

1 **Insect pollination in deep time**

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22 Pollination, Fossil pollinator, Gymnosperms, Angiosperms, Paleobiology, Insect-
23 plant interactions.

24

25 **Abstract**

26 The inference of insect pollination from the fossil record is a focus of current
27 research, especially because of findings in Cretaceous ambers. However, the lack
28 of consensus on the definition of an "insect pollinator" challenges the recognition of
29 this plant-insect relationship in the fossil record. We propose a conceptual definition
30 for fossil-insect pollinator and an operational classification for fossil insects into
31 "pollinator" or "presumed pollinator". Through this, we have identified 15 insect
32 families that include pollinators in deep time and show pollination relationships have
33 existed since at least the Upper Jurassic (~163 Ma). There is a clear need for more
34 detailed studies about the development of plant-pollinator interactions that can be
35 inferred from the fossil record. As a first step this work provides insights for
36 understanding the origin and evolution of this relationships in the history of life on
37 Earth, and its influence on the establishment and composition of modern
38 ecosystems.

39

40 **Pollination biology**

41 Pollination by animals, particularly insects, is essential to the reproductive success,
42 survival and evolution of most flowering plants (**angiosperms**) (see Glossary) and a
43 significant proportion of **gymnosperms** [1–5]. The heterotrophic nature of animals
44 makes them completely dependent on plants for food, directly or indirectly. This
45 includes humans and the annual global market value of crop production directly
46 linked to animal pollination is estimated to be \$235–\$577 billion [6]. Accordingly, the
47 past two decades have seen unprecedented interest in pollinators and pollination

48 ecology, especially related to anthropogenic intervention in ecosystems and the
49 effects of global decline of pollinators [see 7–10].

50

51 Angiosperm pollination occurs by the transfer of a pollen grain (containing the male
52 gametophyte) to a flower's stigma (the receptive surface of the female reproductive
53 organs). There the pollen germinates, sends the gametophyte down through the
54 style within a pollen tube, which fertilizes an ovule to produce a seed (Fig. 1.A). The
55 pollination of gymnosperms is broadly similar except there is no stigma or style and
56 pollen germinates in liquid "pollination drops" [11] (Fig. 1.B). Except in the case of
57 autogamous self-pollination, the transfer of pollen between plants requires a vector,
58 wich can be abiotic in the case of **anemophily** or **hydrophily**, or biotic (**zoophily**)
59 or a mixture, **ambophily** [9,12–15].

60

61 Animals, especially insects, are the most common pollen vectors, with an estimated
62 350,000 described species interacting with almost 90% of flowering plants
63 [1,9,10,16]. There has been no equivalent review of pollination in extant
64 gymnosperms, but we estimate that perhaps 40% of the c. 900 species employ
65 insect pollination, though the diversity of those pollinators is unclear.

66

67 The study of pollination ecology involves the analysis of the effectiveness and
68 efficiency of the pollinator assemblage among flowering plants in relation to their
69 searching behaviors, flight distances between flowers, and the number of pollen
70 grains deposited on the stigma [17–19]. The Cox-Knox Postulates provide a
71 systematic approach to the recognition of pollen vectors [10,20] but this kind of

72 behavioral and ecological information is rarely, if ever, represented in the fossil
73 record, though consumption of flowers without pollination (florivory) has recently
74 been described by Xiao *et al.* [21].

75

76 **Ancient pollination**

77 Angiosperms currently dominate most terrestrial ecosystems, but this dominance
78 has only existed during the last ~100–50 million years (My) [22]. Their relatively rapid
79 rise starting in the Early **Cretaceous** (or perhaps earlier – see [23]), ultimately
80 replaced the previously gymnosperm-dominated forests in most parts of the world.
81 This floristic transition has been named the Angiosperm Terrestrial Revolution [24].
82 It is thought that flowering plants have interacted with insect pollinators since their
83 beginning, and that the earliest pollen vectors were perhaps generalist insects like
84 beetles, primitive short-tongued and mandibulate moths, apoid wasps, and flies [25–
85 27]. Presumed pollinators have been reported from the Cretaceous fossil record [28]
86 and examples such as the beetle families Oedemeridae and Kateretidae reveal
87 evidence of shifts from gymnosperm to angiosperm pollination [28,29]. One reason
88 for insects making this shift was that they fed on gymnosperm pollination drops
89 [30,31]. By evolving nectar, angiosperms provided a more nutritious and efficient
90 system for consuming surface fluids, allowing hosts switches and, inadvertently,
91 pollen transfer among conspecifics [32].

92

93 The complexity of pollination is an obstacle when it comes to recognising plant-insect
94 pollinator relationships in **deep time** particularly for gymnosperms which are

95 currently less well studied but were much more diverse in the past. Pollination
96 involves several stages, from a visit of the insect to a plant, attachment of pollen
97 grains to the insect, transport of pollen, visit to a conspecific, and deposition of the
98 pollen on a stigma (Fig. 1 (A, B)). Only some stages can be directly observed in the
99 fossil record, for example pollen transport through grains attached to insect bodies
100 preserved in **amber** or as compressions. Another source of information comes from
101 fossil flowers with pollen in the anthers. If an insect is found at the same locality with
102 identical pollen affixed to body parts, then it is possible to infer a pollination
103 relationship [33]. However, such specimens are uncommon as it requires
104 exceptional preservation and unique taphonomic conditions.

105

106 Because of the recent scientific interest in the evolution and ecology of pollination,
107 we consider it imperative to conceptually define fossil pollinators, which has not been
108 done previously. Our aim is to compare multidisciplinary ecological and
109 paleontological research and propose a set of parameters that confidently recognize
110 pollinating insects in the fossil record. We elaborate a conceptual definition and
111 operational classification of fossil pollinators, using it to infer the origin and evolution
112 of animal pollination in the past.

113

114 **Defining fossil insect pollinators**

115 We conducted a literature search in the ScienceDirect, PubMed, and Google Scholar
116 databases using the keywords “insect pollinator/s”, “fossil pollinator/s”, “fossil insect
117 pollinator/s”. The aim was to compile all extant and extinct families with known
118 pollinating insect species by finding studies that describe insect pollinators or any

119 relationship regarding pollination in deep time. Both **bioinclusions** in amber and
120 specimens as compressions in rocks were considered. As well as the identity of the
121 fossil, we recorded its age, type of preservation (bioinclusion vs. compression),
122 country of location, and the type of evidence used to infer its role as a pollinator. This
123 information is summarized in Supplementary Material 1 and 2.

124

125 Using this information, we developed a schematic key (Fig. 2.A) to allow the
126 classification of a case study of fossil insect into one of two categories: “pollinator”
127 and “presumed pollinator”.

128

129 **Criteria to classify a fossil insect as a pollinator**

130 To determine that a fossil insect was a pollinator, the following requirements must
131 be met: (1) pollen grains attached to a part of the insect’s body surface that may
132 subsequently contact the female reproductive organ of a plant; and (2) assignment
133 to an extant lineage that is considered to include pollinators either exclusively or
134 partially. Here we use ‘lineage’ to mean a family, although a monophyletic group at
135 any taxonomic rank would be appropriate. These criteria must be considered
136 together because each one by itself is not sufficiently robust to determine a pollinator
137 role in the past. In the case of fossil insects that belong to an extinct lineage or
138 modern counterparts that today are not considered pollinators but satisfy the first
139 criteria, they must additionally meet one of the following attributes: i) long proboscis
140 devoid of piercing elements that could be used to feed on nectar or its gymnosperm
141 equivalent; or ii) any morphological specialization that indirectly implies pollination
142 (e.g., specialized structures for capturing and/or carrying pollen, etc.).

143

144 There is an exception to the criteria described above for species belonging to a
145 **crown-group** of monophyletic lineages with pollinating insects, for example the
146 Hymenoptera: Corbiculata [34–38] and other hymenopteran families. These groups
147 have specialized structures only for the transport of pollen (*i.e.*, scopa, fiscina, and
148 corbicula) and which strongly implies that they visit flowers and therefore have the
149 potential to be pollinators. For example, fossil honey bees (genus *Apis* Linnaeus), in
150 which neither pollen is preserved nor the pollen-gathering or transport structures are
151 preserved (e.g., fragmentary specimens preserving synapomorphies for the genus
152 but not pollen or the corbicula), would still be classified as a pollinator as *Apis*
153 belongs to the lineage Corbiculata. To conclude otherwise would require evidence
154 against its classification as a pollinator. In the case of fossil isolated wings of
155 corbiculate bees or lepidopteran **scales**, the presence of pollinators in that geological
156 time and place must be considered a possibility. These data were not included in our
157 results, however, because we cannot always classify them with sufficient certainty
158 (e.g., [39–42]). Excluding these few cases, however, does not alter our results.

159

160 Classifying fossil insects as pollinators through knowledge of extant pollinator
161 relatives in a clade needs to be done cautiously as reversals from nectar or pollen
162 feeding occur. One can build added confidence if the fossil is part of a crown group
163 of insects that are predominantly pollinators or if the fossil also preserves evidence
164 satisfying one of the other criteria, such as the presence of pollen grains attached to
165 the body. This provides direct evidence of the diet [28,43], and pollen consumption
166 has often been considered an evolutionary precursor to biotic pollination [13,44].

167 Pollen consumption alone, however, only allows us to infer a trophic relationship
168 between the insect and some host plants, which can be achieved independent of
169 pollination.

170

171 There are cases where some fossil insects with attached pollen grains are found,
172 but belonging to extant lineages where no pollinators are known, or to extinct groups.

173 In these cases, we can assess another series of alternative conditions that allow us
174 to assess their role as pollinators. For such fossils, it is important that there are
175 preserved pollen- or nectar-feeding specializations, such as long-proboscides with
176 pollen-gathering setae [32,43,45], or mandibular setose specializations [46], among
177 others. Also, the presence of structures exclusively related to pollen feeding, such
178 as pollen baskets [37,47], specialized pollen-gathering setae (e.g., [48]), and
179 specialized abdominal setae [37,49] can also be crucial. However, it must be
180 unambiguously demonstrated that the structure has the function described and no
181 alternative functions.

182

183 A fossil insect with a morphological adaptation that *indirectly* implies pollination may
184 be only considered as a pollinator if it is also accompanied by pollen grains attached
185 to the body surface. If not, the morphological trait by itself would not necessarily
186 imply that it was a pollinator, because other alternatives would remain open. Thus,
187 it will be considered a presumed pollinator. This is to be contrasted with
188 morphological specializations that are exclusively known to be indirectly implied in
189 pollination, and in fossils belonging to a crown-group of a clade of pollinators. In
190 these rare cases, the structure indicates more than the mere potential to be implied

191 in pollination and instead cannot serve any other purpose, and so the trait alone can
192 serve as evidence of a pollinating role.

193

194 **Criteria to classify a fossil insect as presumed pollinator**

195 To determine that a fossil insect is a presumed pollinator requires to: (1) pollen grains
196 attached to the insect's body, as this is indicative of visiting a plant reproductive
197 structure (angiosperm or gymnosperm), however, the specimen cannot be assigned
198 to an extant lineage of pollinators and no additional evidence is present; or (2)
199 without pollen grains attached to the insect's body, however it belongs to an extant
200 lineage that is considered to contain pollinators today. In the latter case the specimen
201 must also meet one of the following conditions: i) coprolites with pollen grains
202 associated with the anal region of the insect; or ii) pollen grains in the gut of the
203 insect; or iii) a long proboscis devoid of any piercing elements; or iv) any
204 morphological specialization that indirectly implies pollination (e.g., specialized
205 structures for capturing and/or carrying pollen, etc.). Thus, we believe that co-
206 occurrence of multiple conditions satisfying some combination of the above cited
207 criteria is necessary.

208

209 With respect to coprolites with pollen grains, a taphonomic analysis is essential and
210 the coprolite has to be attached to the anal region to infer a pollen diet, as there are
211 limitations in attributing coprolite shape, size, and texture to insects beyond major
212 taxonomic divisions [13]. Unfortunately, there is no comprehensive catalog of fecal
213 pellets for living groups of pollinators [50]. The same can be true for pollen grains
214 preserved in intestines, because this only suggests a pollinivorous habit [51], and

215 the presence of a long proboscis by itself is only indicative of a fluid-feeding habit
216 [52], for example haematophagy or phloem feeding.

217 If a fossil insect cannot be assigned to an extant lineage of pollinators, either
218 because it belongs to an extinct group with no descendants or because its modern
219 counterparts are today not considered pollinators, it must be determined using the
220 criteria outlined in iii) otherwise, it cannot be classified.

221

222 The classification of fossil insects as pollinators (Fig. 2.B) should be complemented
223 with additional information from the fossil record, such as the identity of the attached
224 pollen grains. Modern methods for the analysis of fossil pollen grains, such as SEM
225 and TEM imaging, permit the acquisition of far finer character data [53]. There are
226 often differences between pollen produced by wind-pollinated and animal-pollinated
227 plants. Pollen of wind-pollinated species tend to be smooth, dry, and of moderate
228 size, while that of animal-pollinated species is usually sticky, with an ornamented
229 surface, and is of variable size (see [27] and references therein). This kind of
230 information can support different conclusions about early pollination relationships,
231 but can lack precision. If the sample with pollen grains is too old, it is more difficult
232 to determine which lower-level taxon (species or genus) it came from. This is the
233 case for many Cretaceous amber pollen grains (e.g., [28,54]).

234

235 **Fossil pollinators**

236 At least 193 modern insect families belonging to the following 10 orders are
237 considered pollinators: beetles (Coleoptera), flies (Diptera), butterflies and moths
238 (Lepidoptera), true bugs (Hemiptera), bees, ants, wasps and relatives

239 (Hymenoptera), grasshoppers and crickets (Orthoptera), net-winged insects
240 (Neuroptera), thrips (Thysanoptera), cockroaches (Blattodea), and earwigs
241 (Dermaptera) (Fig. 3; see Supplementary Material 1).

242

243 Our literature search of the fossil record of pollinating lineages, resulted in 54
244 different insect families (five undetermined) belonging to 13 orders (one
245 undetermined) for which there was evidence of their pollinating ability
246 (Supplementary Material 2).

247

248 Using the criteria above, we classified 104 fossil insect species belonging to 15
249 families in six orders as pollinators (Supplementary Material 2); one species in the
250 **Jurassic**, 12 in the Cretaceous, and 91 in the **Paleogene** plus **Neogene**. Moreover,
251 120 fossil species belonging to 32 families, and 17 species of undetermined families,
252 from nine different orders (1 undetermined), are classified as presumed pollinators
253 (Supplementary Material 2). In addition, six fossil species belonging to five families,
254 and one of undetermined family, from five different orders, could not be classified
255 (Supplementary Material 2).

256

257 Fossil specimens that meet our criteria are not necessarily the earliest
258 representatives of their respective families in the fossil record. Therefore, pollinating
259 fossil insects are temporally distributed between the Upper Jurassic (~163Ma) and
260 **Miocene** (~20Ma) (Fig. 2.A). Also, based on the current data of pollinator families,

261 there is evidence of a massive shift of the different insect clades from gymnosperms
262 towards pollination of angiosperms (Fig. 4.B).

263

264 **Evidence of pollination in the past**

265 The fossil record provides exceptional opportunities to study and analyze the origin
266 and co-evolution of different organisms and their interactions. Evidence of
267 interactions between plants and insects that could promote pollination includes the
268 study of: i) plant reproductive paleobiology, ii) plant damage, iii) dispersed coprolites,
269 iv) insect gut contents, v) insect mouthparts, and vi) taxonomic assignment to a
270 modern descendant for which reliable ecological data exist [13]. All of them can be
271 differentiated between direct and indirect evidence of pollination, both for
272 gymnosperms and angiosperms, considering the limits of the fossil record and the
273 **taphonomy** of amber and compression deposits.

274

275 Indirect fossil evidence of pollination involves structures like tubes, funnels,
276 channels, and ducts of gymnosperm reproductive organs related to the mouthparts
277 of long-proboscid pollinating insects [30,55], or structures like nectary discs in
278 angiosperms that attract mandibulate pollinating insects [56]. Additional evidence is
279 provided by entomophilous features of fossil pollen such as size, morphology,
280 sculpturing, stickiness, amount, and potential of clumping [57,58]. Among insects,
281 the modification of elongate siphonate mouthparts, potentially flexible in some
282 cases, can be utilized to access pollen drops and nectar offered by both
283 gymnosperms and flowers with deep gynoecea in angiosperms [30,59,60]. But a long

284 proboscis alone is not evidence of a pollinating habit or even feeding on plant fluids
285 as evidenced by the elongate proboscides of protosiphonapterans (e.g., [61]).

286

287 Thus, fossil long-proboscid insects can only be considered pollinators if they have
288 specific characters in their mouthparts, like flexible maxillary palps [60], combined
289 with further evidence of specialized pollen-gathering structures (e.g., pollen-
290 capturing setae, setal combs, etc.) or if belonging to a clade of known pollinators.
291 Insect gut contents and coprolites are particularly exceptional indirect evidence
292 [32,44,52,62], given that in such cases the plant visited and the feeding habits have
293 been determined. Nonetheless, such evidence is insufficient to indicate that the
294 insect was a pollinator as some may feed on pollen but not actually vector the pollen
295 to a conspecific plant. Note that if such coprolites are not in contact with the anal
296 region of the producer, then a conclusion of pollination can be quite controversial
297 (e.g., [63,64]), and these species should not be interpreted as pollinators or
298 presumed pollinators if further evidence is not gathered.

299

300 Direct evidence of insect pollination is becoming more widely known in the fossil
301 record, particularly during the last 25 years (e.g., [28,34,45,47,65,66]). This type of
302 evidence involves insects associated with pollen grains affixed to different parts of
303 the body, particularly the mouthparts, head, and legs, but occasionally other parts,
304 including specific pollen-capture or transport structures (e.g., corbiculae, scopae, or
305 fisciinae among bees [34,37,38]). The latter let infer that these insects at least visited
306 the male reproductive organs of the plants.

307

308 The newly proposed criteria for recognizing a pollinating insect in the fossil record
309 (Fig. 4.A) reveals indisputable evidence of this relationship between insects and
310 plants since at least the Upper Jurassic (~163 Ma). This is well prior to the radiation
311 of angiosperms [24] as unequivocal fossil flowers date to only about 125 Ma [67],
312 although recent molecular data estimate an earlier origin of crown angiosperms
313 before the Cretaceous [23,68,69]. This relationship may be even older since the
314 record of insects with pollen grains attached to the body and other insects with long
315 probosces indicates their origin in the Lower **Permian** (Kungurian, ~276 Ma) [60,70].
316 Therefore, it should not be surprising that some groups of current gymnosperms,
317 such as Cycadales and Gnetales, have entomophilous or ambophilous pollination
318 rather than exclusively anemophilous pollination [3,4, K. Bolinder, PhD thesis,
319 Stockholm University, 2014)]. Such relationships with insects may have persisted
320 since the Mesozoic, which is evidenced through the remarkable interaction between
321 different insect families with gymnosperm and angiosperm plants during the
322 Cretaceous period (Fig. 5).

323

324 **Concluding remarks**

325 The search for fossil insect specimens carrying pollen grains attached to the body
326 should be encouraged, both in amber bioinclusions and compressions, as they can
327 give new information about pollination interactions in the past. Therefore, they can
328 help to understand the impacts of this old relationship between insects and plants in
329 the shaping of today's ecosystems (see **Outstanding Questions**).

330 Description of new specimens with pollen attached to their bodies has increased in
331 recent years (e.g., [49, 70]), and this trend is expected to continue. There are vast
332 and virtually unexplored paleoentomological amber records, such as those from
333 Lebanon and Myanmar, which may hold key information about the transition from
334 gymnosperm to angiosperm pollination. The fossil record shows some evidence for
335 this transition [29] but there are knowledge gaps for periods prior to the Albian.

336

337 The suggested criteria concerning the degree of certainty of the various sources of
338 evidence shown by fossil insects related to pollination, are based on features that
339 can be directly and easily observed in the fossils. This, together with the simple
340 categorization proposed, will result in a better description and interpretation of
341 fossils, and more rigorous knowledge of pollination in deep time.

342

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362 The authors declare that they have no known competing financial interests or
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365

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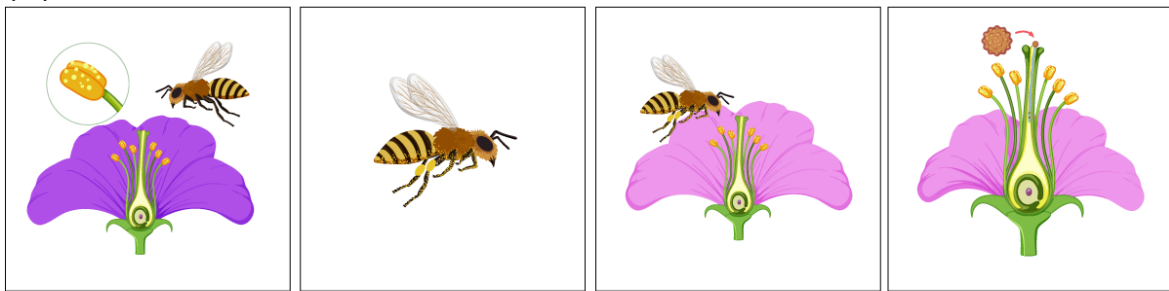
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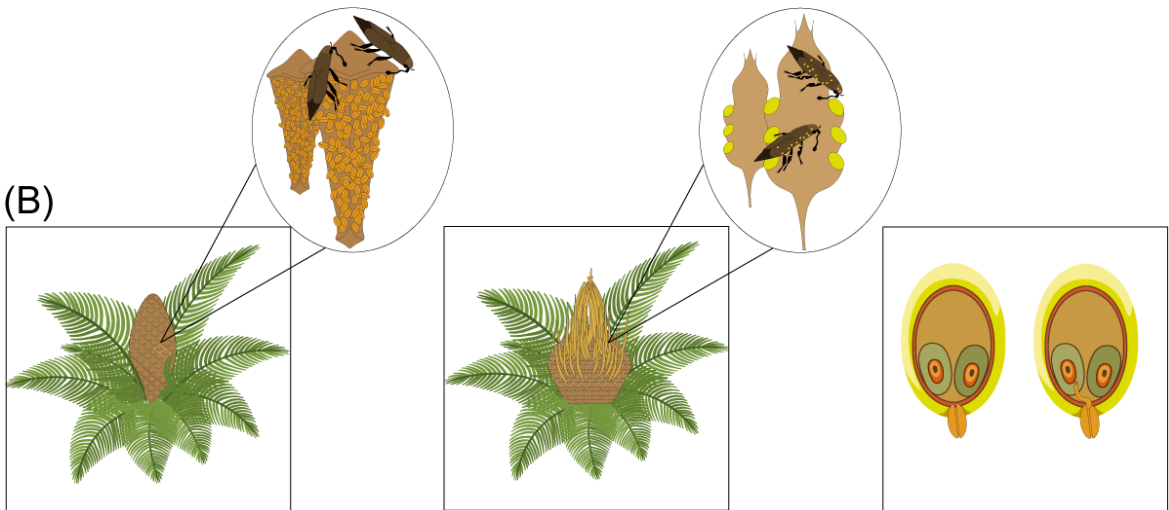
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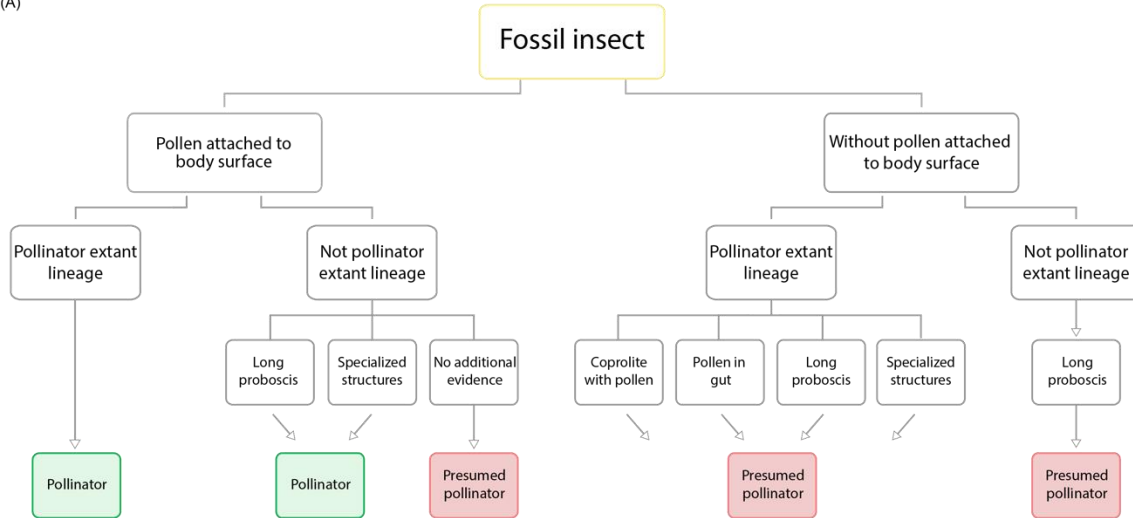


525

526 **Figure 1. Pollination process.** (A) Zoophilous pollination in angiosperms with a bee
527 acting as pollen vector carrying the pollen from one flower to another; (B)
528 gymnosperm with beetles acting as pollen vectors carrying the pollen from a male
529 cone of a cycad (left) to a female cone (centre) with ovaries (right).

530

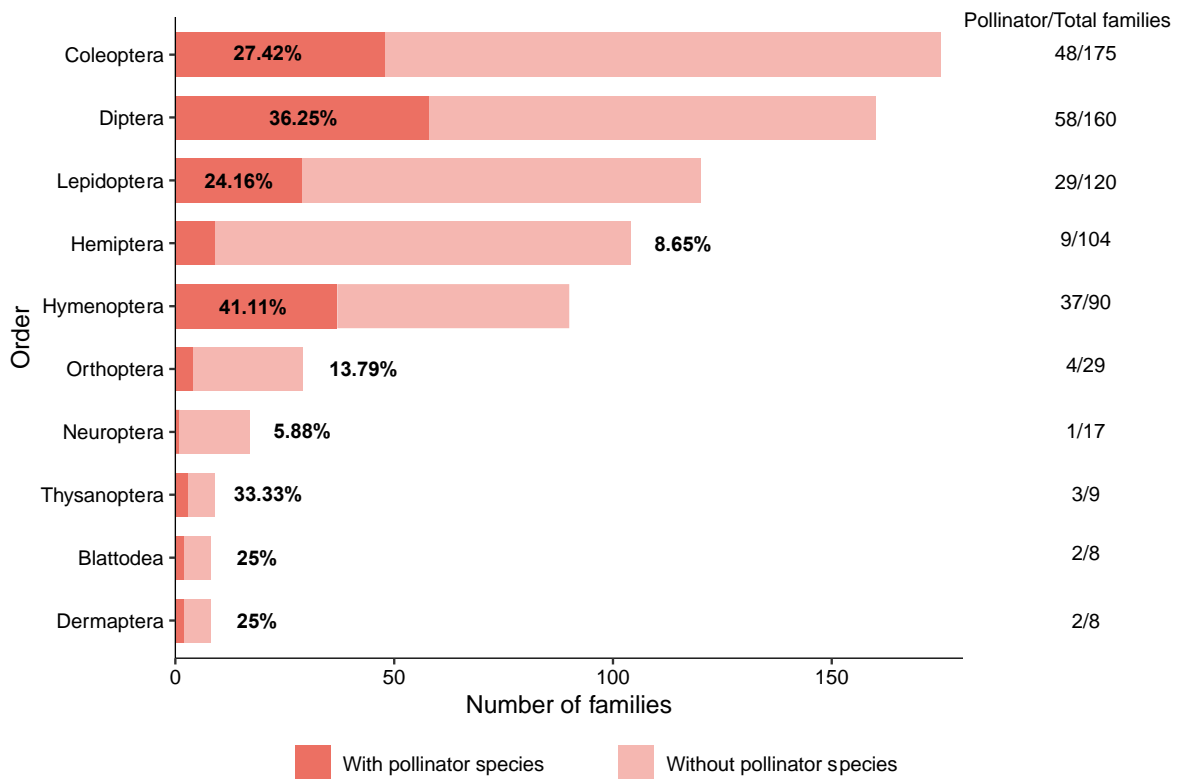
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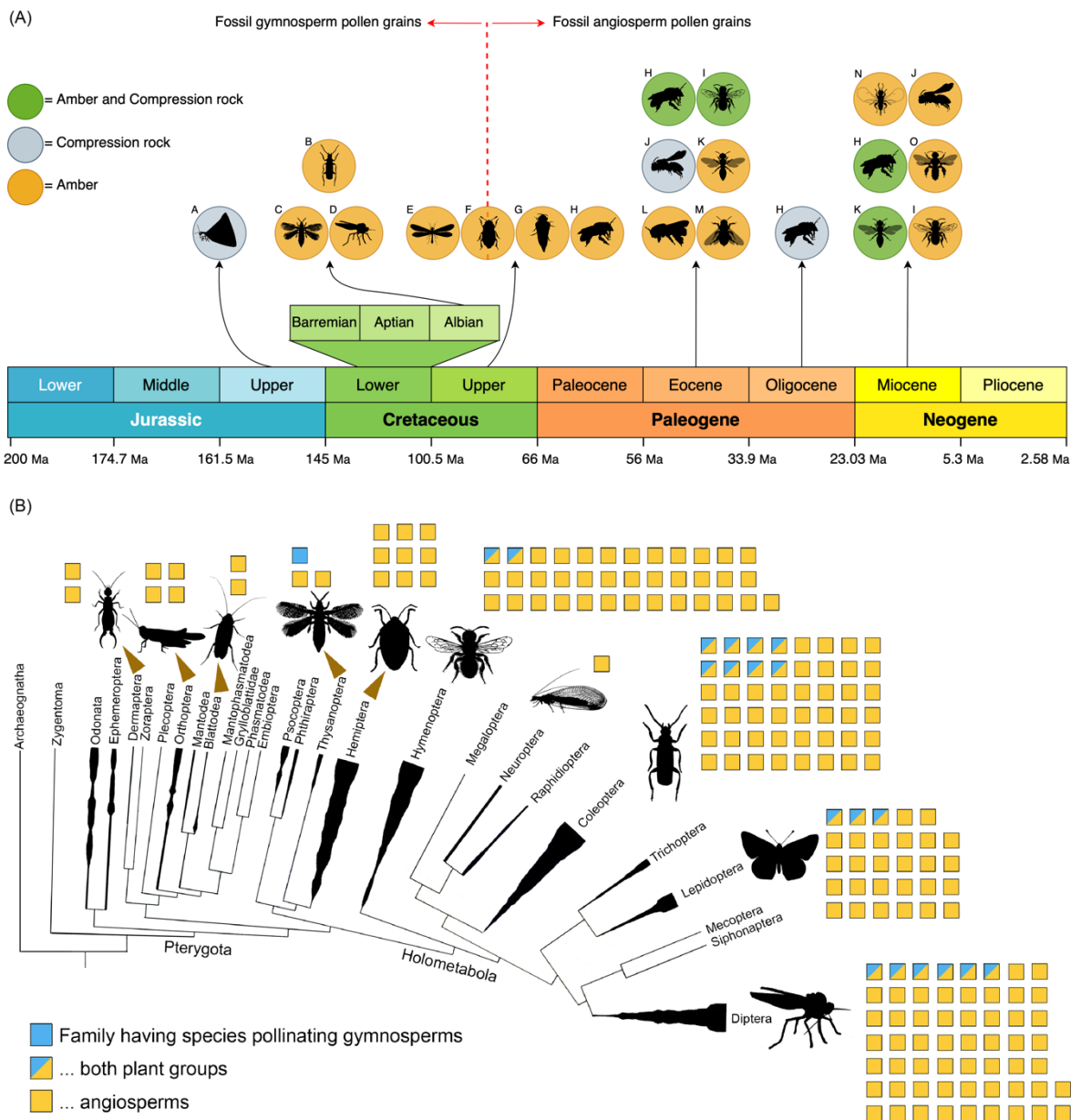


532 **Figure 2. Classification criteria.** (A) Schematic key of the criteria that must be
 533 accomplished for a fossil insect to be considered as a pollinator or presumed
 534 pollinator. The “Long proboscis” criterion must follow the characteristics described in
 535 [48], specific for imbibing liquids. (B) Some pollinator fossil insects with extinct
 536 families marked † below: (a: Neuroptera: †Kalligrammatidae (Middle-Late Jurassic,
 537 Karatau, Kazakhstan), scale bar 1 cm; b: Thysanoptera: Melanthripidae (Early
 538 Cretaceous, Peñacerrada I, Spain), scale bar 0.2 mm; c: Diptera: †Zhangsolvidae
 539 (Early Cretaceous, El Soplao, Spain), scale bar 1 mm; d: Coleoptera: Kateretidae
 540 (Late Cretaceous, Myanmar), scale bar 0.5 mm; e: Hymenoptera: Agaonidae (Early
 541 Miocene, Dominican Republic) scale bar 1 mm).
 542



543

544 **Figure 3. Distribution of extant pollinating insects.** Extant insect families
545 considered pollinators are arranged by order. Bars show the number of insect
546 families in each order separated into families where pollinators are currently known
547 to be present and those where they are not. The distribution from top to bottom is
548 arranged by family diversity. Values used for percentage calculation of pollinator
549 extant families were obtained from [https://www.royensoc.co.uk/understanding-](https://www.royensoc.co.uk/understanding-insects/classification-of-insects/)
550 [insects/classification-of-insects/](https://www.royensoc.co.uk/understanding-insects/classification-of-insects/).



551

552 **Figure 4. Insect pollinators in deep time.** (A) Chronological distribution of the
 553 families containing any fossil insect species considered as pollinators according to
 554 the newly proposed criteria. Each circle shows a different family, with extinct families
 555 marked † below. On the left of the red dotted line are indicated families with a
 556 suggested gymnosperm host and on the right families with a suggested angiosperm
 557 host; the figure F corresponds to Coleoptera: Kateretidae, suggested from both host

558 groups. Only silhouettes A and F are newly created (Families are A: Neuroptera:
559 †Kalligrammatidae; B: Coleoptera: Oedemeridae; C: Thysanoptera: Melanthripidae;
560 D: Diptera: †Zhangsolvidae; E: Mecoptera: †Pseudopolycentropodidae; F:
561 Coleoptera: Kateretidae; G: Coleoptera: Mordellidae; H: Hymenoptera: Apidae; I:
562 Hymenoptera: Megachilidae; J: Hymenoptera: Andrenidae; K: Hymenoptera:
563 Halictidae; L: Hymenoptera: Melittidae; M: Hymenoptera: †Paleomelittidae; N:
564 Hymenoptera: Agaonidae; O: Hymenoptera: Colletidae). (B) Extant pollination of
565 gymnosperms and angiosperms by insect families having pollinator species mapped
566 onto the insect phylogeny. In the major orders the width of the lines show the number
567 of families through time. Reprinted from *The Evolution of Insect Metamorphosis*,
568 Truman, J.W, *Curr. Biol.* 29, R1252–R1268, 2019, with permission from Elsevier
569 [71]. Silhouettes B–E, G–I used to illustrate Fig. 4.A, and some silhouettes in Fig 4.B
570 were obtained from the public domain at <http://www.phylopic.org>.
571



572

573 **Figure 5. Paleoecological reconstruction of insect pollination of gymnosperms**
574 **and angiosperms during the Cretaceous.** To the left of the resiniferous trunk is
575 represented the Albian (Lower Cretaceous), with a ginkgolean with Melanthripidae
576 thrips, a cycad host with Oedemeridae beetles, and a bennettitalean with
577 Zhangsolvidae fly, based on Spanish amber fossil records. At the right of the trunk
578 is represented the Cenomanian (Upper Cretaceous), with water lilies with
579 Kateretidae beetles at the bottom, a cycad host with Kateretidae beetles, and a
580 eudicot angiosperm with Mordellidae beetles at the front, based on Myanmar amber
581 fossil records. Art by J.A. Peñas.