation code specific for each subfamily. Grey boxes represent the three canonical Rab domains: P-loop, Switch I and Switch II. The green, red and blue frames correspond to the three monophyletic clades resulted from the phylogenetic analysis. The intron/exon boundary of each Rab subfamily is shown by small vertical bars, in blue for intra-clade and in red for inter-clade conserved intron positions

310 *Chrysemys picta bellii* (Rab18a: ENSCPBP00000022122;
311 Rab18b: ENSCPBP00000040164), two *RabL2* in
312 human, goat *Capra hircus* (ENSCHIP00000000800
313 and ENSCHIP00000022194) and pig *Sus scrofa* (ENS-
314 SSCP00000055882 and ENSSSCP00000041172), and the
315 duplet *Rab40A-AL* on chromosome X in human, orangutan
316 *Pongo abelii* (ENSPYP00000023039 and ENSP-
317 PYP00000023028) and crab-eating macaque *Macaca*
318 *fascicularis* (ENSMFAP00000015171 and ENSM-
319 FAP00000011932). We did not find, however, these dupli-
320 cates in related clades (i.e. *Rab18A-B* duplets in birds
321 or mammals, *RabL2A-B* duplets in birds or reptiles, and
322 *Rab40A-AL* duplets in other mammals).

323 Frequent events of gene loss have been occurred dur-
324 ing *Rab* evolution in vertebrates (Fig. 2). Thus, from the
325 twice-duplicated repertoire of the ancestral vertebrate Rabs,
326 1 copy was lost in at least 4 *Rab* subfamilies, 2 copies in
327 10 subfamilies, and 3 copies in 16 subfamilies, and there
328 were no vertebrate *Rab* members for 4 subfamilies (exclud-
329 ing the *RabX6* subfamily, lost in the chordate ancestor). In
330 summary, at least 34 out of the 41 *Rab* subfamilies (83%)
331 have experienced the loss of some (or all) members during
332 vertebrate evolution.

333 Rab intron code

334 Conserved intron positions have been shown to support
335 orthologous relationships in gene families providing value
336 information about their evolutionary history [46, 47]. We
337 compared the intron positions of the *Rab* genes, demon-
338 strating that each subfamily exhibits a specific intron code
339 retained in most of the analysed metazoan genes (Figs. 3;
340 S4), as it had already been proposed for the *Rab32/38* sub-
341 family [48]. These intron codes were, therefore, useful for
342 classifying *Rab* genes in distinct subfamilies, or for resolv-
343 ing orthologous relationships of highly divergent families.
344 For instance, the fact that mollusc and ambulacrarian candi-
345 dates of the fast-evolving *RabX6* subfamily shared one of
346 such subfamily-specific introns (Figure S4) reinforced the
347 orthologous relationship of these genes.

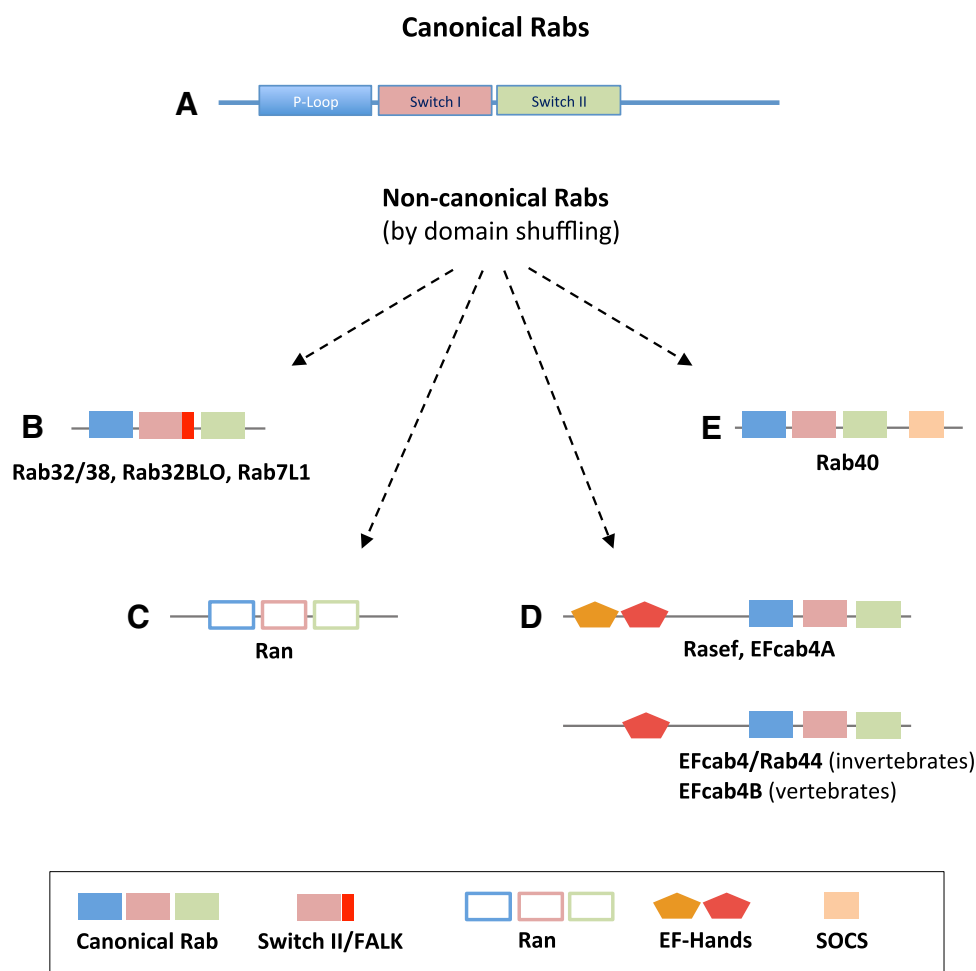
348 Besides subfamily conservation, we classified introns
349 into two categories depending on their inter-subfamily con-
350 servation pattern: (1) inter-clade introns, which are intron
351 positions shared by two or more genes in at least two *Rab*
352 subfamilies of different clades (red lines in Fig. 3); (2)

intra-clade introns, which were intron positions shared by 353
two or more genes in at least two *Rab* subfamilies of the 354
same clade (blue lines in Fig. 3). Our rationale was that the 355
inter-clade introns could be informative about the ancestral 356
pre-metazoan *Rab* gene structure, while intra-clade introns 357
could provide support to the clades or to other levels of 358
subfamily clustering. Our findings showed a remarkable 359
abundance of inter-clade introns (14 conserved positions in 360
Fig. 3), which suggested that the ancestral *Rab* gene had 361
many introns unevenly retained and lost along *Rab* evolu- 362
tion. We found 7, 2 and 6 intra-clade introns in clades A, B 363
and C, respectively, some of them supporting the phyloge- 364
netic grouping of some subfamilies. The cluster of *Rab44/* 365
EFcab4-Rasef-Rab46 subfamilies, for instance, was sup- 366
ported by the fact that they share 3 out of the 7 clade A-spe- 367
cific introns (i.e. introns 1, 2 and 6, blue lines numbered 368
from the left to the right in Fig. 3); *Rab8-Rab10* group was 369
supported by clade A introns 1, 3 and 5; *Rab4-Rab14* group, 370
by clade A introns 2 and 6; *Rab19/43-Rab30* group, by clade 371
A intron 4; *Rab7L1-Rab32/38-Rab32LO* group, by clade 372
B-specific intron 2; *Rab20-Rab24* group, by clade C-specific 373
introns 1 and 3; *Rab6-Rab34/36* group, by clade C-specific 374
intron 5; and *Rab5/17-Rab22* group, by clade C-specific 375
introns 2, 4 and 6 (Fig. 3). 376

377 Evolution of Rab domain architecture

378 To gain more insights regarding *Rab* evolution, we investi- 379
gated the changes in their domain architecture (Fig. 4). The 380
majority of Rabs have the same three-domain organization 381
that we define as the “canonical” organization (Fig. 4a): a 382
P-Loop (from amino acid 18 to 25, referred to *H. sapiens* 383
RAB1A), which is a nucleotide-binding motif fundamental 384
for GTP/GDP cycling, and Switch I (from aa 36 to 47) and 385
Switch II domains (from aa 66 to 78), necessary for the cor- 386
rect protein folding [6]. We found four exceptions to this 387
canonical organization that implied the insertion of addi- 388
tional motifs in *Rab* structure. First, *Rab32/38*, *Rab32LO* 389
and *Rab7L1* subfamilies of clade B were characterized by 390
an ultra-conserved amino acid stretch downstream Switch 391
I domain (FALK, from aa 62 to 65, referred to *H. sapiens* 392
RAB32) (Fig. 4b) with a possible role in protein folding 393
linked to Switch I activity [48]. Second, Ran proteins, pre- 394
viously considered as an independent family of nuclear 395
transporter [32, 49], showed a distinctive protein sequence 396
(Fig. 4c) that is ultra-conserved in all the eukaryotes [50]. 397
Third, *Rab40* proteins in clade A exhibited an additional 398
SOCS box at the C-terminal region (from aa 175 to 228, 399
referred to *H. sapiens* *RAB40A*) (Fig. 4e) that is considered 400
fundamental for lipid droplets biogenesis in *D. melanogaster* 401
[51] and for Varp proteasomal degradation in mammalian 402
melanocytes [52]. And fourth, the most striking motif

Fig. 4 Modular domain organization. Distinct Rab domain architectures found in metazoans. **a** Most of Rabs contain “canonical” Rab domains made of P-Loop (bluebox), Switch I (pink box) and Switch II (green box). **b** The FALK stretch (in red) is exclusive of Rab32, Rab38, Rab32LO and Rab7L1. **c** Ran proteins possess a divergent amino acid composition in P-Loop, Switch I and Switch II domains (empty boxes). **d** One or two EF-Hand domains at N-terminus (orange and red pentagons) characterize the chimeras Rab (Rasef, EFcab4/Rab44, EFcab4, and Rab44). **e** The unique case of Rab40 protein, which contains an SOCS box at the C terminus (light orange box)



403 novelty during the evolution of Rab domain architecture was
404 the rise of what we named Rab chimeras in clade A.

405 Rab chimeras seem to be the result of the fusion of a
406 canonical Rab at C-terminus with one or two calcium-binding
407 EF-hand motifs [53] at N-terminus (from aa 8 to 40,
408 and from aa 42 to 77, respectively, referred to *H. sapiens*
409 RASEF; Fig. 4d). In phylogenetic analyses, Rab chimeras
410 were grouped into two Rab subfamilies as sister clades,
411 named Rasef and EFcab4/Rab44 (Fig. 1), which previously
412 were known as Rab45 and Cracr2, respectively [54, 55]. The
413 scarcity of information about Rasef and EFcab4/Rab44 chi-
414 meras led us to further investigate their evolutionary origins.
415 A thorough genome search in many eukaryotic genomes,
416 including eight unicellular species (*Monosiga brevicollis*,
417 *Capsaspora owcarzaki*, *Saccharomyces cerevisiae*, *Dictyos-*
418 *telium discoideum*, *Trichomonas vaginalis*, *Chlamydomonas*
419 *reinhardtii*, *Trypanosoma cruzi*, *Arabidopsis thaliana*), led
420 us to propose that chimeric Rabs were an animal innova-
421 tion. The pervasive presence of Rasef and EFcab4/Rab44
422 subfamilies in animals, from sponges (*A. queenslandica*
423 Rasef: XP_019848798; EFcab4/Rab44: XP_011403598) to
424 humans, dated their origin back to the LMCA. In addition,

425 our analysis revealed a new subfamily of Rab chimeras in
426 tunicate, cephalochordate and echinoderm genomes that we
427 named Rab46 (Fig. 1). The absence of Rab46 sequences in
428 cnidarian and protostome genomes indicated this subfamily
429 as a deuterostome innovation independently lost in verte-
430 brate and hemichordate lineages.

431 To further understand the evolution of Rasef and EFcab4/
432 Rab44 chimeras in metazoans, it has been generated a manu-
433 ally curated database of 29 proteins that included the entire
434 sequence of Rab chimeras, comprising the C-terminal Rab
435 domains and the N-terminal EF-hand motifs (Supplemen-
436 tary file 4), and carried out a dedicated phylogenetic analy-
437 sis including additional vertebrate and invertebrate species
438 (Fig. 5a; Rab46 sequences were excluded from this phylog-
439 eny because of their high sequence divergence and limited
440 representation). The tree topology showed that members
441 of the Rasef subfamily (Fig. 5a, orange background) have
442 been maintained as single-copy genes in all metazoan line-
443 ages analysed, whereas the members of the EFcab4/Rab44
444 subfamily (Fig. 5a, blue background, including EFcab4A,
445 EFcab4B and Rab44 sequences) were duplicated from an
446 ancestral *EFcab4/Rab44* gene during vertebrate evolution

(Fig. 5a). Syntenic conservation of human chromosomes 6, 11 and 12 suggested that the 3 paralogs, *EFcab4A*, *EFcab4B* and *Rab44*, derived from the WGD events of vertebrates [43, 44], and that the lost fourth paralog may have been located on human chromosome 1 (Figure S6).

Remarkably, the number of EF-hand motifs appeared to be diagnostic for discriminating amongst Rab-chimera members (Fig. 5b). Noteworthy, although mammalian and amphibian *EFcab4A* lacked a C-terminal Rab domain (Fig. 5b), their two EF-hand motifs together with the conservation of the genomic environment of mammal (*H. sapiens*), reptile (*A. carolinensis*), amphibian (*Xenopus tropicalis*) and cartilaginous fish (*Callorhinchus milii*) *EFcab4A* genes clearly supported their orthologous relationship (Fig. 5c), and suggested lineage-specific C-terminal domain losses. In light of these findings, the most parsimonious explanation is that the ancestor of Rab chimeras was constituted by canonical Rab motifs plus two EF-hands, which have been differentially lost during evolution (Fig. 5a–c).

Discussion

The evolutionary landscape of the Rab gene family in chordates

We have identified and classified 243 Rabs belonging to the three chordate subphyla, revealing distinct patterns in the evolution of Rab subfamilies in each of them (Figs. 1, 2). The 41 Rab subfamilies of the cephalochordate *B. lanceolatum* would likely represent the prototypical chordate Rab repertoire that has been preserved in the ‘conservative’ amphioxus genome. With respect to the metazoan complete toolkit, the ancestral chordate (and thereby, the amphioxus lineage) would have lost a unique gene, the *RabX6*, which is expressed in neurons and in testis of male insects [38]. The functional causes that may justify the retention of *RabX6* in some protostome and non-chordate deuterostome lineages (i.e. *Ambulacraria*), but its loss in chordates, remain to be elucidated.

In sharp contrast to the conservative scenario of amphioxus, tunicates (i.e. ascidian *C. robusta* and larvacean *O. dioica*) exhibit a liberal pattern of evolution with many gene losses (up to 20) and duplications (up to 8). Especially remarkable is the case of *O. dioica* because with the loss of almost half (9) of the Rab subfamilies, this free-living (non-parasitic) animal is the metazoan species with the smallest number of subfamilies described so far. The tendency of *O. dioica* genome to lose genes and gene families, thought to be fundamental for key biological processes, is notorious [56, 57] and well documented [57, 58]. The loss of so many Rab genes in *O. dioica* implies that these became dispensable during species evolution due to either situation of mutational

robustness or of environment-dependent conditional dispensability (reviewed in [56]). It can be argued, for instance, that the loss of *Ift27* genes important for cilia/flagella trafficking took place under a mutational robustness situation (e.g. functional compensation by other *Rabs*) because *O. dioica* has operative cilia and flagella [59, 60] and, therefore, that other Rabs (e.g. Rab8, Rab23) might compensate the loss by function shuffling [41]. This phenomenon may be, indeed, usual in *O. dioica*, and it would account for the preservation of the *Rab32/38*, typically involved in melanosome biogenesis, in a species lacking pigmented cells. In addition, because Rabs cooperate with many interacting effector proteins [4, 28], the identification of co-elimination patterns of the different Rabs in *O. dioica* may be a useful strategy for recognizing the Rab-associated machinery in other species. Regarding duplications, both surveyed tunicates have expanded *Rab11/25* and *Rab27* subfamilies, leading to suppose their origin predated the radiation of the subphylum. On the other hand, we detected a single *C. robusta*-specific duplication event (*Rab12*) and 5 independent duplications in *O. dioica* (*Rab5/17*, *Rab6*, *Rab7*, *Rab10*, *Rab35*).

In gnathostomes, our findings reveal that the two rounds of WGD (1R, 2R) impacted on the Rab repertoire (i.e. 40 Rab orthologs), which nowadays is the largest in metazoans (Figures S1, 2). It would be interesting in the future to analyse the Rab toolkit of fish species such as zebrafish, medaka or salmon, to evaluate the impact on Rab family of additional genome duplications (3R and Ss4R) that have involved teleost lineage [61–63]. Moreover, our results shed light on a number of local duplication events, some of them likely ancestral (*Rab3*, *Rab9*, shared by reptiles and mammals), and some affecting only some lineages, like *Rab18* in reptiles, *RabL2* in mammals, and *Rab40A/AL* in primates (Figure S2).

Interestingly, many duplicates originated by the WGDs were, however, lost during vertebrate evolution. The surveyed vertebrate species have retained Rab duplicates for only 21 subfamilies, have returned to singletons in 16 subfamilies, and have totally lost 2 subfamilies, Rab46 and RabX1, while the lizard *A. carolinensis* has additionally lost the Rab34/36 subfamily. Because preservation or loss of duplicates appear to depend on the duplication mode (genome versus local gene duplication) (reviewed in [64]), it can be argued that the mode of duplication (WGD) rather than the duplication itself was key to facilitate the Rab expansion in vertebrates and the subsequent increase in the functions of Rabs in this lineage. Although the functional implications of the Rab gains and losses need to be investigated, one can predict neofunctionalization or subfunctionalization processes amongst duplicated subfamilies or functional changes associated with the absence of some subfamilies. For example, the loss of *RabX1* could be related to modifications in the localization of E-cadherins

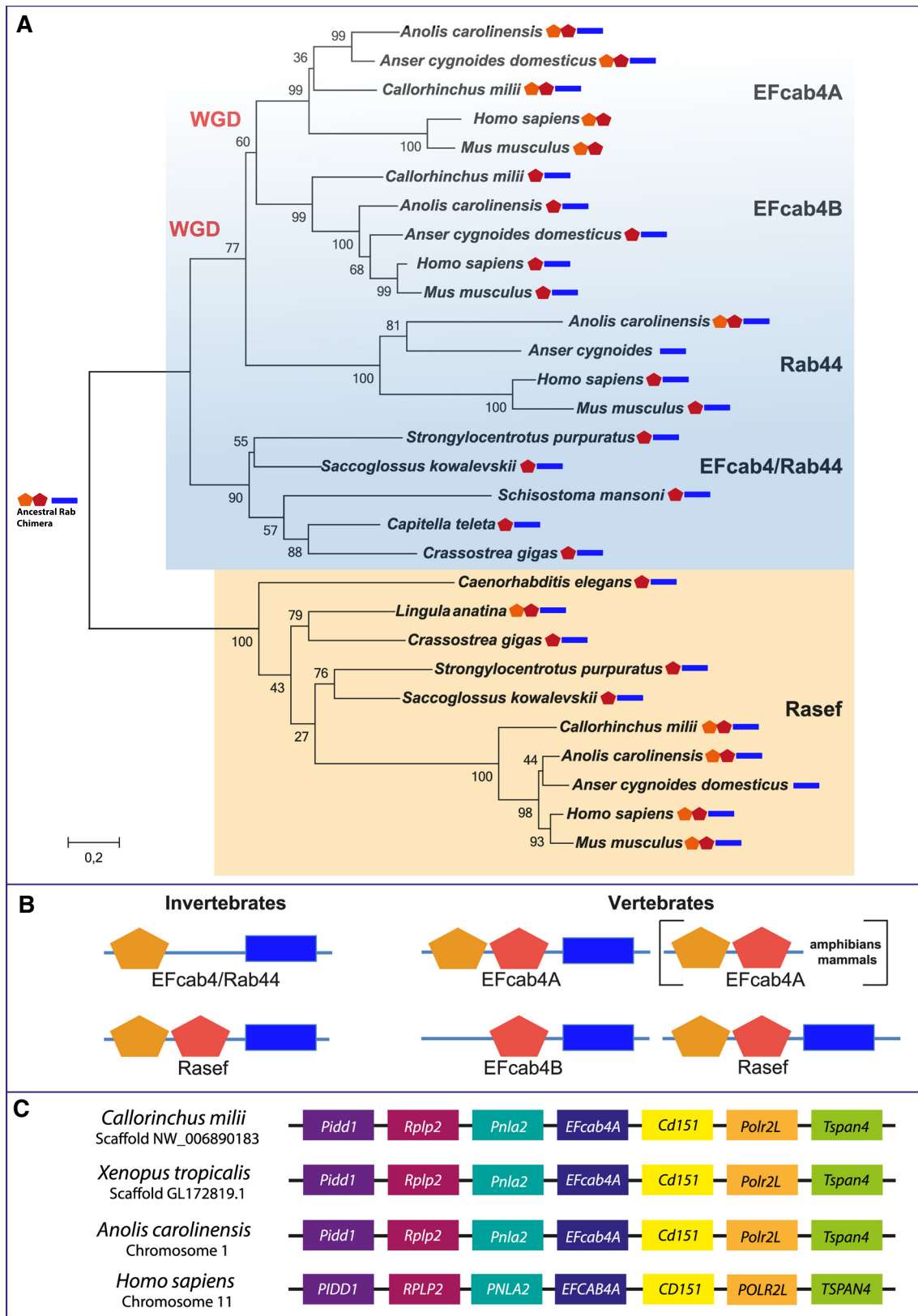


Fig. 5 Evolutionary history of Rab chimeras. **a** Phylogenetic tree of Rab chimeras. *EFcab4/Rab44* already present in invertebrates underwent two rounds of genome duplication in vertebrates generating *Rab44*, *EFcab4A* and *Efcab4B*. On the other hand, *Rasef* is a single-copy gene in both invertebrates and gnathostomes; *Rab46* was excluded for their sequence divergence. First and second EF-Hand domains (orange and red pentagons, respectively) and Rab motifs (blue bar) are depicted. On the left, the ancestral organization of Rab chimeras is shown. Numbers at the branches are replicates obtained employing the ML estimation method. **b** Domain organization of invertebrate *EFcab4/Rab44* and gnathostome *EFcab4A* and *EFcab4B*. *EFcab4A* in amphibians and mammals has lost the canonical Rab domain. *Rab44* was excluded here for their variability in domain organization. **c** The orthology of gnathostome *EFcab4A* genes is demonstrated by the conserved neighbourhood on respective chromosomes

to the *zonula adherens* in vertebrates [65], while the loss of *Rab34/36* genes in lizard might be associated with changes in their late-endosomal and lysosomal trafficking machinery [66]. In summary, our results demonstrate that chordates represent a hotspot of Rab variability and highlight that the comprehension of the evolutionary history of the *Rab* gene family paves the way for future functional analyses. These analyses will be relevant not only for basic research in intracellular trafficking, but also for biomedical applications due to the numerous pathologies correlated to dysfunctions in organellar organization and transport [67].

560 Evolution of Rab chimeras

Amongst unconventional Rabs in terms of domain architecture (Fig. 4), Rab chimeras emerged as a set of poorly characterized Rabs of unusual length and domain composition with the capability to bind calcium ions through EF-hands. The survey in several key eukaryotic genomes, including unicellular and multicellular species, suggested the absence of such chimeric genes in unicellular eukaryotes and plants, but a pervasive presence in animals (Fig. 5). Phylogenetic analyses based on either the C-terminal Rab domain (Fig. 1), or including the N-terminal EF-hand motifs (Fig. 5a), classified Rab chimeras into three sister subfamilies: *Rasef*, *EFcab4/Rab44* and *Rab46*. *Rasef* has been maintained as single-gene subfamily in all metazoans, from cnidarians to human, with the exception of *O. dioica*, where it was lost. *EFcab4/Rab44* subfamily, also lost in *O. dioica*, was duplicated in vertebrates as result of the whole-genome duplications (Figure S6). In contrast, *Rab46* subfamily likely arose in the stem of deuterostomes, but it was lost during the transition from non-vertebrate to vertebrate chordates.

Interestingly, the number of EF-hand motifs appeared to be variable amongst Rab-chimera members (Fig. 5b). Vertebrate and invertebrate *Rasef* as well as vertebrate *EFcab4A* and *Rab44* had two EF-hand motifs, whereas invertebrate *EFcab4/Rab44* and vertebrate *EFcab4B* had

just one (Fig. 5b). In all the cases, the EF-hand that has been lost was the first (orange in Figs. 4 and 5). Mammalian and amphibian *EFcab4A* lacked a C-terminal Rab domain (Fig. 5b), but the sequence conservation of their two EF-hand motifs together with the preservation of the genomic environment of *EFcab4A* genes in genomes of distantly related vertebrates clearly supported their orthologous relationship (Fig. 5c) and suggested lineage-specific C-terminal domain losses. Overall, our results showed that Rab chimeras constitute a peculiar class of Rabs emerged in animals by the fusion of a canonical Rab with two EF-Hand motifs followed by a gene duplication. The implication of Rab chimeras in diverse human diseases, such as lung carcinoma [68] and melanoma [69, 70] and the scarcity of knowledge about this protein class, encourages further investigations on their cellular role and expression patterns in animals, with possible consequences related to frequent domain losses.

602 Conclusions

The Rabs of 11 metazoan species have been explored, with a focus on chordate species, under the hypothesis that Rabs might have been instrumental for the increasing complexity of intracellular traffic mechanisms in animals. We classified Rabs into 42 robust metazoan subfamilies with specific intron codes, and grouped them into 3 distinct Rab clades rather than the 5 or 6 supergroups proposed in previous studies. The analysis of the chordate Rab toolkit highlighted dramatic differences in the evolutionary patterns in the three chordate subphyla—conservative in cephalochordates, liberal in tunicates, and expansive in vertebrates—which most likely had a strong impact on their intracellular communication machineries and provide the first comprehensive evolutionary analysis of the Rab chimeras as an animal novelty.

Collectively, our results set the grounds for future investigations on comparative analyses deputed to Rab functions in vertebrates, and an additional step to understand their involvement in human diseases.

621 Materials and methods

622 Genome database searches and phylogenetic reconstructions

Protein sequences of the Rab repertoire from vertebrate *H. sapiens* were used as queries in BLASTp and tBLASTn searches in NCBI or Ensembl genome databases of selected species. Orthologies of the Rab members were initially assessed by reciprocal best blast hit (RBBH) approach employing default parameters and corroborated by phylogenetic analyses. Phylogenetic reconstructions were based

631 on maximum-likelihood inferences calculated with PhyML
632 v3.0 using automatic Akaike Information Criterion (AIC) for
633 selection of the substitution model [71], which selected the
634 LG + G+I model with discrete gamma distribution in four
635 categories. All parameters (gamma shape = 0.846; propor-
636 tion of invariants = 0.004) were estimated from the dataset.
637 Protein alignments were generated with MUSCLE [72] and
638 ClustalX [73] programs and reviewed by hand to exclude
639 too short or divergent Rab sequences. Only the conserved
640 parts of the proteins whose alignments appeared unambigu-
641 ous were considered for the phylogenetic analysis, i.e. from
642 codon D9 to G177 of human RAB1A.

643 Branch support was provided by aLRT [74] and aBayes
644 methods [75]. Phylogenetic reconstruction of Fig. 5 was
645 based on branch supports obtained using ML estimation
646 method with a WAG matrix ($\gamma=4$). Accession numbers and
647 protein alignment for phylogenetic tree reconstructions of
648 Fig. 1 are provided in Supplementary file 1, while those
649 employed for phylogeny of Fig. 5 are listed in Supplemen-
650 tary file 4 (the entire sequences of chimeric Rabs were
651 utilized).

652 *Branchiostoma lanceolatum* Rabs

653 *Branchiostoma lanceolatum* Rab genes were annotated in
654 the genome draft version B171nemr, kindly provided by the
655 “*Branchiostoma lanceolatum* Genome Consortium” [76].

656 Analysis of intron/exon structures and phases

657 Gene structures were deduced after merging the genomic
658 sequences with ESTs when available, as previously
659 described [46, 47]. Introns were classified as phase 0, phase
660 1, and phase 2 depending on their positions relative to the
661 protein-reading frame. For all Rab subfamilies, we showed
662 a schematic representation of 200 amino acid residues tak-
663 ing as reference the human RAB1A from aa 1 to 200, and
664 manually mapped the conserved introns.

665 Synteny conservation

666 We evaluated the presence or absence of synteny conser-
667 vation using the Syntenic Database developed by [46, 47].
668 Synteny Database is an automatic tool that provides gene
669 clusters using several different sliding window sizes meas-
670 ured in terms of contiguous gene number. Smaller window
671 sizes identify tightly conserved syntenic regions, while
672 larger window sizes can accommodate chromosomal rear-
673 rangements. We used a sliding window size of 100 (default)
674 or 200 genes. Synteny Database allowed us to perform
675 genomic comparisons between the human genome and an
676 outgroup genome that diverged prior to the two rounds of
677 genome duplication (usually *C. robusta*) and visualize the

regions of conserved synteny within the source genome, i.e.
human paralogs.

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