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Gold(I) Complexes as Powerful Photosensitizers: A Visionary Frontier Perspective

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Abstract

Singlet oxygen production and its reactivity have significant implications in fields ranging from polymer science to photodynamic therapy. Extensive research has focused on the development of organic-based materials and heavy metal complexes, including Ru(II), Rh(III), Ir(III) and Pt(II). However, metal complexes containing Au(I) have been scarcely explored and warrant further investigation. This review provides a comprehensive analysis of reported compounds, classified based on the ligands coordinated to the gold(I) centre. Additionally, future directions in photosensitizer development and singlet oxygen applications are discussed.

Keywords: gold(I), singlet oxygen production, triplet harvesting, heavy atom effect.



Introduction

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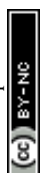
Long-lived photoexcited triplet states can be efficiently quenched by molecular oxygen through energy transfer.^{1,2} In this context, for the characterization of emissive species, oxygen must be removed before any measurements on phosphorescence emission spectra, lifetimes, and quantum yields in solutions at ambient temperatures.³ Otherwise, a supramolecular matrix should be added to the solution to isolate the phosphors from oxygen quenching.^{4–6}

This process results in the formation of singlet oxygen, $^1\text{O}_2$, which can occur, for example, through sensitization. Here, a photosensitizer (PS) in the excited triplet state relaxes to its ground state by transferring energy to ambient oxygen.⁷ This research area has garnered increasing attention in recent years due to its pivotal role in various processes such as wastewater treatment, blood sterilization, photocatalytic processes, photodynamic therapy, organic synthesis of fine chemicals, and environmental contaminant destruction.^{7–10}

Photosensitizers possessing a populated triplet excited state higher in energy but close to the lower excited state of oxygen, $^1\text{O}_2$, are of great interest in this field. The efficiency of singlet oxygen production, represented as singlet oxygen quantum yield, Φ_Δ , depends on numerous factors, including not only the rate of intersystem crossing k_{ISC} , but also the rates of radiative and non-radiative decay of excited states relative to k_{ISC} , the thermal accessibility of excited d-states enabling non-radiative deactivation pathways, and the efficient transfer of the T_1 energy to $^3\text{O}_2$.^{11–15}

For effective molecular design, an efficient photosensitizer should exhibit high intersystem crossing (ISC) rates and a prolonged triplet lifetime to maximize energy transfer probability. Some examples of good photosensitizers are rose bengal, fluorescein or perinaphthenone. Enhancing ISC can be achieved by increasing spin–orbit coupling (SOC), which can be done by introducing heavy atoms or heteroatoms, or by incorporating donor/acceptor units that facilitate electron transfer steps.^{7,16–19} The triplet excited-state energy must be greater than the energy required to convert O_2 from its fundamental state ($^3\Sigma_g^-$) to an excited state ($^1\Delta_g$) (0.98 eV).

Transition metal complexes are of great interest in photophysics because their heavy metal centers can promote efficient intersystem crossing (ISC) and populate the triplet



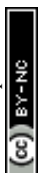
excited state, resulting in phosphorescent emitters or enabling energy transfer processes.^{7,9,13,20–22}

In energy transfer processes, these complexes can act as photosensitizers, transferring energy from the photosensitizer (PS) to molecular oxygen to produce reactive singlet oxygen ($^1\text{O}_2$). The proximity of a heavy atom to the fluorophore is expected to enhance the likelihood of intersystem crossing: the closer the contact, the higher the photosensitizer's efficiency. Therefore, chromophores attached to heavy metals are of particular interest, as they exhibit high spin–orbit coupling (SOC) values and allow for easy tuning of their photophysical properties through ligand modification. Among the metals studied for singlet oxygen production, gold(I) is relatively underexplored, with only a few examples reported in the literature. However, the high phosphorescence emission observed for gold(I) complexes at low temperatures, along with the recorded decrease in fluorescence quantum yields and shorter decay times of their singlet excited states, clearly indicate the promotion of the triplet state by the Au(I) heavy atom effect. These characteristics make gold(I) complexes promising candidates for exploration as singlet oxygen generators. However, understanding the relationship between structure and photophysical properties in gold(I) complexes remains limited. Efficient $^1\text{O}_2$ sensitization and its evaluation through singlet oxygen quantum yields, Φ_Δ , are other promising areas of research that have been poorly explored for these compounds. To the best of our knowledge, there are very few reports in the literature on gold(I) complexes used as photosensitizers, with yields ranging from low to high. The well-established synthetic methodologies for obtaining a wide variety of gold(I) derivatives, combined with their well-known heavy atom effect promoting ISC, make these compounds worthy of careful analysis and a promising area for further research and development.

1. Methods of $^1\text{O}_2$ detection

Two different methods of detection have been described to identify and/or quantify the formation of $^1\text{O}_2$ and qualify a compound as a good PS. We will refer to these methods as Method 1 (*the indirect method*) and Method 2 (*the direct method*).

Method 1 is based on monitoring changes in the absorption or emission spectra of a singlet oxygen ($^1\text{O}_2$) quencher, typically a chemical probe with aromatic rings such as 9,10-dimethylantracene or 1,3-diphenylisobenzofuran among others, in the presence of



a photosensitizer (PS) over time.¹⁰ This is done by irradiating the solution at the absorption maximum wavelength of the PS. The quenching reaction generally results in the formation of an epoxide or a [4+2] cycloaddition product, leading to the loss of the large aromaticity and a corresponding decrease in absorption spectra over time (see Figure 1). The concentration of $^1\text{O}_2$ can be quantitatively monitored by measuring the decay in absorption of the quencher at its absorption maximum wavelength. By plotting $\ln(A_0/A)$ against irradiation time and comparing it with a reference PS, the efficiency of the PS can be quantified. This is the most common method, probably because UV-visible absorption spectrophotometers are easily available in different laboratories.

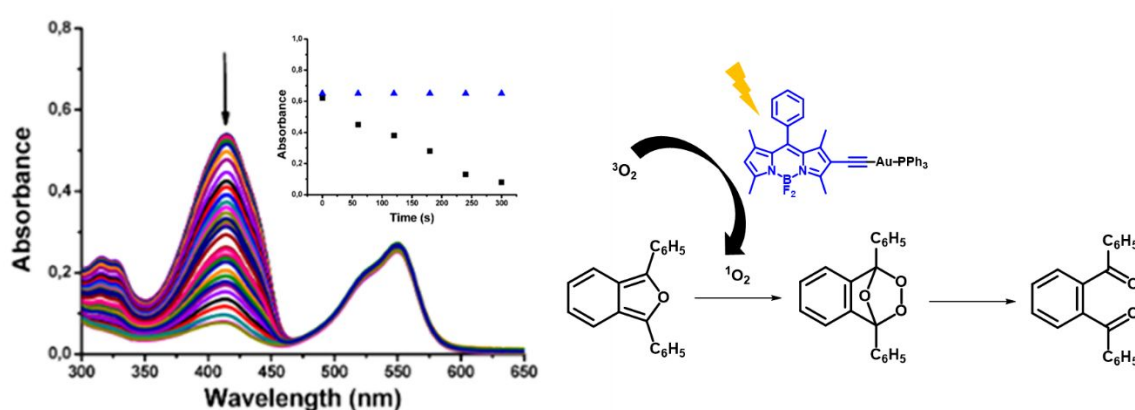


Figure 1. Singlet oxygen mediated bleaching of 1,3-Diphenylisobenzofuran (DPBF) in the presence of gold(I) complex **25** and the rates of decay of DPBF monitored at 415 nm, using gold(I) complex and the organic ligand as a reference.¹⁰

Method 2, referred to as “the direct method,” involves directly measuring the characteristic emission of singlet oxygen ($^1\text{O}_2$) at approximately 1270 nm as it decays to the ground state, $^3\text{O}_2$ (see Figure 2).^{10,16} This emission is observed after irradiating the photosensitizer (PS) under study at its absorption maximum. The efficiency of the new PS can be quantified by integrating the resulting $^1\text{O}_2$ emission band and comparing it to a reference tabulated pattern (such as perinaphthenone or rose bengal), followed by applying the following equation to determine the PS efficiency: $\Phi_{\Delta} = \Phi_{\Delta}^{ref} \frac{Emission_{1270\text{ nm}}}{Emission_{1270\text{ nm}}^{ref}}$.



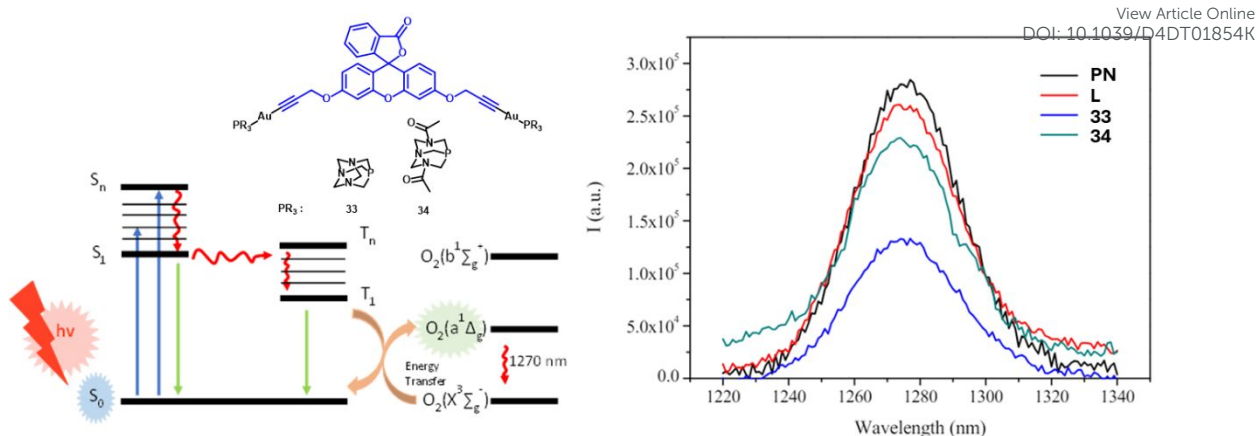


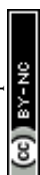
Figure 2. Methods of 1O_2 measurement using direct detection of the phosphorescence of the singlet oxygen at 1270 nm of gold(I) complexes **33** and **34**, the organic counterpart (**L**) and perinaphthenone (**PN**).

This method is substantially less common and is less frequently reported in the literature, likely due to its technical requirements. It necessitates a highly sensitive DSS-IGA020L detector (Indium Gallium Arsenide Solid state detector) coupled to a spectrofluorometer, as well as the optimization of experimental parameters to accurately record the 1O_2 emission. Nevertheless, once these conditions are met, we strongly recommend this method because it is based on the direct measurement of an emission spectrum. Additionally, changes in the 1O_2 emission lifetimes can be measured when an oxygen quencher molecule undergoes cycloaddition, providing direct evidence of the successful photosensitizing process.

Quantum yields of singlet oxygen production not only depend on the photophysical properties of the PS but may also be considerably influenced by the experimental conditions, such as oxygen concentration nature of the solvent and temperature.^{7b} Some of the PS can be strongly influenced by the polarity of the solvent or the protonation. For example, the quantum yield values of singlet oxygen of 9H-fluoren-9-one goes from 0.83 in benzene to lower than 0.1 in methanol. Therefore, in this review, the solvent used will be also mentioned next to the quantum yields reported.

3. Gold(I) complexes as singlet oxygen photosensitizers

The photophysics of gold(I) compounds remains not fully understood, as many principles and parameters influence the various pathways between ground and excited states,



ultimately leading to their deactivation to the ground state. Intersystem crossing (ISC) is typically favoured in these compounds, largely due to the heavy atom effect, which enhances spin-orbit coupling (SOC) and increases the population of triplet excited states.¹¹ As a result, an increase in triplet state population usually correlates with a decrease in fluorescence emission, since these are two competing deactivation mechanisms. However, this trend is not universally applicable, as the chromophore can significantly influence these processes and sometimes favour fluorescence emission over triplet state population.

There are very few examples in the literature of gold(I) complexes acting as photosensitizers (PS). This prompted our research efforts a couple of years ago to delve into this intriguing research topic, wherein we adapted our laboratory equipment to successfully measure the corresponding $^1\text{O}_2$ emission at 1270 nm (Method 2, direct method). At that time, only two examples of gold(I) PS were reported, both based on well-known organic PS (BODIPY and porphyrin) linked to the gold(I) atom.^{23,24} The synthetic procedures for obtaining such chromophores typically involve several reaction steps and rigorous purifications, yielding commonly only a few milligrams of the final product. Therefore, our interest was piqued in attempting to synthesize new gold(I) PS containing simpler organic ligands, facilitating easier synthetic methods and potential scalability of the final products in larger quantities, if required.

The resulting data on the reports of gold(I) PS reported in the literature has been analysed herein, leading to the extraction of several conclusions. Discussions have been organized based on the chromophores for clarity and organization purposes (Figure 3).

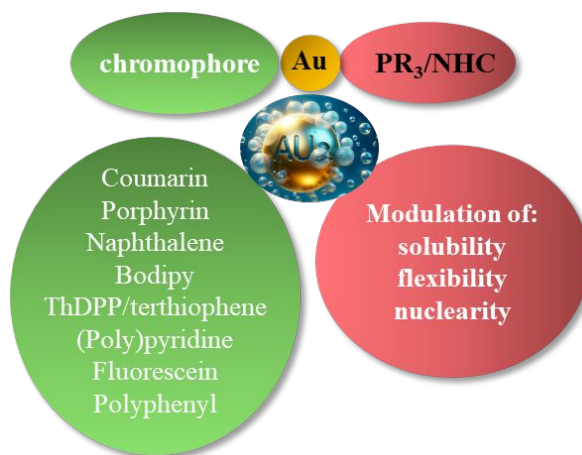
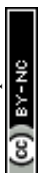


Figure 3. General scheme of the gold(I) compounds as PS reported in the literature.



Global singlet oxygen production quantum yield values, Φ_{Δ} , are displayed in Table 1 and explained later in more detail.

Table 1. Φ_{Δ} values of the gold(I) complexes reported in the literature as PS. The numbers of the compounds correspond to the different compounds that will be presented along the text.

Compound	Φ_{Δ} (solvent)	Compound	Φ_{Δ} (solvent)
1	0.96 (toluene)	18	0.09 (dichloromethane)
2	0.04 (acetonitrile)	19	0.09 (dichloromethane)
3	0.04 (acetonitrile)	20	0.46 (acetonitrile)
4	0.06 (acetonitrile)	21	0.43 (acetonitrile)
5	0.07 (acetonitrile)	22	0.05 (acetonitrile)
6	0.12 (acetonitrile)	23	0.02 (acetonitrile)
7	0.18 (acetonitrile)	24	0.07 (acetonitrile)
8	0.02 (dichloromethane)	25	0.84 (dichloromethane)
9	0.01 (dichloromethane)	26	0.65 (dichloromethane)
10	0.10 (dichloromethane)	27	0.09 (C ₆ D ₆)
11	0.02 (acetonitrile)	28	0.17 (C ₆ D ₆)
12	0.02 (acetonitrile)	29	0.16 (ethanol)
13	0.03 (acetonitrile)	30	0.39 (ethanol)
14	0.21 (dichloromethane)	31	0.33 (ethanol)
15	0.26 (dichloromethane)	32	0.93 (acetonitrile)
16	0.17 (dichloromethane)	33	0.45 (dichloromethane)
17	0.11 (dichloromethane)	34	0.81(dichloromethane)

To the best of our knowledge, the earliest gold(I) PS described in the literature dates to 2017 and contains porphyrin, a well-known organic PS (compound **1** in Figure 4), in its chemical structure.²⁴ It was observed that the efficiency of **1** (Φ_{Δ} = 0.96) is higher than that of the porphyrin organic precursor (Φ_{Δ} = 0.58). This enhancement was attributed to the heavy atom effect, which favours the population of the T₁ state and facilitates energy transfer to ³O₂.



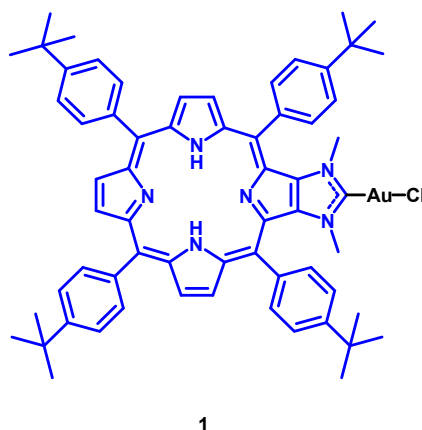


Figure 4. Gold(I) complex **1** containing a porphyrin ligand studied as PS.

Gold(I) derivatives of coumarin (Figure 5) were observed to be poor photosensitizers (PS), despite the anticipated enhancement of their triplet excited state population due to the presence of the gold(I) heavy atom. Two groups of coumarin derivatives were explored, with coordination to the metal centre occurring through either an alkynyl unit or a sulphur atom.^{12,14,25} No significant differences were observed based on this connecting atom. Although the electron-donating or electron-withdrawing characteristics of the substituents on the coumarin rings exert some influence on the resulting Φ_{Δ} , the overall values range from 1% to a maximum of approximately 20%.



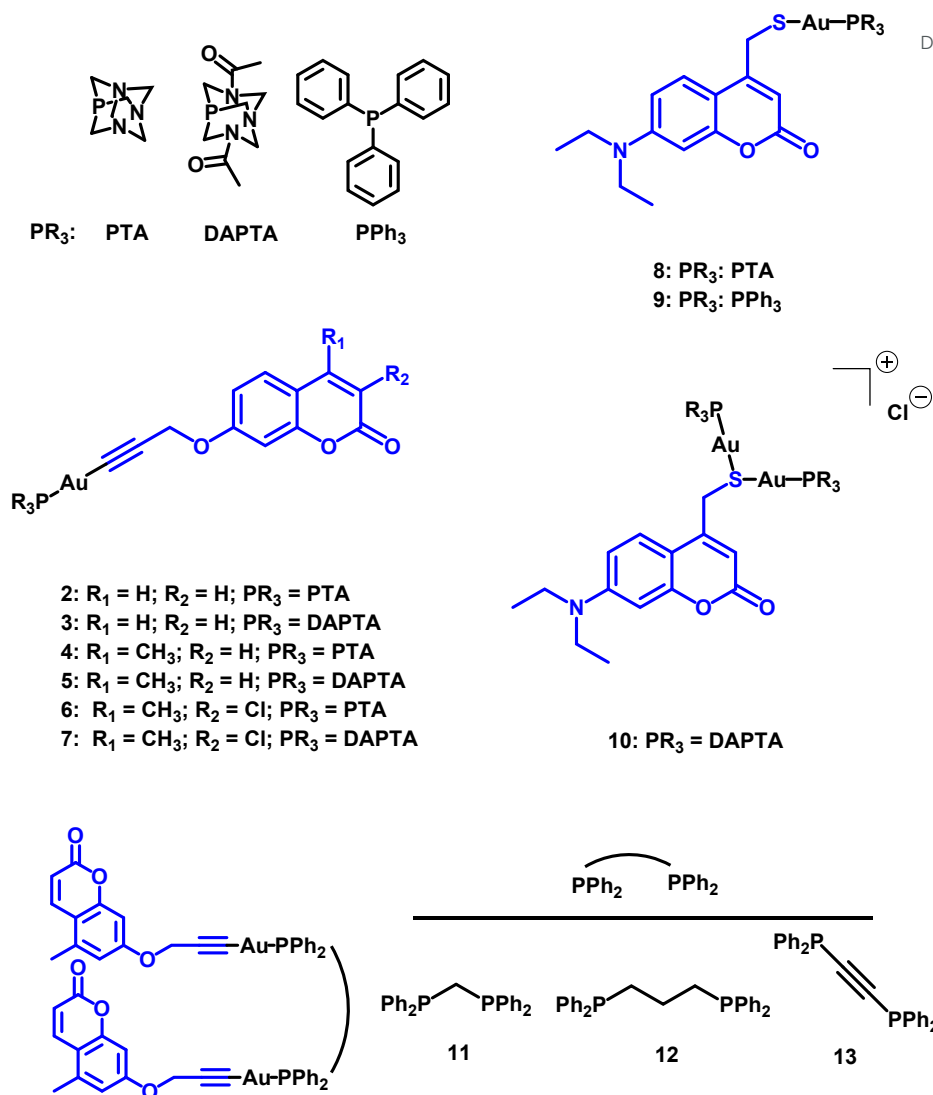


Figure 5. Gold(I) complexes containing coumarin ligands studied as PS.

The comparison of the first two series of coumarin derivatives, connected through a propargyl group to the gold(I) metal centre (compounds **2-7** and **11-13**), leads us to assert that the nuclearity of the compounds does not appear to play a significant role in the PS efficiency. This is likely because the gold-propargylcoumarin moieties act independently, as there is no clear evidence of interconnection or proximity between them. This hypothesis is supported by the coumarin complexes connected through a sulphur atom (compounds **8-10**), where the resulting Φ_{Δ} of **10** is approximately one order of magnitude higher than the recorded for **8** and **9**. The main difference relies on the fact that compound **10** displays two gold-phosphane units in close proximity. This raises an important point for further exploration: the potential role of Au...Au (aurophilic) interactions in the resulting Φ_{Δ} .



Two series of neutral or ionic gold(I) compounds containing both aniline and naphthalene-substituted chromophores were reported in 2022 (Figure 6).¹⁶

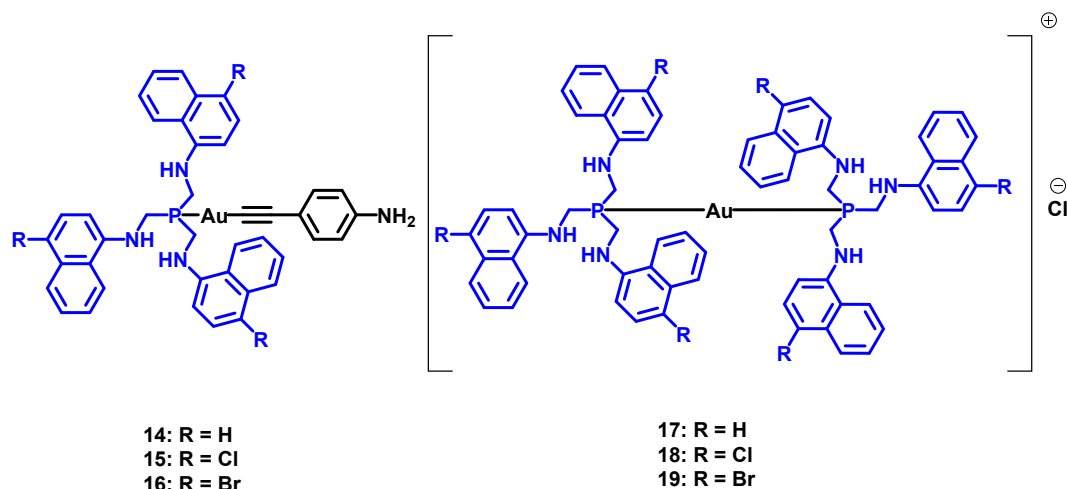


Figure 6. Gold(I) complexes containing aniline and naphthalene-substituted ligands studied as PS.

Two important conclusions can be drawn from these data. The first one is that the global charge of the compounds affects their PS efficiency, as the Φ_{Δ} calculated for **14-16** is approximately 2-3 times higher than the values of **17-19**.

The presence of two different chromophores might explain the observed differences, particularly since the ionic compounds contain only naphthalene-substituted chromophores. Additionally, the halogen substituents on the naphthalene rings exhibit a heavy atom effect that counteracts the effect of the gold(I) metal centre. This leads to reduced PS efficiency, with chloride- and bromide-substituted naphthalene compounds performing worse than their unsubstituted counterparts in both neutral and ionic forms. BODIPY-derived compounds are another well-known class of organic PS. The corresponding Au-BODIPY PS complexes are displayed in Figure 7.^{23,26}



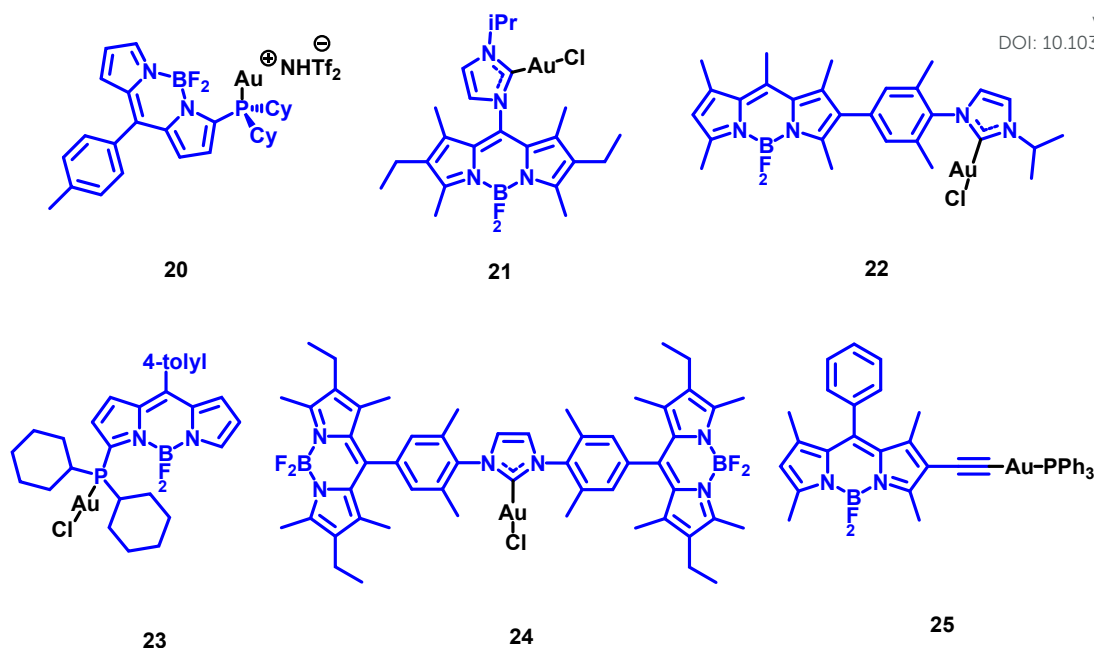


Figure 7. Gold(I) complexes containing BODIPY ligands studied as PS.

The position of the gold(I) metal centre on the functionalized BODIPY was observed to influence the photosensitizer (PS) efficiency. Generally, the proximity of the heavy metal centre to the BODIPY affects the efficiency, with higher values observed when the BODIPY is closer to the gold atom. Interestingly, complex **23**, in which the gold is bonded very close to the BODIPY core, exhibits poor singlet oxygen production. The authors attributed this to the appearance of a deactivation channel through PET-quenching, where an electron transfer from the BODIPY excited state to the metal centre is followed by a non-radiative recombination of the charges. The positive effect of the heavy atom on gold(I)-BODIPY photosensitizers was clearly evidenced when the chromophore structure was functionalized with an alkynyl moiety as a coordination point for the metal centre (Au-PPh₃ group) in compound **25**, Figure 6. While the BODIPY organic counterpart does not behave as a PS, the corresponding gold compound **25** presents one of the highest values recorded (84%). The analysis of a di-iodoBODIPY equivalent compound also demonstrates the positive effect of the heavy atom on the ¹O₂ photogeneration, although the resulting value is slightly smaller than that measured for **25** (79%). A similar effect was observed for **26** in Figure 8, where the obtained ϕ_{Δ} value is enhanced compared to that recorded for the organic free ligand (39% vs 65%).²⁷ Theoretical calculations show that the organic free ligand has higher reverse intersystem crossing rates than the gold(I) complex. As a result, the ligand more easily returns to the singlet state, reducing the likelihood of its triplet state transferring energy to ground-state



$^3\text{O}_2$. An important feature not yet explored is the effect of the ancillary phosphane ligand on the resulting PS behaviour. This was analysed for a couple of terthiophene (TBT) derivatives where the $^1\text{O}_2$ efficiency of the compound containing PMe_3 ligand (compound **27**) is the half (9%) than the value calculated for the PPh_3 (**28**) analogous derivative (17%).²⁸ This underscores the importance of taking into account the stereoelectronic properties of the phosphane in future studies within this field.

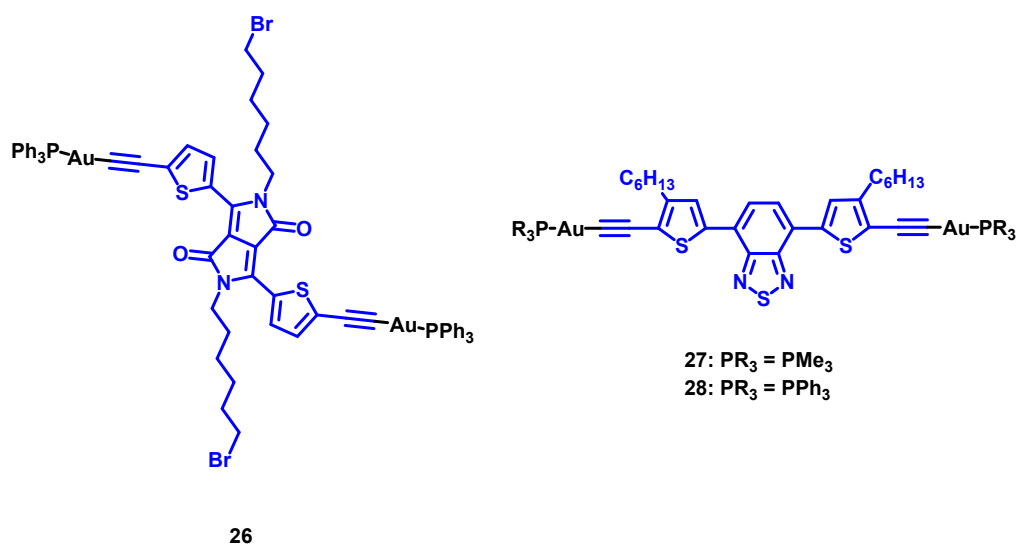


Figure 8. Gold(I) complexes containing ThDPP and terthiophene ligands studied as PS.

Other chromophores coordinated to the Au centre through an alkynyl moiety have been explored as $^1\text{O}_2$ PS. This includes (poly)pyridyl derivatives, as shown in Figure 9.^{11,29}

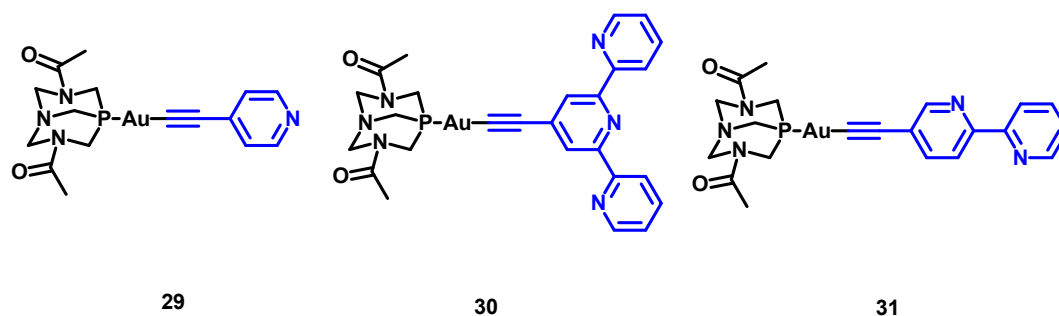
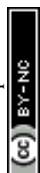


Figure 9. Gold(I) complexes containing (poly)pyridyl ligands studied as PS.

The efficiency calculated for these compounds is moderate, but notably higher (approximately double) in the case of bipyridyl and terpyridyl derivatives (**31** and **30**) compared to the pyridyl compound **29**.



The analysis of the photophysical data retrieved by the authors showed that gold(I) complexes demonstrate rapid intersystem crossing rates, significantly influenced by the chromophore structure and the singlet oxygen quantum yields. The inclusion of gold(I) effectively adjusts the excited-state dynamics of the organic counterpart. However, this adjustment does not lead to complete unity in quantum yields of triplet formation, as internal conversion from the singlet state continues to be a competitive pathway.

Very recent studies in this field have revealed some of the highest Φ_{Δ} values, around 90%, with naphthalene-diimide-decorated N-heterocyclic carbene (NDI-NHCs) and fluorescein gold(I) derivatives (see Figure 10).

In both series of compounds, the photophysical (PS) efficiency is not significantly influenced by the heavy atom but does affect the resulting coloration. The NDI-NHC derivative **32** shows efficient catalysis in the endoperoxidation and peroxidation of cyclic and acyclic alkenes, as well as in the selective oxidation of 2-chloroethyl ethyl sulphide to the non-toxic product chloroethyl ethyl sulfoxide.³⁰

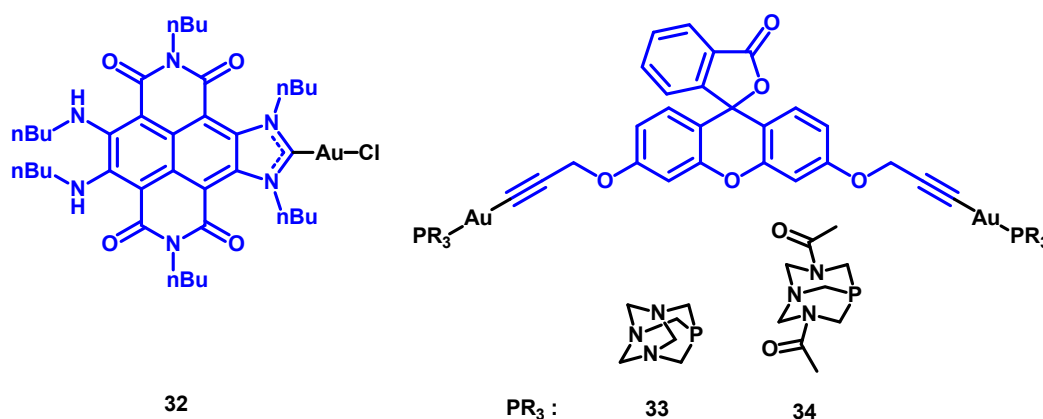


Figure 10. Gold(I) complexes containing NDI-NHC and fluorescein ligands studied as PS.

Different approaches have been undertaken in the study of the fluorescein derivatives **33** and **34** as PS.⁵

Singlet oxygen production was also evaluated in doped polymer matrices, with polystyrene exhibiting the strongest signal. Theoretical calculations indicated that gold(I) complexes interact less with the matrix, resulting in increased contact with oxygen. To enhance the active surface area and thus singlet oxygen production, polystyrene microspheres were tested, leading to an observed increase in the signal.



The use of triphenylphosphine-3,3',3''-trisulfonate (TPPTS) phosphane was also explored in 2017 with compounds **35-39** (Figure 11) that also display the chromophore-alkynyl-AuPR₃ chemical structure.³¹ Although the results demonstrate that they are efficient PS, as far as we know, no quantification was reported for them. Interestingly, the authors use the singlet oxygen production as a locally deoxygenation method and a way to activate the phosphorescence pathway both in DMSO and in a gel matrix. The results provide a novel and non-contact approach for promoting phosphorescence at room temperature of metal-organic complexes.

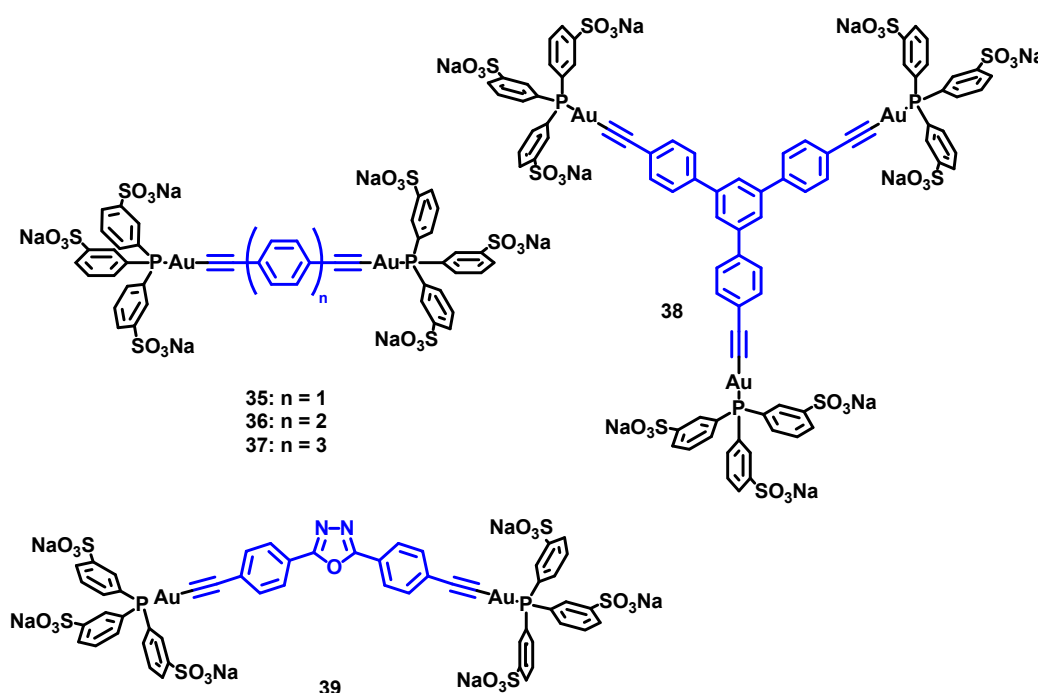


Figure 11. Gold(I) complexes containing polyphenyl ligands studied as PS.

4. Conclusions and outlook

The production of singlet oxygen by Au(I)-based compounds is strongly influenced by the ligand coordinated to gold, where significant electronic transitions occur. Acting as a heavy atom, gold(I) enhances intersystem crossing, accelerating this process's rate constants and populating the triplet state, thereby promoting singlet oxygen production.

Literature findings underscore the promising nature of this research area. Critical factors influencing photosensitizing behaviour include the heavy atom effect, metallophilic interactions, chromophore characteristics, and the distance between the chromophore and



the gold(I) centre. However, further studies are necessary to establish correlations between chemical structure and singlet oxygen production. Exploration into heterometallic complexes (which facilitate metallophilic interactions) remains in its nascent stages, as does analysis of these processes in solid samples beyond powders (e.g., glass, organic matrices).

The ability to modify the chemical structure of gold(I) compounds easily, owing to their linear coordination motif, coupled with the expanding applications of singlet oxygen in biological and environmental research, positions this research field as highly promising.

Authors contributions

AP and LR conceptualized the review, and were involved on formal analysis, writing, review and editing. LR obtained funding and supervised.

Conflicts of interest

There are no conflicts to declare.

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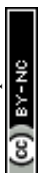
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Data availability

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The data supporting this article have been included within the text.

