Claudin-1 as a novel target gene induced in obesity and associated to inflammation, 1 2 fibrosis and cell differentiation. 3 Pablo Fernández-García<sup>1\*</sup>, Siri D. Taxerås<sup>2\*</sup>, Marjorie Reyes-Farias<sup>2,3</sup>, Lorena González<sup>2</sup>, 4 Andrea Soria-Gondek<sup>4</sup>, Silvia Pellitero<sup>5,6</sup>, Jordi Tarascó<sup>7</sup>, Pau Moreno<sup>7</sup>, Lauro Sumoy<sup>2</sup>, 5 Jacqueline M. Stephens<sup>8</sup>, Lindsey G. Yoo<sup>8</sup>, María Galán<sup>1</sup>, Adriana Izquierdo<sup>1</sup>, Gema Medina-6 Gómez<sup>1</sup>, Laura Herrero<sup>3,9</sup>, Patricia Corrales<sup>1</sup>, Francesc Villarroya<sup>9,10</sup>, Rubén Cereijo<sup>9,10,11#</sup>, 7 David Sánchez-Infantes<sup>1,2,9#</sup> 8 9 <sup>1</sup>Department of Basic Health Sciences, Campus Alcorcón, University Rey Juan Carlos (URJC), E-28922 Madrid, 10 Spain. 11 <sup>2</sup> Fundació Institut Germans Trias i Pujol, Barcelona, 08916, Spain. 12 <sup>3</sup> Department of Biochemistry and Physiology, School of Pharmacy and Food Sciences, Institut de Biomedicina 13 de la Universitat de Barcelona (IBUB), Universitat de Barcelona, Barcelona, Spain. 14 <sup>4</sup> Pediatric Surgery Department, Hospital Universitari Germans Trias i Pujol, Badalona, 08916, Spain. 15 <sup>5</sup> Endocrinology Department, Hospital Universitari Germans Trias i Pujol, Badalona, 08916, Spain. 16 <sup>6</sup> Centro de Investigación Biomédica en Red de Diabetes y Enfermedades Metabólicas (CIBERDEM), Madrid, 17 28029, Spain. 18 <sup>7</sup> General Surgery Department, Hospital Universitari Germans Trias i Pujol, Badalona, 08916, Spain. 19 <sup>8</sup>Pennington Biomedical Research Center (PBRC) and Louisiana State University. Baton Rouge Louisiana, USA. 20 70808 21 <sup>9</sup> Centro de Investigación Biomédica en Red de Fisiopatología de la Obesidad y Nutrición (CIBEROBN), Instituto 22 de Salud Carlos III, Madrid, 28029, Spain. <sup>10</sup> Department of Biochemistry and Molecular Biomedicine, and Institute of Biomedicine, University of Barcelona, 23 24 Barcelona, Spain. 25 <sup>11</sup> Institut de Recerca Hospital de la Santa Creu i Sant Pau, Barcelona, 08041, Spain. 26 \*Both authors contributed equally to this work 27 <sup>#</sup>Co-corresponding 28 Keywords: Obesity; immunometabolism; claudin; lymphocytes 29 Running title: Claudin-1 is induced in visceral adipose depot in obesity. 30 Word count: 3624

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#### 36 Abstract

37 *Objective* 

T-lymphocytes from visceral and subcutaneous white adipose tissue (vWAT and sWAT, respectively) can have opposing roles in the systemic metabolic changes associated with obesity. However, few studies have focused on this subject. Claudin-1 (*CLDN1*) is a protein involved canonically in Tight Junctions (TJs) and tissue paracellular permeability.

- We evaluated T lymphocytes gene expression in vWAT and sWAT and in the whole adiposedepots in human samples.
- 44 *Methods*

A Clariom D-based transcriptomics analysis was performed on T lymphocytes magnetically separated from vWAT and sWAT from patients with obesity (Cohort 1; N = 11). Expression of candidate genes resulting from that analysis was determined in whole WAT from individuals with and without obesity (Cohort 2; Patients with obesity: N = 13; Patients without obesity: N=14).

50 *Results* 

We observed transcriptional differences between T lymphocytes from sWAT compared to vWAT. Specifically, *CLDN1* expression was found to be dramatically induced in vWAT T cells relative to those isolated from sWAT in patients with obesity. *CLDN1* was also induced in obesity in vWAT and its expression correlates with genes involved in inflammation, fibrosis and adipogenesis.

56 *Conclusion* 

These results suggest *CLDN1* is a novel marker induced in obesity, and differentially expressed in T lymphocytes infiltrated in human vWAT as compared to sWAT. This protein may have a crucial role in the crosstalk between T lymphocytes and other adipose tissue cells and may contribute to inflammation, fibrosis and alter homeostasis and promote metabolic disease in obesity.

#### 62 Abbreviations:

63 Visceral white adipose tissue (vWAT), subcutaneous white adipose tissue (sWAT), white

64 adipose tissue (WAT), tight junction (TJ), Claudin-1 (CLDN1 for protein; CLDN1 for gene),

body mass index (BMI), Homeostatic model assessment – insulin resistance (HOMA-IR),

66 fetal bovine serum (FBS), phosphate saline buffer (PBS), magnetic-activated cell sorting

67 (MACS), bovine serum albumin (BSA), 4',6-diamidino-2-phenylindole (DAPI)

# 68 Significance Statement:

Several studies have shown a direct involvement of Claudin-1 in the development and 69 70 progression of multiple cancers. However, to our knowledge, little information about the role of Claudin-1 in adipose tissue and obesity has been reported yet. Furthermore, Claudin-1 has 71 recently been identified as a therapeutic target for tissue fibrosis in different types of organs, 72 73 including liver, lung and kidney. In this paper, a novel transcriptomic of human sWAT and vWAT T lymphocytes has been developed. CLDN1 comes up as a gene strongly regulated in a 74 depot-dependent manner and correlates with genes involved in inflammation and fibrosis. Our 75 results postulate claudin-1 as a novel target in lipotoxicity, obesity and metabolism. 76

#### 77 **1. Introduction**

Obesity is defined as an excessive accumulation of lipids in white adipose tissue (WAT) as a 78 result of prolonged positive energy balance (1-3). WAT is a heterogeneous tissue consisting of 79 mature adipocytes and nonadipocyte cells (4–7). Furthermore, WAT has significant endocrine 80 capabilities mediated by a wide range of adipokines and cytokines and impacting multiple body 81 processes (8–10). In humans, WAT has been considered the largest endocrine tissue classified 82 in two main depots, visceral and subcutaneous WAT (vWAT and sWAT, respectively), with 83 morphologic and metabolic differences (11,12). In obesity, WAT expands, leading to 84 dysfunctions characterized by immune cell infiltration (13,14). T lymphocytes (CD3<sup>+</sup>) play a 85 central role in immune responses and constitute one of the main immune cells infiltrating 86 adipose depots (14). However, few studies in obese humans have focused on T-cell infiltration 87 in WAT and its association with inflammation and metabolic disturbances (15–18). 88

Here, we show for the first time a transcriptomic analysis of human vWAT- and sWATinfiltrated T lymphocytes and identify *CLDN1* as a key factor distinctly up-regulated specifically in vWAT-infiltrated T-cells compared to those from sWAT in patients with obesity.

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#### 93 **2. Methods**

94 The study was approved by the Ethical Committee of the Hospital Germans Trias i Pujol
95 (Badalona, Spain) and follows the guidelines of Helsinki convention. Participants gave their
96 written informed consent before clinical data collection.

97

98 2.1 Study Participants

99 Two different cohorts of patients were enrolled (Table 1, Supplemental Figure S1). All patients
100 were evaluated by the same endocrinologist (S.P.) following the institutional protocol for
101 bariatric surgery (BS) between October 2015 and September 2021, according to BS criteria

(Spanish Position Statement between Obesity, Endocrinology, Diabetes and Surgery Societies). 102 Since cohort 1 was made up only of patients with obesity, a cohort 2 made up of patients with 103 and without obesity was included. The primary reason to use cohort 2 was to have a normal-104 weight control group to check the levels of *CLDN1* gene expression in these individuals to 105 106 compare them with obesity condition. The limitation of that was to use total WAT instead of 107 adipose tissue lymphocytes, but there were no options to isolate T cells from healthy donors in our hospital. For Cohort 1, 11 patients with obesity (BMI>35 kg/m<sup>2</sup>) were enrolled, and vWAT 108 and sWAT from the same patient were collected during BS. For Cohort 2, 27 patients with or 109 without severe obesity (BMI>35 kg/m<sup>2</sup> or BMI<27 kg/m<sup>2</sup>, respectively) were enrolled. For the 110 111 first group, vWAT and sWAT biopsies were collected when they attended to BS; for the latter on occasion of consultation/minor surgery, mainly cholecystectomy. Exclusion criteria for all 112 cohorts were having cancer, active infectious or inflammatory pathologies other than those 113 related to obesity and treatment with immunosuppressant drugs or suffering from other forms 114 of immunosuppression. 115

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# 117 2.2 Human serological analysis

Serum samples were collected after 12 h fasting and frozen at  $-20^{\circ}$  C. In the case of cohort 2, serum samples from patients with obesity were obtained at baseline (during the surgery) and 6 months after the surgery. Glucose and insulin levels, as well as lipid profiles (total cholesterol, HDL and LDL cholesterol, and triglycerides), were measured in the certified core clinical laboratory. The Homeostatic model assessment-insulin resistance (HOMA-IR) score was calculated as:  $HOMA - IR = \frac{[Glu \cos e^{mg}] \times [Insulin \frac{muint}{dL}]}{405}$ .

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vWAT and sWAT samples (n=22 in total) were obtained from the 11 patients from Cohort 1 126 during BS. Fresh WAT collected during surgeries was transferred into two 50 mL tubes 127 containing a 2% fetal bovine serum (FBS) in phosphate saline buffer (PBS) solution and placed 128 on separate multiwell plate submerged in collagenase and Hank's Balanced Salt Solution 129 (pH=7.1). The tissue was then minced into small pieces followed by several centrifugation and 130 supernatant removal steps as previously published (19). CD3<sup>+</sup> cells were then labeled with anti-131 CD3<sup>+</sup> MicroBeads and magnetically separated from unlabeled cells using magnetic-activated 132 cell sorting (MACS) columns (Miltenyi Biotec S.L.). Briefly, cell suspension was centrifuged 133 (300 x g, 10 min) and the supernatant completely discarded. Next, the pellet was resuspended 134 135 in 82 µL of MACS buffer and 2 µL were diluted with 18 µl MACS buffer and reserved at 4° C 136 to evaluate CD3<sup>+</sup> cell percentage. Then, 20  $\mu$ L of CD3<sup>+</sup> MicroBeads were added to 80  $\mu$ L of cell suspension, mixed and incubated for 15 min in ice. Next, cells were washed by adding 2 137 mL of MACS buffer and centrifuged (300 x g, 10 min, 4° C). Then the pellet was resuspended 138 in 500 µL MACS buffer and maintained in ice. 139

Meanwhile, the MidiMACS<sup>TM</sup> Starting Kit (LS) separation column was prepared by placement 140 in the magnetic field on the MidiMACS<sup>™</sup> Separator and rinsed with 3 mL of MACS buffer. 141 142 Once the column reservoir was empty, the cell suspension was added into the column. When 143 all suspension passed, the column was washed by adding twice 3 mL MACS buffer. Afterwards, the column was removed from the magnetic field, and placed onto a new 15 mL conical tube 144 (collection tube). Then, 5 mL MACS buffer was added and the fraction with the magnetically 145 146 labeled cells was immediately flushed out applying the plunger supplied with the column. 20 µL of the eluted fraction was reserved in ice. Next, the CD3<sup>+</sup> fraction of cells was centrifuged 147 (300 x g, 4 min, 4° C), 4 mL of the supernatant was discarded, and the pellet was resuspended 148 in the remaining volume, transferred into a 1.5 mL conical tube and centrifuged (11000 x g, 5 149 min). The resulting pellet was used to perform RNA extraction. 150

The reserved aliquots from vWAT and sWAT were labeled to quantify the viability and the 151 152 number of infiltrated CD3<sup>+</sup> cells, respectively. For this purpose, 10 µL of cell samples were mixed with 48 µL MACS Buffer, 2 µL of 7-AAD and 0.5 µL of CD3<sup>+</sup>. The mix was incubated 153 in darkness for 10 min and then 10 µL of counting beads were added. Isolated T-cells were 154 detected by flow cytometry (FACSCanto II, BD Biosciences). In this system, the optic consists 155 of an excitation source and a three-laser system: blue (488 nm, air-cooled, 20-mV solid state), 156 157 red (633 nm, 17-mV HeNe) and violet (405 nm, 30-mV solid state) allowing measurement of 8 parameters (FSC, SSC and 6 fluorescence detectors) with carrousel acquisition option for 158 tubes to separate cells are based on their size and fluorescence. FACSDiva and FlowJo (Tree 159 160 Star Inc.) were used for quantification analyses, graphical representation and gating strategy. 161 Viability, percent, and concentration (cells/µL) of T-cells (CD3<sup>+</sup>) in vWAT and sWAT was measured. The concentration (cells/mL) was calculated as: 162

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$$\frac{\#Events\,(viability)}{2500\,beads} \times \frac{1036\,beads}{1\,\mu L} \times \frac{10^3\,\mu L}{1\,m L} = cells/mL$$

Total RNA was then extracted using an affinity column-based methodology suitable for small
amounts of biological material (NucleoSpin RNA XS; Mecherey-Nagel, Duren, Germany).
RNA yield and purity were determined by spectrophotometry and RNA integrities were
assessed with the Nano 6000 assay on Agilent's 2100 Bioanalyzer.

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#### 169 2.4 Clariom D Assay

170 CD3<sup>+</sup> cell extracted RNA was reverse transcribed into cDNA, amplified and biotinylated by *in* 171 *vitro* transcription (MultiScribe TaqMan Reverse Transcription Reagents; ThermoFisher 172 Scientific, Waltham, MA, USA). Labeled cDNAs were hybridized onto Clariom D Human 173 Assay Microarrays, which include probe sets enabling transcriptome-wide gene- and exon-level 174 expression profiling (Affymetrix, ThermoFisher Scientific). The arrays were washed and 175 scanned using the GeneChip 3000 system (Affymetrix). Transcript Analysis Console (TAC v4.0; Affymetrix) was used for initial hybridization quality assessment and data inspection. The
experimental design for hybridization processing included 4 batches of 9-11 samples each with
balancing among different batches between the two compared conditions, vWAT and sWAT.

179

180 2.5 Gene expression analysis

All statistical analyses of microarray data were performed using R-based software R-4-0-3 181 environments. Quality control was performed using the array QualityMetrics package. 182 Background correction, probe set summarization and normalization were performed with the 183 oligo package using the most up to date annotation in Bioconductor 3.12. A paired-sample 184 185 design comparing vWAT and sWAT from the same individuals was applied. Subsequent differential expression analysis using the Limma package at the gene level focusing on known 186 genes (with assigned gene symbols). Transcripts were considered for further analyses if they 187 matched the double criteria or false detection rate (FDR) < 0.05 and log (fold change) vWAT 188 vs sWAT > 1.5. Exploratory inference of putatively affected biological functions was 189 performed using Gorilla, harnessing Gene Ontology categories to perform pathway analyses. 190 In-depth functional enrichment analyses were undertaken with Gene Set Enrichment Analysis 191 192 (GSEA), with results visualized with the Enrichment Map tool in Cytoscape. Pre ranked based 193 analyses were performed using ranking by log2ratio or signed -log10 p-values on relevant MaSigDB gene set collections (v7.1). 194

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# 196 2.6 Adipose tissue collection and RNA isolation and processing from Cohort 2

Whole vWAT and sWAT samples were obtained from 27 patients from Cohort 2 (13 with severe obesity and 14 without obesity). In this case, fresh WAT collected during surgeries was transferred into liquid nitrogen and then frozen at -80 °C; another fragment was cut with a sterile scalpel and reserved for histological analyses.

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# 202 2.7 Immunofluorescence staining

CLDN1 location in AT samples was assessed by immunofluorescence. Briefly, tissue samples 203 were fixed in 4% formaldehyde overnight and included in paraffin. Paraffin blocks were 204 histologically cut (5 µm), 3 nonconsecutive sections per sample were collected on slides and 205 dewaxed. Subsequently, samples were submerged into Tris-EDTA buffer (pH = 7.9), 206 207 microwaved until boiling 3x and allowed to cool. Afterwards, they were rinsed with 1x PBS-Triton 100 (0.02%) and blocking was conducted using fish gelatin dissolved in PBS-Triton with 208 2% bovine serum albumin (BSA). Anti-CLDN1 antibody (Abcam<sup>®</sup>, ab15098; 1:75) was added 209 210 to the prepared histological cuts and incubated overnight at 4° C in darkness. Samples were then washed with 1x PBS-Triton to remove antibody excess and secondary antibody was added 211 (Jackson Immuno Research<sup>®</sup>, 111-585-144; 1:250) and incubated at room temperature for 1 h. 212 After, the samples were washed again with 1x PBS-Triton prior to nuclei staining with 213 fluorescent marker 4',6-diamidino 2-phenylindole (DAPI) for 15 minutes. Finally, mounting 214 medium (50% glycerin/PBS-Triton) was added to histological preparations before covering 215 them with coverslips. Samples were stored at 4° C in the darkness until visualization under a 216 FluoView FV300<sup>TM</sup> confocal microscope (Olympus, Spain). The fluorescence intensity of the 217 218 signal corresponding to CLDN1 in vWAT and sWAT was quantified using ImageJ.

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# 220 2.8 Statistical Analysis of Clinical Features.

Additional statistical data analysis beyond Bioinformatics procedures was conducted with GraphPad Prism 7.01 (GraphPad Software, Inc., La Jolla, CA, USA) and IBM SPSS 25.0 (IBM, Armond, NY, USA). Assessment of data distribution within groups was analyzed using the Shapiro–Wilk test, while presence of outliers was determined using Tukey's rule (see above). Moreover, the 22 samples included in the study are after outlier removal based on two criteria: availability of samples from both T-lymphocytes samples derived from both visceral and
subcutaneous fat depot from the same individual, passing array quality metrics, hierarchical
clustering, and PCA visual inspection. Supplementary figures are included to illustrate the
exclusion procedure (Supplemental Figure S2).

If data had a normal distribution, Student's t-test were performed to conduct comparisons between two groups; otherwise, Mann-Whitney's test was used. Likewise, correlations between *CLDN1* and selected genes' expression levels were conducted using the Pearson's (normally distributed) or Spearman's (non-normally) methods. The threshold of statistical significance for all analyses was established at the two-tailed 5% level (p < 0.05).

235

#### 236 **3. Results**

#### 237 <u>3.1 Clinical parameters</u>

All patients from Cohort 1 exhibited severe obesity, while Cohort 2 included individuals with severe obesity and controls (Table 1). Specifically, in Cohort 2, the group of patients with severe obesity had a higher weight, BMI, HbA1c%, triglycerides, and lower HDL-cholesterol compared to the control group, thus confirming they exhibited an obesity-associated metabolic disarray beyond an increase in adiposity.

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### 244 *3.2 Claudin-1 is a novel target gene modulated in adipose tissue T-cells and in obesity.*

Considering the lack of information about the role of T lymphocytes in terms of gene expression
in obesity, we focused on this WAT cell subpopulation. We isolated for the first time enough
viable T-cells from vWAT and sWAT in human patients with obesity, and a transcriptomic
analysis of this immune cell population was performed.

249 T lymphocytes isolated by MACS were confirmed as CD3<sup>+</sup> by FACS in both vWAT and

sWAT, and equal amounts of T cell RNA from both adipose tissues was used to perform an

array (dataset available at GEO repository: GSE236145). It is important to remark that T 251 252 lymphocytes from sWAT clustered separately of those from vWAT, and also showed different transcriptomic data. Moreover, differential gene expression analyses of T-cell populations in 253 vWAT and sWAT from patients with obesity (Cohort 1) revealed nominally significant 254 255 differences in genes (FDR pvalue<0.05, absolute fold change >1.5, Figure 1A). Upon multiple testing correction only 13 genes were found to be significant (FDR<0.05 highlighted in red in 256 257 Figure 1B; Supplemental File S1). Pathway enrichment analysis (Supplemental Figure S3 and Supplemental File S2) revealed a downregulation of pathways related to cellular component 258 organization or biogenesis, developmental process, and extracellular matrix organization in 259 260 vWAT vs sWAT T lymphocytes. On the other hand, pathways involved in immune response, 261 cell activation and inflammation were upregulated in vWAT compared to sWAT.

*CLDN1* was among the most regulated T-cell genes, showing a dramatic up-regulation in Tcells from vWAT compared to those in sWAT (Figures 1B-C and 2A). Due to the lack of a control group in Cohort 1, *CLDN1* gene expression was measured in Cohort 2 (total sWAT and vWAT from controls and patients with severe obesity). Besides also showing a depot-dependent modulation like in T-cell-based Cohort 1 analyses, whole WAT-based analyses in Cohort 2 revealed higher levels of *CLDN1* mRNA in vWAT from patients with obesity as compared to controls, but not in sWAT (Figure 2B).

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# 270 <u>3.3 CLDN1 is associated with T-cell extracellular matrix remodeling and adipose tissue</u> 271 proinflammatory markers, dyslipidemia, and insulin resistance.

Transcriptomics regression analyses of T lymphocytes showed positive and negative correlations between expression of *CLDN1* and different genes involved in the extracellular matrix function (Supplemental Table S2). However, *CLDN1* gene expression in total vWAT showed a significant inverse correlation with the expression of genes involved in adipogenesis

and adipose function, such as peroxisome-activated receptor gamma (PPARG), fatty acid 276 277 synthase (FASN), hormone-sensitive lipase (HSL) and adiponectin (ADIPOQ) (Figure 3A). Contrary, CLDN1 transcript levels correlated positively with genes encoding pro-inflammatory 278 cytokines, including tumor necrosis factor alpha (TNF), chemokine (C-X-C) motif ligand 10 279 280 (CXCL10) and interleukin (IL) 18 (IL18), but negatively correlated with other genes encoding known adipose tissue-expressed anti-inflammatory cytokines such as IL13, IL33 and CXCL14 281 282 (Figure 3B). Furthermore, CLDN1 gene expression in total vWAT showed a significant positive correlation with the expression of genes involved in adipose tissue remodeling by fibrosis, such 283 as collagen type 1 (COL1A1), transforming growth factor beta (TGF $\beta$ ), insulin growth factor 1 284 285 receptor (IGF1R) and inhibitor of nuclear factor kappa B kinase subunit epsilon ( $I\kappa BK\varepsilon$ ) (Figure 286 3C).

Moreover, when assessing correlations between *CLDN1* gene expression and clinical parameters in Cohort 2 comparing obesity *vs* control individuals, significant associations were observed with *CLDN1* in vWAT and parameters related to glucose and lipid homeostasis, such as Hb1Ac and triglycerides (directly) and HDL cholesterol (inversely) before BS (time 0) (Table 2). Such associations were no longer statistically significant after 6 months (Table 2).

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# 293 <u>3.4 CLDN1 protein is detectable in WAT from individuals with obesity.</u>

Finally, we further examined at the protein level whether CLDN1 was distinctly expressed in vWAT and sWAT in obesity. CLDN1 was detected in vWAT and sWAT from 7 obese patients of Cohort 1 indicating that CLDN1 protein detected was notable higher in vWAT than in sWAT, where it was almost nearly undetectable (Figure 4).

298

# 299 4. Discussion

Although obesity is characterized by a chronic low-grade inflammation that is typically more 300 301 extensive in visceral compared to subcutaneous fat (20-22), the gene expression pattern of immune cell types infiltrated in vWAT and sWAT in patients with obesity has not been 302 extensively studied. Our novel transcriptomic data revealed that vWAT T lymphocytes have a 303 304 different gene profile compared to those located in sWAT. Overall, pathways associated with inflammation were enriched in vWAT T-cells, concordant with the aforementioned previous 305 306 whole-tissue and other immune cell-focused reports (20,21), whereas metabolic and tissue remodeling-related pathways were repressed. This data could suggest a different cross-talk 307 between T cells and adipocytes occurring in vWAT compared to sWAT, which would lead to 308 309 a different behavior in terms of gene expression, inflammatory signaling, and adipokines secretion in obesity. Among modulated transcripts, INTL1 and CLDN1 were especially 310 upregulated in vWAT-resident T-cells in obesity. Other studies have previously observed 311 depot-dependent modulation of INTL1 (intelectin-1, an adipokine also known as *omentin*) in 312 vWAT (23) while CLDN1 modulation in obesity has not been fully studied in WAT. 313

Tight junctions (TJs) require the coordination of different proteins (24). Claudins are a family of transmembrane proteins that play a critical role in TJs function by regulating paracellular barrier permeability, as well as apical cell-cell adhesion (25,26). They confer specificity to permeate across TJs (27). Moreover, they can also participate in tissue fibrosis (27,28).

Claudin-1 (CLDN1) was the first-identified member of the family. It is a 22-kDa protein highly expressed in the intestine, spleen, brain, liver, kidney, and testis (28). CLDN1 has recently been identified as a therapeutic target for tissue fibrosis in some organs (e.g., liver, lung, and kidney) (29). Also, a direct involvement of CLDN1 in the development and progression of multiple cancers has been described (30), but little is known about the presence and possible role of CLDN1 in inflammatory processes in WAT depots, especially in obesity. *CLDN1* is expressed in different cell types, including T-cells (31), but no information about its regulation under metabolic complications has been reported. Moreover, it has been described that different isoforms of the claudin family promote T-cells migration and infiltration in different tissues by inducing inflammation (32,33).

Our results show that CLDN1 expression is higher in T lymphocytes from vWAT compared to 328 329 the sWAT in patients with obesity. Moreover, we show that CLDN1 protein is indeed present in these fat depots, and in higher amount in vWAT than in sWAT. After demonstrating the 330 specificity of CLDN1 overexpression in vWAT T lymphocytes (Cohort 1), we corroborated this 331 in whole vWAT compared to sWAT from obese individuals (Cohort 2). We observed that the 332 expression of CLDN1 was also induced in patients with obesity when compared to controls. 333 334 Our findings are supported by another report where CLDN1 expression levels were found to be 335 higher in whole vWAT in comparison with sWAT (34).

vWAT is recognized as a depot with a high degree of hypertrophic adipocytes with decreased 336 adipogenesis (35) and high grade of inflammation in obesity (20-22). Moreover, CLDN1 has 337 been associated with fibrosis and cancer progression, and targeting CLDN1 with a monoclonal 338 antibody has been proposed as a potential therapeutic approach in liver models (29). 339 Additionally, to stabilize cell/matrix interactions, the location of CLDN1 in the basal membrane 340 341 is needed, allowing the regulation of cell/ECM interactions by interacting with integrin 342 molecules via integrin-FAK signaling (36). Given this and the fact that our results also indicated that cell differentiation and extracellular matrix remodeling pathways were downregulated in 343 vWAT T-cells from patients with obesity, we hypothesized that the dramatic up-regulation of 344 345 *CLDN1* could be due to a dynamic breaking and reannealing in TJ-like strands as a pathological reaction against lipotoxicity. This mechanism to try to balance the homeostasis alteration might 346 347 cause a leak favoring the transport of inflammatory mediator(s) and effecting the crosstalk between T lymphocytes and the other cells. 348

Our results show that CLDN1 gene expression directly correlates with inflammatory genes and 349 350 inversely with markers of adipogenesis. In addition, CLDN1 also shows an inverse correlation with the levels of CXCL14, a molecule with an anti-inflammation role reported from our 351 laboratories (37). Moreover, a direct correlation between AT fibrosis markers and CLDN1 gene 352 expression in whole WAT from patients with obesity was found. These results are concomitant 353 with an association of vWAT CLDN1 levels and metabolic dysregulation in obese individuals, 354 which nonetheless disappear after therapeutic intervention (BS), suggesting a detrimental role 355 of this protein's actions in obesity-associated clinical alterations. 356

Our study has several limitations: a) the relatively low number of patients in the Cohort 1 (n =357 358 11), lack of enough statistical power to decipher potential age and gender effect, and the lack 359 of T lymphocytes samples from control individuals in this cohort. However, the control individuals from Cohort 2 allowed us to describe the elevated levels of CLDN1 gene expression 360 in obesity. Moreover, using a publicly available database, a third cohort was analyzed 361 corroborating the data of our study (Supplemental File S3 and S4); b) this data does not 362 distinguish between CD4<sup>+</sup> and CD8<sup>+</sup> T lymphocytes, and it would be important to check these 363 two subpopulations and their contribution to CLDN1 modulation in vWAT; c) Since patients 364 with obesity use to show higher infiltration of immune cells including T lymphocytes in vWAT, 365 366 we cannot postulate a conclusion about the final responsibility for increased levels of CLDN1. However, since an equal normalized amount of RNA was used for transcriptomic analyses of 367 both sWAT and vWAT, our results suggest that such higher levels of CLDN1 are due to a higher 368 369 gene expression in T cells from vWAT compared to those from sWAT, independently of the amount of these cells. 370

Nonetheless, a strength of our data is the novel transcriptome analysis of vWAT and sWAT
CD3<sup>+</sup> T lymphocytes from the same individuals (patients with obesity), allowing specific
intraindividual comparisons to analyze the data.

In conclusion, we demonstrate the existence of a distinct gene expression profile of T 374 375 lymphocytes in vWAT compared to sWAT in humans with obesity, with a marked upregulation of CLDN1 in the former. Our results suggest that CLDN1 may be involved in the more 376 pathology-inducing adaptation of the vWAT to lipotoxicity. Moreover, our data highlight the 377 378 importance of performing further research on the role of inter-cellular junctions within adipose depots, in which CLDN1 is likely to be major actor in the context of altered fat plasticity 379 occurring in obesity. Further studies will be necessary to evaluate potential strategies to combat 380 obesity and related metabolic diseases by targeting CLDN1-mediated signaling. 381

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# 391 Disclosure summary

I certify that neither I nor my co-authors have a conflict of interest as described above that isrelevant to the subject matter or materials included in this work.

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492 **Figure legends** 

Figure 1: Transcriptomic of T cells infiltrated in white adipose tissue (WAT) from
individuals with obesity showed *CLDN1* as a gene modulated in a depot-dependent
manner.

A) Heat map of gene expression comparisons between visceral white adipose tissue (vWAT) 496 and subcutaneous white adipose tissue (sWAT) T-lymphocytes. Legend: red (up-regulated), 497 blue (down-regulated) and white (no modulation)., gene symbol, fold change and fdr 498 significance ("\*\*\*"=FDR <0.001;"\*\*"=FDR<0.01;"\*"=FDR<0.05;"."=FDR<0.1). B) Volcano 499 500 plot showing significantly- (red) and non-significantly regulated (black) transcripts using limma statistics in Cohort 1 dataset (CLDN1 fold change and FDR values shown in inset box). 501 C) PCA biplot showing overlay of the scorings and loadings, highlighting the genes 502 contributing with most weight into the two PCA components most correlated with adipose 503 tissue depot differences (PC2 and PC5). (red vWAT, green sWAT). 504

505 Figure 2: *CLDN1* is induced in obesity, and its expression levels are higher in T 506 lymphocytes infiltrated in visceral white adipose tissue (vWAT) as compared 507 subcutaneous white adipose tissue (sWAT).

508 A) mRNA expression of CLDN1 in T-cells from vWAT compared to those infiltrated in sWAT in patients with obesity. After normality assessment, a paired data (Wilcoxon) test was used to 509 assess statistically significant (p<0.05) differences. The boxplot depicts the median, 510 interquartile range and maximum/minimum values and gray lines indicate intraindividual 511 matching. B) mRNA levels of *CLDN1* in whole adipose tissue from patients with severe obesity 512 513 compared to controls. A t test was used to assess statistical differences. Error bars indicate means  $\pm$  SEM. \* p < 0.05 and \*\* p < 0.01 obesity vs control group in vWAT; # p < 0.05 vWAT 514 vs sWAT. 515

Figure 3: *CLDN1* transcript levels are associated with T lymphocytes extracellular matrix
remodeling markers, adipose proinflammatory markers, dyslipidemia, insulin resistance
and fibrosis markers.

Spearman's correlations between *CLDN1* mRNA levels and mRNA levels of marker genes in human vWAT involved in: A) Adipogenesis and adipocyte function. B) Inflammatory and antiinflammatory genes. C) Fibrosis and tissue remodeling marker genes. Spearman's rho and associated *p*-value are indicated in the graphs. Correlations were considered statistically significant for p<0.05.

# 524 Figure 4: Claudin-1 (CLDN1) protein is located in WAT from individuals with obesity.

A) Negative control, DAPI, CLDN1 and Merge (DAPI+CLDN1) confocal fluorescent images 525 of CLDN1 located in visceral white adipose tissue (vWAT) and subcutaneous white adipose 526 tissue (sWAT) from 7 patients with obesity (Cohort 1). Arrows indicate a higher protein 527 expression of CLDN1 in vWAT compared to sWAT. For the 20X magnification the scale bar 528 529 is 20 µm with a resolution of 4.8272 pixels per micron. For the 60X magnification the scale bar is 10 µm with a resolution of 11.4887 pixels per micron. Original magnification: 60X. Scale 530 bar: 10 µm. B) Graphs showing the quantification of the fluorescence intensity of the vWAT 531 and sWAT localized CLDN1 (\*\*\*\*p < 0.0001, vWAT vs. sWAT). Three nonconsecutive 532 sections sample (vWAT and sWAT) were used from the same patient. 533





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**Table 1. Clinical parameters of Cohorts 1 and 2.** Cohort 1: 11 patients with severe obesity undergoing bariatric surgery (5 men and 6 women); Cohort 2: 14 controls and 13 patients with severe obesity undergoing bariatric surgery (all women). BMI: Body mass index; HbA1c: glycated hemoglobin, HOMA-IR: homeostatic model of insulin resistance; LDL-c: low-density lipoprotein cholesterol; HDL-c: high-density lipoprotein cholesterol. Differences between controls and patients with severe obesity were assessed using Student's t-test (normally-distributed) or Mann-Whitney test (nonnormally-distributed) for unpaired data. Normality was checked using the Shapiro–Wilk test. Statistical significance (Sig): \* p < 0.05; \*\* p < 0.01; \*\*\* p < 0.001; \*\*\*\* p < 0.001; ns—statistically not significant, controls vs patients with severe obesity (Cohort 2).

	Cohort 1	Cohort 2			
	Obesity (n=11)	Control (n=14)	Obesity (n=13)	Sig.	
	Mean ± SD	Mean ± SD	Mean ± SD		
Age (years)	$50.9\pm8.1$	$48.6\pm8.2$	$46.2 \pm 10.1$	ns	
Weight (kg)	$113.5 \pm 16.3$	$64.9 \pm 9.40$	$112.2 \pm 12.0$	****	
BMI (kg/m <sup>2</sup> )	$40.6\pm1.9$	$24.7 \pm 2.5$	43.5 ± 3.9	****	
Glucose (mg/dL)	$117.6 \pm 24.8$	92.7 ± 15.4	$106.5 \pm 23.5$	ns	
Insulin ( mIU/L)	$13.4 \pm 11.3$	5.4 ± 1.3	$16.5 \pm 11.8$	ns	
HbA1c (%)	$5.5\pm0.9$	$4.8 \pm 0.8$	5.3 ± 1.2	**	
HOMA-IR (%)	$4.1 \pm 4.40$	$1.3 \pm 0.4$	$4.8 \pm 4.2$	ns	
Triglycerides (mg/dL)	107 ± 39	$72 \pm 28$	$126 \pm 34$	**	
LDL-c (mg/dL)	$110 \pm 44$	$108 \pm 31$	91 ± 14	ns	
HDL-c (mg/dL)	$63 \pm 42$	$68 \pm 9$	$42\pm 8$	****	
Total cholesterol (mg/dL)	$187 \pm 37$	$190 \pm 34$	$158\pm18$	*	

**Table 2:** Simple (Pearson's) correlation analyses for baseline *CLDN1* transcript expression levels in visceral (vWAT) and subcutaneous (sWAT) white adipose tissue and anthropometric and circulating variables in individuals from Cohort 2 at baseline (0M) and after 6 months of bariatric surgery (BS).

	ОМ				6M			
	vWAT		sWAT		vWAT		sWAT	
	r	<i>p</i> -value	r	<i>p</i> -value	r	<i>p</i> -value	r	<i>p</i> -value
Age	0.126	0.595	0.454	0.119	NA	NA	NA	NA
Surgery	NA	NA	NA	NA	-0.145	0.636	0.671	0.144
Body weight	0.413	0.089	0.034	0.912	-0.448	0.168	0.570	0.238
ВМІ	0.407	0.094	-0.023	0.940	-0.487	0.129	0.792	*0.048
Glucose	0.231	0.327	0.459	0.115	-0.300	0.370	0.261	0.618
Insulin	-0.057	0.853	0.090	0.817	-0.313	0.413	0.520	0.370
HOMA1-IR	-0.043	0.888	0.121	0.757	-0.360	0.342	0.427	0.474
Hb1Ac	0.456	*0.043	0.152	0.745	-0.193	0.592	0.130	0.806
cRP	0.609	0.109	0.608	0.584	0.791	*0.006	0.646	*0.044
TAG	0.521	*0.045	-0.739	0.153	-0.059	0.863	0.445	0.377
Total cholesterol	-0.359	0.173	0.020	0.974	0.050	0.884	0.568	0.239
LDL-c	-0.222	0.409	0.173	0.781	0.363	0.272	0.441	0.381
HDL-c	-0.587	*0.017	-0.219	0.723	-0.419	0.200	0.001	0.990

N = 20. BMI: Body Mass Index. HOMA1-IR: Homeostatic Model Assessment 1 for Insulin Resistance. TAG: Triacylglycerides. LDL-c: Low density lipoprotein cholesterol. HDL-c: High density lipoprotein cholesterol. Hb1Ac: glycated haemoglobin 1Ac. cRP: C-reactive protein.  $\rho$ : Spearman's rank correlation coefficient. \*, bold: Statistically significant correlations (p<0.05).