

Embedding rights retention and open licensing into open access policies

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Context

This presentation shows some of the outcomes from Project Retain ([10.5281/zenodo.8084050](https://doi.org/10.5281/zenodo.8084050))

Knowledge Rights 21 is a Stichting IFLA Foundation Programme, supported by the Arcadia Foundation, in partnership with LIBER and SPARC Europe, focused on bringing about changes in legislation and practice across Europe that will strengthen the right of all to knowledge.

Project Retain is one strand of KR21 focusing on copyright related to research practices and non-legislative approaches in four areas:

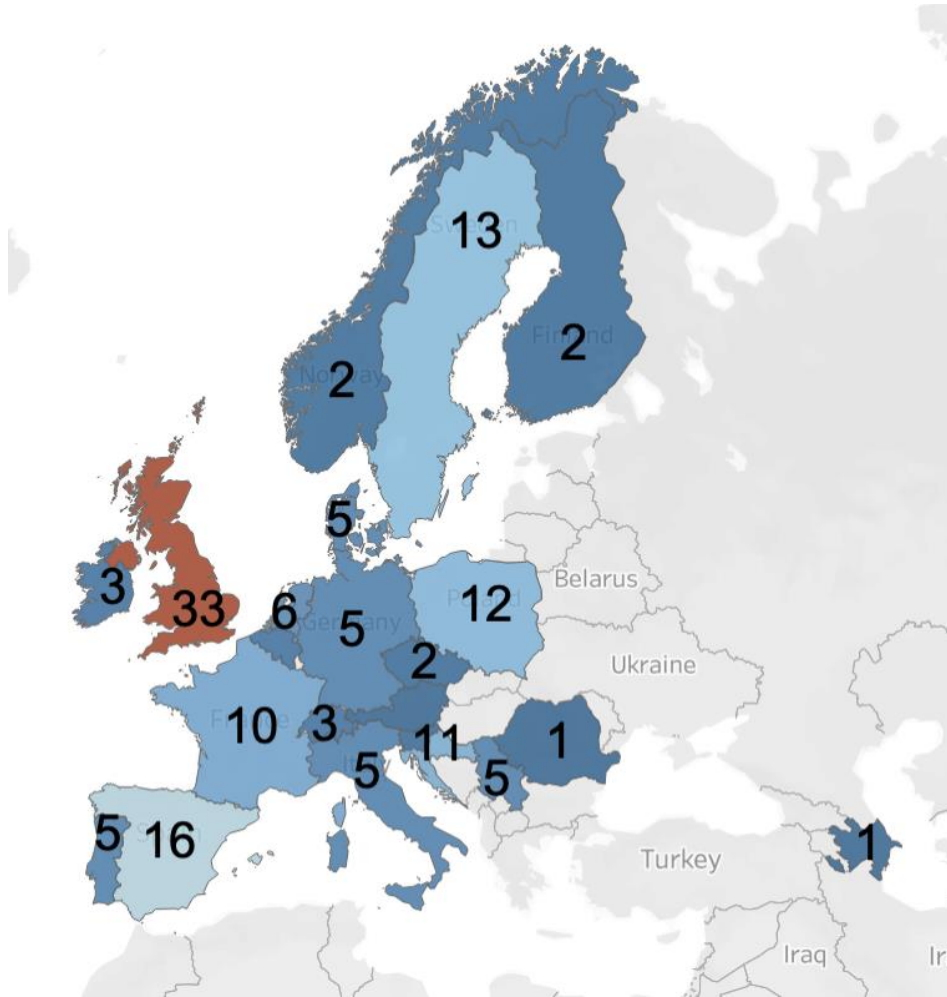
- Copyright of research publications
- Author rights retention
- Open licensing
- Reuse of research publications

The project seeks to understand how stakeholder groups - funders, publishers and institutions - are supporting researchers, and how their policies affect them.

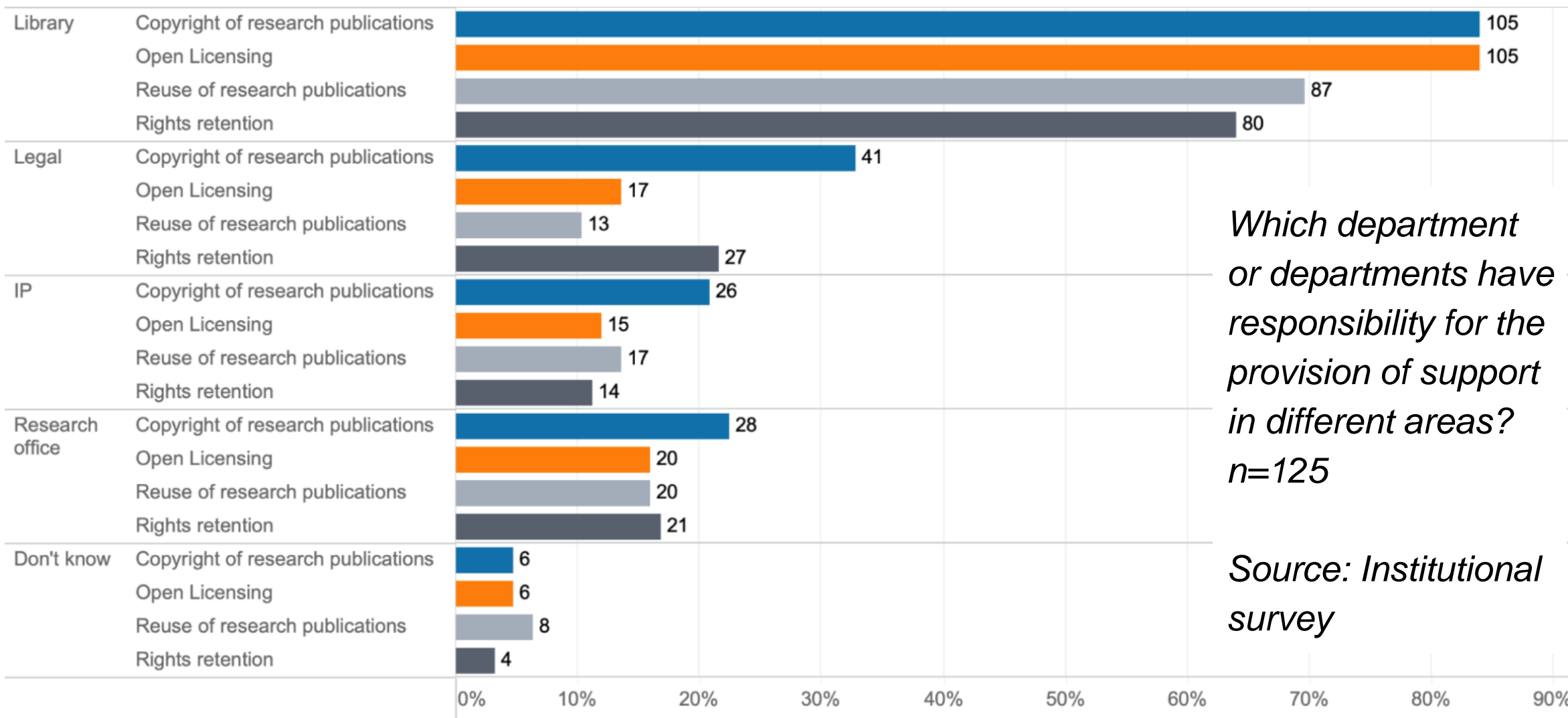
Work undertaken

- International survey of circa 150 European institutions
- Qualitative research undertaken
 - 28 institutions covered via two focus groups and 16 individual interviews
 - Six funders & funder group interviews
 - Five publishers interviewed, plus 3 umbrella organisations
 - Workshop with Eurodoc authors
- Desk-based analysis of institutional rights retention policies
- Desk-based review of eleven publisher copyright & licensing policies
- Analysis of licensing & copyright across approx. 9400 European DOAJ titles

Profile of respondents



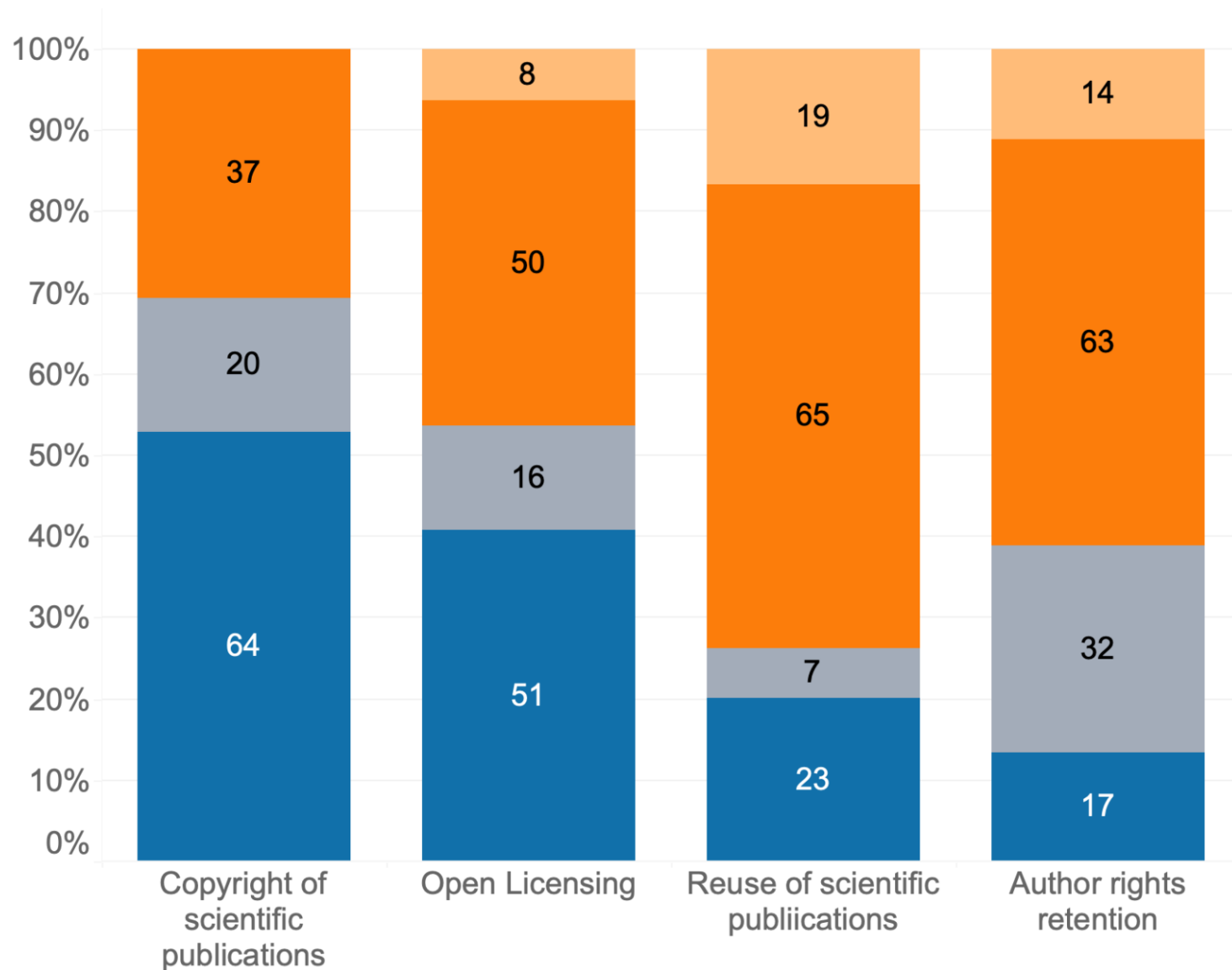
Responsibility for support



*Which department or departments have responsibility for the provision of support in different areas?
n=125*

Source: Institutional survey

Policies in place



*Does your organisation have a policy or policies which cover the following areas?
n=131*

Source: Institutional survey

- Don't know
- No
- In development
- Yes

Key elements for an RR policy

- Existing culture of Open Access
- Access to legal advice + legal context
- Funder influence
- Response to funder policy development & requirements
- Network support (& peer pressure)
- Rights retention not seen as replacement for publishing Open Access via other routes

Engagement methods

- Identify your stakeholders
- Identify areas where there is space and potential for further dialogue
- Use your evidence base / research
- Engage in conversation with stakeholders and build a community
 - avoid treating groups as uniform blocks: they are not monolithic groups
 - hone in on understanding each context well
 - select the methods that work in your context
- Collaborate within and without

Final thoughts

- Lots of potential for rights retention policies, but
 - progress will be uneven
 - they are heavily dependent on context
 - they require resources and time
- Moving away from monolithic perspectives - of policies, researchers, institutions and publishers
- Need to continue advocacy for open licensing

Next steps

- Deeper analysis on legal situations
- Campaign for researchers and institutions



RIGHTS RETENTION. BE THE NEXT MOVER!
HOW TO IMPLEMENT A POLICY
SUPPORTING RIGHTS RETENTION
AND OPEN LICENSING IN YOUR INSTITUTION

SPARC*
Europe



WEBINAR

19 OCTOBER

3.30-5 PM CEST

Thank you

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