

Impact of diagenesis on the pore evolution and sealing capacity of carbonate cap rocks in the Tarim Basin, China

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- Impact of diagenesis on the pore evolution and sealing capacity of
 carbonate cap rocks in the Tarim Basin, China
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30 Abstract: Analyzing the pore structure and sealing efficiency of carbonate cap rocks is 31 essential to assess their ability to retain hydrocarbons in reservoirs and minimize leaking risks. In this contribution, the impact of diagenesis on the cap rock's sealing 32 capacity is studied in terms of their pore structure by analyzing rock samples from 33 34 Ordovician carbonate reservoirs (Tarim Basin). Four lithology types are recognized: highly compacted peloidal packstone-grainstone, highly cemented intraclastic-oolitic-35 bioclastic grainstone, peloidal dolomitic limestone, and incipiently dolomitized 36 37 peloidal packstone-grainstone. The pore types of cap rocks include microfractures, intercrystalline pores, intergranular pores, and dissolution vugs. The pore structure of 38 these cap rocks was heterogeneously modified by six diagenetic processes, including 39 calcite cementation, dissolution, mechanical and chemical compaction, dolomitization, 40 and calcitization (dedolomitization). Three situations affect the rock's sealing capacity: 41 42 (1) grainstone cap rocks present high sealing capacity in cases where compaction preceded cementation; (2) residual microfractures connecting adjacent pores result in 43 44 low sealing capacity; (3) increasing grain size in grainstones results in a larger 45 proportion of intergranular pores being cemented. Four classes of cap rocks have been defined according to the lithology, pore structures, diagenetic alterations, and sealing 46

47 performance. Class I cap rocks present the best sealing capacity because they underwent 48 intense mechanical compaction, abundant chemical compaction and calcite 49 cementation, which contributed to the heterogeneous pore structures with poor pore 50 connectivity. A four-stage conceptual model of pore evolution of cap rocks is presented 51 to reveal how the diagenetic evolution of cap rocks determines the heterogeneity of 52 their sealing capacity in carbonate reservoirs.

53 Keywords: Carbonate cap rock; Diagenesis; Pore structure evolution; Sealing capacity
54 classification; Tarim Basin.

55 1 Introduction

Cap rocks are defined as lithostratigraphic layered units having the ability to 56 interrupt hydrocarbon migration. They are generally divided into three categories 57 according to their lithology: mudrocks, evaporites, and carbonate rocks (Sutton et al., 58 2004; Zhou et al., 2012; Fu et al., 2018; Bihani and Daigle, 2019). Cap rocks are 59 60 characterized by their low porosity and low permeability and are therefore a fundamental component of the petroleum entrapment system (Schowalter, 1979; 61 Schmitt et al., 2013; Rezaeyan et al., 2015), because they prevent the upwards migration 62 63 of hydrocarbons from the underlying reservoir (Boulin et al., 2013). The sealing 64 capacity of a rock can be quantified as the gas column height that the seal can hold 65 (Cheng et al., 2006; Amann-Hildenbrand et al., 2013; Wu et al., 2019). This corresponds to the capillary pressure at which a trapped fluid starts leaking through the overlying 66

67	seal rocks (Vavra et al., 1992; Schmitt et al., 2013; Rezaeyan et al., 2015).
68	Understanding the sealing capacity of cap rocks and their heterogeneity is key for: (1)
69	the successful forecasting of favorable zones for oil and gas production (He et al., 2016);
70	(2) to accurately evaluate the sealing of captured carbon in storage sites (for carbon
71	capture and storage) and the geological disposal of nuclear waste (Kaldi et al., 2013;
72	Espinoza and Santamarina, 2017; Górniak, 2019).
73	Even though huge volumes of hydrocarbons are stored in carbonate reservoirs,
74	little attention has been paid to the study of carbonate cap rocks, probably due to their
75	heterogeneous vertical and lateral distribution and complex combinations of
76	depositional processes and diagenetic alterations that together control their sealing
77	potential (Lü et al., 2017; Zhou et al., 2019). Previous studies of carbonate cap rocks
78	mainly aimed to identify and predict their spatial and temporal distribution (Lü et al.,
79	2017; Wu et al., 2018a), together with the characterization of their pore structures (Wu
80	et al., 2019, 2021; Zhou et al., 2019). Recent systematic work on carbonate cap rock
81	characterization includes the following aspects: (1) Four qualitative recognition
82	patterns and quantitative identification criteria have been established to reveal that five
83	sets of cap rocks are well superimposed but laterally less continuous (Wu et al., 2018a).
84	(2) A four-stage conceptual model is proposed to explain the relationship between
85	dolomites and pyrobitumen (Wu et al., 2018b). Highly abundant stylolites with the
86	occlusion of residual bitumen and precipitation of cements resulted in the low porosity
87	and permeability of cap rocks. (3) Six types of pore structures and fractal dimensions

are classified based on the pore size distribution of cap rocks (Wu et al., 2019).
Macropores and mesopores have a significant negative correlation with fractal changes.
The sealing capacity of cap rocks shows a good correlation (either exponential or linear)
with fractal dimensions (Wu et al., 2021). The increasing proportion of macropores
results in a decrease in the rock's sealing capacity.

93 Meanwhile, the sealing capacity of cap rocks is primarily controlled by the distribution of their narrow pore throat structures (Daniel and Kaldi, 2009; Norbisrath 94 et al. 2015; Espinoza and Santamarina, 2017). Generally, the pore systems of carbonate 95 rocks, including multiscale fractures, vugs, and cavities, always exhibit extremely high 96 heterogeneity with complex pore morphologies and pore size distributions (Hollis et al., 97 2010; Kim et al., 2011; Garing et al., 2014; Baqués et al., 2020). The study of Wu et al. 98 (2019) revealed that the pore space of carbonate cap rocks is typically formed by 99 100 macropores (with a diameter larger than 1 μ m), mesopores (ranging between 0.1 and 1 μ m) and transitional pores (from 0.01 to 0.1 μ m). The pore space distribution in rocks 101 containing macropores and mesopores is normally more heterogeneous than that of 102 103 rocks dominated by transitional pores. In general, the heterogeneity of pore structures 104 and thus the sealing capacity gets enhanced when the proportion of mesopores increases 105 and the proportion of macropores decreases. A rock can act as an effective seal when the threshold capillary pressure is greater than the underlying buoyancy pressure of the 106 107 fluid column (Amann-Hildenbrand et al., 2013; Nourollah and Urosevic, 2019). The 108 rock capillary pressure can be estimated from a series of microscopic laboratory tests,

such as mercury intrusion capillary pressure (MICP), rate-controlled porosimetry
(RCP), and high-pressure mercury intrusion porosimetry (HPMIP) (Schlömer and
Krooss, 1997; Hollis et al., 2010; Honarmand and Amini 2012; Li et al., 2017b; Xiao
et al., 2017).

113 Carbonate rocks are typically very heterogeneous due to the combination of (1) 114 lateral and vertical changes in sedimentary environments during their deposition, (2) syn- to postdepositional diagenetic alterations and (3) the presence of tectonic structures 115 116 such as faults, fractures and tectonic stylolites (Melim et al., 2002; Cox et al., 2010; 117 Hollis et al., 2010; Wang et al., 2012; Martín-Martín et al., 2013, 2018; Rustichelli et al., 2013; Li et al., 2017b; Li et al., 2017c; Javanbakht et al., 2018; Jiang et al., 2018). 118 Diagenetic alterations result in strong heterogeneity of carbonate reservoirs in most 119 cases (Moore, 2001; Teillet et al., 2019), because the overprint of processes such as 120 compaction, dissolution, cementation, and mineral replacement significantly alter the 121 primary porosity and permeability of the rock (Longman, 1980; Morad et al., 2018; 122 Ehrenberg and Baek, 2019; Sfidari et al., 2019), eventually resulting in multiporosity 123 systems. Numerous studies have demonstrated how the depositional characteristics and 124 125 subsequent diagenetic modifications of carbonate rocks not only have a significant 126 impact on the abundance of pores and pore structures but have also shown how they 127 control fluid flow and the rock's storage properties (Mazzullo, 1981; Amel et al., 2015; 128 Dou et al., 2018; Liu and Xie, 2018; Morad et al., 2018; Ishaq et al., 2019). A volume 129 of sediment can progressively go through different diagenetic environments, potentially

resulting in a final rock with very different petrophysical properties depending on thediagenetic path (*e.g.*, Roehl and Choquette, 1990; Moore, 2001).

132 Diagenetic overprints can have a constructive or destructive impact on the rock's 133 pore structure. Diagenetic processes play a significant role in the formation and 134 occlusion of the pore space, as well as on the sealing potential of carbonate cap rocks. 135 For example, the pore size of carbonate rocks normally decreases with mechanical compaction, secondary pores form because of dissolution of carbonate components or 136 cements while cements occlude primary and secondary pores (e.g., Moore and Wade, 137 138 2013; Javanbakht et al., 2018; Morad et al., 2018). Mechanical compaction reduces the bulk rock volume as a consequence of progressive burial, dissolution generally results 139 in a porosity increase through the enhancement of fluid mobility and facilitates 140 hydrocarbon migration (Jiang et al., 2018; Dou et al., 2018), and cementation occludes 141 the pore networks and increases their tortuosity. The early compaction apparently 142 constrains fluid flow and leads to nearly complete cementation, which contributes to 143 the low permeability of carbonate rocks (Melim et al., 2001). The dissolved unstable 144 calcite minerals preferentially precipitate again as new pore-filling products. 145 146 Furthermore, diagenetic alternations such as dissolution and cementation control the 147 petrophysical properties, by enhancing or diminishing porosity and permeability of 148 carbonate reservoirs (Croizé et al., 2010; Makhloufi et al., 2013; Ali et al., 2018; Al 149 Khalifah et al., 2020).

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A considerable number of analytical techniques have been used to characterize the

151	pore structure of carbonate rocks, including thin-section petrography, focused ion beam
152	scanning electron microscopy (FIB-SEM), backscattered scanning electron microscopy
153	(BSEM), field emission scanning electron microscopy (FE-SEM) and micro- and
154	nanocomputed tomography (micro-CT/nano-CT) (Van Geet et al., 2000; Al-Kharusi
155	and Blunt, 2008; Bera et al., 2012; Fusi and Martinez-Martinez, 2013; Mayo et al.,
156	2015). Among these methods, casting thin sections are impregnated with methylene
157	blue dye to make the pore space easier to identify and has been used to study the pore
158	types and pore structures in two-dimensions, but the magnification of two-dimensional
159	(2-D) pore images by casting thin section petrography is relatively limited (Okabe and
160	Blunt, 2007). FIB-SEM, BSEM and FE-SEM analysis result in micrographs with
161	enough resolution for the detection of the 2-D microscopic morphology and pore size
162	distribution at different scales but fail to obtain information such as the connectivity of
163	pore throats in three dimensions (Sok et al., 2010; Bera et al., 2012). Both micro-CT
164	and nano-CT scanning methods are effective ways of reconstructing the three-
165	dimensional spatial arrangement of pore network systems using numerical values from
166	the scanned image (Fusi and Martinez-Martinez, 2013). They can accurately locate the
167	exact position of different pore throats in the rock samples, but result in an unrealistic
168	simulation of transport properties and fail in analyzing nanopores (Al-Kharusi and
169	Blunt, 2008; Mayo, et al., 2015). Micro-CT analysis fails to reveal porosity related to
170	fluid-flow at the nanoscale due to the significant limitation of the finite voxel size, and
171	thus cannot differentiate ores or minerals with similar attenuation coefficients (Wang

172	and Miller, 2020). Nano-CT is more sensitive to the misaligned geometry because of
173	the movement of object manipulator and has a lower signal-to-noise ratio and larger
174	data volume than micro-CT. Consequently, these shortcomings increase the difficulty
175	of reconstructing the pore structure at high resolution (Tang and Li, 2020). In summary,
176	all these methods alone present a series of limitations and measurement errors for the
177	determination of pore-size distributions (Ito et al., 2011; Lai et al., 2018). Combinations
178	of techniques can help to analyze the spectra of data that is required to achieve a
179	complete quantification of pore structures in carbonate rocks (Zhang et al., 2018).
180	The relationships between diagenetic processes and the pore structure
181	heterogeneity for facies deposited in certain environments have been widely
182	investigated in sandstone reservoirs (e.g., Li et al., 2017b; Li et al., 2017c; Dou et al.,
183	2018; Oluwadebi et al., 2018; Kadkhodaie-Ilkhchi et al., 2019; Li et al., 2019). However,
184	most diagenetic studies in carbonate rocks have mainly focused on how different
185	diagenetic processes impact reservoir quality (Mazzullo, 1981; Amel et al., 2015; Liu
186	and Xie, 2018; Zhang et al., 2018), and not so much on how diagenesis relates to the
187	resulting petrophysical properties of carbonate cap rocks (Daniel and Kaldi, 2009).
188	Accordingly, the scientific and oil & gas industry communities still lack systematic
189	studies focusing on analyzing how different diagenetic processes control the evolution
190	of the pore space, the distribution of pore sizes and, therefore, how they determine the
191	sealing capacity of carbonate cap rocks, despite that such studies are essential to better
192	predict the presence and sealing properties of carbonate cap rocks.

193 In order to contribute to filling the knowledge gap between carbonate rock diagenetic alterations and the sealing capacity evolution associated with their pore 194 195 structure, here we fully characterize the spectrum of pore sizes and pore structures of a 196 series of carbonate cap rock samples, and reveal how diagenesis impacts the pore 197 evolution and sealing capacity of such rocks. It is widely known that high-pressure mercury intrusion porosimetry (HPMIP) is an effective method to characterize the pore 198 structure of a carbonate rock, including its pore size distribution (from nanometers to 199 micrometers), the geometrical shape of pores, and their connectivity and morphology 200 201 (Münch and Holzer, 2008; Sakhaee-Pour and Bryant, 2014; Song et al., 2018). Meanwhile, the combination of mercury injection capillary pressure (MICP) and 202 nitrogen gas adsorption (N₂GA) has proven to have good application prospects in 203 quantitatively determining the sealing capacity of cap rocks (Schmitt et al., 2013; Wu 204 et al., 2019). The mercury intrusion capillary pressure analysis is suitable to investigate 205 pore structures with pore sizes of the macro- and mesopore range, while the nitrogen 206 gas adsorption technique can be utilized to study the distribution of meso- and 207 208 micropores. In this contribution, we combine these techniques to study how different 209 diagenetic processes affect the heterogeneity of pore structure evolution and sealing 210 capacity of carbonate cap rocks using samples from outcrops and drill cores of the 211 Yingshan Formation in the Tarim Basin (China).

The Tahe oilfield is the first discovered Paleozoic marine carbonate oilfield in the
Tarim Basin that includes paleokarst-controlled reservoirs (Figure 1A) (Kang, 2007;

214	Tian et al., 2016; Baqués et al., 2020). It hosts 4.306 Gbbl $(5.875 \times 10^8 \text{ tons})$ of proven
215	original oil-in-place (OOIP) and 649.719 BCF (183.98 $\times 10^8 \mbox{ m}^3)$ of natural gas in
216	Ordovician formations (Ma et al., 2017; Han et al., 2019a). The carbonate cap rocks of
217	the Yingshan Formation are considered some of the key seals in the Tarim Basin and
218	played a crucial role in the accumulation and preservation of hydrocarbons (Lin, 2002;
219	He et al., 2016; Lü et al., 2017; Wu et al., 2018a; Zhou et al., 2019). Samples of the
220	carbonate cap rocks obtained from wells in the Tahe oilfield present similar depositional
221	and petrographic characteristics to those exposed in outcrops of the Keping and Bachu
222	counties of the northwestern edge of the Tarim Basin (Figure 1B, C) (Kang, 1989; Ji et
223	al., 2013; Zhou et al., 2019). Wang et al. (2019) have proposed a depositional model for
224	the Yingshan Formation carbonates in the Tarim Basin, including the presence of
225	restricted carbonate and open carbonate platforms. The former comprises three
226	successive environments, namely restricted tidal flat, lagoon, and barrier shoal (Gao et
227	al., 2018; Wu et al., 2018b), while the latter includes intraplatform shoal and intershoal
228	sea (Wang et al., 2018). Therefore, such outcropping rocks are considered as analogs of
229	the subsurface carbonates in reservoirs (Tian et al., 2016; Wang et al., 2019).
230	Accordingly, the detailed study of the exposed carbonate cap rock is essential to
231	understand the sealing mechanisms for different pore structures and to improve the
232	predictions of pore evolution and sealing capacity in reservoirs.

The aims of this contribution are: (1) to characterize the dominating lithology types and diagenetic processes of the study carbonate cap rocks; (2) to unravel the heterogeneity of pore structures and sealing performance of carbonate cap rocks; (3) to
propose a pore evolution conceptual model to dynamically evaluate the variation of the
sealing capacity of carbonate cap rocks.

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2 Geological setting

239 2.1 Sedimentary background

The Tarim Basin has an area of 56×10⁴ km² (~21.62×10⁴ mi²) and is the largest petroliferous basin in China. It is a multicycle composite basin bound by the Tianshan Mountains to the north, the Kunlun Mountains to the southwest and the Altyn Mountains to the southeast (Kang, 2007) (Figure 1A). This basin underwent multi-stage tectonic episodes during the Caledonian, Hercynian, Indosinian, Yanshanian and Himalayan Orogenies (He et al., 2016).

The studied outcrop sections in the Keping and Bachu counties are named 246 Penglaiba, Yangjikan, Kepingshuinichang and Dabantage. They are located in the 247 Keping uplift of the northwestern margin of the Tarim Basin (Figure 1B). The 248 Ordovician strata account for the main carbonate rocks in this basin and can be divided 249 250 into six formations from bottom to top: Lower Ordovician Penglaiba, Lower-Middle Ordovician Yingshan, Middle Ordovician Yijianfang, and Upper Ordovician Qiaerbake, 251 252 Lianglitage and Sangtamu Formations (Figure 2) (Qi and Yun, 2010; Tian et al., 2016). 253 Due to tectonic inversion from extensional to compressional setting in the Tabei uplift, the dominant carbonate depositional system that formed the Cambrian-Ordovician 254

255 formations evolved to a mixed carbonate-siliciclastic sedimentary system in the Late 256 Ordovician. The depositional facies from the Penglaiba to the Sangtamu Formation 257 consist of restrictive platform, open platform, platform margin, and mixed continental 258 shelf deposits (Han et al., 2019a). The Penglaiba Formation is mostly composed of a restricted carbonate platform 259 carbonates (Dong et al., 2013), mostly dolomitized. The restricted carbonate platform 260 mainly comprised tidal flat and lagoon deposits. It formed during the Early Ordovician 261 and is dominated by fine- to medium-crystalline dolomite. Its thickness mostly ranges 262 between 300 and 500 m but can be up to 1500 m. 263 264 The Yingshan Formation is composed of shallow carbonate platform carbonates, partially dolomitized (Ruan et al., 2013). It formed during the Early Ordovician and 265 Middle Ordovician and is generally 250-600 m thick, with a maximum thickness locally 266 exceeding 900 m. The Yingshan Formation is commonly divided into two parts, 267 according to a clear lithological change from dominant dolomite and dolomitic 268 limestones in the lower part to limestones in the upper part (Chen et al., 2003; Wang et 269 al., 2018). The rocks of the lower part of the Yinshan Formation are dominated by fine 270 271 crystalline dolomite and peloidal dolomitic limestone, and they have been interpreted as resulting from deposition in a restricted carbonate platform. The rocks of the upper 272 part of the Yingshan Formation are dominated by peloidal packstone and intraclastic 273 274 grainstone, and they are thought to correspond to an open carbonate platform (Han et

275	al., 2015; Du et al., 2017). The open carbonate platform mainly comprised intrashoal
276	platform and intershoal sea (Wang et al., 2019). The carbonate reservoirs of the upper
277	parts of the Yingshan Formation correspond to paleokarsts with fracture-vuggy-cavity
278	pore systems (Chen et al., 2012). The dense intervals of the Yingshan Formation
279	carbonates are widely recognized as the local sealing unit for hydrocarbons in the Tarim
280	Basin (Figure 3) (Wu et al., 2018b), and therefore has huge economic importance.
281	The Yijianfang Formation has a wide thickness variation (from 0 to 110 m) and is
282	divided into two parts according to the lithofacies association. The rocks of the lower
283	part of the Yijiangfang Formation are dominated by intraclastic grainstone and algae-
284	bearing oolitic grainstone, which correspond to the depositional environment of the
285	carbonate platform (Xu et al., 2012). The rocks of the upper part of this formation are
286	dominated by oolitic and bioclastic grainstone and cyanobacteria bindstone, which
287	corresponds to the sedimentary environment of the carbonate platform margin reef (Yu
288	et al., 2008). The reef building organisms consist of receptaculitids and sponges (Xu et
289	al., 2012).

The Qiaerbake, Lianglitage and Sangtamu Formations consist of mixed continental shelf facies comprising mud-bearing nodular limestone, argillaceous limestone, and siliciclastic mudrock. The thicknesses of the Qiaerbake and Lianglitage Formations are 0-35 m and 0-102 m, respectively (Liu et al., 2017). The Sangtamu Formation is 0-600 m thick and acts as high-quality regional cap rock for the underlying 295 carbonate reservoirs of the Yingshan and Yijianfang Formations (Ding et al., 2020).

296 2.2 Tectonic and burial history

297 The Tahe oilfield is located in the southern slope of the Akekule arch within the Tabei uplift of the Tarim Basin (Figure 1C) (Lin, 2002). The Akekule arch is a long-298 299 term paleohigh on the basis of the sub-Sinian metamorphic basement. This arch 300 experienced four different stages with three significant uplifts for the Ordovician strata. 301 Stage 1: Thick and massive Cambrian-Ordovician carbonates were deposited and formed the stable platform before the late Caledonian Orogeny. Pervasive karstification 302 303 took place during the middle Caledonian Orogeny (including three episodes, namely I, II and III) (Tian et al., 2016). Episode I of the middle Caledonian karstification occurred 304 between the deposition of the middle Yijianfan and the upper Qiaerbake Formations. 305 The Tabei uplift was uplifted gradually and a slight karstification exposure formed in 306 the northern part of the Akekule arch (Han et al., 2019a). Episode II took place between 307 the deposition of the upper Lianglitage and Qiaerbake Formations, the rocks of the 308 Lianglitage Formation was directly exposed to meteoric water and suffered from 309 310 karstfication. Episode III occurred between the sedimentation of the upper Sangtamu 311 Formation and that of the Silurian strata (He et al., 2016). Stage 2: The late Caledonian Orogeny (Late Ordovician to early Silurian) to early Hercynian Orogeny (Late 312 313 Devonian to early Carboniferous) resulted in the formation of a paleomorphological high during this stable uplift. Another significant karstification event took place, 314

315 leading to the denudation of Silurian, Devonian and part of the Middle and Upper Ordovician strata. Therefore, the Ordovician strata in the north part of the Tahe oilfield 316 317 were eroded to some extent due to complex erosional episodes. For example, the 318 Yingshan Formation was partially denuded and the Lianglitage and Sangtamu Formations were completely denuded, thus the Carboniferous Bachu Formation 319 320 unconformably overlies the Yingshan Formation (Figure 2), while they remained wellpreserved in the southern part (Chen et al., 2003). Stage 3: During the late Hercynian 321 Orogeny (late Permian) and the Indosinian Orogeny, the Tabei uplift was subjected to 322 compressional tectonics, further deforming it from its original shape. Stage 4: The 323 present tectonic configuration of the Tabei uplift was achieved after some adjustments 324 during the Yanshan-Himalayan Orogeny. The Ordovician strata in the Tahe oilfield 325 subsided and underwent progressive burial. The Paleozoic strata in the Akekule arch 326 were tilted towards the south (Tian et al., 2016). 327 These study outcrop sections in the Keping uplift were subjected to extensional 328

tectonic settings early in the Caledonian Orogeny (Wang et al., 2019) and were part of the passive continental margin (Jiang et al., 2015). Subsequently, a large-scale tectonically controlled transgression occurred in the middle to late Caledonian Orogeny (488.3-416 Ma) (Han et al., 2015; Wang et al., 2018). The Yingshan Formation at the Dabantage and Penglaiba outcrops experienced two rapid subsidence stages during the Middle Ordovician and middle Permian (Kang, 1989; Dong et al., 2013), with a maximum burial depth of about 4000 m (Du et al., 2017). During the Permian, the

336	Keping uplift along the northwestern flank of Tarim block extensively underwent uplift
337	due to the amalgamation between the island arc of middle Tianshan and Tarim plates
338	(Jia et al., 1998). At the end of Permian, the uplifted study area, resulting from the
339	domal uplift of a mantle plume, was exposed to the near-surface and underwent a
340	denudation as demonstrated by Mesozoic lacuna (Yang et al., 2007; N. Chen et al., 2014;
341	Jiang et al., 2015). The rocks corresponding to the studied outcrop sections in the
342	Keping uplift were affected by the Himalayan Orogeny to form a series of east-
343	northeast-striking imbricated overthrust nappes because of the collision from the Indian
344	plate farther south and Asian plate in the Cenozoic (Yang et al., 2006; Dong et al., 2013;
345	Du et al., 2017), resulting in the present-day exposure of this formation (Zhou et al.,
346	2019) (Figure 4).
347	Investigating the timing and chronology of hydrocarbon charging is not only of
348	significance for the study of the temporal-spatial coupling of hydrocarbon migration
349	and accumulation, but also to evaluate the sealing effectiveness of cap rocks. This is
350	because the sequence of hydrocarbon charging and cap rock formation determines
351	their sealing effectiveness. Carbonate rocks can typically be effective seals when they
352	formed a long time before hydrocarbon charging. Otherwise, hydrocarbon leakage can
353	take place when the cap rocks develop during or after hydrocarbon charging. There
354	were three hydrocarbon charging stages during the whole geological history of the
355	Tahe oilfield (Chen et al., 2014). The first two phases occurred during the middle to
356	late Caledonian Orogeny (463.2-414.9 Ma) and the late Hercynian Orogeny (312.9- 17

357 268.8 Ma), respectively. The third natural gas charging phase took place during the
358 Himalayan Orogeny (22-4.8 Ma) (Figure 4).

359

3 Samples and methods

This study is based on the analysis of samples from four outcrop sections and six 360 wells from the Tarim Basin (Table 1). The classification of carbonate rocks in this study 361 362 follows Dunhams (1962), which is based on depositional textures. Previous studies revealed that pore sizes of carbonate cap rocks in this formation are highly variable, 363 and range from several nanometers to tens of micrometers (Wu et al., 2018b, 2019). 364 Accordingly, the pore size classification scheme proposed by Xoaoth (1966), Li et al. 365 (2017a) and Wu et al. (2019) was used in this study. This classification considers 366 macropores (> 1 µm), mesopores (0.1-1 µm), transitional pores (0.01-0.1 µm) and 367 micropores ($< 0.01 \mu m$). 368

369 3.1 Thin-section petrography

A total of 375 carbonate cap rock samples were chosen for thin-section preparation in this study, with the aim of studying the depositional characteristics and diagenetic evolution of each rock type. 180 of them are from wells and 195 from outcrops. 225 of these thin sections were only 1/3-stained with Alizarin Red S to differentiate calcite and dolomite, while the other 150 were not only 1/3-stained with Alizarin Red S but also impregnated with methylene blue dye to make the pore space easier to identify. They were studied under petrographic optical microscopes at the China University of 377 Geosciences (Beijing).

378 The grain size distribution was quantified from photomicrographs using digital 379 image analysis techniques (Grove and Jerram, 2011). Zhang et al. (2014) demonstrated 380 that a large number of images should be taken to cover a representative number of grains when analyzing particularly heterogeneous samples. Our previous studies 381 382 confirm that measuring 80 grains per thin section meets the grain size quantification of carbonate cap rocks in the Tarim Basin (Wu et al., 2019). The calcite cement content 383 was estimated by volumetric percentages from at least eight photomicrographs of each 384 385 thin section using image analysis software (e.g. Adobe Photoshop). This quantification workflow was divided in three steps (Zhang et al., 2014): (1) image preparation: 386 microscopic images were taken from thin sections, and image color, saturation, 387 brightness, and contrast were adjusted, (2) selection and pixels reading: the calcite 388 389 cement was selected and filled with a color on a single layer, then the number of pixels of the selected calcite cement were read, and (3) data processing: the ratio of the pixel 390 number of the calcite cement to the whole image equals the content of calcite cement. 391 392 Further comparisons of calcite cement content between thin sections could be achieved 393 by analyzing the similarities and differences in gray levels or colors in 394 photomicrographs using pixel management techniques (Zhang et al., 2014), and by 395 using shape-selection tools to capture calcite cement, which typically presents a certain 396 outline (Wang et al., 2015).

397 *3.2 Scanning electron microscope measurements*

398	Fifteen broken carbonate rock samples (0.5 cm×1.0 cm×0.2 mm) coated with gold
399	were observed using an FEI Quanta FEG450 SEM with a working current set at an
400	accelerating voltage of 20.0 kV and distance of 8-9 mm at the Experimental Research
401	Center of Unconventional Technology Research Institute of CNOOC in Tianjin (China)
402	Scanning electron microscopy (SEM) was used to characterize the microporosity
403	characteristics and cement morphology in carbonate cap rock intervals. The carbonate
404	porosity classification of Choquette and Pray (1970) was used in this study. In addition,
405	energy dispersive spectrometry (EDS) was used to analyze the detailed mineral
406	compositions of grains and cements.

407 *3.3 High-pressure mercury intrusion porosimetry analysis*

Twenty carbonate cap rock plug samples (nine from outcrops and eleven from drill cores) with a diameter of 25 mm and length of 39-51 mm were selected for pore structure and porosity and permeability analysis at the experimental research center of unconventional technology research institute of CNOOC. An Automatic 9510-III Highpressure Mercury Intrusion Porosimeter was utilized to investigate the pore size distribution using the Washburn equation (Washburn, 1921).

$$P_c = \frac{2\sigma\cos\theta}{r} \tag{1}$$

414 where P_c is the capillary pressure in dyne/cm²; σ is the interfacial tension of air/mercury

415 in dyne/cm; θ is the wetting angle in °; r is the pore throat radius in cm.

416 The interfacial tension of air/mercury and wetting angles are 485 mN/m and 140°
417 in this study. Thus, the Washburn equation can be simplified as:

$$P_c = \frac{0.735}{r} \tag{2}$$

418 where P_c and r are the capillary pressure in MPa and pore throat radius in μ m, 419 respectively. The pore radii can be automatically acquired in accordance with the 420 specific pressures during the intrusion/extrusion processes according to Equation (2). 421 The test pressures vary from 0.012 to 116.667 MPa, which correspond to a pore radius 422 range from 63 μ m to 0.006 μ m, respectively.

423 3.4 Combination of MICP and N₂GA analyses

A total of 40 carbonate cap rock plugs (21 from drill wells and 19 from outcrop 424 425 sections) with a diameter of 25 mm were selected to investigate their sealing capacity. In order to minimize the rock sample damage as much as possible during the sampling 426 process, two steps were taken in the field: (1) the weathered carbonate layer on the 427 outcrop surface was removed to expose the fresh rock surface; (2) the rock was then 428 429 drilled to a depth of 40-50 cm using a Shaw single backpack drill rig to obtain a 430 cylindrical rock sample. The combination of MICP and N2GA analyses were tested at the Experimental Research Center of the Wuxi Research Institute of Petroleum Geology, 431 432 SINOPEC. The sealing performance of these selected samples was determined using

433	an Autopore IV9520 Micropore Structure Analyzer. The MICP technique was utilized
434	to characterize the distribution of pore sizes with pore radii in the range of 6 to 10,000
435	nm, and the N_2GA test was used to quantify porosity defined by pores with radii ranging
436	from 1 to 10 nm. The existence of an overlapping region of pore size between 6 nm and
437	10 nm provides the foundation for the combination of MICP and N_2GA analyses.
438	Consequently, the 6 nm pore size was used as a linking point to establish the connection
439	of both techniques and to obtain the distribution of pore size in the range of 1-10,000
440	nm (Cheng et al., 2006). The detailed description of the combination of MICP and
441	N ₂ GA test methods can be read in Wu et al. (2019, 2021).
442	Hence, three key testing parameters, comprising height of the gas column (HGC),
443	breakthrough pressure (P_b) and cover coefficient (CC) can be directly obtained to
444	quantitatively analyze the sealing capacity of cap rocks. The HGC that a seal can hold
445	before the seal begins to leak refers to the critical accumulation height of oil and gas
446	(Watts, 1987; Lohr and Hackley, 2018). The HGC that can be stored under a cap rock
447	in a reservoir mainly depends on the P_b of cap rocks (Wollenweber et al., 2010;
448	Rezaeyan et al., 2015) and can be calculated using the equation (3).

$$HGC = \frac{P_{\rm b}}{(\rho_{\rm w} - \rho_{\rm og})g} \tag{3}$$

449 where P_b is the breakthrough pressure (in MPa), ρ_w and ρ_{og} are the density of water 450 and hydrocarbon (in g/cm³), respectively, g is the acceleration of gravity (9.8 m/s²). 451 The capacity of sealing natural gas of the carbonate cap rock can be expressed by

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452 the cover coefficient (*CC*) in specific traps (Cheng et al., 2006). A larger cover 453 coefficient means a stronger capacity of the cap rock to seal the gas column, that is, a 454 better sealing performance of the cap rock (Li et al., 1990). Accordingly, the *CC* can be 455 calculated using the following equation (4). $CC = \frac{HGC}{Z} \times 100\%$ (4)

456 *CC* is the cover coefficient (in %) and *Z* is the closure of the structure (in m).

457 4 Results

Based on the petrographic analysis of thin sections, four main lithology types were
defined for the studied cap rocks, namely (1) highly compacted peloidal packstonegrainstone, (2) highly cemented intraclastic-oolitic-bioclastic grainstone, (3) peloidal
dolomitic limestone, and (4) incipiently dolomitized peloidal packstone-grainstone.

Type 1: Highly compacted peloidal packstone-grainstone is the predominant lithology type (Figure 5A) and is typically distributed in the upper part of the Yingshan Formation. It is very common in high-energy intraplatform shoal of open carbonate platform. This lithology type is composed of tightly packed peloids and deformed bioclast fragments. Medium- to coarse-sand-sized peloids vary in size from 0.25 to 0.58 mm and their content is 40.5% to 97.2% of the total rock volume. Bioclasts are not always easy to identify due to the following aspects: (1) the wide variety of broken shell 470 morphologies and wall structures that form during subsidence and uplift, (2) the 471 randomness of thin sections cut through complex shell forms, and (3) the presence of 472 unstable minerals in various diagenetic alteration stages. Bioclast fragments only 473 account on average for 2.8% (varying from 0.5% to 7.5%) of the rock volume. In 474 addition, both the micrite crystals and calcite cements have a wider range of volumetric 475 content, ranging from 0% to 25.4% and from 0% to 11.8%, respectively.

Type 2: Highly cemented intraclastic-oolitic-bioclastic grainstone is the second 476 most abundant lithology type and is present in the lower and upper parts of the Yingshan 477 478 Formation. It typically occurs in high-energy barrier shoals of restricted carbonate platforms and high-energy intraplatform shoals of open carbonate platforms. This 479 lithology type includes poorly sorted intraclasts (Figure 5B), well-sorted ooids (Figure 480 481 5C) and bioclast fragments. These very fine- to coarse sand-sized grainstones are characterized by an average grain size ranging from 0.12 to 0.86 mm. Volumetrically, 482 intraclastic grainstones occupy the most proportion within this lithology type, whereas 483 oolitic grainstones and bioclastic grainstones are rare, only accounting for 5.1% and 484 485 2.5% or less, respectively. Cements are dominated by calcite and dolomitic crystals, 486 with average volume percentages of 14.5% and 3.6%, respectively.

487 Type 3: Peloidal dolomitic limestone is the third most abundant lithology type and 488 is restricted to the lower part of the Yingshan Formation (Figure 5D). It is very common 489 in low-energy lagoons of restricted carbonate platforms. The dolomitic limestone 490 encompasses peloidal wackestone and packstone. Fine- to coarse-sand-sized peloids 491 range in size from 0.13 mm to 0.92 mm with an average of 0.49 mm. This lithology 492 type is partly replaced by different types of dolomite crystals. Fine- to medium-493 crystalline dolomite crystals exhibit subhedral to anhedral shapes and vary in size from 494 0.12 to 0.45 mm with an average of 0.29 mm. Coarse-crystalline dolomite crystals are 495 euhedral to subhedral rhombs in shape and range from 0.52 to 0.95 mm in size.

496 **Type 4:** Incipiently dolomitized peloidal packstone-grainstone is the least 497 abundant of the lithology types and mainly occurs in the lower part of the Yingshan 498 Formation (Figure 5E, F). It typically occurs in barrier shoals of restricted carbonate 499 platforms. They are predominately supported by poorly sorted grains comprising 500 peloids and minor intraclasts. The total volume of grains varies between 53.8% and 501 72.9% of the rock.

502 4.2 Pore types

503 Four pore types are identified through the combination of thin section observation 504 and SEM analyses. They include microfractures, intercrystalline and intergranular 505 pores, and dissolution vugs, and appear unevenly distributed in cap rock samples 506 (Figures 5, 6). Microfractures account for 63.5% of the total pore volume, 507 intercrystalline pores constitute 23.6%, and the rest of the pore space corresponds to 508 intergranular pores and dissolution vugs.

509 Microfractures are abundant in highly cemented intraclastic-oolitic-bioclastic 510 grainstones and peloidal dolomitic limestones, exhibiting a broad width range from

0.01 to 0.36 mm (average 0.18 mm) and a varied length range from 0.42 to 2.35 mm. 511 Most microfractures are highly cemented by equant calcite crystals, and less commonly 512 513 by anhedral dolomite crystals. Accordingly, some parts of microfractures are not 514 cemented by calcite crystals and exhibit different isolated geometries (Figure 5G). The solution-enlarged intercrystalline pores are common in peloidal dolomitic limestones 515 516 and incipiently dolomitized peloidal packstones (Figure 5H), and range in diameter from 0.02 to 8.23 μm with an average of 4.26 μm . These pores are partially or 517 completely filled with calcite cement and clay minerals (e.g., illite) (Figure 6A). 518 519 Intergranular pores with poor connectivity are predominant in highly cemented intraclastic-oolitic-bioclastic grainstones (Figure 6B). These intergranular pores are 520 rarely observed since these pore spaces are dominantly occluded with drusy calcite 521 522 cement and are highly compacted during burial. In addition, isolated dissolution vugs 523 are locally observed and always have irregular geometries, showing different pore sizes from 2.6 to 10 µm. 524

525 4.3 Diagenetic phases

526 Diagenetic phases of carbonate cap rocks are mainly characterized by calcite 527 cementation, dissolution, mechanical and chemical compaction, dolomitization and 528 dedolomitization.

529 4.3.1 Calcite cementation

530 Calcite cement is an important and pervasive diagenetic product observed in most

531	of the studied samples with the exception of those corresponding to peloidal dolomitic
532	limestone. The volume percentage of calcite cement varies from only 3.6% in highly
533	compacted peloidal packstone to almost 75.3% in intraclastic grainstone. It is
534	characterized by four cement fabric types, including (1) isopachous crusts of bladed, (2)
535	meniscus, (3) blocky and (4) drusy cements.
536	(1) Isopachous crusts of bladed cement formed around peloids and/or intraclasts
537	(Figures 5D, 6C) and is commonly observed in intraclastic grainstone, with volumetric
538	contents varying from 12.6% to 27.2% (with an average of 18.4%), as estimated from
539	thin sections.
540	(2) Meniscus cement is commonly recognized in both highly compacted and
541	highly cemented peloidal grainstone (Figure 6D), showing a curved surface in the grain
542	boundaries.
543	(3) Blocky calcite cement is commonly formed by subhedral to anhedral crystals
544	ranging from 0.08 μ m to 1.23 mm in size. Intergranular pores are completely occluded
545	by these blocky cements with medium-sized crystals (Figure 5B).
546	(4) Drusy cement is pervasively filling pores between peloids (Figure 6C). More
547	than 93% of the secondary porosity is completely cemented in carbonate cap rocks
548	intervals, compared to 4.8% of pores in oil-bearing reservoir intervals.
549	4.3.2 Dissolution

550 Fabric-selective dissolution is a common diagenetic process in highly compacted

551 peloidal packstone-grainstone and highly cemented intraclastic-oolitic-bioclastic grainstone (Figure 5A), as revealed by the presence of enlarged intergranular and 552 553 intercrystalline pores. Subsequently, this type of pore space was partially or completely 554 filled with calcite cements. Nonfabric-selective dissolution primarily affected highly 555 cemented intraclastic grainstone, highly compacted peloidal packstone-grainstone and 556 incipiently dolomitized packstone, featuring the extensive formation of vugs and solution-enlarged microfractures. However, some microfractures with irregular shape 557 appear completely filled with sparry calcite (Figure 6D), and some solution-558 559 enlargement microfractures are partially filled with calcite cements and appear discontinuous locally (Figure 5G) 560

561 4.3.3 Mechanical compaction

562 Mechanical compaction is easy to identify due to the presence of deformed 563 intraclasts and rearranged ooids. It is quite common in highly cemented intraclastic-564 oolitic-bioclastic grainstone and incipiently dolomitized peloidal packstone-grainstone, 565 and in peloidal dolomitic limestone in rare cases.

566 Two distinct characteristics of mechanical compaction are observed from thin 567 section analysis: (1) cementation occurred before mechanical compaction, showing a 568 large volume of calcite cements around grains with rare occurrence of point to linear 569 contacts (Figure 5B). Intensive cementation resulted in a considerable decrease in 570 primary porosity; (2) tight packing occurred before cementation, showing peloid

571	deformation and ooid and/or bioclast rearrangement (Figure 5A). Peloids became more
572	closely packed, exhibiting concave-convex contacts in tightly compacted zones (Figure
573	7A). In addition, some clay minerals were affected by plastic deformation in a way that
574	they appear squeezed between grains. The intergranular porosity between intraclasts
575	and peloids was reduced (Figure 6B), but new microfractures formed. Calcite cements
576	infilling intergranular pores resisted grain deformation exerted by overlying sediment
577	burden and contributed to the preservation of large pores during mechanical compaction.
578	4.3.4 Chemical compaction
579	Chemical compaction is widely observed in both highly cemented intraclastic-
580	oolitic-bioclastic grainstone and highly compacted peloidal packstone-grainstone.
581	Bedding-parallel stylolites have different amplitudes from several micrometers to tens
582	of millimeters. According to the stylolite classification proposed by Koehn et al. (2016),
583	three types of stylolites are recognized: suture and sharp peak (Figure 7B), seismogram
584	pinning (Figure 7C), and simple wave-like. There are stylolites that dissolved calcite
585	cement, matrix and lithologic interface of intraclastic grainstone and peloidal

packstone-grainstone (Figure 7D, E). In addition, rhombic dolomite crystals appear distributed along or in the vicinity of a swarm of stylolites. Translucent brown to opaque pyrobitumen pervasively occludes pore networks (Figure 7E).

589 4.3.5 Dolomitization

590 Dolomitization is generally found in highly compacted peloidal packstone-

29

591 grainstone containing bioclast fragments and also in peloidal dolomitic limestone, and 592 is minor to absent in the other rock types. Dolomite occurs in the form of isolated 593 medium to coarse rhombohedral crystals (Figure 5E). Medium-crystalline dolomite 594 crystals resulting from calcite replacement range from 280-350 µm in diameter, and 595 they are scattered in peloidal grainstone (Figure 7E). Coarse-crystalline dolomite 596 crystals are in the range of 510-860 µm, and up to 1020 µm.

597 4.3.6 Dedolomitization

598 Dedolomitization is relatively weak with rare occurrence in peloidal dolomitic 599 limestone. There are highly irregular patches of dolomite in coarse-crystalline limpid 600 calcite due to dedolomitization imprints (Figure 7F). Dolomite crystals mainly have 601 diameters of 80-120 µm, while calcite crystals resulting from dolomite calcitization are 602 typically coarser, ranging from 80-230 µm in diameter. The elongated intercrystalline 603 pores between calcite crystals are connected, with only a small portion being partially 604 isolated.

605 4.4 HPMIP analysis

Table 1 shows a series of pore structure characterization parameters obtained from the HPMIP tests of 20 studied cap rock samples, including threshold pressure, maximum pore throat radius, median saturation capillary pressure, median pore throat radius, maximum mercury intrusion saturation, efficiency of mercury withdrawal, average pore throat radius, and skewness. In this study, the threshold capillary pressure, 611 maximum mercury saturation and efficiency of mercury withdrawal have been chosen
612 to show the differences between carbonate cap rocks. The differentiation has been
613 carried out according to three aspects:

(1) The threshold pressure and maximum pore throat radius are two parameters that are inversely proportional. The threshold pressure is the minimum pressure for the nonwetting phase to be forced into the core and is also necessary to characterize the sealing capacity of cap rocks (Ito et al., 2011). The maximum pore throat radius is the radius corresponding to the threshold pressure.

619 (2) The median saturation capillary pressure and median pore throat radius are another two parameters that are inversely proportional. The median saturation capillary 620 pressure refers to the capillary pressure at 50% accumulated mercury saturation. The 621 622 median pore throat radius is the pore throat radius corresponding to the median pressure. 623 However, the median saturation capillary pressure cannot be used because the accumulated mercury saturation in most rock samples is less than 50%. Hence, the 624 maximum mercury saturation was chosen to evaluate the connectivity of the pore throat. 625 A larger maximum mercury saturation corresponds to a larger portion of the pore throat 626 volume of the rock that is saturated with mercury, indicating better connectivity of pore 627 throats. The lower the maximum mercury saturation is, the worse the connectivity of 628 629 pore throats (Qing et al., 2021).

630 (3) The efficiency of mercury withdrawal can reflect the geometry of the pore631 system (Wardlaw and Taylor, 1976). A high efficiency of mercury withdrawal indicates

632 a relatively small range of pore throat sizes and high pore accessibility, and vice versa633 (Mastalerz et al., 2021).

Four types (A, B, C, and D) of cap rocks can be recognized in accordance with the
mercury-air capillary pressure curve (Figure 8).

(1) The threshold capillary pressure: High threshold pressures increase the 636 637 difficulty for mercury to penetrate the pore systems during the mercury intrusion process. Type A curves reveal the tight nature of carbonate cap rocks. The average 638 threshold pressure of the type A pore structure is generally higher than 11.25 MPa, and 639 640 is thus significantly larger than those of the types B (1.72 MPa), C (1.20 MPa) and D (0.0125 MPa) pore structures, as revealed by the initial mercury intrusion stage (Figure 641 8). The threshold pressure difference between the four pore structure types indicates 642 that the pore networks of type A are dominated by small pore throats, while large pores 643 and smooth throats are rarely developed and not well interconnected. In terms of the 644 morphological characteristics of capillary pressure curves, the curves corresponding to 645 the types A, C and D show a steep slope and lack a horizontal stage in the intermediate 646 mercury intrusion curve (Figure 8A, C, D). However, the type B curve shows a 647 648 relatively horizontal stage with a gentle slope in this corresponding stage (Figure 8B), 649 signifying that a large number of pores with a similar pore throat radii are intruded by mercury under a certain small pressure range. 650

651 (2) In terms of maximum mercury saturation (S_{max}), the type B carbonate cap rocks 652 have the highest value (67.61%) at pressure as high as 116.67 MPa, followed by the types D and A (27.71% and 20.9%, respectively). The type C cap rocks have the lowest
maximum mercury saturation (5.08%), suggesting that micropores with complex pore
structures are quite well developed in this carbonate cap rock type.

(3) Mercury extrusion process: the mercury extrusion curves of these four pore 656 structure types in HPMIP tests are quite steep (Figure 8). The efficiency of mercury 657 withdrawal, expressed in percentage, refers to the ratio of mercury saturation at the 658 minimum pressure at the end of mercury extrusion process to the mercury saturation at 659 the maximum pressure at the end of mercury intrusion process (Wardlaw and Taylor, 660 1976). The efficiency of mercury withdrawal in type C is higher (36.16%) than those 661 of the other three types (A, B and D), which range from 15.14% to 19.37% (Figure 8C). 662 This indicates that the cap rocks of type C have a smaller range of pore size distribution 663 and better pore accessibility than the other types (A, B and D), which present larger 664 range of pore size distribution and worse pore accessibility. Additionally, a volume of 665 intruded mercury is still trapped in the pore spaces when the extrusion pressure almost 666 returns to atmospheric pressure again (as low as 0.117 MPa). 667

668 *4.5 Porosity and permeability*

The studied carbonate cap rock samples have a wide range of porosities (Table 1), which range from 0.7% to 10.3% with an average of 1.57%. Permeability varies by two or three orders of magnitude, from $0.001 \times 10^{-3} \,\mu\text{m}^2$ to $2.15 \times 10^{-3} \,\mu\text{m}^2$ (0.00101-2.1783 md) with an average of $0.23 \times 10^{-3} \,\mu\text{m}^2$ (0.233 md). The cross-plot between porosity and permeability shows a poor correlation, with a low coefficient of determination (Figure
9). This indicates that the amount of porosity is not strongly correlated to permeability,
and the pore networks of carbonate cap rocks are strongly heterogeneous and
anisotropic.

677

4.6 Sealing capacity analysis

678 The sealing capacity of carbonate cap rocks can be defined by cover coefficients (Cheng et al., 2006), in a way that the larger the cover coefficients, the better the sealing 679 capacity of the carbonate cap rocks and vice versa. Cover coefficients of well samples 680 have a wide range, varying from 4.38% to 127.77% with an average of 27.23% (Figures 681 10A, C, Table 2). Cover coefficients of outcrop samples are relatively low, exhibiting a 682 narrow variation from 3.90% to 5.71% with an average of 4.57% (Figures 10B, C). 683 Average cover coefficients of well samples are almost six times as large as those of 684 outcrop samples, indicating that well samples generally have better sealing capacity 685 than the outcrop samples. 686

687 4.7 Carbonate cap rock classification

- Based on the lithology, pore structure and diagenetic processes, the sealing
 capacity of carbonate cap rocks can be classified into four classes (Figure 11).
- 690 Class I carbonate cap rocks are characterized by the lowest porosity and 691 permeability, highest threshold capillary pressure, and best sealing capacity in 692 incipiently dolomitized peloidal packstone-grainstones and highly cemented

693	intraclastic-oolitic-bioclastic grainstones (Figure 11A). Class I carbonate cap rocks
694	correspond to the type A pore structure of the HPMIP analysis. The pore size
695	distributions of class I rock samples show multimodal characteristics and mainly
696	consist of micropores and transitional pores. There is a major pore throat peak with pore
697	throat radii varying from 0.025 to 0.1 μ m. The pore types are dominated by highly
698	cemented intergranular and intercrystalline pores, and the samples are lacking open
699	microfractures. Microfractures acting as fluid flow paths were completely occluded by
700	blocky calcite cement. Together with intense mechanical compaction and abundant
701	chemical compaction, calcite cementation occluded the original pore space,
702	contributing to porosity reduction and the enhancement of the rock's sealing capacity.
703	Class II carbonate cap rocks show a high porosity with a large range, moderate
704	permeability, relatively high capillary pressure, and a relatively good sealing capacity
705	in highly cemented intraclastic-oolitic-bioclastic grainstones and peloidal dolomitic
706	limestones (Figure 11B). Class II carbonate cap rocks correspond to the type B pore
707	structure. Class II rocks are represented by a narrow range of transitional pores. The
708	dominant pore radii of the samples of this class commonly presents a unimodal pore
709	size distribution and have a relatively large proportion with a pore throat radius ranging
710	from 0.025 to 0.25 μ m. Pores are mainly disconnected microfractures, completely filled
711	intergranular pores and rare dissolution vugs. However, dissolution and dolomitization
712	occasionally led to the pore space enhancement for this class of cap rocks. Intense
713	cementation and strong mechanical compaction, together with slight chemical 35
714 compaction, effectively improved their sealing performance.

Class III carbonate cap rocks present moderate porosity, relatively low 715 716 permeability, moderate threshold capillary pressure, and moderate sealing capacity in 717 highly compacted peloidal packstone-grainstones and highly cemented intraclastic-718 oolitic-bioclastic grainstones (Figure 11C). Class III carbonate cap rocks correspond to 719 the type C pore structure, which is dominated by transitional pores and mesopores of sizes ranging from 0.025 to 1 µm. No obvious radius peak indicates that there is poor 720 pore size sorting for this class of cap rocks. Class III rock samples are dominated by 721 722 partially occluded microfractures, isolated dissolution vugs, and minor scattered intergranular pores. This can be triggered by the combined effects of moderate 723 724 cementation, mechanical compaction, and abundant chemical compaction. Moderate dissolution and scattered dolomitization increased the pore volume in a way that 725 residual, incompletely filled microfractures are still available for fluid flow and 726 hydrocarbon migration to some extent. 727

Class IV carbonate cap rocks exhibit low porosity, the highest permeability, the lowest threshold capillary pressure, and poor sealing capacity in highly compacted peloidal packstone-grainstones and peloidal dolomitic limestones (Figure 11D). Compared to the first three pore structure types, the type D pore structure corresponding to class IV carbonate cap rocks presents a unimodal pattern of macropores, with pore radii larger than 2.5 µm occupying 13.67% of the total volume. Minor cementation, moderate mechanical compaction and minor chemical compaction play a limited role in the porosity enhancement. Consequently, locally filled microfractures, partially
occluded intercrystalline pores and minor dissolution vugs are dominant pore spaces in
this class rocks. Intense dissolution and minor dolomitization are responsible for
hydrocarbon loss.

739 **5 Discussion**

740 5.1 Paragenetic sequence

Common diagenetic imprints of carbonate rocks of the studied Ordovician 741 succession have previously been recognized with the combination of geochemical data 742 from published sources (Chen et al., 2003; Chen, 2004; Dong et al., 2013; Du et al., 743 2017: Han et al., 2019a). These authors inferred five major diagenetic environments for 744 the Ordovician succession in the Tahe oilfield, including marine, meteoric, early 745 shallow burial, epigenetic meteoric, and shallow - deep burial (Table 3). Based on C, 746 O and Sr isotopes and fluid inclusions, Han et al. (2019a) inferred three types of 747 748 diagenetic fluids: marine, meteoric, and formation waters. Three diagenetic stages were interpreted by studying the Tahe oilfield by Chen et al. (2014) comprising the 749 750 penecontemporaneous-eogenetic-early mesogenetic, epigenetic, and early 751 mesogenetic-late mesogenetic. In terms of outcrop studies, the diagenetic processes that 752 the equivalent carbonate rocks underwent can be broadly divided into three stages: 753 penecontemporaneous, burial, and epigenetic (Figure 12).

754 5.1.1 Penecontemporaneous stage

755	Diagenetic processes during this stage include calcite cementation, dissolution and
756	dolomitization, which occurred at or near the time of sediment deposition. Fibrous to
757	bladed cements with isopachous fringes are considered of early marine origin and are
758	consistent with precipitation from seawater (Moore and Wade, 2013). This is because
759	both the $\delta^{13}C$ and $\delta^{18}O$ values of isopachous calcite cements are close to the original
760	isotopic composition of the Ordovician marine carbonates reported by Chen et al.
761	(2003), with δ^{13} C from -3‰ to +1.0‰ VPDB, δ^{18} O from -9.8‰ to -5.6‰ VPDB, and
762	homogenization temperature of fluid inclusion from 45.1 to 67.8°C (Figure 13). In
763	addition, the contents of other elements (e.g., B, Sr, Na) in cements are close to those
764	of seawater (Table 3). These cements are mainly interpreted as a consequence of
765	penecontemporaneous diagenesis.

Due to subaerial exposure, bioclast-bearing packstone containing abundant 766 aragonite were prone to undergo dissolution. When these carbonates were exposed due 767 to intermittent sea-level fluctuations at this stage, fabric-selective dissolution likely 768 769 affected only a few unstable components under high water-rock ratios (Moore and Wade, 770 2013). Zhu et al. (2020) attributed this phenomenon to the short duration of exposure 771 and the high frequency and small scale of the sea-level fluctuations. Effective pore space was created when carbonates were undersaturated with carbonate minerals. 772 Subsequently, as one typical diagenetic product that formed in the vadose zone (Moore 773 774 and Wade, 2013), meniscus cements have extremely low FeO, MnO, Na₂O, SrO and

BaO content, as tested by Chen (2004). This indicates that the meniscus cements precipitated under oxidizing conditions. Medium-crystalline dolomites appear dispersed in the micrite matrix rather than being plaque-like. These euhedral dolomite crystals are generally considered as the products of early dolomitization (Sibley and Gregg, 1987), indicating that the diagenetic fluid had a relatively low salinity and low temperature.

781 5.1.2 Burial stage

Diagenetic processes during this stage mainly include mechanical and chemical compaction, calcite cementation, and dolomitization. Burial diagenesis took place dominated by formation water, according to the negative δ^{18} O, low 87 Sr/ 86 Sr values and low homogenization temperature of fluid inclusions tested by Han et al. (2019a).

Mechanical compaction is easier to identify in grainstones and packstones than in 786 bioclast-bearing highly compacted peloidal packstone-grainstone. This is due to the 787 presence of deformed intraclasts and rearranged ooids. Peloidal packstone-grainstone 788 lithologies do not present clear indications of mechanical compaction except for the 789 790 presence of deformed peloids and bioclast fragments. Chemical compaction induced by 791 the weight of the overlying sediments is recognized from three types of stylolites: suture 792 and sharp peak, seismogram pinning, and simple wave-like types (following the 793 classification of Koehn et al., 2016). Carbonate minerals dissolved due to pressure solution could precipitate within stylolite porosity. Furthermore, as tested by Chen et al. 794

795	(2003), coarse-crystalline drusy calcite cements have $\delta^{13}C$ from +4.6‰ to +6.5‰
796	VPDB, δ^{18} O from -9.4‰ to -7.3‰ VPDB and homogenization temperature of fluid
797	inclusion from 54.3 to 65.7°C. These cements are of shallow burial origin, precipitated
798	from formation water and occluded intergranular pores and microfractures. In addition,
799	burial dolomitization is pervasively developed with abundant coarse-crystalline
800	dolomite crystals. However, critical data aiming at constraining their timing and further
801	investigating dolomite origin are not available at present.
802	5.1.3 Epigenetic stage
803	The exposure of the studied carbonate strata in the outcrop sections is due to
804	tectonic uplifting during the Indosian Orogeny (Kang, 1989). The diagenetic processes
805	corresponding to this stage are nonfabric-selective dissolution, calcite cementation and
806	dolomite calcitization (i.e., dedolomitization).
807	Nonfabric-selective dissolution resulted in the formation of secondary moldic and
808	vuggy porosity with irregular geometries and simultaneously enlarged microfractures.
809	Meniscus and pendant cement forms due to CO2 loss and/or evaporation when meteoric
810	water is saturated with respect to calcite in the meteoric vadose environment. Chen et
811	al. (2003) reported that these blocky cements filled in microfractures and vugs are
812	characterized by a relatively negative $\delta^{13}C$ composition ranging from -3.9‰ to -0.1‰
813	VPDB, a clear negative δ^{18} O signature ranging from -14‰ to -10‰ VPDB, and

814 homogenization temperature of fluid inclusion ranging from 50.9 to 83°C (Figure 13),

815	and contain low B, Sr, and Na contents. This suggests that they are the products
816	precipitated from meteoric water during the epigenetic stage. This interpretation is also
817	supported by the work of Han et al. (2019a) in this study area, revealing a relatively
818	high ratio of ⁸⁷ Sr/ ⁸⁶ Sr (0.709~0.709908) and low fluid inclusion homogenization
819	temperature (<60°C to 90°C), as well as low salinity of the epigenetic meteoric water.
820	Calcitization (dedolomitization) resulted in the formation of subhedral to anhedral
821	calcite crystals, and poor connectivity of intercrystalline pores.

822 5.2 Conceptual model for pore evolution associated with diagenetic 823 alterations and sealing capacity

On top of the depositional features of carbonate rocks, their pore evolution is 824 affected by many other factors, including the properties of the pore fluids, the tectonic 825 826 activity (which controls the amount and type of fractures) and the burial conditions (e.g., Melim et al., 2002; Javanbakht et al., 2018). Diagenetic alterations in different 827 diagenetic stages have a key influence on the porosity and sealing capacity of carbonate 828 cap rocks. Based on the petrological and petrophysical study presented here a 829 conceptual model for pore evolution in relation to diagenesis and sealing capacity of 830 831 carbonate cap rocks in the Yingshan Formation is presented (Figure 14).

832 5.2.1 Stage 1: Penecontemporaneous stage

833 During the deposition of the Yingshan Formation, the intergranular pores were 834 occupied by saturated marine water, which favored the precipitation of calcite. Bladed cements with isopachous crusts formed the first generation of cement around intraclasts,
ooids and peloids (Figure 14A). These cements partially or completely occluded the
majority of intergranular pores. Subsequently, equant calcite crystals precipitated in
part of these intergranular pores, coarsened towards the pore center, as the second
generation of cement in the subsequent meteoric realm (Figure 14B).

840 Due to the intermittent relative sea-level falls during subaerial exposure, the rocks were periodically exposed to meteoric water during sea-level lowstands and thus 841 subjected to meteoric dissolution in high-energy intraplatform shoals. Meteoric water 842 843 influx resulted in the partial dissolution of grains (e.g., peloids, ooids, intraclasts, etc.) and contributed to the formation of dissolution vugs and moldic pores. Chen et al. (2003) 844 confirmed that the cements that partially precipitated in the moldic secondary porosity 845 846 in the study area are characterized by nonluminescent and extremely low FeO, MnO, Na₂O, SrO and BaO content. Accordingly, they were interpreted as cements originated 847 from meteoric water. A similar phenomenon has been documented by He et al. (2010), 848 who reported that dissolution vugs with different sizes and shapes occurred in high 849 850 positions of carbonate platforms due to the subaerial exposure as eustatic fluctuations 851 in seal level in the same study area. As highlighted by Du et al. (2017), fabric-selective 852 dissolution preferentially resulted in the transformation of early aragonite cement or 853 high-magnesium (i.e., unstable) calcite to low magnesium calcite and played a 854 significant role in creating effective pore space when pore fluids were undersaturated with carbonate minerals. However, this porosity was not well preserved due to intense 855

calcite cementation and restricted grain dissolution (Figure 14B). As noted by Chen
(2004), the porosity of the rock in the penecontemporaneous stage showed a decreasing
trend. Therefore, the sealing capacity of carbonate cap rocks was significantly enhanced.

859 5.2.2 Stage 2: First shallow to deep burial stage

860 The homogenization temperatures of fluid inclusions in calcite filling different 861 dissolution vugs and microfractures indicate that the whole Yingshan Formation 862 underwent two burial stages: shallow-intermediate burial (90°C~110°C) and intermediate-deep burial (110°C~140°C) (Han et al., 2019a). In the initial shallow burial 863 864 stage, drusy calcite precipitation decreased the pore space by filling intergranular pores. Formation water with CO₂, H₂S and organic acids resulted in burial dissolution and 865 later on limited burial dissolution created vugs locally. Two groups of north-northwest-866 and north-northeast-trending faults in the Akekule arch formed, controlled by the north 867 - south weak compressive stress in the middle Caledonian Orogeny (Han, 2014). 868 Meanwhile, microfractures also formed (Han et al., 2016) and were enlarged by 869 nonfabric-selective dissolution (Figure 14C). 870

When the Yingshan Formation rocks were subjected to the overburden pressure resulting from progressive sedimentation, mechanical compaction resulted in a rock volume reduction, closer grain packing and the formation of sutured grain contacts. Partially spalled-off intraclasts highlight the strength of mechanical compaction (Figure 14D). The infilling in faults mainly affected the northern part of the Tahe oilfield, and 876 was controlled by the paleogeomorphology (He et al., 2010). Light gray fine sandstones, gray-green mudstones and green-gray argillaceous siltstones are preferably filled in the 877 878 highland of paleostructures or in positions corresponding to marked changes of slope. 879 The rare earth element (REE) analysis performed by Yun (2009) showed that clay 880 minerals were closely related to the sandstone of the Carboniferous Bachu Formation, 881 indicating that infilling probably formed during the early Hercynian Orogeny. Clay minerals (e.g., filamentous illites) filling pores blocked pore throats and resulted in a 882 significant reduction of the pore throat size (Figure 6A). The pore space in sedimentary 883 884 rocks is very prone to reduction as a consequence of compaction associated with increasing burial depth (Cox et al., 2010). Increasing overburden pressure gave rise to 885 an increase of sediment density and a reduction of intergranular porosity. Accordingly, 886 887 mechanical compaction led to a slight decrease in porosity and permeability (Rustichelli, et al., 2013; Goulty et al., 2016), and correspondingly an increase in the sealing capacity 888 of the cap rocks. 889

Carbonate cap rocks continued to be mechanically compacted due to the increasing effective stress. Following the initial shallow burial stage where mechanical compaction dominated, the variation of rock texture and mineralogy resulted in chemical compaction (Ishaq et al., 2019). Stylolites with various morphologies and amplitudes triggered by pressure solution were very commonly formed at the intermediate-deep burial stage. Dissolved carbonate minerals by pressure solution tended to precipitate locally rather than be transported from the stylolites, causing a 897 porosity reduction of the cap rocks. Previously developed microfractures became cemented microfractures by later calcite filling. Parts of the dissolution vugs were 898 899 occluded by blocky calcite cements (Figure 14E). A relatively small number of 900 medium- to coarse-crystalline euhedral dolomite crystals appear distributed along or in 901 the vicinity of stylolites. The first hydrocarbon charging phase took place in the middle 902 to late Caledonian Orogeny (Figure 4, Chen et al., 2014). Based on the observations of cores and thin sections, fluorescence, electron probe, and Raman spectrum analyses, 903 Chen and Huang (2004) and Abdurahman et al. (2010) interpreted that both tawny 904 905 pyrobitumen and pyritized organic matter were concentrated within stylolites of the Ordovician carbonate rocks in this study area. Chen et al. (2014) confirmed the exact 906 time of hydrocarbon charging, and revealed the pressure solution started earlier than 907 hydrocarbon charging. In addition, the presence of pyrobitumen that precipitated 908 occluding stylolite surfaces indicates these stylolites once acted as conduits for 909 hydrocarbon migration. The dual behavior of stylolites as barriers and conduits for fluid 910 911 flow has been reported in previous studies (Martín-Martín et al., 2018). Isolated 912 pyrobitumen, together with drusy calcite cement, filled microfractures and vugs, thus 913 increased the heterogeneity of pore structure and sealing capacity of cap rocks (Figure 914 14F).

915 5.2.3 Stage 3: Epigenetic exposure stage

916 During the middle Caledonian Orogeny and early Hercynian Orogeny, tectonic

917 activity led to the uplift of Ordovician strata and the formation of major reverse, tensile and strike-slip faults (Kang, 2007; Han et al., 2015). The Yingshan Formation carbonate 918 919 successions were exposed to the surface (Figure 4) and were subjected to meteoric 920 water infiltration during subaerial exposure (Qi and Yun, 2010). Leaching and dissolution in relation to meteoric water with dissolved CO₂ preferentially occurred 921 along large-scale faults and fractures (Han et al., 2019a, 2019b). The flow of freshwater 922 along fractures favored the weathering and leaching of carbonate rocks. Abundant CO2 923 924 from the atmosphere and soil contributed significantly to the formation of vugs with 925 irregular geometries. Intensive water-rock interaction enlarged microfractures and dissolution vugs, which became the most abundant pore type in carbonate rocks. Some 926 microfractures formed by decompression and cut the grains. The sealing performance 927 928 of cap rocks was degraded in time as a result of the reactivation of faults by fluid overpressure due to multistage uplifting. This conclusion is supported by the works of 929 Chiaramonte et al. (2008) and Espinoza and Santamarina (2017) that the presence of 930 931 faults and fractures destroys the mechanical stability of the cap rock for hydrocarbon 932 storage under geological timeframes. Therefore, microfractures between macropores 933 characterized by high connectivity caused a considerable reduction of the rock's sealing 934 capacity at these outcrop sections (Figure 14G).

935 5.2.4 Stage 4: Second shallow- to deep burial stage

936 Subsequently, the entire Yingshan Formation successions in the Tahe oilfield

937 entered again into a long-term burial stage owing to subsidence (He et al., 2016). 938 Another phase of chemical compaction took place with the increasing overburden 939 pressure. Abundant dolomite crystals formed along stylolites (Figure 14H), controlled 940 by pore fluids with variable chemistry and salinity and at different temperatures and pressures (Dong et al., 2013; Du et al., 2017). Two hydrocarbon charging events took 941 942 place in the late Hercynian Orogeny and Himalayan Orogeny (Figure 4). The existence of paleo-oil reservoirs is supported by pervasive pore-filling bitumen. Insoluble 943 residual bitumen as a result of thermal cracking of paleooil reservoirs had a significant 944 945 impact on the reduction of porosity and permeability. Cao et al. (2015) demonstrated that the reduction of porosity and permeability is a consequence of the bitumen 946 occlusion within microfractures and vugs. Although stylolites and microfractures 947 948 provided fluid flow pathways, previously precipitated pyrobitumen completely prevented further transport of dissolved material, resulting in the cessation of chemical 949 compaction (as suggested by the model of Morad et al., 2018). Therefore, the flow 950 951 channels for later hydrocarbon charging were pervasively occluded, as it was already 952 interpreted in previous studies (Wu et al., 2018b). Additionally, abundant blocky calcite 953 cements have been interpreted as burial diagenetic products formed by leaching by 954 meteoric water and presents the lowest δ^{13} C composition (ranging -6.4‰ to -1.7‰ 955 VPDB), the lowest δ^{18} O (ranging from -15.4‰ to -13.7‰ VPDB), and the highest 956 homogenization temperature (ranging from 95.5 to 104.6°C). This reveals that the fluids gradually changed from an open state to a semiclosed and closed state diagenetic system 957

958 (Chen et al., 2003). These cements completely filled the residual microfractures and
959 dissolution pore networks. The paleo-oil accumulation as evidenced by pore- and
960 stylolite-filling bitumen played a key role in reducing permeability (Wu et al., 2018b),
961 thus enhancing the sealing capacity of cap rocks found in wells at a present-day burial
962 depth of > 5000 m.

- 963 5.3 Controls of diagenesis on the rock's sealing potential
- 964 5.3.1 Sequence of compaction and cementation
- 965 Compaction and cementation are two remarkable diagenetic processes and their
 966 relative timing presents a variable influence on the sealing capacity of the studied cap
 967 rocks. Our data reveals two different situations:

(1) Cementation started earlier than compaction: calcite cementation can partially 968 occur occluding intergranular porosity with a certain intensity in peloidal-intraclastic 969 970 grainstones (Figure 15A) and prevent further diagenetic alterations of the rock (e.g., mechanical compaction), thus affecting the pore structures during progressive burial 971 972 (Sfidari et al., 2019). Early calcite cement precipitation not only occludes all but small 973 quantities of the intergranular porosity, it also provides a strong framework support for 974 preventing the further loss of intergranular pore space to some extent (Adam et al., 2018; 975 Morad et al., 2018). Such a result is observed from the presence of isolated pores and 976 uncompacted rock components (e.g., intraclasts and peloids) (Figure 15B). Makhloufi 977 et al. (2013) reported similar observations in situations where cementation took place

978 earlier than compaction, characterized by isopachous cements coating around the grains that serve as the stabilizing framework that prevents interpenetration during later 979 980 compaction in the Oolithe Blanche Formation of the Paris Basin in France. In addition, 981 this result is in agreement with the previous conclusions obtained by Makhloufi et al. 982 (2013) and Amel et al. (2015), who showed that various types of grainstones with high 983 porosity and permeability are still recognizable even when they underwent intense cementation. Large pore throat radii corresponding to relatively low-threshold capillary 984 985 pressures indicate that cementation also probably offset porosity reduction caused by 986 mechanical compaction. This is most likely due to the fact that partial intergranular pores are preserved through the inhibition of mechanical and chemical compaction 987 (Amel et al., 2015; Dou et al., 2018; Liu and Xie, 2018; Regnet et al., 2019). Therefore, 988 989 there is no significant enhancement of the sealing capacity of the cap rocks when 990 cementation precedes compaction.

991 (2) Compaction started earlier than cementation: mechanical compaction can reduce to some extent the bulk rock volume during shallow burial (Kadkhodaie-Ilkhchi 992 993 et al., 2019). Goldhammer (1997) documented that mechanical compaction in their case 994 study initiates due to an overburden of a few hundred meters and ceases at burial depths 995 of less than 2000 m. When the increasing effective stress caused by the weight of the 996 overlying sediments exceeds the brittle strength of grains and matrix, rock components, 997 such as peloids, intraclasts and ooids, are in touch with each other mainly in the form 998 of point to linear contacts (Figure 15C). Intense grain deformation starts and some

999 grains are slightly spalled owing to severe mechanical compaction. Intergranular pore 1000 spaces are occluded due to the closer grain packing and breaking, as proven in the work 1001 of Goldhammer (1997), which showed that up to 38% pore space reduction can occur 1002 in compacted packstones and grainstones. Furthermore, overburden pressure by the 1003 weight of the overlying sediments contributes to the formation of cracks cross-cutting 1004 original microfractures, thereby forming individual microfracture segments in isolation. Chemical compaction triggered by the increasing overburden pressure partially or even 1005 1006 completely obstructs the intergranular porosity. In addition, residual pyrobitumen 1007 distributed along or in the vicinity of stylolites can act as a barrier for fluid circulation (Wu et al., 2018b). Cementation following chemical compaction occurred in the form 1008 of blocky calcite crystals and contributed to achieving an almost total occlusion of pores 1009 (Figure 15D). This is consistent with the conclusions of Han et al (2019b), who showed 1010 1011 that up to 95% of the pore space is occluded after various phases of calcite filling. The combined effect of compaction and cementation effectively plugged the pore throats 1012 1013 and increased their tortuosity. The sequence of compaction and cementation has a 1014 considerable impact on the variation of sealing capacity. Those cap rocks that 1015 underwent compaction earlier than cementation always present higher threshold 1016 capillary pressure and smaller average pore throat radii than those that underwent 1017 cementation earlier than compaction.

1018 5.3.2 Impact of microfracture and dissolution

1019 The whole Yingshan Formation was uplifted and subjected to meteoric fluid 1020 leaching during the early Hercynian Orogeny (Chen, 2004; Han et al., 2019a). In 1021 general, the widespread leaching along faults and intensive dissolution generated 1022 porosity (Kang, 2007). During the uplift stage, pore systems of secondary origin 1023 formed due to the nonfabric-selective dissolution of unstable minerals as well as microfracture propagation (Figure 15E). Intense dissolution created a large volume of 1024 1025 available pore spaces for the subsequent precipitation of calcite cement. As a whole, 1026 multiple generations of calcite cementation resulted in the reduction of vuggy porosity. Parts of the microfracture pore space are mainly filled with blocky and drusy sparry 1027 calcite cement (Figure 15B). However, effectively connected channels between 1028 1029 intergranular, incompletely filled intercrystalline pores and separate vugs result from 1030 the presence of abundant microfractures (Figure 15F). These microfractures, which present various aperture widths (varying from 0.03 to 10.85 mm), generated less 1031 tortuous paths, which contributed to enhance the porosity and permeability of the cap 1032 1033 rocks.

Fluids generally tend to percolate along large pore throats with a short flow path (Bihani and Daigle, 2019). Based on the analysis of aqueous inclusions and stable radioactive isotopes of Re-Os and K-Ar, Chen et al. (2014) demonstrated that the second hydrocarbon charging phase in the Tahe oilfield took place during the late Hercynian Orogeny (312.9-268.8 Ma). Potential hydrocarbon leakage by seepage

51

through residual open microfractures takes place when the buoyancy pressure exceeds
 the capillary pressure of the rocks. In summary, microfractures played a role in
 connecting adjacent pore spaces that resulted in a medium threshold capillary pressure.

- 1042 This is disadvantageous for the sealing of underlying hydrocarbon reservoirs.
- In addition, isolated dissolution pores could not improve the pore network connectivity and thus the rock's permeability (Honarmand and Amini, 2012; Javanbakht et al., 2018). Consequently, it is extremely difficult to enhance porosity without an efficient large-scale and efficient mass transport mechanism.
- 1047 5.3.3 Impact of grain size and calcite cement

Four lithology types of carbonate cap rocks are characterized by ultralow porosity 1048 and permeability (Table 1). Figure 9 reveals that porosity has no positive relationship 1049 with permeability. Overall, the porosity of most of the rock samples tested are lower 1050 than 5%. Zhou et al. (2019) confirmed that the combined effects of microfractures and 1051 intercrystalline pores provide effective fluid pathways. Hence, peloidal dolomitic 1052 limestones containing microfractures always show low porosity and relatively high 1053 1054 permeability. The average porosity and permeability of highly cemented intraclastic-1055 oolitic-bioclastic grainstone cap rocks are lower than those of highly compacted 1056 peloidal packstone-grainstone cap rocks (Figure 16). This indicates that grainstones do not always present high porosity and permeability if they contain a wide volumetric 1057 1058 variation of calcite cement content, which can be attributed to complex cementation processes (Heydari, 2000; Chen et al., 2003; Esrafili-Dizaji and Rahimpour-Bonab,
2009; Sfidari et al., 2019).

1061 Furthermore, there is a good positive correlation between grain size and sealing 1062 capacity (defined by the cover coefficients) with a high coefficient of determination in 1063 grainstones (Figure 17). The porosity and permeability of grainstone cap rocks show a decreasing trend as grain size increases (Figure 17A, B, C). It is widely accepted that 1064 the coarser the grain size, the larger the pore throat. However, for the grainstone cap 1065 1066 rocks with a wide volumetric variation of calcite cement, large grain size facilitates the 1067 large flow of cementing fluids, and hence more pore space could be cemented zones of calcite cements. The calcite cements pervasively occluded the pore networks and 1068 increase their tortuosity. This may be explained by the findings of Sutton et al. (2004), 1069 1070 who suggested that large grains of carbonate cap rocks are enough to considerably increase the tortuosity of fluid flow paths in comparison with shale cap rocks that are 1071 composed of small clay particles. The study of Wu et al. (2018b) showed that a 1072 significant positive correlation exists between grain size and cement content, implying 1073 1074 that grain size is a good indicator of the cement content in highly cemented intraclastic-1075 oolitic-bioclastic grainstone cap rocks. A positive correlation between the rock's sealing 1076 capacity and the amount of carbonate cement indicates that cementation is a key factor 1077 that determines the rock's sealing potential. Honarmand and Amini (2012) stated that 1078 the content of calcite cement within grain-supported limestone has a significant impact 1079 on the petrophysical properties. Pervasive cementation results in a remarkable decrease

1080	of the pore volume and an increase of the pore throat heterogeneity. Permeability
1081	reduction by two orders of magnitude is triggered by the decrease of the pore throat
1082	size. On the basis of the relationship between capillary pressure and pore radius of the
1083	rock shown in the Washburn Equation (1), the capillary pressure increases with the pore
1084	size decrease. When the capillary pressure of the seal is greater than the upward
1085	buoyancy pressure exerted by the underlying fluid column, carbonate rocks with
1086	extremely small pore size can act as effective barriers for hydrocarbon migration thus
1087	allowing entrapment.
1088	Accordingly, calcite cementation has positive but variable effects on the sealing
1089	capacity of carbonate cap rocks in nonporous tight zones, depending on the cement
1090	content. This finding is supported by Zhu et al. (2018), who stated that cementation
1091	contributed to the enhancement of sealing capacity in shale gas reservoirs.
1092	5.3.4 Summary of how diagenesis affects the rock's sealing potential
1093	The pore structure of carbonate cap rocks, whose evolution is controlled by
1094	complex diagenetic processes, has a profound effect on the heterogeneity of the rock's
1095	sealing capacity. In the marine diagenetic stage, the pore structures associated with
1096	diagenetic processes were largely governed by the original rock fabric and the
1097	depositional settings. Bladed cements with isopachous bladed crusts are ubiquitous in
1098	the barrier shoal and intraplatform shoal, where CO2 degassing and high volume of
1099	seawater were flushed into the porous sediments due to the driving force from high tidal

1100 and wave energy (Madden and Wilson, 2013). On the one hand, this diagenetic process 1101 significantly contributed to the loss of primary porosity and the occurrence of tortuous 1102 pore throats. Furthermore, it caused a drastic enhancement of sealing capacity and 1103 increased resistance to further compaction between these grains during burial. Then, 1104 during the meteoric diagenetic stage, a minor fabric-selective dissolution phase preferentially affected sediments in the paleogeomorphic highlands, where the 1105 sediments were exposed to meteoric water. This diagenetic process caused a slight 1106 1107 increase of porosity in the form of dissolution pores, but slightly reduced the rock's 1108 sealing capacity due to the limited diagenesis scales. Meanwhile, meniscus cements partially occluded the residual intergranular pores, causing a little increase of sealing 1109 potential. With progressive burial, mechanical compaction resulted in rapid porosity 1110 1111 loss and the occurrence of heterogeneous pore throats, therefore enhancing the rock's sealing capacity. However, this diagenetic process also facilitated the formation of 1112 microfractures, which can act as fluid pathway and drastically increase the permeability 1113 of highly cemented intraclastic-oolitic-bioclastic grainstones. During epigenic 1114 1115 karstification, intensive nonfabric-selective dissolution further enlarged the previous 1116 microfractures and dissolution vugs, particularly in the paleographic highlands where 1117 carbonates were preferentially exposed to the dissolution of undersaturated meteoric 1118 water. Enlarged microfractures acted as fluid pathways and the sealing capacity of cap 1119 rocks reduced. Chemical compaction provided an important source for late calcite cementation during another progressive burial stage. When the formation fluids are 1120

1121 undersaturated in carbonates, particularly in some open microfractures and macropores, 1122 they have more effective fluid pressure release and rapid change, which is favorable to 1123 calcite precipitation (Zhou et al., 2018; Zhu et al., 2020). Most of the pore space, 1124 particularly in these enlarged microfractures, was considerably occluded by abundant 1125 blocky calcite cements. Although burial dissolution locally enlarged some small pores, 1126 there was no net porosity gain or loss for carbonate cap rocks. Scattered and disconnected residual pores without the connection of microfractures made little 1127 1128 contribution to permeability and could not act as effective fluid flow or hydrocarbon 1129 migration paths. Therefore, poor connectivity and large tortuosity between these pore networks are responsible for the high sealing capacity of carbonate cap rocks. 1130 Finally, in order to accurately predict the distribution of better carbonate cap rocks, 1131 1132 further research work should be combined with sequence stratigraphy, depositional facies, and diagenetic alteration, which can be divided into the following steps: (1) 1133 establishing in more detail the sequence stratigraphic framework of the Yingshan 1134 Formation carbonates, including third- and fourth-order sequences; (2) characterizing 1135 1136 the depositional facies and paragenetic sequence of diagenesis within the sequence 1137 stratigraphic framework, respectively; (3) revealing how the sequence stratigraphic 1138 framework controls the spatial and temporal distributions of the depositional facies and 1139 the variations of diagenetic alterations; (4) analyzing the impact of diagenesis within 1140 the sequence stratigraphic framework upon depositional facies and diagenetic alterations on the vertical and lateral distribution of better carbonate cap rocks, 1141

combined with previous findings of pore structure characterization and rock's sealingevaluation.

1144 6 Conclusions

Carbonate cap rocks in the Ordovician Yingshan Formation comprises four 1145 lithology types: highly compacted peloidal packstone-grainstone, highly cemented 1146 1147 intraclastic-oolitic-bioclastic grainstone, peloidal dolomitic limestone, and incipiently dolomitized peloidal packstone-grainstone. They 1148 present four pore types: microfractures, intercrystalline pores, intergranular pores, and dissolution vugs. The 1149 porosity for these carbonate cap rocks has poor correlation with permeability. 1150 The major diagenetic processes that impacted the sealing capacity of carbonate 1151 cap rocks include calcite cementation (four calcite cement types), dissolution (fabric-1152 and nonfabric-selective dissolution), mechanical and chemical compaction, 1153 dolomitization and dedolomitization. The pore network system of carbonate cap rocks 1154 1155 was significantly affected by the subsequent modification of various diagenetic events. 1156 Based on the lithology, pore structure and diagenetic processes, the sealing capacity of 1157 carbonate cap rocks are classified into four classes. We propose a conceptual model for pore evolution in relation to the diagenetic evolution and sealing capacity of carbonate 1158 1159 cap rocks in the Tarim Basin containing four stages: penecontemporaneous, first

1160 shallow- to deep burial, epigenetic exposure, and second shallow- to deep burial stage.

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1671 **Figure captions**

1672 Fig. 1 (A) Map showing the location of the Tahe oilfield in the Tabei uplift and outcrops
1673 in the Keping uplift, northern Tarim Basin. (B) Map of four outcrops in the Keping
1674 uplift, including the Penglaiba (PLB), Kepingshuinichang (KPSNC), Yangjikan (YJK)
1675 and Dabantage (DBTG) outcrops. (C) Map of the Tahe oilfield, in which the studied
1676 wells are marked with brown dots (modified from Wu et al., 2018a).
1677 Fig. 2 Synthetic stratigraphic column of the Tahe oilfield in the Akekule arch, Tabei
1678 uplift, Tarim Basin. The formations comprise upper Cambrian to Carboniferous rocks
1679 (modified from Tian et al., 2016).



with homogenization temperatures of fluid inclusions, as well as the tectonic evolutionof Ordovician rocks (modified from Chen et al., 2014).

Fig. 5 Thin-section photomicrograph of carbonate cap rocks and scanning electron microscopy (SEM) photomicrographs of pore types. ARS: alizarin red-stained thin section; PPL: plane-polarized light; MBD: impregnated with methylene blue dye. (A) Highly compacted peloidal packstone-grainstone, in which deformed peloids and stylolite can be observed. Sample from well AD27 at a depth of 6692.7 m, PPL; (B) Highly cemented peloidal grainstone, with point and linear contact between peloids. 1697 Peloids occupy 68% of the total volume and intergranular pores were pervasively 1698 cemented with blocky cement. Sample 6 from the Penglaiba outcrop, PPL; (C) Highly 1699 cemented oolitic grainstone, in which ooids show different geometries and sizes. 1700 Sample from well S106, PPL; (D) Peloidal dolomitic limestone, with bladed calcite 1701 cement around peloids, exhibiting subhedral zoned dolomite crystals. Sample from the Penglaiba outcrop, ARS, PPL; (E) Incipiently dolomitized peloidal packstone-1702 grainstone, in which local dolomitization is observed with highly corroded subhedral 1703 1704 dolomite crystals. Sample 2 from the Penglaiba outcrop, ARS, PPL; (F) Incipiently 1705 dolomitized peloidal packstone-grainstone, with some bioclast fragments and scattered subhedral dolomite crystals. Sample 2 from the Penglaiba outcrop, PPL; (G) A 1706 microfracture partially filled with calcite cements, showing filled segments and isolated 1707 open segments. Sample from the Penglaiba outcrop, PPL, MBD; (H) Intercrystalline 1708 1709 pores are randomly distributed and appear disconnected, with an open microfracture. Sample 35 from well AD27 at a depth of 6692.82 m, SEM. 1710

Fig. 6 Thin-section and scanning electron microscopy (SEM) photomicrographs
illustrating the main pore types and main diagenetic processes of carbonate cap rocks.
(A) Parts of intercrystalline pores are occluded with illites. Sample 34 from well AD27
at 6690.88 m depth, SEM; (B) Intergranular pores are partially cemented by calcite
crystals. Sample 15 from the Dabantage outcrop, SEM; (C) Bladed cement formed the
first cement generation, and drusy cement formed the second one. Sample from well
AD29 at 6692.7 m depth, alizarin red-stained (ARS), plane-polarized light (PPL); (D)

1718 Meniscus calcite cement around peloids. A microfracture cuts the peloids and was completely filled with calcite cement. Sample from the Penglaiba outcrop, ARS, PPL. 1719 1720 Fig. 7 Thin-section photomicrographs and core photographs showing the effects of 1721 diagenetic processes of the carbonate cap rocks from the Yingshan Formation, Tarim Basin. (A) Three groups of peloids showing signs of having undergone mechanical 1722 compaction. Intergranular pores were completely occluded by calcite cements and 1723 1724 dolomite crystals appear scattered in the host rock. Sample 12 from the Dabantage outcrop, alizarin red-stained (ARS), plane-polarized light (PPL), impregnated with 1725 1726 methylene blue dye (MBD); (B) Suture and sharp peak type stylolite with an amplitude varying from 0.23 mm to 0.75 mm. Sample from the Dabantage outcrop, ARS, PPL; 1727 (C) Seismogram pinning type stylolite, with a variable amplitude ranging from 0.15 cm 1728 1729 to 0.86 cm; (D) Dolomite crystals distributed along or in the vicinity of a stylolite, and pyrobitumen occluded the pore space within stylolites. Sample 13 from the Dabantage 1730 outcrop, ARS, PPL; (E) Pyrobitumen is pervasively distributed with a swarm of 1731 stylolites, with some dolomite crystals. Sample from the Penglaiba outcrop, PPL; (F) 1732 1733 Dedolomitization belt within fine-crystalline dolomite and minor open intercrystalline 1734 pores. Sample from the Penglaiba outcrop, ARS, PPL, MBD.

1735 <u>Fig. 8</u> Types A, B, C and D carbonate cap rocks defined as high-pressure mercury 1736 intrusion porosimetry (HPMIP) curves. P_c and S_{Hg} are capillary pressure and mercury

1737 saturation, respectively. The numbers in the legend correspond to sample numbers.

1738 Fig. 9 Cross-plot of porosity versus permeability of the four pore-structure types of 20
1739 carbonate cap rocks.



- 1741 (A) and outcrops (B) of the Yingshan Formation. (C) Comparisons of minimum,
- 1742 maximum and average cover coefficients of cap rock samples from wells and outcrops.
- 1743 Fig. 11 Four classes of sealing capacity classification, their corresponding lithology,
- 1744 pore size distribution and average pore throat radius derived from HPMIP analysis, and
- 1745 diagenetic alterations of the Yingshan Formation carbonate cap rocks.
- 1746 Fig. 12 Paragenetic sequence and sealing capacity variation associated with diagenetic
- 1747 processes of the Yingshan Formation at outcrops in the Tarim Basin.
- 1748 Fig. 13 Relationship between δ^{13} C and δ^{18} O (‰, VPDB) of four types of calcite
- 1749 cements in the Yingshan Formation, Tarim Basin (modified from Chen et al., 2003).
- 1750 Fig. 14 Pore structure evolution of carbonate cap rocks in relation to diagenetic
 1751 alterations of the Yingshan Formation.

Fig. 15 Thin-section and scanning electron microscopy (SEM) photomicrographs of the Yingshan Formation carbonate cap rocks showing the impact of diagenetic alterations on the pore space and the rock's sealing capacity. (A) and (B) Cementation started earlier than compaction, intergranular pores were preserved during the progressive burial owing to the occurrence of sparry cements. Sample 15 in the Dabantage outcrop, which corresponds to plane-polarized light (PPL) and SEM, respectively. (C) and (D) 1758 Compaction preceded cementation. A highly compacted cap rock exhibits linear grain contact and calcite cement precipitated within pore space. Sample 35 in the well AD27 1759 1760 at 6692.82 m depth, which corresponds to alizarin red-stained (ARS) (PPL) and SEM, 1761 respectively. (E) and (F) Dissolution vugs and partially filled microfractures acted as 1762 pathways between intergranular pores. Sample 8 in the Penglaiba outcrop, which 1763 corresponds to ARS (PPL) and SEM, respectively. In terms of the threshold capillary pressure and average pore throat radius, they can be arranged as follows: (C, D)> (E, 1764 F)> (A, B), (A, B)> (E, F)> (C, D). (G) Intensive mechanical compaction and residual 1765 1766 bitumen are present within intergranular pores, PPL. (H) Residual bitumen occludes open stylolite, PPL, methylene blue dye (MBD). 1767 Fig. 16 Minimum, maximum and average porosity (A), and minimum, maximum and 1768

1769 average permeability (B) in relation to the four lithology types of carbonate cap rocks

- 1770 defined in the Tarim Basin.
- 1771 Fig. 17 Cross-plot of grain size vs. cover coefficient in grainstone cap rocks.























Dolomite crystal

Intercrystalline pore

1 mm

Dolomite crystal









Thin-section photomicrograph



dolomitization

ion, and intense dissolution



Dia	Diagenetic stage	Peneconter	nporaneous	Shallow — Deep	Epigenetic	Sealing	Sealing capacity		
Droco	e_{noric} environment s_s	Marine water	Meteoric water	Formation water	Meteoric water	Reduced	Enhanced		
ent-	Isopachous/fibrous						-		
cem	Meniscus cement						/		
cite	Blocky cement						/		
Cal atio	Drusy cement		11				/		
sol- n	Fabric selective								
Disutio	Non-fabric selective								
Mec	hanical compaction		X X				/		
Chemical compaction							/		
	Dolomitization						and the second sec		
Ι	Dedolomitization								



A, Marine diagenetic environment



Bladed calcite cements formed as isopachous crusts around grains, with a rapid reduction of original porosity.



Deformative and partially spalled-off grains, indicating mechanical compaction. Burial dissolution results in vuggy porosity.

G, Epigenetic exposure stage



Microfractures formed owing to non-fabric selective dissolution, and part of them are filled with calcite cements.

B, Meteoric diagenetic environment



Equant calcite cements around grains occludes the intergranular pores, and fabric selective dissolution.



Stylolites are present as a result of chemical compaction. Dissolution vugs are occluded by blocky cements.

H, Shallow-intermediate burial



Another hydrocarbon charging occurs, showing the pyrobitumen occlusion. Drusy cements lead to pore and microfracture reduction.

C, Shallow burial environment



Drusy calcite cements fills intergranular pores, accompanied by microfactures.

F, Intermediate-deep burial



Dolomite crystals along stylolites. Blocky and drusy cements occlude microfractures and pores. Pyrobitumen-fillings reduce the porosity.

I, Intermediate-deep burial



Residual microfractures are occupied with solid bitumen and blocky cements. Progressive burial increases the sealing capacity.



Intraclast







Bladed cement Equant calcite Drusy cement Blocky cement Dissolution vug Microfracture

Pvrobitumen

Stylolite Dolomite crystal







Table 1 Lithological type, sampling location and pore structure of carbonate cap rocks obtained from the HPMIP tests. Type 1: highly-compacted peloidal packstonegrainstone; Type 2: highly-cemented intraclastic-ooidal-bioclastic grainstone; Type 3: peloidal dolomitic limestone; Type 4: incipiently dolomitized peloidal packstonegrainstone; φ : porosity; *K*: permeability; *P_t*: threshold capillary pressure; *R_{max}*: maximum pore throat radius; *P_{c50}*: median saturation capillary pressure; *R₅₀*: median pore throat radius; *S_{max}*: maximum mercury intrusion saturation; *W_e*: efficiency of mercury withdrawal; *R_a*: average pore throat radius; *S_k*: skewness.

Pore type	Sample	Outcrop/well	Depth	Lithological	φ	K (×10 ⁻³	P_t	R _{max}	P_{c50}	R50	Smax	We	Ra	S_k
of rocks	ID		location (m)	type	(%)	μm ²)	(MPa)	(µm)	(MPa)	(µm)	(%)	(%)	(µm)	
А	13	Dabantage	130.65	Type 4	1	0.004	3	0.25	-	-	31.72	17.69	0.081	1.81
	16	Dabantage	218.46	Type 3	0.7	0.002	20	0.04	-	-	13.78	17.37	0.011	2.70
	32	AD11	6709.68	Type 4	2.1	0.006	2	0.37	-	-	23.18	29.98	0.073	2.14
	35	AD27	6692.82	Type 2	1.3	0.002	20	0.04	-	-	14.92	12.42	0.011	2.59
Average A					1.28	0.0035	11.25	0.175)-	20.9	19.37	0.044	2.31
В	18	Well A	6549.03	Туре 2	3	0.023	1.5	0.49	18.51	0.04	68.17	14.22	0.087	1.30
	20	Well A	6576.01	Type 2	4.2	0.004	1.5	0.49	33.91	0.022	57.36	7.66	0.097	1.41
	34	AD27	6690.88	Туре 3	10.3	0.011	3	0.25	15.72	0.047	90.42	13.88	0.051	1.51
	36	S108-1	6058.98	Туре 3	2.3	0.049	0.3	2.45	17.71	0.041	74.65	20.98	0.266	1.43
	38	T760	5974.06	Type 2	2.3	0.129	2	0.37	32.32	0.023	60.71	16.28	0.053	1.33
	39	T760	5979.48	Type 2	4.7	2.15	2	0.37	17.66	0.042	54.32	17.8	0.077	1.39
Average B					4.47	0.39	1.72	0.74	22.64	0.04	67.61	15.14	0.11	1.39
С	2	Penglaiba	20.52	Type 4	2.6	0.001	0.3	2.45	-	-	5.13	42.33	0.67	4.75
	8	Penglaiba	103.5	Type 1	1.2	0.002	3	0.25	-	-	2.93	41.53	0.077	5.96
	9	Penglaiba	105.46	Type 1	1.5	0.001	0.8	0.92	-	-	6.98	46.25	0.244	3.94
	15	Dabantage	205.09	Type 2	1.2	0.002	0.8	0.92	-	-	5.54	34.44	0.207	4.41
	22	Well A	6577.91	Type 2	3	0.02	1.5	0.49	-	-	6.25	41.83	0.16	4.13
	23	Well A	6579.87	Type 1	6.4	0.009	0.8	0.92	-	-	3.66	10.58	0.221	5.40

Average C					2.65	0.0058	1.2	0.99	-	-	5.08	36.16	0.26	4.77
	3	Penglaiba	26.45	Type 1	1.3	1.36	0.01	73.54	-	-	37.51	12.83	13.339	2.21
	6	Penglaiba	48.26	Type 2	1.2	0.043	0.02	36.77	1	-	24.42	19.73	16.303	3.06
	12	Dabantage	108.84	Type 2	1.4	0.192	0.01	73.54	-	-	25.83	13.99	14.7	2.46
	37	S115-1	6158.17	Туре 3	2.5	0.644	0.01	73.54		-	23.08	26.63	16.979	2.68
Average D					1.6	0.5598	0.0125	64.38	-	-	27.71	18.30	15.33	2.60
Prei lersion														

- 1 <u>**Table 2**</u> Sealing capacity defined as cover coefficient (*CC*) of carbonate cap rocks derived from the combination of mercury intrusion capillary
- 2 pressure (MICP) and N₂GA.

Sample	Well	Depth (m)	CC (%)	Sample	Well	Depth (m)	CC (%)	Sample	Outcrops	CC (%)	Sample	Outcrops	CC (%)
101	AD11	6705.33	42.467	112	AD27	6691.22	6.914	401	Penglaiba	3.897	412	Yangjikan	5.707
102	AD11	6706.82	5.466	113	S105	6014.70	4.550	402	Penglaiba	4.256	413	Yangjikan	4.814
103	AD11	6706.93	7.540	114	S105	6024.85	4.384	403	Penglaiba	4.713	414	Yangjikan	4.134
104	AD11	6707.84	5.144	115	S108-1	6057.43	20.670	405	Dabantage	4.231	420	Kepingshuinichang	4.254
105	AD11	6708.17	6.991	116	S115-1	6157.80	4.692	406	Dabantage	4.575	421	Kepingshuinichang	4.127
106	AD11	6874.75	6.543	117	T727	6163.66	4.746	407	Dabantage	4.890	422	Kepingshuinichang	4.144
107	AD11	6876.44	32.606	118	T760	5975.93	26.097	408	Dabantage	5.396	423	Kepingshuinichang	4.586
108	AD11	6945.39	6.337	119	T760	5978.43	26.865	409	Dabantage	4.643	424	Kepingshuinichang	4.280
109	AD27	6688.85	110.818	120	T760	5982.32	49.390	410	Dabantage	4.543	425	Kepingshuinichang	4.170
110	AD27	6689.6	57.500	121	T760	5983.69	14.253	411	Yangjikan	5.556			
111	AD27	6690.53	127.771										

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Table 3 Geological and geochemical characteristics of the diagenetic environments from the Lower Ordovician in the Tahe oilfield (modified from Chen, 2004).

Diagenetic environment	Properties of diagenetic media	Diagenetic assemblage	Geochemistry of cement		
Marine water	The seawater between the grains has good	Cementation, syntaxial overgrowth, and	B, Sr, Na close to the seawater,		
	fluidity, CO2 escapes quickly, weak	penecontemporaneous dolomitization.	positive $\delta^{13}C$ and intermediate		
	precipitation and significant bioturbation		negative δ^{18} O signature.		
Meteoric water	P _{O2} , P _{CO2} , Eh>0, low pH value	Cementation and dissolution.	Extremely low FeO, MnO, Na ₂ O,		
			SrO and BaO.		
Early shallow burial	Strongly influenced by meteoric freshwater,	Cementation, mechanical and chemical	Fe and Mn increased.		
	this stage is similar to that of freshwater.	compaction, dolomitization and silicification.			
	Salinized pore water with high pH and Eh<0.	Hydrocarbon intrusion.			
Epigenetic Vadose	Pores were completely filled with meteoric	Cementation showing meniscus and equant	Low B, Sr, Na and Mn. Negative		
meteoric zones	water and air. Relatively high P_{02} and P_{C02} ,	calcite, vadose silt, dissolution, dedolomitization	δ^{13} C and δ^{18} O.		
water	low pH, Eh>0.	and ferritization. Hydrocarbon oxidation.			
Phreatic	Pores were saturated with freshwater,	Dissolution showing horizontal dissolution vugs	Low B, Sr and Na values. Negative		
zones	showing weak acidity and alkalinity. Water	and caverns, cementation and dedolomitization.	δ^{13} C and δ^{18} O.		
	saturated with respect to CaCO3 and fast				
	precipitation.				
Shallow-deep burial	Closed state diagenetic system with intense	Mechanical and chemical compaction,	Relatively high values of Fe, Mn,		
	reduction environment, high temperature and	cementation, and burial dissolution.	K, Na, B and F, but low Sr value.		
	high in-situ stress, 4≤pH≤6.		Positive δ^{13} C and negative δ^{18} O.		
Early shallow burial Epigenetic Vadose meteoric zones water Phreatic zones Shallow-deep burial	Strongly influenced by meteoric freshwater, this stage is similar to that of freshwater. Salinized pore water with high pH and Eh<0. Pores were completely filled with meteoric water and air. Relatively high P ₀₂ and P _{C02} , low pH, Eh>0. Pores were saturated with freshwater, showing weak acidity and alkalinity. Water saturated with respect to CaCO ₃ and fast precipitation. Closed state diagenetic system with intense reduction environment, high temperature and high in-situ stress, $4 \le pH \le 6$.	Cementation, mechanical and chemical compaction, dolomitization and silicification. Hydrocarbon intrusion. Cementation showing meniscus and equant calcite, vadose silt, dissolution, dedolomitization and ferritization. Hydrocarbon oxidation. Dissolution showing horizontal dissolution vugs and caverns, cementation and dedolomitization. Mechanical and chemical compaction, cementation, and burial dissolution.	SrO and BaO. Fe and Mn increased. Low B, Sr, Na and Mn. Negative δ^{13} C and δ^{18} O. Low B, Sr and Na values. Negat δ^{13} C and δ^{18} O. Relatively high values of Fe, Mn K, Na, B and F, but low Sr value Positive δ^{13} C and negative δ^{18} O.		