

# 1 Ability of a polygenic risk score to refine colorectal cancer 2 risk in Lynch syndrome

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36 **ABSTRACT:**

37 **Background:** Polygenic risk scores (PRS) have been used to stratify colorectal cancer  
38 (CRC) risk in the general population, whereas its role in Lynch syndrome (LS), the most  
39 common type of hereditary CRC, is still conflicting. We aimed to assess the ability of PRS  
40 to refine CRC risk prediction in European-descendant LS individuals.

41 **Methods:** 1,465 LS individuals (557 *MLH1*, 517 *MSH2/EPCAM*, 299 *MSH6*, and 92  
42 *PMS2*) and 5,656 CRC-free population-based controls from two independent cohorts  
43 were included. A 91-Single Nucleotide Polymorphism PRS was applied. A Cox  
44 proportional hazard regression model with “family” as a random effect and a logistic  
45 regression analysis, followed by a meta-analysis combining both cohorts were conducted.

46 **Results:** Overall, we did not observe a statistically significant association between PRS  
47 and CRC risk in the entire cohort. Nevertheless, PRS was significantly associated with a  
48 slightly increased risk of CRC or advanced adenoma (AA), in those with CRC diagnosed  
49 < 50 years, and in individuals with multiple CRCs or AAs diagnosed < 60 years.

50 **Conclusion:** The PRS may slightly influence CRC risk in LS individuals, in particular in  
51 more extreme phenotypes such as early-onset disease. However, the study design and  
52 recruitment strategy strongly influence the results of PRS studies. A separate analysis by  
53 genes and its combination with other genetic and non-genetic risk factors will help refine  
54 its role as a risk modifier in LS.

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59 **KEY MESSAGES:**

60 WHAT IS ALREADY KNOWN ON THIS TOPIC?

- 61 - Great variability in the incidence of CRC has been described in LS individuals,  
62 even within the same family.
- 63 - Polygenic risk scores (PRS) can help stratify colorectal cancer risk and, thus,  
64 adjust surveillance or treatment procedures.

65 WHAT THIS STUDY ADDS

- 66 - PRS performed on family-based registries slightly influences CRC risk in  
67 subgroups of LS individuals, even though with weak effects.
- 68 - Our study showed a weak association of PRS with multiple and young CRC  
69 cases, pointing to a possible risk-modifying role in extreme phenotypes.

70 HOW THIS STUDY MIGHT AFFECT RESEARCH, PRACTICE OR POLICY

- 71 - Gene-based PRS analysis and its combination with other genetic and non-  
72 genetic factors may contribute to refining cancer risk in LS patients.

73

74 **INTRODUCTION**

75 Colorectal cancer (CRC) is the third most incident cancer overall and the second leading  
76 cause of cancer-related death worldwide. Incidence rates are four times higher in the  
77 Global North, associated with lifestyle and dietary risk factors<sup>1</sup>.

78 About 5% of CRC is considered hereditary due to highly penetrant pathogenic germline  
79 variants in cancer-predisposing genes<sup>2,3</sup>. The main cause of hereditary CRC is Lynch  
80 Syndrome (LS), with an estimated carrier frequency in the general population of around  
81 1:279<sup>4</sup>. It is characterised as an autosomal dominant inherited defect in any of the  
82 mismatch repair (MMR) genes (*MLH1*, *MSH2*, *MSH6*, *PMS2*) or *EPCAM* gene deletions,  
83 resulting in silencing of the *MSH2* gene in epithelial tissues<sup>5</sup>. Median CRC cumulative  
84 incidences at 75 years show an important variability according to mutated gene and  
85 gender: 48/57%, 47/51%, and 18/20% for male and female carriers of mutations in *MLH1*,  
86 *MSH2*, and *MSH6*, respectively, and 10% for both genders in carriers of mutations in  
87 *PMS2*<sup>6</sup>. Differences in CRC risk have also been identified based on the ethnic or  
88 geographical origin of carriers, with lower risks reported for European vs. American and  
89 Australasian individuals<sup>7</sup>. Moreover, LS carriers have an increased risk of developing  
90 multiple CRCs, CRC at a younger age, and other LS-associated cancers such as  
91 endometrial (EC) or ovarian cancer<sup>6</sup>.

92 In LS, as in other hereditary cancer predisposition syndromes characterised by  
93 incomplete penetrance, one of the main challenges is to identify which risk-modifying  
94 factors may modulate the expression of the cancer syndrome<sup>7,8</sup>. In recent years, multiple,  
95 common, low-penetrance CRC risk variants have been identified through genome-wide  
96 association studies (GWAS)<sup>9-11</sup>. Each risk allele individually confers a small risk, but their

97 combined effect as a polygenic risk score (PRS) exhibits significant risks of developing  
98 CRC in the general population. Being in the highest PRS percentiles was shown to  
99 increase the risk of CRC two- to seven-fold<sup>10,12–16</sup>. Moreover, PRS might be particularly  
100 relevant in patients with a more extreme, i.e., severe, phenotype: a study performed in  
101 individuals diagnosed with CRC before 50 years of age (early-onset disease)  
102 demonstrated the existence of an interaction between PRS and CRC risk, with an odds  
103 ratio (OR) of 3.73 (3.28-4.24) in the highest PRS quartile<sup>17</sup>. Another study on familial CRC  
104 (individuals who fulfil Amsterdam or Bethesda criteria without a pathogenic germline MMR  
105 variant) identified an increased CRC risk in individuals in the highest 5% of the PRS  
106 distribution, with an OR of 4.89 (2.37-10.07)<sup>18</sup>.

107 To date, the modulating effect of PRS on CRC risk in LS individuals is still controversial.  
108 Two studies on a population-based repository from the UK Biobank (UKBB) including 76  
109 and 388 LS carriers, respectively, reported that PRS may strongly influence CRC risk<sup>16,19</sup>;  
110 however, another analysis of the clinic-based registry of the Colon Cancer Family  
111 Registry (CCFR), including 826 European-descendant LS individuals, found no evidence  
112 of association, irrespective of sex or mutated gene<sup>20</sup>.

113 Our objective was to evaluate whether differences in CRC penetrance in European-  
114 descendant LS individuals can, in part, be explained by the accumulation of low-risk CRC  
115 alleles using a validated set of 91 SNPs for PRS analysis.

116

## 117 **METHODS**

### 118 **Study participants**

#### 119 LS individuals:

120 A total of 1,465 European-descendant individuals with genetically confirmed LS (557  
121 *MLH1*, 517 *MSH2/EPCAM*, 299 *MSH6*, and 92 *PMS2*) from two independent cohorts  
122 were included: 918 LS individuals (353 families) identified at the Catalan Institute of  
123 Oncology (ICO; Spain) and 547 LS individuals (392 families) from the University Hospital  
124 of Bonn (UKB; Germany). Patients were recruited based on the fulfilment of Bethesda or  
125 Amsterdam criteria or via an EC and CRC-based LS screening programme (since 2016  
126 at the ICO)<sup>21</sup>. Patients included were affected index patients and affected or unaffected  
127 carriers among the relatives identified through cascade testing. In the ICO LS cohort,  
128 there was a lower percentage of pathogenic *MSH2* variant carriers (mainly due to the  
129 existence of *MLH1* founder mutations in the ICO series) and a higher percentage of  
130 pathogenic *MSH6* and *PMS2* variant carriers (mainly identified through an EC/CRC-  
131 based LS screening) when compared with the UKB LS cohort. In addition, the ICO cohort  
132 included a higher proportion of non-index individuals. There were no significant  
133 differences in the distribution of affected genes between early-onset cases and the entire  
134 cohort (**Table 1**).

135 All patients gave informed consent and the internal Ethics Committee approved this study.

136 Non-LS individuals:

137 A total of 5,656 unselected CRC-free individuals from the same population were included  
138 in the analysis (CRC-free population controls): 1,642 individuals from Spain and 4,014  
139 from Germany. The controls from Spain included individuals from the CRCGEN study and  
140 individuals participating in a population-based CRC screening program, most of whom  
141 had a positive faecal immunochemical test (FIT) result and a colonoscopy without cancer  
142 or advanced adenoma, as described elsewhere<sup>22</sup>. The German controls were drawn from

143 the population-based Heinz Nixdorf RECALL (HNR) study (Risk Factors, Evaluation of  
 144 Coronary Calcification, and Lifestyle) as described recently<sup>23</sup> (**Table 1**).

	Lynch syndrome			Population controls		
	All series	ICO	UKB	All series	Spain	Germany
<b>Total n of individuals</b>	<b>1465</b> <b>(100%)</b>	918 (62.7%)	547 (37.3%)	<b>5656</b> <b>(100%)</b>	1642 (29.0%)	4014 (70.1%)
<b>Age</b>						
Mean age at censor (range)	<b>45.6 (12-93)</b>	47.9 (16-93)	41.6 (12-86)	<b>71.0 (24-94)</b>	62.4 (24-92)	74.5 (49-94)
<b>Gender</b>						
Male	<b>707</b> <b>(48.3%)</b>	409 (44.6%)	298 (54.5%)	<b>2813</b> <b>(49.7%)</b>	835 (50.9%)	1978 (49.3%)
Female	<b>758</b> <b>(51.7%)</b>	509 (55.4%)	249 (45.5%)	<b>2843</b> <b>(50.3%)</b>	807 (49.2%)	2036 (50.7%)
<b>Mutated gene</b>						
<i>MLH1</i>	<b>557</b> <b>(38.0%)</b>	346 (37.7%)	211 (38.6%)	-	-	-
<i>MSH2/EPCAM</i>	<b>517</b> <b>(35.3%)</b>	247 (26.9%)	270 (49.4%)	-	-	-
<i>MSH6</i>	<b>299</b> <b>(20.4%)</b>	250 (27.2%)	49 (9.0%)	-	-	-
<i>PMS2</i>	<b>92</b> <b>(6.3%)</b>	75 (8.2%)	17 (3.1%)	-	-	-
<b>Index case</b>						
Yes	<b>590</b> <b>(40.3%)</b>	193 (21.0%)	397 (72.6%)	-	-	-
No	<b>875</b> <b>(59.7%)</b>	725 (79.0%)	150 (27.4%)	-	-	-

145 **TABLE 1: Main characteristics of the population studied.**  
 146 *ICO*: Catalan Institute of Oncology, Spain; *UKB*: University Hospital of Bonn, Germany  
 147

148 **Data collection**

149 Clinical data included demographic, personal and oncologic history, and follow-up carried  
 150 out from birth to 06/2021. In LS individuals, histories of colorectal polyps or other LS-  
 151 related cancers were also collected. Data supporting the results were stored in local  
 152 databases at both centres.

153 **SNP selection**

154 The selected SNPs (n=95) and associated risks were obtained from the meta-analysis of  
155 CRC risk alleles performed by Huyghe *et al.*,<sup>10</sup> (**Tab. S1**) and were commonly used to  
156 study sporadic CRC risk at the initiation of the study<sup>16,19</sup>. Individual CRC risk-associated  
157 SNPs reached independent genome-wide significance ( $p < 5 \times 10^{-8}$ ) in a large-scale GWAS.

## 158 **Genotyping**

159 ICO blood DNA samples were genotyped with the Illumina Global Screening Array-24  
160 (GSA) v2.0 and v3.0 ([https://emea.illumina.com/science/consortia/human-](https://emea.illumina.com/science/consortia/human-consortia/global-screening-consortium.html)  
161 [consortia/global-screening-consortium.html](https://emea.illumina.com/science/consortia/human-consortia/global-screening-consortium.html)) and UKB samples with GSA v3.0. Of note,  
162 48% of the ICO population of CRC-free individuals were previously included in the meta-  
163 analysis by Huyghe *et al.*,<sup>10</sup> however, they corresponded to ~1% of the total number of  
164 cases and controls in the analysis. Details regarding quality control procedures and  
165 correlation between arrays have been described previously<sup>18,22</sup>.

166 Non-European-descendant individuals were excluded from the analysis. To assess  
167 ethnicity, Spanish samples were compared with 1,397 HapMap samples, while German  
168 samples were compared with 1k genome samples. Classification into different ethnicity  
169 groups was performed by selecting ancestry-informative marker SNPs (AIM SNPs) and  
170 using a principal components analysis (PCA) approach.

## 171 **Imputation**

172 Thirteen and eighteen of the 95 variants of interest were included in the Illumina GSA-24  
173 v2.0 and v3.0, respectively. Variants not directly genotyped by the corresponding arrays  
174 were imputed in the ICO with the Michigan Imputation Server (HRC version r1.1.2016  
175 panel)<sup>24</sup> and in the UKB with a comparable pipeline based on the bioinformatic tools  
176 bcftools, minimac, and vcftools, using GRCh37 as the reference genome (1000



177 Genomes, phase 3, v5)<sup>25</sup>. Missing variants and variants with an imputation quality ( $r^2$ )  
178 <0.3 (considering all genotyped samples) were not included in the final PRS analysis,  
179 which resulted in the exclusion of rs6058093, rs35470271, rs145364999, and  
180 rs755229494 (**Tab. S1**).

### 181 **PRS calculation**

182 For each participant, PRS was computed using the PLINK score function<sup>26</sup>, based on the  
183 91 quality-controlled CRC risk alleles (coded as 0, 1, or 2) and effect sizes as reported by  
184 Huyghe *et al.*, (PRS) and averaged over the number of observed variants per individual<sup>10</sup>  
185 (wPRS). To ease interpretation, wPRS values were rescaled (rwPRS) to indicate risk per  
186 allele (using the ratio of non-averaged PRS and wPRS values in controls as a scaling  
187 factor) as previously reported<sup>18</sup>.

### 188 **Study events**

189 Two events were considered: i) CRC and ii) advanced adenoma (AA) (adenoma with  
190 significant villous features (>25%), size  $\geq 1.0$  cm, high-grade dysplasia, or early invasive  
191 cancer).

192 Two subgroups were defined for the primary analysis: affected individuals (CRC and CRC  
193 or AA LS individuals) and unaffected individuals (CRC-free or CRC-free and AA-free LS  
194 individuals). For the subanalysis of multiple CRCs, three subgroups were defined:  
195 multiple events (multiple CRC and multiple CRC or AA LS individuals), single event  
196 (single CRC and single CRC or AA LS individuals) and no-event (CRC-free and CRC-  
197 free and AA-free LS individuals). CRC-free population controls were only compared to  
198 CRC or multiple CRC LS individuals when considering CRC as a study event as no

199 reliable information was available regarding AA in this population. (*Tab. S2, Tab. S3, and*  
200 *Fig. S1*).

## 201 **Statistical methods**

202 Statistical analyses and graphical representations were conducted with R version 4.0.5.  
203 For the primary analysis, the association of rwPRS with CRC and CRC or AA risk was  
204 tested by considering time to CRC (years since birth to event of study) using a Cox  
205 proportional hazard regression model with family as a random effect (frailty model).  
206 Observations in the control cohort were right censored at the age of last contact and CRC  
207 diagnosis (yes/no) was used as an event variable. The date of the first polypectomy for  
208 adenoma was used as a time-dependent variable. Additionally, sex, birth cohort (<1940,  
209 1940-49, 1950-59, 1960-69, 1970-79, >1980), and other LS-related cancers were  
210 included as covariates.

211 For the subanalysis of multiple CRCs, the association of rwPRS with multiple CRCs or  
212 AAs was tested using a mixed effects logistic regression, including age, sex, birth cohort,  
213 polypectomy before the second CRC, the occurrence of other cancers, and family  
214 (random effect) as covariates.

215 Results from both cohorts (ICO and UKB) were combined and analysed via a fixed-effect  
216 meta-analysis and the inverse-variance method. The combined rwPRS effect was  
217 estimated as the weighted average of the estimates of the individual studies and weights  
218 were derived as the inverse of the variance of the individual effect estimate. The  
219 population was stratified according to rwPRS tertiles using the medium category as a  
220 reference. Additionally, to test for heterogeneity, Cochran's Q was computed on the  
221 derived estimates and a  $\chi^2$ -test with one degree of freedom was performed. Results with

222  $p$ -values  $<0.05$  in the test for heterogeneity were not considered. The meta-analysis was  
223 conducted via R package meta<sup>27</sup>. To correct for multiple testing, analyses were grouped  
224 by study event and control group, and  $p$ -values inside these groups were corrected via  
225 false discovery rate (FDR) correction<sup>28</sup>. Only results with  $p$ -values  $<0.05$  after FDR  
226 correction ( $p$ -FDR) were considered statistically significant.

227

## 228 **RESULTS**

229 No differences in PRS distribution were observed when comparing CRC-free LS  
230 individuals and CRC-free population controls in any of the cohorts studied (**Fig. S2**).

### 231 **Primary analysis**

#### 232 CRC as the study event

233 A statistically significant association of  $rwPRS$  with CRC risk was found in LS carriers  
234 under 50 years of age compared with CRC-free LS individuals (HR=1.022 [1.007-1.038],  
235  $p$ -FDR=0.01). We found a tendency for an association of  $rwPRS$  with CRC risk in the  
236 entire cohort and *MSH6* variant carriers. We found no statistically significant association  
237 of  $rwPRS$  with CRC risk when comparing CRC LS to CRC-free population individuals  
238 (**Table 2 and Tab. S4**).

239 Additionally,  $rwPRS$  tended to be associated with higher CRC risk in *MSH2/EPCAM*  
240 (tertile low: HR=0.716 [0.505-1.016],  $p$ -FDR= 0.53 vs. tertile high: HR=1.058 [0.769-  
241 1.455]  $p$ -FDR=0.96) and *MSH6* variant carriers (tertile low: HR=0.617 [0.299-1.271],  $p$ -  
242 FDR=0.53 vs. tertile high: HR=1.594 [0.929-2.735],  $p$ -FDR=0.53), however, results were  
243 not statistically significant (**Figure 1**).

#### 244 CRC or AA as study events

245 A statistically significant association of rwPRS with CRC or AA risk was observed in the  
246 entire cohort (HR=1.019 [1.005-1.032],  $p$ -FDR=0.03) and in LS carriers under 50 years  
247 of age (HR=1.022 [1.006-1.038],  $p$ -FDR=0.006). We observed a tendency for an  
248 association of rwPRS with CRC or AA risk in *MSH2/EPCAM* and *MSH6* carriers (**Table 2**  
249 **and Tab. S5**).

250 Even though no statistically significant associations were observed (**Figure 2**), rwPRS  
251 tended to be associated with a higher risk of CRC and AA in *MSH6* variant carriers (tertile  
252 low: HR=0.669 [0.322-1.393],  $p$ -FDR=0.57 vs. tertile high: HR=2.015 [1.169-3.471],  $p$ -  
253 FDR=0.39).

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255

Subgroup	Cases	Controls	Number of events	Number of controls	HR (range)	p-value	FDR-corrected p-value
Entire cohort	CRC LS	CRC-free LS	701	727	1.016 (1.003-1.030)	0.019	0.056
<i>MLH1</i>	CRC LS	CRC-free LS	302	237	1.006 (0.986-1.026)	0.579	0.579
<i>MSH2/EPCAM</i>	CRC LS	CRC-free LS	275	239	1.016 (0.994-1.038)	0.154	0.305
<i>MSH6</i>	CRC LS	CRC-free LS	89	195	1.052 (1.012-1.092)	0.010	0.056
<i>PMS2</i>	CRC LS	CRC-free LS	35	56	1.037 (0.962-1.119)	0.339	0.407
Age <50y	CRC LS	CRC-free LS	501	727	<b>1.019 (1.003-1.035)</b>	0.019	<b>0.019</b>
Entire cohort	CRC LS	CRC-free population controls	701	5579	1.012 (0.999-1.024)	0.069	0.347
<i>MLH1</i>	CRC LS	CRC-free population controls	302	5579	1.007 (0.988-1.027)	0.470	0.564
<i>MSH2/EPCAM</i>	CRC LS	CRC-free population controls	275	5579	1.014 (0.994-1.034)	0.173	0.347
<i>MSH6</i>	CRC LS	CRC-free population controls	89	5579	1.015 (0.980-1.051)	0.400	0.564
<i>PMS2</i>	CRC LS	CRC-free population controls	35	5579	1.002 (0.928-1.081)	0.965	0.965
Age <50y	CRC LS	CRC-free population controls	501	5579	1.011 (0.996-1.025)	0.162	0.247
Entire cohort	CRC or AA LS	CRC-free and AA-free LS	733	695	<b>1.019 (1.005-1.032)</b>	0.005	<b>0.033</b>
<i>MLH1</i>	CRC or AA LS	CRC-free and AA-free LS	314	224	1.009 (0.992-1.025)	0.309	0.370
<i>MSH2/EPCAM</i>	CRC or AA LS	CRC-free and AA-free LS	292	222	1.016 (1.001-1.031)	0.037	0.056
<i>MSH6</i>	CRC or AA LS	CRC-free and AA-free LS	92	193	1.026 (1.004-1.049)	0.022	0.056
<i>PMS2</i>	CRC or AA LS	CRC-free and AA-free LS	35	56	1.010 (0.985-1.035)	0.447	0.447
Age <50y	CRC or AA LS	CRC-free and AA-free LS	524	695	<b>1.022 (1.006-1.038)</b>	0.007	<b>0.006</b>

**TABLE 2. Meta-analysis results of the association between Polygenic risk score (rwPRS) and colorectal cancer (CRC) risk or CRC and advanced adenoma (AA) risk.**

CRC LS: LS individuals previously diagnosed of CRC; CRC-free LS: LS individuals with no previous CRC diagnosis; CRC-free population control: individuals from the population without previous diagnosis of CRC; CRC or AA LS: LS individuals with a previous diagnosis of CRC or AA, whichever occurred first; CRC-free and AA-free LS: LS individuals not diagnosed with CRC or AA; HR: Hazard ratio; FDR False discovery rate; age <50y: cases with CRC <50 years of age.

262 **Subanalysis: multiple CRCs**

263 Multiple CRCs as the study event

264 No statistically significant association of rwPRS with multiple CRC risk was observed  
265 (irrespective of the gene involved) when comparing single-CRC LS cases, CRC-free LS  
266 individuals, or CRC-free population controls (**Table 3 and Tab. S6**). These analyses could  
267 not be performed in *MSH6* or *PMS2* carriers due to the low sample sizes.

268 Multiple CRCs or AAs as study events

269 A significant association of rwPRS with multiple CRC or AA risk was observed in LS  
270 individuals under 60 years when comparing with single-CRC or AA LS (HR=1.057 [1.010-  
271 1.100], *p*-FDR=0.04) and CRC-free and AA-free LS (HR=1.043 [1.008-1.079], *p*-  
272 FDR=0.03). A tendency was observed for an association of rwPRS with multiple CRC or  
273 AA risk in the entire cohort and *MLH1* carriers (**Table 3 and Tab. S7**). These analyses  
274 could not be performed in *MSH6* and *PMS2* carriers due to the low sample size.

Subgroup	Cases	Controls	Number of events	Number of controls	OR (range)	p-value	FDR-corrected p-value
Entire cohort	Multiple CRC LS	Single CRC LS	257	437	1.021 (0.992-1.051)	0.153	0.204
<i>MLH1</i>	Multiple CRC LS	Single CRC LS	112	185	1.044 (0.997-1.094)	0.067	0.204
<i>MSH2/EPCAM</i>	Multiple CRC LS	Single CRC LS	113	160	0.959 (0.907-1.014)	0.144	0.204
Age <60y	Multiple CRC LS	Single CRC LS	206	437	1.022 (0.992-1.053)	0.152	0.303
Entire cohort	Multiple CRC LS	CRC-free LS	257	727	1.025 (0.984-1.068)	0.227	0.454
<i>MLH1</i>	Multiple CRC LS	CRC-free LS	112	237	1.026 (0.970-1.084)	0.370	0.462
<i>MSH2/EPCAM</i>	Multiple CRC LS	CRC-free LS	113	239	1.023 (0.963-1.087)	0.462	0.462
Age <60y	Multiple CRC LS	CRC-free LS	206	727	1.032 (0.996-1.070)	0.084	0.167
Entire cohort	Multiple CRC LS	CRC-free population controls	257	5630	1.022 (0.991-1.055)	0.170	0.380
<i>MLH1</i>	Multiple CRC LS	CRC-free population controls	112	5630	1.027 (0.983-1.073)	0.228	0.380
<i>MSH2/EPCAM</i>	Multiple CRC LS	CRC-free population controls	113	5630	1.002 (0.958-1.047)	0.938	0.938
Age <60y	Multiple CRC LS	CRC-free population controls	206	5630	1.019 (0.987-1.052)	0.240	0.480
Entire cohort	Multiple CRC or AA LS	Single CRC or AA LS	272	454	1.030 (1.000-1.060)	0.035	0.104
<i>MLH1</i>	Multiple CRC or AA LS	Single CRC or AA LS	112	198	1.048 (1.000-1.100)	0.042	0.104
<i>MSH2/EPCAM</i>	Multiple CRC or AA LS	Single CRC or AA LS	123	167	0.992 (0.943-1.040)	0.740	0.740
Age <60y	Multiple CRC or AA LS	Single CRC or AA LS	219	454	<b>1.034 (1.005-1.064)</b>	0.023	<b>0.046</b>
Entire cohort	Multiple CRC or AA LS	CRC-free and AA-free LS	272	695	1.042 (1.010-1.080)	0.015	0.060
<i>MLH1</i>	Multiple CRC or AA LS	CRC-free and AA-free LS	112	224	1.030 (0.974-1.090)	0.303	0.303
<i>MSH2/EPCAM</i>	Multiple CRC or AA LS	CRC-free and AA-free LS	123	222	1.032 (0.975-1.090)	0.277	0.303
Age <60y	Multiple CRC or AA LS	CRC-free and AA-free LS	219	695	<b>1.043 (1.008-1.079)</b>	0.016	<b>0.032</b>

**TABLE 3. Meta-analysis results of the association between polygenic risk score (rwPRS) and multiple colorectal cancer (CRC) risk or multiple CRC or advanced adenoma (AA) risk.**

*Multiple CRC LS*: LS individuals previously diagnosed with more than one CRC; *Single CRC LS*: LS individuals previously diagnosed with one CRC; *CRC-free LS*: LS individuals without previous diagnosis of CRC; *CRC-free population*: individuals from the population without previous diagnosis of CRC; *Multiple CRC or AA LS*: LS individuals previously diagnosed of multiple CRCs, multiple AAs or at least one CRC and one AA; *Single CRC or AA LS*: LS individuals previously diagnosed of CRC or AA, whichever occurred first; *CRC-*

281 *free and AA-free LS*: LS individuals without previous diagnosis of CRC or AA; *OR*: Odds ratio; *FDR*: False discovery rate; *Age <60y*:  
282 cases with CRC <60 years of age.



283 **DISCUSSION**

284 PRS is regarded as an important addition to the assessment of an individual's genetic  
285 risk in patients with sporadic and hereditary cancers; it can be used to identify individuals  
286 with a CRC risk several times lower or higher than that of the average population. In this  
287 way, its implementation seems to be a promising approach for a more individualised risk  
288 stratification. Several studies described the impact of PRS on modelling CRC risk in the  
289 general population<sup>10,12-16</sup>. In line with this, the risk alleles of those SNPs were found to  
290 accumulate in unexplained familial and early-onset CRC cases<sup>17,18</sup>. However, the  
291 interplay between a PRS based on sporadic CRC-associated SNPs and LS CRC risk  
292 remains controversial.

293 It is well known that among patients with hereditary CRC, in particular Lynch syndrome,  
294 the age of onset and cumulative CRC incidence is very heterogeneous, even within the  
295 same family transmitting the same pathogenic germline variant<sup>6</sup>. The estimated gene-  
296 specific, individual lifetime CRC risks of LS patients with *MLH1* or *MSH2* variants can be  
297 lower than 10% or as high as 90%-100% in a considerable fraction, highlighting relevant  
298 genetic and non-genetic modifiers of CRC risk<sup>7,8</sup>. Initially, a small subset of common CRC-  
299 associated SNPs was analysed in selected LS cohorts<sup>29-32</sup>. More recently, some studies  
300 used a more comprehensive set of around 100 CRC-associated SNPs in large  
301 population-based or familial CRC cohorts with conflicting results<sup>16,19,20</sup>.

302 Herein, we used a large, combined cohort of 1,465 affected and unaffected LS patients  
303 with pathogenic MMR germline variants, recruited at two European centres based on the  
304 fulfilment of clinical criteria (revised Bethesda or Amsterdam criteria) or as a result of an  
305 EC or CRC-based LS screening programme, to evaluate to what extent the polygenic

306 background modulates CRC risk. When we compared LS carriers with CRC against  
307 population-based CRC-free controls (mean age 71 years), we did not observe any  
308 significant effect of PRS on CRC risk, neither in the entire cohort nor in subgroups (gene-  
309 specific groups, early-onset group). Nevertheless, the PRS was associated with a  
310 modestly increased risk of CRC or AA in the entire LS cohort. These results are in line  
311 with the work by Jenkins *et al.*, which is based on a similar study design, recruitment  
312 strategy, and a set of 107 SNPs used for PRS calculation<sup>20</sup>. In that work, 826 European-  
313 descent LS carriers from the Colon Cancer Family Registry (CCFR) were included and  
314 the authors found no statistical evidence of an association between PRS and CRC risk,  
315 irrespective of sex or mutated gene.

316 Regarding the analysis between CRC and CRC-free LS probands, we did not find a  
317 statistically significant association between CRC and PRS in the entire cohort or the  
318 different subgroups except for early-onset LS CRC cases (<50 years) and LS with multiple  
319 CRCs or AAs (< 60 years), where a slightly increased CRC risk was evidenced.

320 In contrast, two recent studies using UKBB data and the same 95 SNPs for PRS  
321 calculation, demonstrated that the polygenic background substantially influences CRC  
322 risk in LS with ORs ranging from 8 to 118 (estimated effect of PRS), or 4 to 16 (calculated  
323 effect of PRS) compared with the median tertile of the CRC-free population<sup>16,19</sup>. According  
324 to these results, PRS would account for parts of the interindividual variation in CRC risk  
325 among LS carriers and might contribute towards a clinically relevant individualised risk  
326 stratification.

327 The most obvious explanation for the apparently discrepant results between family-based  
328 (Jenkins *et al.*,<sup>20</sup> and the present study) and population-based (Fahed *et al.*,<sup>16</sup> and

329 Hassanin *et al.*,<sup>19</sup>) studies is differences in study design and recruitment strategies. The  
 330 LS probands from the two familial CRC registry studies were mainly recruited based on  
 331 established clinical criteria, in particular early-onset and familial clustering of CRC and  
 332 other LS-related tumours. Consistent with this ascertainment approach, the vast majority  
 333 of participants carry pathogenic variants in the highly penetrant *MLH1* and *MSH2* genes  
 334 **(Table 4)**, which are likely to be less influenced by the genetic background.

335 In contrast, studies using individuals from a population-based repository (UKBB) show a  
 336 different distribution of affected MMR genes, with the vast majority of LS individuals  
 337 carrying pathogenic variants in the moderate and low penetrance genes *MSH6* or *PMS2*  
 338 **(Table 4)**. In a gene-specific analysis, Hassanin *et al.*, found that the modifying effect of  
 339 the PRS is inversely related to the penetrance of the MMR gene, with the strongest effect  
 340 in *MSH6* and *PMS2* carriers<sup>19</sup>, which are clearly underrepresented in the studies of  
 341 Jenkins *et al.*,<sup>20</sup> and the present.

342 This is in line with hereditary breast cancer, where PRS has proven most relevant as a  
 343 cancer risk modifier in carriers of pathogenic variants in moderate penetrance genes such  
 344 as *CHEK2*, *ATM*, or *PALB2* compared with *BRCA1/2*<sup>33,34</sup>. While it can be expected that  
 345 PRS may have a major influence in less penetrant CRC risk genes, we have not been  
 346 able to show a significant effect, likely due to the small numbers of *MSH6* and *PMS2*  
 347 variant carriers present in our family-based cohorts due to the aforementioned selection  
 348 bias.

349

Study	Inclusion criteria	<i>MLH1</i>	<i>MSH2 / EPCAM</i>	<i>MSH6</i>	<i>PMS2</i>	Cases	Controls	All
<i>Fahed</i> <sup>16</sup>	Population-based	<b>19</b> (25%)	<b>6</b> (8%)	<b>43</b> (57%)	<b>8</b> (11%)	11 (14%)	65 (86%)	<b>76</b>

<i>Hassanin</i> <sup>19</sup>	Population-based	<b>11</b> (3%)	<b>13</b> (3%)	<b>135</b> (35%)	<b>229</b> (59%)	27 (7%)	361 (93%)	<b>388</b>
<i>Jenkins</i> <sup>20</sup>	Family registry	<b>293</b> (36%)	<b>336</b> (41%)	<b>126</b> (15%)	<b>71</b> (9%)	504 (61%)	322 (39%)	<b>826</b>
<i>This study</i>	Family registry	<b>557</b> (38%)	<b>517</b> (35%)	<b>299</b> (20%)	<b>92</b> (6%)	712 (49%)	753 (51%)	<b>1465</b>

350 **TABLE 4. Characteristics of inclusion criteria and distribution of affected MMR genes in**  
351 **the different studies analysing PRS in LS individuals.**  
352

353 Another plausible explanation for the differences observed may be the sample size. In  
354 this study, we included twice as many LS individuals as Jenkins *et al.*<sup>20</sup> and four and 20  
355 times more than the UKBB analyses<sup>16,19</sup>. Moreover, the distribution and composition of  
356 cases and controls differ between family-registry and population-based studies (**Table 4**).  
357 In Jenkins *et al.*, and our study, the percentages of affected (LS carriers with CRC) and  
358 unaffected (CRC-free LS carriers) individuals are similar and some are members of the  
359 same family. Hence, the CRC-free LS controls are relatives of the cases and, thus, they  
360 likely share parts of the polygenic background and other risk factors with their affected  
361 relatives (cases) to a certain extent, which may explain the observed missing effect of  
362 PRS. In contrast, the UKBB studies include ten times more controls, supposedly healthy  
363 LS carriers apparently unrelated to the CRC LS cases. In this regard, it was shown that  
364 both in sporadic CRC<sup>35</sup> and LS CRC<sup>19</sup>, family history and PRS are largely independent  
365 and provide complementary information about CRC risk.

366 On the other hand, there are differences in the results obtained between Jenkins *et al.*,<sup>20</sup>  
367 and the present study. These differences can be explained by the sample size, as  
368 discussed above, and methodological differences. LS individuals in Jenkins *et al.*, were  
369 censored after a polypectomy, while we considered the first polypectomy as a time-  
370 dependent variable of CRC risk, as per studies showing a reduction in CRC incidence in

371 LS individuals undergoing regular colonoscopies<sup>36,37</sup>. However, since alternative  
372 pathways of colorectal carcinogenesis seem to exist in LS carriers, which originate doubts  
373 regarding the risk-reducing impact of colonoscopies, especially in *MLH1* carriers, future  
374 evidence will determine whether it is useful to apply this time-dependent variable  
375 correction in LS individuals<sup>38,39</sup>. Taken together, this and previous PRS studies on LS  
376 demonstrate that the study design and recruitment strategy strongly influence the results  
377 and conclusions of the PRS.

378 Finally, when we analysed extreme phenotypes, such as early-onset CRC (<50y) and  
379 young (<60y) LS cases with multiple CRCs or AAs, a significant, albeit low, association  
380 between PRS and risk was observed, pointing to a possible contribution of PRS to these  
381 higher-risk situations.

382 Some authors questioned whether the same CRC-associated SNPs identified in the  
383 general population and their specific effect sizes can be applied to stratify CRC risk in LS  
384 individuals and whether both specific SNPs and their risk-modifying power may differ for  
385 each mutated gene<sup>8,20,29</sup>. The potential identification of LS risk-modifier SNPs in large  
386 GWASs might contribute to the description of more specific risk-modulating factors in the  
387 future.

388 Considering the high incidence of EC in LS<sup>6</sup>, it would be helpful to eventually analyse the  
389 relevance of an EC-associated PRS in this context. However, both the limited sample  
390 size, and the lack of a currently validated PRS for EC<sup>40-42</sup> makes it non suitable in our  
391 work.

392 PRS studies in much larger, international, multicentric, LS cohorts are needed to more  
393 precisely estimate the PRS effect size in LS individuals, especially in those with extreme

394 phenotypes, to evaluate the relevance of the polygenic background and interplay with  
395 other genetic and non-genetic risk factors. This will enable its eventual application in  
396 routine clinical practice.

397 In summary, this work shows, for the first time in a family-registry LS cohort, that the PRS  
398 can influence the CRC risk in specific subgroups of LS individuals, albeit with very weak  
399 effect sizes, which contrasts with the clearer modulating effect of the PRS in LS carriers  
400 identified in population-based cohorts.

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425 Núria Dueñas – Study concept and design, Analysis and interpretation of data, Drafting of the  
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427 Hannah Klinkhammer, Nuria Bonifaci, Anna Díez-Villanueva – Study concept and design,  
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575 **FIGURE LEGENDS**

576 **FIGURE 1. Interplay of each mutated germline gene and polygenic risk score**  
577 **(rwPRS) for colorectal cancer (CRC) risk.** CRC risk for each mutated gene, stratified  
578 according to rwPRS tertiles using the intermediate rwPRS tertile as the reference group.  
579 95% confidence intervals are indicated by vertical lines. PMS2 carriers are not included  
580 due to the low sample size.

581

582 **FIGURE 2. Interplay of each mutated germline gene and polygenic risk score**  
583 **(rwPRS) for colorectal cancer (CRC) or advanced adenoma (AA) risk.** Risk of CRC  
584 or AA for each mutated gene, stratified according to rwPRS tertiles using the intermediate  
585 rwPRS tertile as the reference group. 95% confidence intervals are indicated by vertical  
586 lines. PMS2 carriers are not included due to the low sample size.