

# 1 **Increased subcortical neural responses to repeating auditory** 2 **stimulation in children with autism spectrum disorder**

3 *Abbreviated title:* Increased subcortical neural response in ASD

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44

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## Abstract

46 Recent research has highlighted atypical reactivity to sensory stimulation as a core symptom in  
47 children with autism spectrum disorder (ASD). However, little is known about the  
48 dysfunctional neurological mechanisms underlying these aberrant sensitivities. Here we tested  
49 the hypothesis that the ability to filter out auditory repeated information is deficient in  
50 children with ASD already from subcortical levels, yielding to auditory sensitivities. We  
51 recorded the frequency-following response (FFR), a non-invasive measure of the neural  
52 tracking of the periodic characteristics of a sound in the subcortical auditory system, to  
53 compare repetition-related effects in children with ASD and typically developing children.  
54 Results revealed an increase of the FFR with stimulus repetition in children with ASD compared  
55 to their peers. Moreover, such defective early sensory encoding of stimulus redundancy was  
56 associated with sensory overload. These results highlight that auditory sensitivities in ASD  
57 emerge already at the level of the subcortical auditory system.

58 *Keywords:* frequency-following response, autism spectrum disorder, predictive coding,  
59 auditory processing

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## Introduction

62 Autism spectrum disorder (ASD) is a neurodevelopmental disorder characterized by  
63 impairments in social communication, restricted and stereotyped patterns of behavior, narrow  
64 interests and reliance on routine (American Psychiatric Association, 2013; World Health  
65 Organization, 1993). Among the non-social symptoms of ASD, aberrant responses to sensory  
66 stimulation are a key characteristic (see Robertson & Baron-Cohen, 2017, for a review),  
67 particularly in the auditory domain (Dahlgren & Gillberg, 1989). Atypical sensory processing  
68 has been recently included in the diagnostic criteria of the new DSM-5, and despite being  
69 thought to precede (Estes et al., 2015), predict (Turner-Brown, Baranek, Reznick, Watson, &  
70 Crais, 2013), and aggravate (Gomot & Wicker, 2012; Jasmin et al., 2009; Schaaf, Toth-Cohen,  
71 Johnson, Outten, & Benevides, 2011) both social and non-social manifestations of the disorder,  
72 evidence is still lacking concerning the dysfunctional mechanisms leading to these sensory  
73 processing deficits.

74 It has been suggested that a failure to filter out repeated information in ASD may  
75 account for the atypical interpretation of sensory inflow (Karaminis et al., 2015), often leading  
76 to sensory overload and over reactivity (Kleinhans et al., 2009; O’Riordan & Passetti, 2006). It  
77 is well known that the repetition of a given stimulus results in a reduction of neural responses  
78 (i.e., “repetition suppression”), which is in turn considered as an indicator of stimulus  
79 processing efficiency (Grill-Spector, Henson, & Martin, 2006) and, in terms of predictive coding  
80 accounts of brain function, as prediction error suppression (Friston, 2005; Garrido, Kilner,  
81 Stephan, & Friston, 2009). Importantly, while in neurotypical population adaptation to sound  
82 repetition has been reported in the auditory domain at multiple temporal and spatial scales  
83 (Baldeweg, Klugman, Gruzelier, & Hirsch, 2004; Cacciaglia, Costa-Faidella, Zarnowiec, Grimm,  
84 & Escera, 2019; Cooper, Atkinson, Clark, & Michie, 2013; Costa-Faidella, Baldeweg, Grimm, &

85 Escera, 2011; Costa-Faidella, Grimm, Slabu, Díaz-Santaella, & Escera, 2011; Gorina-Careta,  
86 Zarnowiec, Costa-Faidella, & Escera, 2016; Haenschel, Vernon, Dwivedi, Gruzelier, & Baldeweg,  
87 2005; Recasens, Leung, Grimm, Nowak, & Escera, 2015), previous studies in individuals  
88 suffering from ASD –or at high risk– have shown reduced neural adaptation to repeated  
89 sounds (Guiraud et al., 2011; Martineau, Roux, Garreau, Adrien, & Lelord, 1992; Millin et al.,  
90 2018). To our knowledge, these repetition-related effects have solely been described at higher,  
91 cortical, levels of the auditory system. Yet, given the potential cascading influence of the  
92 brainstem in the pathophysiology of this disorder (see Dadalko, Travers, Martin, & Travers,  
93 2018), it is of great interest to establish whether these repetition-related effects are present at  
94 subcortical levels of the auditory hierarchy in ASD (Nordt, Hoehl, & Weigelt, 2016).

95         Theories on perception in ASD, such as the enhanced perceptual functioning (EPF)  
96 (Markram & Markram, 2010) or the intense world theory (Mottron, Dawson, Soulières, Hubert,  
97 & Burack, 2006), postulate the excessive functioning of local neural circuits in primary sensory  
98 areas, mainly by excitatory neurons, as the cause of enhanced low-level sensory processing.  
99 Furthermore, animal models and human research have suggested that anomalous brainstem  
100 neurotransmission is a key contributor to ASD symptomatology, including abnormal auditory  
101 function, which could be cascading at other subcortical levels (Dadalko et al., 2018). The  
102 present study sought, thus, to examine whether abnormal processing of repeated stimulation  
103 is present at subcortical stages of the auditory hierarchy, which would indicate a deeper origin  
104 of the sensory processing atypicalities.

105         In humans, a direct approach to examine non-invasively the high-fidelity transcription  
106 of auditory stimuli into a subcortical neural code, preserving its spectrotemporal  
107 characteristics, is provided by recording with EEG the frequency-following response (FFR), a  
108 sustained auditory evoked potential that accurately tracks the harmonic characteristics of the  
109 eliciting sounds (Skoe & Kraus, 2010). The FFR is context-sensitive, modulated by short-term



133 A total of 17 children diagnosed with ASD (mean age = 9.1, SD = 1.7; mean IQ = 103.8, SD =  
134 20.3; one girl) and 18 typically developing children (TD; mean age = 8.8, SD = 1.9; mean IQ =  
135 111.4, SD = 13.9; two girls) participated in the study. Mean age and IQ did not differ between  
136 groups ( $t(28.12) = 1.282, p = .210$ ;  $t(33) = -0.482, p = .633$ , respectively; age range ASD and TD:  
137 6-12 years old; IQ range, ASD: 72-131, TD: 84-127). IQ measures were obtained using the  
138 Wechsler Intelligence Scale for Children (WISC-IV) (Wechsler, 2003) and, thus, we also  
139 compared four primary index scores. There were no differences between groups on Verbal  
140 Comprehension (ASD: mean = 106.1, SD = 18.6; TD: mean = 112.9, SD = 11.1;  $t(25.86) = 1.301$ ,  
141  $p = .205$ ) and Fluid Reasoning (ASD: mean = 104.3, SD = 18.1; TD: mean = 108.3, SD = 12.1;  
142  $t(27.76) = .772, p = .447$ ). However, we did find significant differences on Working Memory  
143 (ASD: mean = 89.2, SD = 19.1; TD: mean = 102.6, SD = 13.2;  $t(33) = 2.418, p = .021$ ) and  
144 Processing Speed (ASD: mean = 93.4, SD = 12.6; TD: mean = 105.8, SD = 13.7;  $t(33) = 2.777, p =$   
145  $.009$ ). All participants did not present any other confounding neurological disorder and had  
146 normal peripheral hearing tested with a pure tone audiometry. Some children, particularly  
147 from the ASD group, could not complete the testing due to fatigue or inability to follow the  
148 long testing procedure. In those cases, we received parental verbal confirmation that the child  
149 underwent a previous audiometry and had normal peripheral audition.

150 The control participants were children from University of Barcelona's colleagues or classmates  
151 of the ASD children that were aware of the study. Children diagnosed with ASD were recruited  
152 from Sant Joan de Déu Hospital in Barcelona (Spain), where we obtained their background  
153 information. Participants were required to have a formal diagnosis of ASD made by a  
154 psychiatrist according to the DSM-IV-TR criteria. This included diagnoses of Asperger's disorder  
155 and pervasive developmental disorder not otherwise specified, that per the DSM-V (American  
156 Psychiatric Association, 2013) now correspond to the umbrella diagnosis of ASD. In addition,  
157 they were evaluated at the Sant Joan de Déu Hospital with ADI-R and ADOS algorithms for ASD

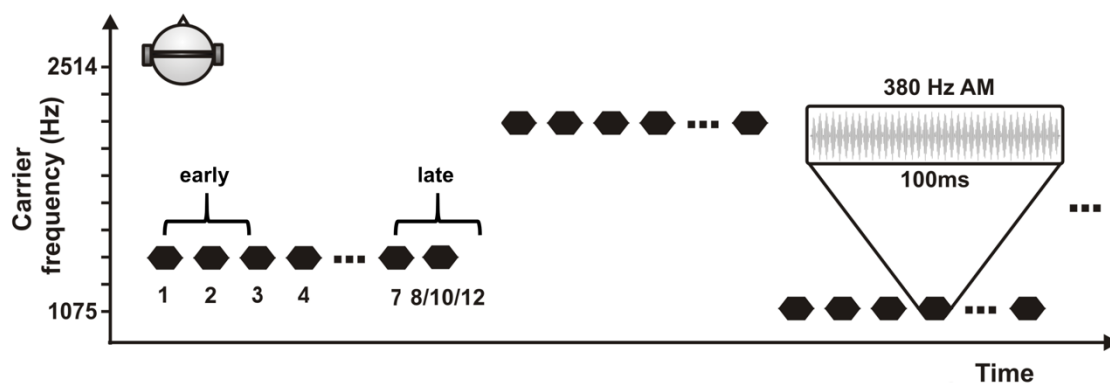
158 (Lord, Rutter, & Le Couteur, 1994). Parents were informed about the study prior to the  
159 recording sessions and were asked to give signed informed consent. The study was also  
160 explained to the children, who gave verbal approval to participate. Families received a  
161 monetary compensation to cover time and transportation costs. The experiment was approved  
162 by the Ethical Committee of the University of Barcelona and was in accordance with the Code  
163 of Ethics of the World Medical Association (Declaration of Helsinki).

#### 164 **Behavioral measures. Sensory Profile.**

165 To obtain a measure of auditory sensitivities and motor activity, parents were asked to  
166 complete two of the grouping subcategories of the Sensory Profile test (Dunn, 1999): *Auditory*  
167 *Processing* and *Modulation of Movement Affecting Activity Level*. The Sensory Profile is a  
168 parent-reporting questionnaire based on a 5-point Likert scale (range from 1, Always, to 5,  
169 Never) that measures the child's responses to sensory events in everyday life. Parents are  
170 asked to indicate how often their child behaves the way described by the item. The complete  
171 questionnaire has 14 grouping subcategories divided into three main categories. The *Auditory*  
172 *Processing* subscale of the Sensory Profile, which corresponds to the sensory processing  
173 category, consists of 8 items (e.g., "*Holds hands over ears to protect ears from sound*",  
174 "*Doesn't respond when name is called but you know the child's hearing is OK*"), with raw scores  
175 ranging between 8 and 40. The *Modulation of Movement Affecting Activity Level* subscale,  
176 which corresponds to the modulation category, consists of 7 items (e.g., "*Prefers sedentary*  
177 *activities*", "*Becomes overly excitable during movement activity*"), with raw scores ranging  
178 between 7 and 35. High scores reflect normal sensory and motor behaviors, whereas low  
179 scores may indicate the presence of problems with auditory processing (i.e., hyper and hypo-  
180 sensitivities and sensory seeking) and with modulation of motor activity (i.e., retracted and  
181 agitated behaviors), respectively.

182 **Stimuli and procedure**

183 Stimuli consisted of 100 ms amplitude modulated (AM) pure tones with 5 ms rise/fall  
184 times. Stimuli were presented binaurally with alternating carrier frequency polarities (i.e., one  
185 polarity every other stimulus) via Beyerdynamic DT48A headphones (Beyerdynamic, Germany)  
186 at an intensity level of 75 dB SPL. The experimental paradigm consisted of a modified version  
187 of the passive listening roving-standard paradigm (Baldeweg et al., 2004), designed to explore  
188 short-term repetition effects in the FFR while greatly reducing experimental time (Figure 1).



189

190 *Figure 1.* Experimental design. Amplitude modulated (AM) tones of 100 ms with ten possible  
191 different carrier frequencies (range: 1075-2514 Hz) and a constant AM of 380 Hz were  
192 presented binaurally in a continuous roving-standard paradigm, consisting of stimulus trains of  
193 either 8, 10 or 12 identical tones. Train length and carrier frequencies were pseudo-  
194 randomized so that the parameters were not repeated in two consecutive trains. All trains  
195 presented a stimulus-onset-asynchrony (SOA) and an inter-train interval (ITI) of 333 ms.

196

197 We presented trains of either 8, 10 and 12 identical tones, continuously delivered with  
198 a fixed stimulus onset asynchrony (SOA) and inter-train interval of 333 ms. A total of ten  
199 different tones were used with carrier frequencies ranging from 1075 until 2514 Hz, with a  
200 frequency ratio between adjacent frequencies of 0.05 according to the formula:  $\Delta f = (f_2 - f_1) /$   
201  $(f_2 \times f_1)^{1/2}$  (Costa-Faidella, Grimm, et al., 2011; Ulanovsky, Las, & Nelken, 2003), so that the  
202 frequencies used were: 1075, 1188, 1313, 1451, 1603, 1772, 1958, 2059, 2275, and 2514 Hz.  
203 All tones were modulated with a constant AM rate of 380 Hz with a symmetric triangle



204 function and 100% modulation depth. This manipulation allowed us to analyze the subcortical  
205 neural tracking of the AM rate as a function of stimulus repetition independently of the carrier  
206 frequency being used, hence avoiding the presentation of a great number of identical stimuli,  
207 typical of classic FFR recording protocols (Bidelman, 2015; Skoe et al., 2014). This manipulation  
208 also ensured that we obtained the neural tracking measure of the AM rate generated by  
209 tonotopically arranged subcortical neural populations (Joris, Schreiner, & Rees, 2004) most  
210 likely arising from the inferior colliculus (IC) (Bidelman, 2018; Chandrasekaran & Kraus, 2010;  
211 Zhang & Gong, 2019). It should be noted that the orthogonal arrangement of tonotopy and  
212 periodotopy in the IC ensured that we stimulated different neural populations (Baumann et al.,  
213 2011) albeit keeping a constant AM across trains of frequencies.

214           Auditory sequences were presented in a total of 9 blocks, each lasting about 5  
215 minutes. In each block, 30 trains of either 8, 10 or 12 repetitions were presented  
216 pseudorandomly with the constraint that no carrier frequency was repeated in two  
217 consecutive trains. During the recording, participants sat in an electrically shielded, sound-  
218 attenuated room and were asked to play a silent videogame of their choice. We invited the  
219 participants to bring their preferred videogame from home, but they typically chose to play an  
220 unfamiliar one from our set, which further ensured a high engagement with the experimental  
221 protocol. Participants were constantly monitored to make sure that they were playing the  
222 videogame and not paying attention to the sounds. After each block, a short break was  
223 introduced to allow children to move.

#### 224 **EEG acquisition**

225           Electroencephalographic (EEG) signals were continuously recorded with Neuroscan 4.4  
226 acquisition software in a vertical montage mounted on an elastic nylon cap (Quik-Cap,  
227 Compumedics NeuroScan) according to the 10-20 system. Responses were retrieved from the

228 electrodes Cz (active), right earlobe (reference) and AFz (ground). During the EEG acquisition,  
229 all electrode impedances were kept below 10 k $\Omega$ . EEG signals were amplified using a SynAmps  
230 RT amplifier (Compumedics NeuroScan), with an online band-pass filter from 0.05 to 3000 Hz  
231 and a sampling rate of 20000 Hz.

### 232 **Data processing**

233 Data were offline high-pass filtered at 80 Hz with a FIR filter (Kaiser window; TBW = 1  
234 Hz). Epochs of 150 ms, including a -20 ms baseline relative to stimulus onset were extracted  
235 for each of the tone presentations of each frequency train. Epochs with relative amplitudes  
236 larger than 35  $\mu$ V were excluded from further analysis.

237 To analyze the effects of repetition we computed the signal-to-noise ratio of the FFR  
238 elicited to the AM rate for two segments along the stimulus train: early and late, as shown in  
239 Figure 1. The average to the first 3 presentations of a stimulus in a train, across all trains,  
240 corresponded to the early FFR, and the late FFR included the average of the signals to  
241 repetitions 7 to 12. Averaging stimuli with different carrier frequencies and opposite polarities  
242 ensured the cancellation of the cochlear microphonic and other stimulus artifacts and  
243 highlighted the neural tracking of the sound envelope –the AM rate here (Skoe & Kraus, 2010).

244 A frequency decomposition of each participant's averaged single trials (all trials after  
245 rejection and for each of the two segments, separately) was performed using a Fast-Fourier  
246 Transform (FFT) with a Hanning taper as implemented in Fieldtrip  
247 ([www.ru.nl/fcdonders/fieldtrip](http://www.ru.nl/fcdonders/fieldtrip)). The frequency band of interest ranged between 180 and 580  
248 Hz, and the FFT was conducted within the 20-100 ms time-period from stimulus onset to avoid  
249 the transient response. The spectral SNR was computed by dividing the mean of the obtained  
250 spectral power in a 10Hz window centered at each frequency by the mean spectral power at  
251 flanking frequencies in windows of 100Hz (one per flank), separated by 20Hz from the center

252 frequency of the 10Hz window (e.g. SNR at 380Hz = (mean power from 375 to 380Hz) / (mean  
253 power from 260 to 360Hz and 400 to 500Hz).

## 254 **Statistical analysis**

255 Signal to noise ratio at 380 Hz across all trials were compared between groups by  
256 conducting a two-tailed independent sample t-test. Repetition effects were measured by  
257 conducting a 2 x 2 mixed-design analysis of variance (ANOVA) with group as between-subject  
258 factor (TD, ASD) and segment as within-subject factor (early, late). If appropriate, pairwise  
259 Bonferroni-corrected t tests were conducted to control for multiple comparison. Bivariate  
260 linear correlations were performed between the strength of the FFR repetition effects,  
261 computed as the difference between the SNR to the early minus the late segments, and the  
262 raw scores of the two Sensory Profile subscales. Pearson's r and significance values are  
263 reported. A result was considered significant when  $p < .05$  using a two tailed analysis.

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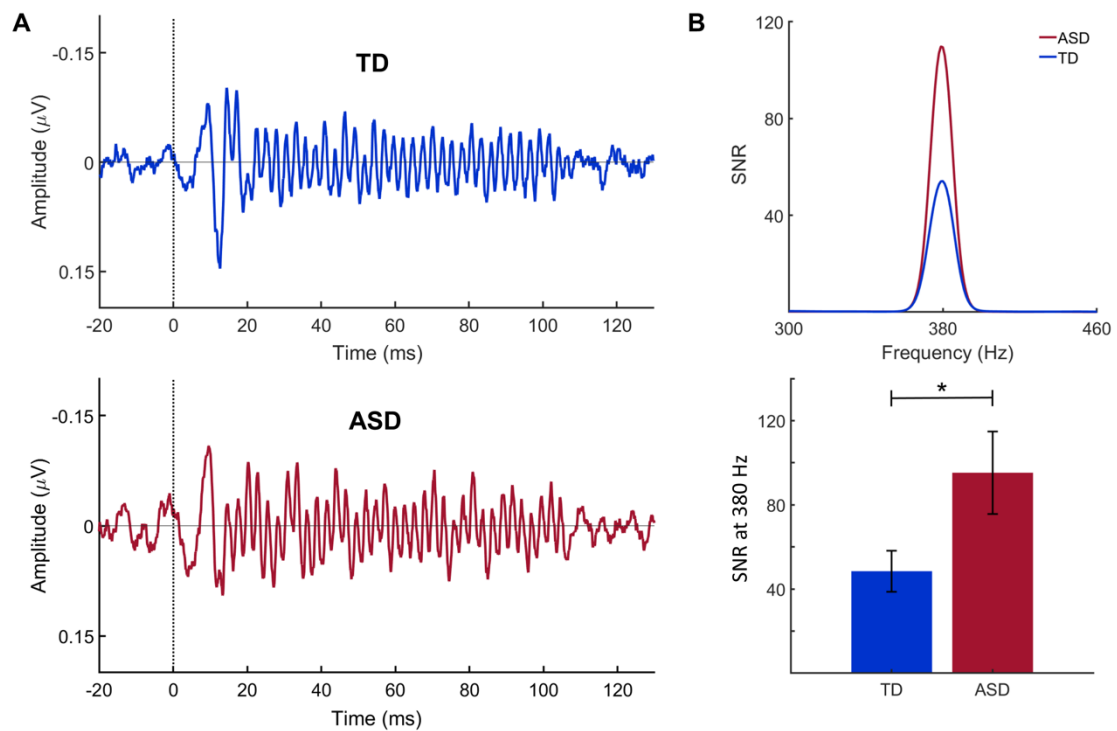
## 265 **Results**

266 We recorded the FFRs in 17 children with ASD and 18 controls elicited to amplitude  
267 modulated tones at 380 Hz rate. We used a roving-standard carrier frequency paradigm to  
268 study the effects of repetition on neural encoding as reflected by the SNR of the FFR at the AM  
269 rate. Additionally, we related the strength of the repetition effects with parent-reported  
270 measures of auditory and motor anomalies assessed with two Sensory Profile subscales.

### 271 **Encoding of the AM rate**

272 To examine the strength with which the AM rate was being encoded, we computed the  
273 FFR as the average signal to all stimuli within the sequence and compared group differences  
274 (ASD, TD) of the SNR values at 380Hz. ASD children showed a significantly higher SNR ( $M =$

275 109.46,  $SEM = 23.23$ ) as compared to TD children ( $M = 54.13$ ,  $SEM = 11.22$ ;  $t(23.1) = -2.145$ ,  $p =$   
276  $.043$ , 95% CI [-108.7, -1.9],  $g = .739$ ), indicating a stronger encoding of the AM rate, as  
277 illustrated in Figure 2B.



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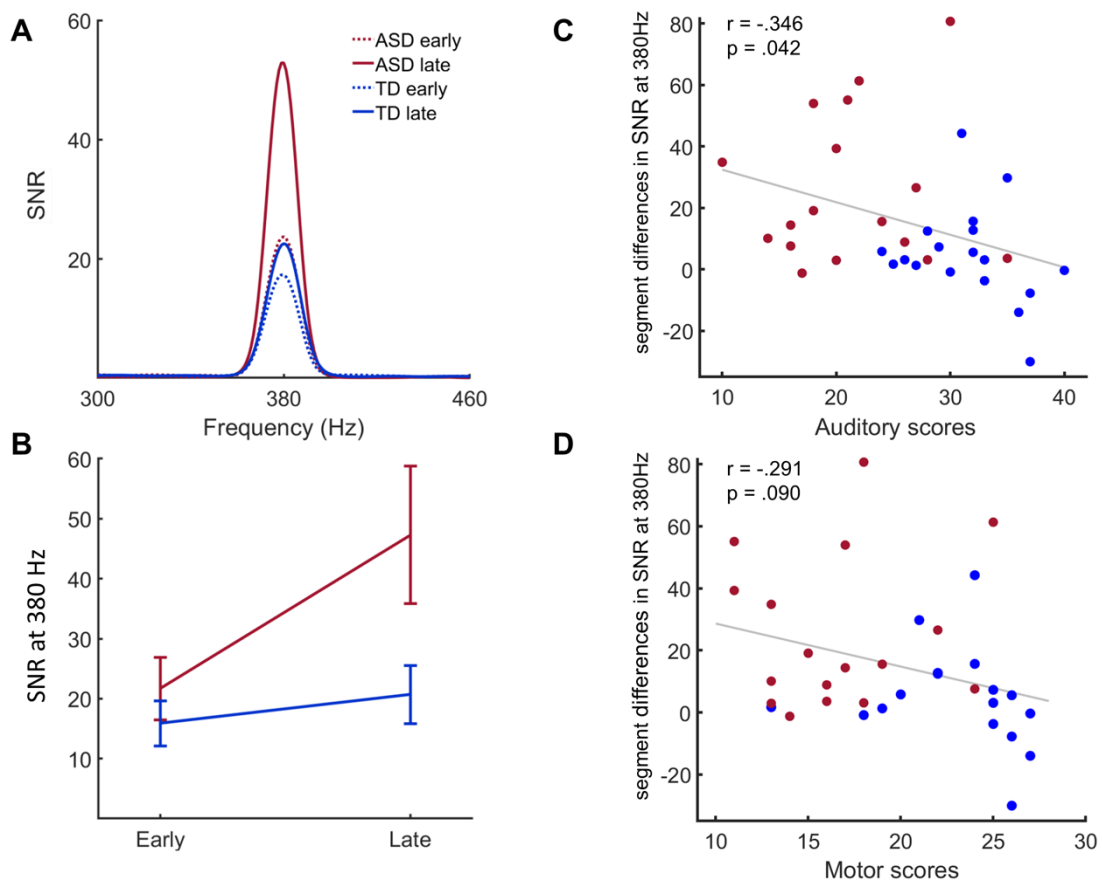
279 *Figure 2.* Neural encoding of the AM rate. A) Grand average FFR responses across all stimuli  
280 measured at Cz electrode. B) Top, spectral analysis of the FFR averaged across all stimuli,  
281 expressed as SNR. Bottom, bar graph showing the SNR at the 380 Hz AM rate (error bars depict  
282 the standard error of the mean). All plots are depicted in blue for the TD group (Typically  
283 developing children) and in red for the ASD group (children with Autism Spectrum Disorder).  
284 Asterisks represent significance levels: \* $p < .05$ .

285

### 286 Repetition effects in the FFR

287 A mixed-design ANOVA revealed a main effect of Segment ( $F(1,33) = 18.896$ ,  $p < .001$ ,  
288  $\eta_p^2 = .364$ ), Group ( $F(1,33) = 4.272$ ,  $p = .047$ ,  $\eta_p^2 = .115$ ) and a Group X Segment interaction  
289  $F(1,33) = 9.129$ ,  $p = .005$ ,  $\eta_p^2 = .217$ ). When comparing the effects of Segment between the two  
290 groups, there were no differences in the early segment (ASD:  $M = 23.73$ ,  $SEM = 5.48$ ; TD:  $M =$   
291  $17.33$ ,  $SEM = 4.6$ ;  $t(33) = -0.898$ ,  $p = .376$ , 95% CI [-20.9, 8.2],  $g = .304$ ); however, significant

292 differences between ASD and TD were found at the late segment (ASD:  $M = 52.86$ ,  $SEM = 11.2$ ;  
293 TD:  $M = 22.57$ ,  $SEM = 4.6$ ;  $t(21.41) = -2.526$ ,  $p = .019$ , 95% CI [-54.2, -6.4],  $g = .872$ ) with larger  
294 SNRs elicited in the ASD group, as shown in Figure 3 (A and B). These results show that  
295 differences in the encoding of the AM rate in ASD children are not present in the early  
296 presentations of auditory stimuli but rather become evident after repetition.



297

298 *Figure 3.* Repetition effects within each train of sounds and correlations between response  
299 variability and auditory and motor scores in the sensory profile test. A) Spectral analysis of the  
300 FFR elicited to the two stimulus repetition conditions and groups expressed as SNR. Early SNRs  
301 are depicted as dashed lines and late SNRs as solid lines. B) SNR at the 380 Hz AM rate elicited  
302 with respect to the segment of the stimulation train. The first segment (stimuli 1-3)  
303 corresponds to the early SNR and the last segment (stimuli 7-12) to the late SNR for the TD  
304 (blue) and ASD (red) groups. C and D) Correlation between the variation of SNR at 380 Hz with  
305 repetition and the auditory responsiveness and motor activity scores obtained from the  
306 Sensory Profile, respectively. A positive value in the y-axis reflects an increase of the neural  
307 response with repetition, whilst a negative value reflects a decrease. Low values in the x-axis  
308 indicate higher auditory (C) or motor (D) anomalies.

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### 310 **Sensory Profile scores and correlations with FFR modulations**

311           Finally, we examined the relationship between the repetition effects on the FFR and  
312 two measures extracted from the Sensory Profile test: auditory scores (*Auditory Processing*  
313 subcategory) and motor scores (*Modulation of Movement Affecting Activity Level*  
314 subcategory). Low scores in these two subcategories are indicative of auditory sensory  
315 problems (auditory hyper- and hypo-sensitivities and sensory seeking) and more sedentary or  
316 agitated behaviors, respectively. The ASD group presented significantly lower auditory scores  
317 (ASD: mean = 21.3, SD = 6.4; TD: mean = 31.5, SD = 4.5;  $t(33) = 5.504$ ,  $p < .001$ ) and motor  
318 scores (ASD: mean = 16.6, SD = 4.2; TD: mean = 23.1, SD = 3.7;  $t(33) = 4.869$ ,  $p < .001$ ) than the  
319 TD. First, to assess the overall relationship between behavioral measures and the FFR, we  
320 performed bivariate linear correlations between the raw behavioral scores obtained from the  
321 Sensory Profile test and the SNR at 380 Hz extracted from the average of all trials. Then, we  
322 correlated the behavioral measures with the strength of the repetition effects of the FFR at the  
323 AM rate (Figure 3, C and D). The strength of the FFR repetition effects was calculated by  
324 computing the difference between the SNRs to the late and the early segments for each  
325 participant. Whereas the SNR at 380 Hz extracted from the average of all trials revealed only a  
326 tendency of correlation with auditory scores ( $r = -.301$ ,  $p = .079$ ), an enhancement of the SNR  
327 with repetition, reflected by positive strength values, was correlated with low acoustic  
328 responsiveness scores ( $r = -.346$ ;  $p = .042$ ), indicating higher auditory sensitivities. This latter  
329 significant correlation did not survive, however, after applying the Bonferroni correction for  
330 multiple comparisons. Motor activation scores showed no correlation with the SNR at 380 Hz  
331 ( $r = -.152$ ;  $p = .383$ ) and a tendency of correlation with the strength of the repetition effects ( $r$   
332  $= -.291$ ;  $p = .090$ ). These findings highlight that higher auditory (and eventually motor)  
333 anomalies are associated with a stronger encoding of repetitive auditory stimulation

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## Discussion

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Sensory overload has been implicated as a hallmark of ASD. How sensory information and, in particular, redundant information is processed and encoded in ASD is highly important to characterize the pathophysiology of the disorder. Previous studies have demonstrated a major role of sensory cortices in the failure to suppress irrelevant, repetitive stimulation in ASD (Ewbank et al., 2017; Guiraud et al., 2011; Martineau et al., 1992; Millin et al., 2018; Puts, Wodka, Tommerdahl, Mostofsky, & Edden, 2014). Yet, the involvement of the subcortical auditory system in the pathophysiology of sensory overflow deficits in ASD has not been described so far. Here, we took advantage of the FFR's capability to reflect subcortical auditory encoding (Bidelman, 2018; Chandrasekaran & Kraus, 2010; Skoe & Kraus, 2010) to examine the neurophysiological correlates of subcortical acoustic repetition-related effects in ASD and in TD children. We observed that the neural tracking of the periodic characteristics of auditory stimulation increased with repetition in ASD but not in TD children, whose neural tracking was stable with short-term repetition. Moreover, the SNR increase by repetition correlated with the severity of auditory anomalies and tended towards correlation with lower motor activation. These findings point to atypical neural responses to repetition as one factor underlying aberrant auditory processing in ASD, that are evident even at early stages of the auditory hierarchy.

In line with our results, previous studies have shown that autistic children display increased auditory evoked potential (AEP) amplitudes with repeated auditory stimulation at the cortical level (Martineau et al., 1992). Even infants at high risk of developing ASD showed less habituation to repetitive sounds compared to controls (Guiraud et al., 2011), which might explain the atypical auditory behaviors presented in ASD. This enhancement was also observed

358 with fMRI as a sustained response of the auditory cortex and was suggested to appear only as  
359 a result of repeated auditory stimulation (Millin et al., 2018). Research in other sensory  
360 domains, such as in vision and touch, has revealed similar altered adaptation to repetition  
361 (Ewbank et al., 2017; Puts, Wodka, Tommerdahl, Mostofsky, & Edden, 2014), favoring the  
362 hypothesis of a disturbance in neural responses to repeated auditory stimulation. As per the  
363 present results, this altered neural adaptation in ASD seems to be also present at subcortical  
364 levels of the auditory system. The findings of the present study and those discussed above are  
365 apparently at odds but not necessarily incompatible with the wealth of studies showing  
366 reduced auditory evoked potentials in ASD. Indeed, several studies have shown that the  
367 characteristic P1 and N1 auditory components are attenuated in children with ASD compared  
368 to their typically developing pairs (Bruneau, Roux, Adrien, & Barthelemy, 1999; Gandal et al.,  
369 2010; Madsen, Bilenberg, Jepsen, Glenthøj, & Cantio, 2015; Seri, Cerquiglioni, Pisani, &  
370 Curatolo, 1999; Stroganova et al., 2013). These findings have been suggested to result from a  
371 reduced neural recruitment or a defective coordinated activity in the underlying thalamic and  
372 cortical generators (Modi & Sahin, 2017), an interpretation that may hold as well for the  
373 subcortical lack of suppression observed here. In fact, repetition suppression in subcortical  
374 auditory structures may depend on stimulus-specific adaptation, a phenomenon that involves  
375 the complex circuitry of the auditory midbrain and thalamus, and eventually the auditory  
376 cortex (Malmierca, Carbajal, & Escera, 2019; Parras et al., 2017).

377       Theories on perception in autism, such as the enhanced perceptual functioning (EPF)  
378 (Mottron et al., 2006) or the intense world theory (Markram & Markram, 2010), postulate that  
379 the existence of enhanced local neural circuits in primary sensory areas are the cause of  
380 enhanced sensitivity to sensory stimulation and sensory overload in autism. In particular, the  
381 EPF posits that sensory sensitivities in ASD result from an imbalance between excitatory and  
382 inhibitory connections in local neural circuits in sensory networks (Mottron et al., 2006). Based



383 on these accounts, the increase with repetition observed in the present study may be the  
384 result of an imbalance between excitatory and inhibitory inputs operating in the inferior  
385 colliculus (IC) and other subcortical nuclei.

386           Interestingly, we observed that the increase of the subcortical FFR power with  
387 repetition was related to lower auditory responsiveness scores, reflecting a higher sensitivity  
388 to auditory stimulation. Previous studies reported correlations of atypical latency of the  
389 magnetic M50 AEP with auditory hypersensitivities in ASD measured using the auditory  
390 subcategory of the Sensory Profile (Matsuzaki et al., 2014). However, other studies failed to  
391 find such correlations when examining cortical AEPs (Brandwein et al., 2015). Given that  
392 individuals with ASD present equally hyper and hyposensitivity in response to sensory  
393 stimulation (Rogers & Ozonoff, 2005), a low auditory responsiveness score (Sensory Profile)  
394 could reflect either one of them. The reduced adaptation in ASD is speculated to explain both  
395 ends of these sensory anomalies. Hypersensitivity would come as a result of an enhanced  
396 neural response to repeated sensory information and hyposensitivity as a reduced response to  
397 deviants in the auditory environment (Guiraud et al., 2011). Although our findings should be  
398 interpreted with caution, since the Sensory Profile is a parent report measure, we suggest that  
399 the study of the subcortical FFR might be used as a biomarker to examine auditory sensitivities  
400 in ASD.

401           In addition to auditory responsiveness, we tested whether the differences in  
402 adaptation were related to lower motor scores, which reflect higher motor anomalies related  
403 to movement activity that can both indicate more retracted and agitated behaviors. We  
404 speculated that the failure to reduce redundant information would be irritating for the autistic  
405 child, thus affecting his/her direct overt behavior, eventually inducing “freezing” behaviors  
406 that would be reflected in the motor scores. In the same vein, this overloaded information  
407 may result in irritative behaviors which would be reflected in the motor scores as well. A

408 previous study linked sensory processing features (Dunn, 1999) to lower participation in social,  
409 physical and informal activities in children with ASD (Hochhauser & Engel-Yeger, 2010). It is,  
410 thus, plausible that the lack of appropriate filters to reduce repeated auditory information  
411 could have an influence on other behavioral and social symptoms related to ASD. In other  
412 words, early auditory deficits might propagate to higher levels of the auditory hierarchy,  
413 influencing the way stimuli are processed in higher stages (Jääskeläinen, Ahveninen, Belliveau,  
414 Raij, & Sams, 2007). Ultimately, these impairments may be affecting the ability to extract  
415 temporal regularities in the environment (Millin et al., 2018), build flexible predictions  
416 (Lawson, Rees, & Friston, 2014; Van de Cruys et al., 2014), as well as to adapt behavior to  
417 unexpected events (Gomot & Wicker, 2012). Future studies should aim to elucidate the  
418 relationship between lack of suppression at the subcortical level and motor scores.  
419 Nevertheless, as the results of the present study revealed only a tendency, they should be  
420 interpreted with caution.

421         Recently, there has been an interest in explaining autistic perception in terms of  
422 predictive coding (Friston, 2005), which posits that every level of the sensory hierarchy  
423 receives top-down predictions about incoming (bottom-up) sensory information. In short,  
424 statistical regularities extracted from the acoustic input are used as priors to generate  
425 predictions of, and be compared to, upcoming sounds, and the difference (“prediction error”),  
426 weighted by the precision of the prediction (i.e., how sure I was about what I predicted), is  
427 used iteratively to update a generative internal model of the sensorium. In ASD, it has been  
428 suggested that sensory overload would be the result of a failure to suppress and contextualize  
429 prediction errors, thereby resulting in an increased reliability on sensory input (Lawson et al.,  
430 2014). This interpretation is consistent with our findings: in the ASD group, we observed an  
431 increase of the neural response with repeated stimulus presentation, whereas in the TD group  
432 repeated stimulation led to no further response change. The lack of short-term repetition

433 effects found in the TD group stands in line with the literature on FFR showing that this  
434 reduction becomes evident after 200-300 repetitions (Gorina-Careta et al., 2016). Therefore, it  
435 seems possible that with the few repetitions used in this study there is no significant change in  
436 the stimulus encoding. Compared to the absence of change in the TD group, it is interesting  
437 that we found an increased SNR in the ASD children. From a predictive coding perspective, the  
438 most plausible explanation for this failure to habituate is that a heightened precision is causing  
439 a high reliance on sensory input (Van de Cruys, Van der Hallen, & Wagemans, 2017). Based on  
440 this knowledge, the high sensory precision (Lawson et al., 2014; Van de Cruys et al., 2014)  
441 renders sensory prediction errors too precise and unaffected by context, which would be  
442 leading to a reliance on bottom-up sensory evidence (Friston, Lawson, & Frith, 2013).

443         Previous research has implicated subcortical structures in regularity encoding  
444 (Cacciaglia et al., 2015) and the FFR has been proven as an adequate brain potential to study  
445 these processes (Slabu et al., 2012). The FFR has also been used as an index of the tracking  
446 accuracy of stimulus' periodic features, which has shown to have functional implications  
447 during development in healthy children (Krizman, Marian, Shook, Skoe, & Kraus, 2012;  
448 Krizman, Skoe, Marian, & Kraus, 2014), as well as in children with neurodevelopmental  
449 disorders (Chandrasekaran et al., 2009). Aberrant subcortical encoding of variable pitch  
450 features has been observed in individuals with ASD when presenting speech syllables (Russo et  
451 al., 2008). The authors showed that 20% of children with ASD exhibited aberrant tracking of  
452 variable pitch contours in speech syllables as compared to controls. A subsequent study  
453 showed that children with ASD exhibited less efficient subcortical encoding of speech syllables  
454 in quiet and in noise as compared to controls (Russo et al., 2009). In the same line, a recent  
455 study found that the FFR elicited to multiple speech sounds of children with ASD was less  
456 stable across trials compared to their matched controls (Otto-Meyer et al., 2018). We  
457 observed that children with ASD showed a higher SNR as compared to TD children, thus

458 reflecting a stronger encoding of the AM rate. This frequency, 380 Hz, is probably well above  
459 the limits of cortical tracking, which ensures that the response is of subcortical origin  
460 (Bidelman, 2018). Our findings are in line with the general view that there is an enhancement  
461 of low-level information processing in ASD (Bertone, Mottron, Jelenic, & Faubert, 2005), as  
462 opposed to a deficient processing of spectrally and temporally complex stimuli. Given that the  
463 FFR is unreliable across trials (Otto-Meyer et al., 2018), this enhancement could be explained  
464 by an over activation of the local neural circuits, in line with what the EFP and Intense World  
465 Theory postulate (Markram & Markram, 2010; Mottron et al., 2006). In summary, there are  
466 fundamental differences in the way simple versus complex stimuli are encoded in ASD, which  
467 are also affected by the context in which they are presented. Investigating repetition-related  
468 effects to complex auditory stimuli at low levels of the auditory hierarchy might shed further  
469 light into how complex stimuli are encoded and into the mechanisms involved in language  
470 acquisition in children with ASD.

471         Regarding the limitations of this study, we have previously mentioned that the present  
472 results should be interpreted with caution given the small sample size and significance power,  
473 especially regarding the correlations with the auditory and motor scores. Additionally, we must  
474 consider the limitations of using a parent report measure to quantify sensory overload and  
475 motor activity. The Sensory Profile was the most commonly used scale in research of sensory  
476 processing at the time this experiment started. Nevertheless, parents tend to over- or under  
477 estimate their children's disorder, sometimes in favor of the symptoms that they believe their  
478 child should present (Dahlgren & Gillberg, 1989). Therefore, future studies, with an increased  
479 sample size and a more reliable measure of auditory and motor impairments, would be  
480 needed to provide further evidence the present conclusions.

481         In conclusion, our findings indicate that the atypical mechanisms of repetition-related  
482 modulations that have been reported to occur at cortical stations of auditory processing are

483 also present at a subcortical level. Anomalies in sensory processing have often been  
484 considered as one of the main sensory processing impairments in ASD (Ludlow et al., 2014),  
485 and have been described as an early sign of autism during the first months of life (Sacrey et al.,  
486 2015). By studying repetition effects to different types of auditory stimuli, one might be able to  
487 understand the mechanisms underlying the encoding of auditory regularities, needed to create  
488 a proper representation of the auditory environment. FFR might prove as a useful  
489 electrophysiological marker to test auditory sensory processing in ASD in the subcortical  
490 auditory system, and it may provide a putative candidate endophenotype to characterize  
491 sensory overload in these individuals.

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