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# A new era in brain drug delivery: Integrating multivalency and computational optimisation for blood-brain barrier permeation<sup> $\star$ </sup>

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#### HIGHLIGHTS

#### G R A P H I C A L A B S T R A C T

- BBB crossing remains a major obstacle for the treatment of neurological diseases.
- Multivalent systems hijack BBB transport pathways, enhancing brain targeting, transcytosis, and treatment efficacy.
- Super-selective multivalent systems reduce off-target effects and improve therapeutic outcomes in brain drug delivery.
- Combining mathematical and computational models accelerates the development of optimised brain drug delivery systems.

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#### ABSTRACT

Efficient drug delivery across the blood-brain barrier (BBB) remains a significant obstacle in treating central nervous system (CNS) disorders. This review provides an in-depth analysis of the structural and molecular mechanisms underlying BBB integrity and its functional properties. We detail the role of key cellular and molecular components that regulate selective molecular transport across the barrier, alongside a description of the current therapeutic approaches for brain drug delivery, including those leveraging receptor-mediated transcytosis. Emphasis is placed on multivalency-based strategies that enhance the specificity of nanoparticle targeting and improve transport efficacy across the BBB. Additionally, we discuss the added value of integrating

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mathematical and computational models with experimental validation for accelerating BBB-targeted delivery systems optimisation.

## 1. Brain barriers: Understanding the frontlines for brain drug delivery

Neurological disorders are major causes of global disability and are the second leading cause of death after cardiovascular diseases, posing significant economic and health burdens to societies worldwide [1]. The low success rate of brain therapeutics discovery is largely due to the failure of designed compounds to cross the brain barriers and reach brain targets at therapeutic doses. Paradoxically, these barriers that exclude approximately 98 % of small-molecule and nearly all largemolecule brain therapeutics are also those that safeguard the brain's integrity, promote long-term neural health and support life overall. They shield the brain from potentially toxic substances, pathogens, and fluctuations in its chemical composition that could disrupt delicate neuronal activity and cognitive function. Brain barriers are highly selective rather than impermeable, allowing essential molecules such as glucose, oxygen, and amino acids to pass through. This selective permeability is achieved through the joint action of multiple cellular and molecular components, which prevent the free movement of most molecules and regulate what enters and leaves the brain. Therefore, by understanding the anatomy and physiological mechanisms ruling their function, one can establish more efficient, safe and targeted treatments for neurological disorders while enhancing the brain's natural protective mechanisms.

The initial evidence for the existence of a barrier between the bloodstream and the brain was documented by Paul Ehrlich in 1885. He



**The Meningeal Barrier** 

**Fig. 1. The key protective barriers of the central nervous system.** The blood-cerebrospinal fluid (CSF) barrier is formed by ependymal cells interconnected by tight junctions (TJ), separating the blood from the inner CSF (iCSF) in the ventricles of the choroid plexus. The blood-brain barrier (BBB), composed of brain endothelial cells (EC), pericytes (PC), astrocytic endfect (AE), and the basal membrane (BM), tightly regulates molecular exchange between the blood and brain parenchyma through TJ and active transport mechanisms. The meningeal barrier, formed by arachnoid barrier cells connected by TJ, regulates the flow of outer CSF (oCSF) in the subarachnoid space and maintains interaction with the glia limitans adjacent to the brain parenchyma. The cells responsible for barrier properties are highlighted in purple. The interstitial fluid (ISF) has been indicated as it is found in the interstitial spaces or tissue spaces not occupied by cells. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

noted that certain dyes, when injected into the bloodstream, failed to penetrate the brain. Ehrlich attributed his observations to the lack of affinity that these dyes had for brain tissue. Subsequently, his student, Edwin Goldman, conducted counter-experiments to demonstrate that while parenterally injected dye does not stain the brain, it does when injected into the subarachnoid space [2]. Through these experiments, Goldman demonstrated that the CNS is physiologically compartmentalised from the rest of the body. However, many authors recognise Lewandowsky as the pioneer in proposing that cerebral capillaries have specific restrictive properties with respect to some compounds [3]. With the advent of electron microscopy, Reese and Karnovsky showed for the first time that the endothelial cells of cerebral capillaries, characterised by their continuity due to the tight junctions (TJs), comprised the functional barrier [4]. Since then, the description of the brain barrier types, anatomy and physiology has progressively evolved to the current knowledge.

In the adult brain, brain barriers are found separating two primary brain interfaces: the blood-cerebrospinal fluid (CSF) and the blood-brain parenchyma interfaces. Within these regions, three main barriers have been identified, including the blood-CSF barrier at the choroid plexus of the brain ventricles, the meningeal barrier at the outer layer of the arachnoid membrane, and the blood-brain barrier (BBB), the most well-studied barrier located at the level of cerebral vessels (Fig. 1) [5,6]. The common functional player between these barriers are TJs, which form a seal between adjacent cells, restricting the paracellular passage of molecules.

The blood-CSF barrier at the choroid plexus is primarily formed by ependymal cells with unique apical TJs that inhibit paracellular diffusion of blood components into the inner CSF and adjacent brain interstitial fluid (ISF) (Fig. 1). These modified epithelial cells have a secretory function and are responsible for CSF production [7]. Active transport mechanisms on the apical surface of ependymal cells, such as the Na<sup>+</sup>/ K<sup>+</sup> ATPase pump, generate osmotic gradients that drive fluid formation and maintain CSF composition.

The meningeal barrier, also known as the arachnoid barrier, is formed by epithelial-like arachnoid barrier cells in the outer layer of the arachnoid membrane (Fig. 1). These cells are closely interconnected with TJs and adherens junctions (AJs), forming a barrier between the outer CSF in the subarachnoid space and the superficial dura mater, a dense collagenous membrane adjacent to the skull that contains fenestrated vasculature and lymphatic vessels. In contrast, blood vessels in the subarachnoid space are comparable to the cerebral blood vessels, presenting TJs yet lacking surrounding pericytes and astrocytic endfeet. This barrier plays a crucial role in the efflux of brain waste products, and due to the presence of pores and vesicles in the epithelium, it enables some water-soluble substances to enter the CSF [8].

The BBB is located at the level of cerebral blood vessels and regulates the exchange of substances between the blood and the brain's ISF. Considering the extensive length of the human brain's vascular network, about 644 km, and with capillaries accounting for over 85 % of the total length of cerebral blood vessels, the BBB serves as the largest interface for solute transport, estimated to be around 120 cm<sup>2</sup> per gram of brain tissue [9–13]. The BBB features a supracellular organisation, where a continuous non-fenestrated monolayer of brain endothelial cells (BECs) lines the cerebral capillaries. BECs are surrounded by a specialised basal lamina, pericytes, and perivascular astrocytes end feet (Fig. 1). Along with surrounding neurons, microglia, and the extracellular matrix (ECM), they form a multicellular neurovascular unit (NVU) responsible for regulating cerebral blood flow to meet local metabolic demands driven by neural activity. Consequently, the NVU is critical for supporting BBB integrity and maintaining the brain's homeostasis.

#### 2. Barrier mechanisms at the BBB

To fulfil its barrier function, structural junction proteins (*e.g.*, TJs and AJs), along with membrane receptors, transporters, efflux pumps,

and other cellular components expressed in BECs, are fundamental to ensure barrier integrity and support brain metabolism. The sealing effect of TJs is crucial not only because it restricts the paracellular passage of even small molecules but also because it enables the coordinated action of various cellular exchange processes at the barrier interface [14]. Consequently, the transport of essential molecules (*e.g.*, metabolic substrates and nutrients) from the bloodstream to the brain, as well as the clearance of metabolic waste from the brain's ISF to the blood, is tightly regulated. For instance, carbohydrates (*e.g.*, glucose), amino acids, vitamins, hormones, nucleotides, and monocarboxylic acids (*e.g.*, lactate) are transported via solute carriers; peptides and proteins are typically transported through specific membrane receptors; and xenobiotics and drugs are often selectively transported via efflux pumps, such as adenosine triphosphate (ATP)-binding cassette (ABC) transporters [5,10]. Some of these transport systems will be explored further in this review.

#### 2.1. Structural mechanisms

#### 2.1.1. Glycocalyx

The BBB's first structural barrier mechanism is the endothelial glycocalyx (GCx), which is vital in preserving its integrity. The GCx is highly expressed on endothelial cells and represents a complex meshlike network of membrane-anchored glycolipids and glycoproteins extending to the vascular lumen. In BECs, GCx operates as a unique luminal ECM that governs BBB permeability by forming an electrostatic barrier and modulates the dynamic interactions between BECs and circulating immune cells.

Proteoglycans (PGs) are a subclass of glycoproteins, with around 43 distinct members, which have carbohydrate chains (i.e., glycans) in the form of glycosaminoglycans (GAGs). These are long and linear polysaccharides that typically include heparan, chondroitin, keratan and dermatan sulfate, heparin, and non-sulphated hyaluronic acid (HA) [15]. In addition to providing a net negative charge, sulfate groups increase the rigidity and polarity of GAGs, which together prevent large negatively charged molecules from the bloodstream from entering the brain. Despite the diversity of PGs, which differ in their core protein and the types of GAGs attached, the main core PG proteins are members of the syndecan and glypican families. While syndecans are membranespanning proteins, glypicans are covalently attached to the cell membrane via a glycosylphosphatidylinositol (GPI) anchor, making them highly mobile on the cell surface [16]. As shown by Song et al., the human and mouse brain endothelium express syndecan-2, -3, and -4, as well as all six members of the glypican family (-1, -2, -3, -4, -5, and -6), with the exception of glypican-2, which is not detected on mouse brain endothelium [17].

Similar to PGs, other glycoproteins of the glycocalyx function as adhesion molecules on the surface of BECs. They comprise selectins (Pand E-) that participate in the adhesion of leukocytes immunoglobulin superfamily (e.g., intercellular adhesion molecule-1, ICAM-1; vascular cell adhesion molecule-1, VCAM-1; and platelet endothelial cell adhesion molecule-1, PECAM) [18], and integrins (e.g.,  $\alpha\nu\beta3$ ,  $\alpha5\beta1$ ) that mediate the adhesion of platelets and linkage of ECM proteins [19].

In summary, all GCx components work together in various roles to maintain BBB integrity. This includes working as a physical and charge barrier, regulating vascular permeability, and modulating the inflammatory response, among others.

#### 2.1.2. Brain endothelial cells

BECs line up the luminal surface of the brain's vascular system, creating a continuous and specialised cell monolayer that constitutes the barrier-forming cellular layer of the BBB [20]. In contrast to peripheral endothelial cells, BECs display a high degree of asymmetry between their luminal and abluminal surfaces (*i.e.*, polarisation), lack fenestrations, and express a thick glycocalyx. Near their luminal surface, BECs express a number of TJ proteins that extend across the lateral borders of adjacent cells and prevent the free paracellular diffusion of molecules

and entry of immune cells. By restricting the paracellular diffusion, TJs also contribute to the distinctive high transendothelial resistance (TEER) value observed at BBB [20–22]. Consequently, the TEER value generally serves as a reliable indicator of the integrity and functionality of the BBB, which typically exceeds 1,000  $\Omega \cdot \text{cm}^2$  in brain endothelium within adult in vivo models. This is significantly higher than the values observed in peripheral capillaries, which range from 2 to 20  $\Omega \cdot \text{cm}^2$  [23].

Polarisation plays a significant role in regulating endocytosis and directional transport in BECs. At their luminal surface, BECs typically display a low endocytic rate to prevent indiscriminate uptake of substances from the bloodstream that could be harmful to the brain. At this surface, BECs express specialised membrane proteins, including those contributing to receptor-mediated transport (RMT), ion transporters, solute carriers and efflux pumps, which restrict endocytosis for vital molecules while simultaneously facilitating the clearance of metabolic waste into the bloodstream. To sustain such ion gradients and active transport mechanisms, BECs typically feature many mitochondria to support the substantial energy requirements [24]. On the abluminal domain, the membrane is usually enriched with proteins that mediate cell-ECM interactions or provide signalling functions, for instance, to regulate TJ integrity [25]. Additionally, BECs express reduced leukocyte adhesion molecules (LAMs), limiting immune cell infiltration and immune-related brain damage [26]. These features render BECs uniquely adapted to form the BBB and precisely regulate CNS homeostasis.

#### 2.1.3. Pericytes

Pericytes are specialised contractile mural cells embedded in the basement membrane that wraps around 70-80 % of the abluminal side of BECs in capillaries and post-capillary venules. They connect to BECs through gap and adherens junctions, allowing them to provide structural and nutritional support to BECs. Precapillary pericytes express receptors for vascular mediators such as catecholamines, angiotensin II, and vasopressin [27] and mainly control capillary blood flow by relaxing or contracting in response to signals that change intracellular calcium levels. Capillary and post-capillary pericytes are more related to BBB maintenance and immune response regulation ([28] for a review). This includes inducing the formation of TJ between BECs and regulating the permeability of ions and metabolites by secreting angiopoietin 1 [29], participating in the formation and degradation of ECM by secreting laminin, collagen IV, nidogen and perlecan, as well as metalloproteinase-2 and -9 (MMP-2 and MMP-9), contributing to vessel maturation and stabilisation by secreting the tissue inhibitor of MMP-3 (TIMP-3) [30-32], facilitating the clearance of cellular debris and harmful foreign compounds [33], and attracting circulating immune cells by secreting proinflammatory cytokines and chemokines [34,35].

#### 2.1.4. Astrocytes

Astrocytes, the predominant glial cells in the CNS, have a star-like shape and play a diverse range of functions beyond BBB regulation. At the BBB, astrocytes extend their long branching processes to surround capillaries, making interactions with pericytes and BECs. Astrocytes provide both structural and biochemical support for BBB integrity. By expressing dystroglycan, a transmembrane glycoprotein that links the ECM to the actin cytoskeleton, astrocytes maintain their end-feet attachment to the BBB, which is critical for its structural integrity and stabilisation [36]. Similarly to pericytes, astrocytes secrete diverse factors, such as transforming growth factor-beta (TGF- $\beta$ ), angiopoietin 1, and MMPs, that are key in promoting TJ formation in BECs and contribute to the basal lamina formation and remodelling [37,38]. Astrocytes regulate ionic and water balance at the blood-brain interface by expressing aquaporin-4 (AQP4) water channels at their end-feet surrounding capillaries. These channels enable the transport of water across the astrocyte membrane, thereby assisting in the maintenance of osmotic balance between the blood and the brain, consequently preserving the volume of the brain's extracellular fluid and preventing edema [39].

Moreover, astrocytes help regulate extracellular pH [40] and remove metabolic waste products [41,42].

#### 2.1.5. Basal lamina

The basal lamina, also known as the basement membrane, is a complex amorphous structure with a thickness of 50-100 nm that surrounds astrocytes, pericytes, and BECs. It comprises several ECM proteins synthesised by BECs, pericytes and astrocytes, including laminins, nidogens, heparan sulfate proteoglycans (HSPGs), collagen type IV, and other glycoproteins [43]. At the capillary level, two distinct basal lamina layers can be identified: the inner endothelial basal lamina, which is secreted by pericytes and BECs, and the outer parenchymal basal lamina produced by astrocytes and commonly referred to as the glia limitans perivascularis [44]. The two layers differ in the laminin isoforms composition: laminin-411 ( $\alpha 4\beta 1\gamma 1$ ) and -511 ( $\alpha 5\beta 1\gamma 1$ ) (mainly generated by BECs) are predominantly found in the endothelial basal lamina, while laminin-211 ( $\alpha 2\beta 1\gamma 1$ ) (predominantly produced by astrocytes) is primarily found in the parenchymal basal lamina [44,45]. This differentiation ensures each basal lamina fulfils specific cellular needs: laminin-411 and -511 enhance endothelial cell function, vascular stability, and BBB permeability, while laminin-211 promotes astrocyte attachment and neuronal interactions, aiding glia limitans formation [46-48].

#### 2.2. Molecular mechanisms

#### 2.2.1. Adherens and tight junction proteins

AJs are primarily composed of cadherins (transmembrane proteins that connect adjacent cells) and catenins (intracellular proteins that bind cadherins to the cytoskeleton) [49]. Although AJs are present in all vascular beds, vascular endothelial (VE)-cadherin or CD144, neural (N)-cadherin, and cadherin-10 are the most predominant in the brain endothelium [50]. Altogether, AJs mediate strong cell–cell adhesion, contributing to the BBB formation, maintenance and regulation. For instance, VE-cadherin mediates the intracellular activation of the ß-catenin pathway, which turns into claudin-5 increased expression. At the same time, N-cadherin facilitates adhesive connections between endothelial cells and pericytes, which is fundamental for sustaining BECs' function [49,51].

TJs are more abundant in the brain's endothelium than other vascular tissues, contributing to the increased sealed paracellular junctions observed at the BBB. TJs are a network of transmembrane proteins, including claudin, occludin, and junctional adhesion molecule (JAM), as well as intracellular scaffolding proteins that link these transmembrane proteins to the actin cytoskeleton [52]. Together, these proteins create a tight seal between adjacent BECs, which only allows the passive diffusion of small uncharged solutes up to a size of 5 Å (*i.e.*,  $O_2$ ,  $CO_2$ , ethanol) [5]. As a result, the BBB in vivo shows a high transendothelial electrical resistance of ~1800  $\Omega \cdot cm^2$  [53]. Such a compact paracellular structure limits the lateral diffusion of lipids and integral membrane proteins, thereby establishing and maintaining BECs' polarity [54].

One of the key integral components of the TJ complex at the brain endothelium is the claudin transmembrane protein family. Claudins have four transmembrane domains, two extracellular loops forming a ßsheet, and an intracellular PDZ-domain at the C-terminus. The level of heterogeneity in these domains generates more than 25 classes of claudin proteins, ranging from 20 to 27 kDa, each with unique properties and functions [55]. The predominant claudin protein at the BBB is claudin-5, followed by claudin-3 and claudin-12 [56]. Specific claudin subcategories can create both homo- and heterodimers, enabling various interactions that allow for flexible and dynamic regulation of TJs. For instance, claudin-1, -3, and -5 can engage in both *cis* (within the same cell) and *trans* (between neighbouring cells) interactions, while claudin-2 and -11 are typically only found in *cis* configuration [55]. The interplay of these interactions directly affects the permeability of the endothelium where these claudins reside. For example, Nitta *et al.* studies on claudin-5 knockout mice have shown that disrupting claudin-5 expression leads to a looser BBB and increased permeability [57]. Interestingly, in vitro experiments have revealed that knocking down claudin-5 reduces claudin-15 expression, while claudin-1 is significantly overexpressed [58]. Consequently, the endothelium permeability changes whilst the integrity of the TJs is preserved, which supports the idea of a complex intracellular network behind claudins. Despite the fact that claudin-3 is typically expressed at low levels in the BBB, knocking it down has been associated with reduced claudin-5 expression and increased TJ forking [55,59].

Occludin, another abundant TJ protein at the BBB, is a member of the tight junction-associated MARVEL proteins (TAMPs) family and is structurally similar to claudin [60,61]. It is primarily localised at the apical region of BECs, where it interacts with claudins and other TJ proteins. Indeed, evidence exists regarding the occludin's ability to homo- and heterodimerise through its C-terminus coiled-coil domain, indicating a possible role as a stabiliser of TJ assemblies. Phosphorylation of this domain influences complex formation with claudins and scaffolding proteins, such as zona occludens (ZO) proteins [62]. The in vitro co-transfection of occludin and claudin-11 in BECs showed an augmented formation of P-face-associated claudin-11 strands, supporting the hypothesis that occludin arranges TJs [58]. In the opposite scenario, vascular endothelial growth factor (VEGF)-mediated phosphorylation of occludin increases its cytoplasmic localisation in bovine retinal endothelial cells, leading to endothelium breakdown [63]. Nevertheless, studies have proposed a secondary role of occludin in TJs formation. For example, Saitou et al. showed that occludin-deficient embryonic stem cells present intact TJ formation and ZO-1 normal localisation and expression levels [64,65]. However, Bendriem et al. observed that occludin mutations can cause postnatal growth retardation and other histological abnormalities, indicating that occludin plays a role beyond TJ formation, with its dysfunction linked to various pathologies [66].

JAMs are single-spanning membrane proteins belonging to the immunoglobulin superfamily. They have one extracellular domain and a cytoplasmic tail with a PDZ domain that interacts with scaffolding proteins, such as ZO-1 [67]. JAMs maintain brain endothelial cell polarity and aid leukocyte migration [68].

Scaffolding proteins belong to the membrane-associated guanylate kinase (MAGUK) family and function as accessory elements for TJ's transmembrane components. They include ZO proteins (*i.e.*, ZO-1, ZO-2 and ZO-3), cingulin and ASIP/Par3 [69]. ZO-1 protein is the primary scaffolding protein required for BBB formation [70]. It binds to claudins, occludins and JAMs via the PDZ domains as well as to the cytoskeleton [70]. ZO proteins retain nuclear localisation and export sequences, explaining their presence in nuclei during proliferation [71]. When cell confluence is reached, they quickly redistribute to the plasma membrane [69]. This observation primarily comes from epithelium studies, but similar processes likely occur in the endothelium.

#### 2.2.2. Efflux pumps

Efflux pumps are transport proteins embedded in membranes that actively remove specific molecules from cells. To regulate access to the brain, BECs express various efflux pumps that employ distinct mechanisms to transport molecules back into the bloodstream. The ABC transporters are multidomain integral membrane proteins that actively shuttle molecules through membranes, harnessing the energy released by ATP hydrolysis [72]. In the context of the BBB, ABC transporters prevent the accumulation of toxic metabolites in the CNS by pumping them out to the blood [73,74]. Nevertheless, this CNS protective mechanism also impacts the success of drug delivery into the brain. While the BBB naturally permits the entry of high lipophilic molecules, ABC transporters hinder the effective entry of many drugs with such properties, affecting their potential use for treating many CNS disorders [75]. Among ABC transporters, those that serve the most crucial functions at BBB encompass the multidrug resistance 1 (MDR1), commonly referred to as P-glycoprotein (P-gp), the breast cancer resistance protein (BCRP), and the multidrug resistance-associated protein 1 and 5 (MRP1 and MRP5) [74,76].

The P-gp is a 170 kDa transmembrane glycoprotein encoded by the MDR1 gene, classified as an ABC transporter subfamily B member 1 (ABCB1). P-gp was first identified in 1989 within human BECs and was recognised as the mechanism protecting the brain from harmful lipophilic compounds commonly present in natural sources [75]. It comprises two transmembrane domains with two intracellular nucleotidebinding domains (NBDs) with ATPase activity that regulates the transport of drugs. The ATPase activity is guaranteed by the Walker A/B motifs of one NBD and the LSGGQ (Leucine, Serine, Glycine, Glycine, Glutamine) motif of the other NBD as glutamate residues react with ATP, inducing its hydrolysis [77]. Indeed, one way to reduce the ATPase activity of P-gp is by mutating those glutamate residues, which likely trap the ABC transporter into a close and less active conformational state [78]. In 2018, Kim et al. revealed the outward-facing conformation of Pgp for the first time [77]. They showed the importance of ATPs and their binding site in enclosing the intracellular domains into a characteristic head-to-tail dimer, which then promotes the opening of the binding site toward the extracellular space. Interestingly, the P-gp can still transport drugs when it interacts with non-hydrolysable ATP analogue AMP-PMP, suggesting that drug release may occur before ATP hydrolysis and that the cryo-TEM structure identified in 2018 represents the posttranslocation state [77]. P-gp is widely expressed in biological barriers, such as the gastrointestinal tract, kidney, liver, ovary, placenta, and BBB [79]. At the BBB, P-gp expression is localised at the apical membrane of BECs, thus managing the unidirectional efflux transport of molecules to the bloodstream for clearance [74,80]. P-gp substrates typically display amphipathic properties, often featuring aromatic groups and being uncharged or weakly basic. These traits likely align with the translocation mechanism, during which the ABC transporters integrate the substrate into the inner hemi-leaflet of the cell membrane before its release [72]. Regrettably, several anticancer drugs share these specific chemical properties, thus acting as excellent P-gp substrates with limited CNS access. These include, among others, epipodophyllotoxins, vinca alkaloids, anthracyclines, camptothecins, anthracene-dione, and heavy metal oxyanions [72,75,79]. Additionally, there is evidence that elevated P-gp expression occurs with frequent administration of its substrate [81,82]. Hence, developing P-gp inhibitors has become a common avenue for overcoming drug delivery issues to the CNS. In rodents, the P-gp expression at the BBB is encoded by the *mdr1a* gene, while its expression within the brain parenchyma (astrocytes, microglia and neurons) is regulated by the mdr1b gene. The significance of P-gp activity in maintaining brain homeostasis was clearly demonstrated in mdr1a and mdr1b knockout mice, in which up to a 100-fold increased sensitivity to potent toxins (P-gp substrate drugs) and consequent increased brain toxicity [80]. In addition, an upregulation of another member of the ABC transporter family was observed, the BCRP [83]. BCRP is also located at the luminal membrane of BECs, where it possibly cooperates with P-gp [84,85].

The range of molecules that can be shuttled off the brain increases due to the interplay between P-gp and MRP. MRP is a class of efflux pumps classified as ABC transporter subfamily C (ABCC) [86]. MRP share only 15 % of homology with P-gp and presents an additional transmembrane domain, which aids in discerning the cargo between the two ABC transporter subfamily [87,88]. Indeed, MRP mainly pumps organic anions and glucuronide or glutathione-conjugated compounds out of the brain [87]. MRP family presents nine different homologue pumps, MRP1-MRP9, yet only four of them, MRP1, -4, -5, and -6, have been identified by in vitro and capillary depletion studies at the BBB [88]. Interestingly, MRP1 typically localise at the basolateral of polarised cells, albeit it is exceptionally found together with P-gp at the apical membrane of BECs [87]. Moreover, immunolocalisation studies showed that MRP4 and MRP5 share the luminal side of BECs with MRP1 [89].

#### 2.2.3. Endogenous transport systems

Large molecules required for brain homeostasis that cannot cross BEC's membrane via passive diffusion are transported through specific endogenous transport systems: i) carrier-mediated transport via solute carrier proteins (SLCs), ii) adsorptive-mediated transport (AMT), and iii) RMT. These transport systems can be either directional or bidirectional. Influx transporters mediate the entry of blood-borne solutes into the brain, while efflux transporters facilitate the removal of solutes from the brain to the blood [90]. Many of these processes are energy-dependent, reflecting the high metabolic demand of the brain [24].

SLCs constitute a large class of transporters, counting around 450 transporters distributed over 50 sub-families [91], and supply the CNS with ions and essential nutrients, such as glucose, amino acids, and fatty acids. They are integral membrane proteins localised on the cell surface and within organelle membranes. SLCs can function as uniporters (transporting one solute at a time), symporters (transporting two or more solutes simultaneously in the same direction), or antiporters (transporting two or more solutes in opposite directions). Moreover, SLCs include both facilitative transporters, which are energyindependent and enable solute movement following the concentration gradient (e.g., glucose transporter SLC2A1 or GLUT-1), and secondary active transporters, which are energy-dependent and transport compounds against their concentration gradient by coupling to the movement of another solute down its gradient. The former category relies on electrochemical potential differences or ion gradients generated by primary active transporters like the Na<sup>+</sup>/K<sup>+</sup> ATPase, which directly uses ATP for transporting substrates across biological membranes [9].

AMT is a receptor-independent, non-specific transport mechanism based on electrostatic interactions between positively charged molecules and the negatively charged plasma membrane of BECs (*i.e.*, glycocalyx). A common example of naturally occurring AMT is albumin modified with hexamethylenediamine (HMD), which increases its positive charge [92,93]. In the AMT, the binding affinity is low, but the binding capacity is high, and saturation is unlikely. Upon initial binding, endocytic vesicles form at the luminal surface and move through the endosomal pathways before being exocytosed into the brain parenchyma. While defined by its non-specific characteristics, some studies indicate that AMT involves caveolar endocytosis [94]. Nevertheless, AMT does not solely rely on caveolae-mediated endocytosis, as evidenced by the endocytosis of cationised Fab fragments in cells devoid of caveolae [95].

Unlike AMT, RMT is a highly specific transport mechanism based on the interaction between a substrate and its specific receptor expressed on the cell surface. In this process, the substrate binds to its receptor, forming a complex that is internalised via vesicular transport and intracellularly trafficked through various cellular compartments or across the cell (i.e., transcytosis) [46]. At the BBB, RMT primarily occurs at the luminal BEC membrane, but it can also involve the abluminal membrane, depending on the transport direction. It is an energydependent process, requiring ATP for both vesicle formation and trafficking. BECs usually employ this mechanism for transporting essential macromolecules needed for brain functions. Examples of molecules transported through RMT include insulin and transferrin (from blood to brain) and apolipoproteins (from brain to blood) [96]. The insulin receptor (INSR) was one of the first RMT mechanisms identified in BECs [97]. It is a single-pass type I membrane protein with a hetero-tetrameric structure and is a member of the receptor tyrosine kinase family. It is expressed in both BECs and peripheral endothelial cells. It is formed by two alpha chains, which bind insulin, and two beta chains, the tyrosine kinase domains. Upon insulin binding, INSR changes conformation, activating its intrinsic tyrosine kinase activity and triggering downstream signalling pathways. Eventually, this signalling cascade regulates glucose uptake and releases it in BECs [98,99]. The transferrin receptor (TfR) is a single-pass type II transmembrane protein abundantly expressed on the luminal side of BECs, whose primary function is to mediate iron uptake. After the transferrin-iron (Tf-Fe) complex binds to

TfR, the complex is internalised into early endosomes, and iron is released when the endosomal pH acidifies. The Tf-TfR complex is then recycled back to the cell surface, and upon returning to a neutral pH, Tf is released from the TfR [100,101]. Low-density lipoprotein receptorrelated protein 1 (LRP1) is a member of the low-density lipoprotein receptor (LDLR) superfamily, which includes receptors such as LRP2 and LRP8 that share structural similarities and functional domains. LRP1 is a large transmembrane protein, approximately 600 kDa in molecular weight, expressed in both peripheral tissues and BECs. In BECs, it is localised on both the luminal and abluminal sides, with some evidence suggesting a predominance on the abluminal membrane [102]. Typically found in lipid rafts, LRP1 can migrate to clathrin-coated pits, undergoing constitutive endocytosis and recycling [103]. Its extensive extracellular domain binds various ligands, including proteases, ECM proteins, lectins, and lipoproteins such as apolipoprotein E isoforms (ApoE2 and ApoE3). By interacting with numerous ligands, LRP1 acts as a sensor of the cellular microenvironment, influencing cell physiology and signalling. Consequently, LRP1 is involved in various biological functions, including lipid transport, clearance of apoptotic or necrotic cells, modulation of cell signalling pathways, and regulation of gene expression [104,105]. It may also regulate the activity of other signalling receptors by controlling their abundance in the plasma membrane [106,107].

#### 3. Current approaches and challenges for BBB crossing

Despite the potential of small-molecule drugs to permeate the BBB by passive diffusion, only about 2 % have been shown to reach the brain [108]. This is due to strict molecular property requirements and the activity of endogenous efflux pumps like P-gp, which limit their brain accumulation and therapeutic effectiveness [109]. A common strategy to enhance the BBB permeation of small-molecule drugs is to structurally modify them to resemble substrates of endogenous endothelial carrier proteins. This is exemplified by the anti-parkinsonian levodopa drug [110], the anti-cancer melphalan drug [111], and the anti-epileptic gabapentin drug [112], which are structurally similar to phenylalanine and are, therefore, transported by the L-type amino acid transporter 1 (LAT1). Despite improving the endothelium plasma membrane permeation of amino acid-related small drugs, these carrier-mediated systems are unsuitable for large-molecule therapeutics. For such molecules, efficient brain drug delivery requires tailored strategies that extend beyond the chemical modification of the drugs.

Large-molecule therapeutics, such as proteins and nucleic acids, face significant challenges in crossing the BBB through passive diffusion due to their size and structure. However, leveraging the endogenous transport mechanisms of BECs offers a promising avenue for enhancing brain drug delivery [96,113]. For that, large-molecule therapeutics can be either conjugated with ligands (e.g., antibodies, peptides, aptamers) specific for receptors expressed on the BEC membranes or enclosed in nanocarriers decorated with those ligands. This approach, known as the Trojan horse drug delivery strategy, has gained attention for its potential to deliver large therapeutics to the brain more efficiently. Nanocarrierbased brain drug delivery has gained particular attention for its potential for carrying water-insoluble drugs, controlling drug release and reducing systemic side effects of current therapeutics [114]. Over the past 40 years, a variety of nanocarriers have been developed for therapeutic applications in a variety of fields, including vaccine development, tissue engineering, and oncology [115]. They are generally classified into three main categories: i) polymer-based nanocarriers, including micelles, polymersomes, and dendrimers; ii) lipid-based nanocarriers, such as liposomes, solid lipid nanoparticles (SLN), and nanoemulsions; and (iii) inorganic-based nanocarriers, like gold and silica nanoparticles, as well as carbon nanotubes (CTN).

Like other cell types, BECs express a variety of receptors on their surface that play roles in one or more aspects of RMT. As a result, numerous receptor-specific antibodies, proteins, and peptides have been

#### Table 1

Receptor-ligand pairs exploited for enhanced brain delivery via receptor-mediated blood-brain barrier (BBB) transcytosis.

BBB Receptor	Targeting Ligand/Delivery System	Status	Key Results	Ref.
Low Density	ΔΡ2	Preclinical in vitro	Higher transport across the BBB both in vitro and through in	[160]
Lipoprotein	111 2	r reennear in vitro	situ brain perfusion, compared to transferrin	[109]
Receptor 1 (LRP1)	ApoE4 decorated lipid NP	Preclinical in vitro	ApoE4 adsorption on polysorbate 80-stabilised lipid NP	[170]
		and in vivo, mouse	allows specific targeting of cerebrovascular endothelium,	
		model	compared to a non-functionalised linid NP	
	ANG1005, three paclitaxel molecules	Clinical (Phase II)	ANG1005 crossed the BBB to a greater extent than paclitaxel	[171-173]
	covalently linked to AP2		and demonstrated clinical evidence of efficient intracranial	
		<b>D 1 1 1 1 1</b>	anti-tumour activity	51 C ( )
	Homogenous AP2 MAD anti-EGFR	Preclinical in vitro	Anti-EGFR mAD with dual-targeting functionality (for EGFR and LRD1) showed increased binding to LRD1 in vitro and	[174]
		model	improved accumulation in brain tissues and intracranial	
			tumours in vivo compared to the anti-EGFR antibody alone	
	AP2-functionalised lipid cubosomes	Preclinical in vitro	AP2-NP showed higher BBB penetration and particle uptake	[175]
	loaded with CDDP and TMZ	and in vivo, mouse	compared to uncojugated NP in vitro, along with a 3-fold	
		model	functionalised NP	
	AP2-modified calcium arsenite-loaded	Preclinical in vivo,	AP2-PEG-LP@CaAs demonstrated dual-targeting drug	[176]
	liposomes (AP2-PEG-LP@CaAs)	mouse model	delivery to the BBB and glioma with superior BBB penetration	
			compared to free ATO, LP@CaAs, and PEG-LP@CaAs in both	
	HSA-ADOE NP	Preclinical in vivo.	NPs lacking ApoE are not taken up or retained within	[177]
		mouse model	endothelial cells, likely accumulating in the lysosomal	
			compartment. In contrast, HSA-ApoE-conjugated NPs	
			exhibited higher uptake by endothelial cells and enhanced	
Glucose transporter	Surface-modified liposomes with	Preclinical in vitro	Functionalized linosomes demonstrated a 50 % higher	[178]
1 (GLUT1)	mannose and cell penetrating peptides	and in vivo, mouse	transport and transfection efficiency of the BDNF gene in vitro	[-, -]
	(penetratin or RVG)	model	compared to unmodified liposomes, along with a 7 % increase	
Tuonofossin socontos	Dispessific anti TAD and anti DACE1	Ducaliziaal in viva	in BBB transport in vivo	[104 105 170 100]
(TfR)	antibody	mouse and monkey	uptake brain distribution and amyloid 6 clearance compared	[124,125,179,180]
(111)	anabody	model	to anti-BACE1 or anti-TfR	
	Branched THRre peptide	Preclinical in vitro	GFP modified with branched THRre peptide showed higher	[181]
			internalisation and transport in cell-based BBB cellular models	
	BBB-penetrating fusion protein JR-141	Clinical (Phase II	Efficient BBB crossing with amelioration of neurocognitive	[182,183]
	consisting in anti-human TfR antibody	completed, phase III	and motor symptoms in patients affected by Hunter Syndrome	[,]
	and intact human IDS	ongoing)		
	ATV fused to BACE1 Fabs with an	Preclinical in vivo,	ATV:BACE1 variants yielded about 10- to 40-fold higher brain	[184]
	engineered FC fragment	model	concentrations in concentratin	
			standard anti-BACE1	
	DNL310, lysosomal ETV containing IDS	Clinical (Phase II/	ETV:IDS showed increased brain uptake, BBB crossing and	[185–187]
	(E1V:IDS) fused to an Fc domain engineered to bind TfR	III, ongoing)	brain distribution compared to FC:IDS, IgG:IDS in MPS II rodents and showed improvement or stabilisation of clinical	
	chymeered to blid file.		symptoms	
	OX26, anti-TfR antibody	Preclinical in vitro	OX26 showed higher in vitro transcytosis compared to the	[188]
	Objected MAN and TO LO for day 00000	Decellation line educ	control IgG, NiP228	[100]
	(cTfRMAb-SGSH)	mouse model	Null mice for the SGSH enzyme showed a 70 % reduction of HS after cTfRMAb-SGSH treatment compared to cTfRMAb	[189]
	(ernamb babil)	mouse model	alone	
Insulin-like growth	Single domain antibody fused to mouse Fc	Preclinical in vitro	SdAb-mFc targeting IGF1R demonstrated superior BBB	[190]
factor-1 receptor	(SdAb-mFc) anti-IGF1R	and in vivo, rat	crossing compared to OX26, a known anti-TfR monoclonal	
(IGFIK)		model	not cross the BBB on its own.	
Solute carrier CD98	Heterodimerised bispecific anti-CD98hc/	Preclinical in vivo,	Increased brain uptake of anti-CD98hc/BACE1 compared to	[191]
heavy chain	BACE1 antibody	mouse model	control IgG	
(CD98hc) Basigin	Bacigin mAbc	Preclinical in vitro	Bacigin MAbs showed internalisation and transgutosis across	[102]
Dasigili	Basigin inAbs	Precimical in viuo	the brain endothelial monolaver, along with uptake by co-	[192]
			cultured astrocytes	
Insulin receptor (IR)	Valanafusp alpha, HIRMAb-IDUA	Clinical (Phase II)	Valanafusp alpha can cross the BBB as suggested by cognitive	[193,194]
			and somatic stabilisation of patients involved in the study	
	HIRMAb-IDS	Preclinical in vivo.	HIRMAD-IDS fusion protein traversed the BBB and penetrated	[195,196]
		monkey model	the brain parenchyma showing high brain volume of	
			distribution in the post-vascular supernatant compared to the	
	HIRMALEDO	Dreclinical in vivo	vascular pellet	[110]
		monkey model	increased brain EPO levels at low systemic doses, unlike free	[117]
			EPO, which did not cross the BBB	
Diphtheria toxin	CRM197-grafted PBCA NP	Preclinical in vitro	CRM197/PBCA NP loaded with drugs ( <i>e.g.</i> , AZT) crossed the	[197]
receptor (DTR)		and in vivo, mouse	BBB achieving pharmacological effect without impacting BBB integrity	

#### Abbreviations

AP2, angiopep-2; MAb, monoclonal antibody; EGFR, epidermal growth factor receptor; CDDP, cisplatin; TMZ, temozolomide; NP, nanoparticle; PEG, poly(ethylene glycol); ATO, arsenic trioxide; HSA, human serum albumin; ApoE, apolipoprotein E; RVG, rabies virus glycoprotein; BDNF, brain-derived neurotrophic factor; GFP, green fluorescent protein; ATV, antibody transport vehicle; IgG, immunoglobulin G; ETV, enzyme transport vehicle; MPS, mucopolysaccharidosis; SGSH, N-sulfo-glucosamine sulfohydrolase; HS, heparan sulfate; HIRMAb, monoclonal antibody against the human insulin receptor; IDUA, α-L-iduronidase; IDS, iduronate-2-sulfatase; EPO, erythropoietin, CRM197, crossreacting material 197 (a non-toxic mutant protein of diphtheria toxin); PBCA, polybutylcyanoacrylate; AZT, zidovudine.

explored as active ligands for targeting BECs in developing BBB shuttles. Both in vitro and in vivo preclinical studies have shown the promise of these approaches to enhance the delivery of therapeutics to the brain. (Table 1 for a summary).

As described by Pardridge and colleagues in 1987, the INSR was the first endogenous BEC receptor identified and studied, making insulin the first Trojan horse explored for brain delivery [116]. In this pioneering study, a chimeric peptide was synthesised by conjugating somatostatin, a hydrophilic peptide, to insulin, enabling it to cross the BBB via RMT. While this study laid the foundation for using BBB targeting ligands, the application of insulin as a targeting moiety was shown to be limited by the widespread expression of INSR in peripheral tissues that potentiate off-target delivery and potential side effects [97,99,117]. To circumvent this limitation, alternative strategies involving nanocarriers were developed. For instance, Dieu et al. designed polymersomes composed of poly(dimethylsiloxane)-block-poly(2-methyl-2-oxazoline) (PDMS-b-PMOXA) amphiphilic diblock copolymer functionalised with monoclonal antibodies (MAb) against the anti-human insulin receptor for active targeting of BECs [118]. The binding and uptake of these nanocarriers to a human BBB model, based on hCMEC/D3 cells expressing the human INSR, were assessed through competitive inhibition studies, corroborating their specificity for these cells. In another study, the human erythropoietin (EPO) protein was fused to the Fc (fragment crystallizable) region of an anti-INSR IgG antibody (INSRMAb) to facilitate brain delivery. Upon intravenous injection, the INSRMAb successfully shuttled EPO across the BBB via RMT, producing specific pharmacological effects in the brain while minimising activity in peripheral organs [119].

A similar strategy has been employed for targeting the brain endothelial LRP1 receptor. Gao et al. developed a dual-modified nanocarrier (AnACNPs) simultaneously incorporating angiopep-2 (AP2) and an activatable cell-penetrating peptide (ACP) to deliver the chemotherapeutic agent docetaxel specifically to glioma cells [120]. In this approach, AP2 targets LRP1 receptors on BECs and glioma cells, enhancing both BBB penetration and tumour drug delivery. Meanwhile, the ACP facilitated deeper tissue penetration within the tumour microenvironment. This study demonstrated that AnACNP nanocarriers effectively crossed the BBB with increased uptake and accumulation in glioma cells, exhibiting anti-tumour effects in both in vitro and in vivo models. In two independent studies, Sakamoto et al. explored the phage display technology to identify and develop novel peptides targeting the LRP1 receptor [121,122]. They found two new peptides, L57 and KS-487, that were shown to facilitate BBB penetration successfully under both in vitro and in vivo conditions.

Another example of a receptor explored for BBB targeting and crossing is the TfR. In a recent study, Brody and colleagues employed a mouse TfR binding nanobody, *i.e.*, small recombinant proteins derived from the binding domains of heavy chains of camelids antibodies, to mediate BBB transcytosis via RMT [123]. The TfR binding nanobody was engineered with three histidine mutations to confer pH-dependent unbinding at pH 5.5 and binding at pH 7.4, which was conjugated with neurotensin for in vivo functional testing of BBB transcytosis via central neurotensin-mediated hypothermia in wild-type mice. The authors reported a 60 % retention of such constructs in capillary depleted brain lysates at 8 h post-administration and propose this system as a useful tool for fast and efficient BBB shuttling. Similarly, Watts *et al.* developed a bi-specific antibody (bsAb), with one arm targeting the TfR and the other targeting  $\beta$ -secretase, a therapeutic target for AD. Their study demonstrated the bsAb brain delivery after intravenous

administration, which reduced amyloid-beta (A $\beta$ ) levels in both the CSF and brain tissue, with efficacy shown in both in vitro and in vivo models [124,125]. Additionally, Tf protein has also been used as a Trojan horse for nanoparticle delivery across the BBB. However, endogenous Tf present in the plasma, which is approximately 1000-fold higher than the amount of TfR in the BBB, compete for binding to the TfR, resulting in near-total receptor saturation (>99.9 %) [126]. This significantly restricts the capacity of exogenous Tf to enhance brain drug delivery.

Beyond the commonly targeted receptors described above, several other receptors have been explored to enhance drug delivery across the BBB. Examples include basigin (or CD147) [127], other LDLR family members [103,128] or leptin receptors [129], among others. These alternative receptors expand the possible ligands for RMT-based brain drug delivery strategies.

Despite these preclinical successes, often regarded as magic bullets for targeting the brain, none have been translated into clinical applications. One key contributing factor pertains to the propensity for offtarget delivery. In an idealistic view of active BBB targeting, the developed Trojan horse strategies are exclusively tailored to the target cell, i.e., brain endothelial cells. For this ideal concept to hold true, BECs would have to express a unique receptor that is absent in peripheral endothelial cells. However, at the cellular level, these common targets are not solely expressed in BECs but also in peripheral endothelial cells. For example, LRP1 and TfR are also found in the peripheral endothelial cells besides being overexpressed in BECs [130]. Consequently, offtarget effects are likely to occur. Thus, the current challenge is to design and predict super-selective BEC nanomedicines that can not only transport therapeutics across the BBB but also discriminate and bind almost exclusively to BECs, sparing peripheral endothelial cells. This can be achieved through the multivalent effect, a central principle of superselective targeting that will be described in the following section.

## 4. Super-selective BBB targeting: Theoretical and computational modelling

#### 4.1. Multivalency for super-selective BEC targeting

Multivalency refers to the presence of multiple functional groups or ligands on the surface of a construct, such as a nanoparticle. These functional groups may be identical (homo-valent) or distinct (heterovalent), allowing simultaneous interaction with multiple receptors on the surface of a target cell. Multivalent binding enhances the likelihood of receptor engagement by increasing the local concentration of ligands, which can lead to stronger and more selective binding compared to monovalent interactions (single ligand-receptor binding). This increased specificity and stability of multivalent binding arise from a combination of enthalpic contributions (due to stronger overall binding energy) and entropic effects (related to the reduction in the degrees of freedom upon complex formation). These contributions are explained by the thermodynamics model of multivalent binding.

In the thermodynamics of multivalent binding, both enthalpy ( $\Delta$ H) and entropy ( $\Delta$ S) play critical roles in determining the overall Gibbs free energy ( $\Delta$ G) of the multiple and simultaneous ligand-receptor interactions. In a multivalent system, the sum of these interactions can result in a much stronger binding affinity than would be observed in a monovalent system. This cumulative effect enhances the stability of the ligand-receptor complex. The change in energy during ligand-receptor bond formation or bond breaking is defined as enthalpy. In

multivalent binding, the freedom of movement of the ligands (*i.e.*, entropy) is generally reduced due to the conformational constraints imposed on the ligands and receptors. Therefore, multivalent binding is cooperative, where the binding of one ligand influences the binding affinity of subsequent ligands. This cooperative effect in multivalent systems, which is described by the Langmuir-Hill adsorption model, can further enhance binding affinity and selectivity.

The Langmuir-Hill adsorption model is a foundational theory to describe the complex binding scenario in multivalent interactions. Assuming cell surfaces have independent adsorption sites, each capable of binding ligands in a multivalent nanoparticle, the cell surface coverage ( $\theta$ ), or the fraction of occupied binding sites (ligand-receptor), can be mathematically expressed as:

$$heta = \langle rac{aQ}{1+aQ} 
angle$$

Here, *a* is the nanoparticle activity approximated by  $a = [P]N_A \nu_B$ , which combines the nanoparticle molar concentration ([*P*]), Avogadro's number ( $N_A$ ), and the ligand exploration volume ( $\nu_B$ ) to effectively capture the concentration and availability of ligands in the nanoparticle [131]. *Q* represents the partition function that quantifies the number of available binding states for the interaction and the associated energy distribution in the binding process, including whether a ligand is free or bound to a receptor. This partition function  $Q = (N_L, N_R, \beta \Delta G)$  is defined as a function of ligand number ( $N_L$ ), receptor number ( $N_R$ ), and strength of ligand-receptor bond ( $\beta \Delta G$ ), where  $\beta = \frac{1}{kT}$ , *k* denotes the Boltzmann's constant and *T* the absolute temperature. The  $\langle \rangle$  notation indicates an averaging for Poisson distribution over different possible states of the system, suggesting that the system is considered in equilibrium with respect to both ligand concentration and binding configurations.

Therefore, this equation models the cooperative nature of multivalent binding by determining the likelihood of receptor occupancy, given a defined ligand concentration. As *aQ* increases due to the higher availability of ligands in the nanoparticle or due to more favourable binding conformations available, the fraction of occupied binding sites increases towards saturation (*i.e.*, complete site occupancy,  $\theta$ =1). However, this rise is not linear – it follows a sigmoidal curve, characteristic of cooperative systems: no binding occurs below a critical receptor density, but as the receptor density surpasses this threshold, the binding fraction sharply increases until reaching saturation (Fig. 2a). This is the basis of range selectivity. In biological applications, range selectivity can be employed to design super-selective nanomedicines that discriminate differences in cell receptor density and selectively bind to cells expressing receptors above a specified threshold. For instance, in the context of brain drug delivery, nanomedicines can be engineered with ligands specific to receptors overexpressed on BECs. The high receptor density on BECs allows multivalent nanomedicines to bind effectively, ensuring they are delivered primarily to the BBB. However, super-selectivity in multivalent nanoparticles is typically achieved using weak binding affinity ligands. These ligands facilitate cooperative binding, where multiple weak interactions cumulatively result in strong and selective binding only when the receptor density surpasses a critical threshold. Strong binding ligands would reduce this sensitivity, as they might bind effectively even at low receptor densities, thereby decreasing selectivity (Fig. 2b).

#### 4.2. Other critical parameters for super-selectivity

As previously noted, super-selectivity refers to the ability of multivalent systems to distinguish between target and non-target cells with exceptional precision, driven by a cooperative binding mechanism that amplifies selectivity beyond what is achievable with monovalent interactions. Building upon the foundational understanding of multivalency, where multiple low-affinity ligands work synergistically to achieve high overall binding strength, it is essential to consider additional parameters that can further enhance the super-selectivity of multivalent nanoparticles. In this section, we will briefly describe the main factors that significantly contribute to optimising super-selectivity, including multiplexing, spatial arrangement of ligands on the nanoparticle surface, and steric repulsion forces in the modulation of overall system avidity.

#### 4.2.1. Multiplexing

Nanoparticle super-selectivity can be further enhanced through multiplexing, wherein hetero-valent ligands are concurrently incorporated into the system. Cells typically have a variety of receptor types, each having a distinct average density on the cell surface, creating a specific receptor "profile". By selecting and using the right combination of receptors, multiplexing favours the interaction with a defined "cell receptor profile" more effectively. In our previous studies, we demonstrated the potential of multiplexed binding to discriminate between BECs and macrophages in vitro [132]. Polymeric nanoparticles were functionalised with two ligands: poly(2-methacryloyloxyethyl phosphorylcholine) (PMPC), which targets the scavenger receptor B1 (SRB1), and AP2, a well-established ligand for the LRP1 receptor. Experimental results revealed that nanoparticles functionalised with PMPC predominantly bound to macrophages, while those functionalised with AP2



Fig. 2. Multivalent binding adsorption curves. (a) Schematic illustration depicting the adsorption fraction behaviour of multivalent nanoparticles bearing lowaffinity ligands. These nanoparticles exhibit a nonlinear increase in the adsorption fraction, achieving saturation at higher receptor densities. The use of low-affinity ligands enables differentiation between low and high receptor density regimes, thereby enhancing super-selective targeting. (b) Multivalent nanoparticles with highaffinity ligands require reduced densities of the receptors to saturate, reducing selectivity.

preferentially interacted with BECs. Notably, when both PMPC and AP2 were incorporated into the same nanoparticle, a synergistic effect was observed. The binding of these dual-functionalised nanoparticles reached saturation with fewer ligands than those functionalised with a single ligand. This synergistic effect can be attributed to the differential expression levels of LRP1 and SRB1 in BECs and macrophages, enabling enhanced selectivity and binding efficiency. The described strategy can be defined as phenotypic association theory (PAT), which provides a framework for designing super-selective nanocarriers capable of improving targeting specificity based on specific cell phenotypes [133,134]. However, as previously mentioned, to achieve super-selectivity, PAT relies on low-affinity ligands.

#### 4.2.2. Spatial arrangements

The overall binding strength (avidity) of ligand-receptor interactions is determined by a complex interplay of valency, affinity of individual binding sites, and the spatial arrangement for ligand-receptor binding (topology). For instance, more flexible ligands and receptors increase the number of possible binding configurations, thereby enhancing the overall binding probability. In opposition, with short and rigid ligands and receptors, each ligand or receptor may be independently bound or unbound to its nearest partner, decreasing the overall binding probability.

The avidity entropy, which describes the variability and distribution of binding interactions between ligands and receptors, is a nonlinear function influenced by the degeneracy of ligand-receptor pair combinations. Degeneracy, in this context, refers to the number of distinct ways in which a given number of ligand-receptor bonds ( $\lambda$ ) can form. This is quantified by the number of bound states ( $\Omega_{\lambda}$ ), where  $\lambda$  is the number of bonds. The binding behaviour is defined by the topology of interactions, and there are three primary binding topologies:

- a. Indifferent topology: in this scenario, each ligand-receptor pair binds independently, resulting in only one bound form. The degeneracy is simply the product of the total numbers of ligands and receptors, given by  $(\Omega_{\lambda} = N_L N_R)$ , where  $(N_L)$  is the number of ligands and  $(N_R)$  is the number of receptors
- b. Linear topology: ligands and receptors bind sequentially in a chain-like manner. The degeneracy, or the number of ways to arrange the ligand-receptor bonds, decreases as more bonds form. The number of bound states is described by  $\Omega_{\lambda} = (N_L \lambda + 1)(N_R \lambda + 1)$ .
- c. Radial topology: in this configuration, the ligand can flexibly bind to any receptor, leading to a high degree of degeneracy. The number of possible bound states is expressed using the multinomial coefficient:  $\Omega_{\lambda} = \frac{N_{L}}{(N_{L}-\lambda)(N_{R}-\lambda)!} \lambda!.$

In each topology, avidity entropy reflects the overall stability and variability of the binding interactions, highlighting how changes in spatial arrangement can significantly influence the dynamics of ligand-receptor binding. The role of topology in modulating the interaction dynamics between multivalent particles and receptors was further demonstrated by Hale *et al.* They used DNA as a ligand to construct DNA-origami disks with identical ligand valency and composition but distinct geometric arrangements [135]. Their findings revealed that by simply altering the binding topology through varying ligand geometric patterns, they could differentiate receptor interactions between constructs.

#### 4.2.3. Avidity optimisation

Enhancing selectivity often involves a delicate balance between specific and non-specific interactions. These non-specific interactions encompass additional forces between the multivalent nanoparticle and its target that extend beyond the intended multivalent design. These may include structural interactions between the nanoparticle and the target, ligand and receptor cross-talk with other membrane molecules, as well as charge-based attractions, repulsions, and steric hindrances.

One major biological factor influencing nanoparticle avidity and selectivity is the formation of the "protein corona", a dynamic layer of biomolecules that adsorbs onto the nanoparticle surface upon exposure to biological fluids. This phenomenon confers a new biological identity to the multivalent system, significantly altering its physicochemical properties and influencing ligand accessibility, receptor binding, and overall biological fate. These effects extend to critical processes such as biodistribution, cellular internalisation, intracellular trafficking, and nanoparticle processing. As demonstrated by Vilanova et al. [136], who combined experimental approaches, simulations and mathematical modelling, the kinetics of protein-nanoparticle corona formation are governed by competitive adsorption dynamics, where the continuous associations and dissociations of adsorbed proteins establish a dynamic equilibrium that evolves over time. This constant reshaping of the corona introduces an additional layer of complexity to multivalent interactions, as the fluctuating physicochemical properties can strongly impact ligand-receptor binding efficiency. Furthermore, in the context of the BBB crossing, Cox et al. showed that the protein corona undergoes significant transformations during translocation across the barrier [137]. Specific proteins are selectively retained or exchanged, ultimately influencing nanoparticle transport and its overall fate, emphasising the role of the protein corona in defining nanoparticle-cell interactions. While many of these interactions are typically seen as unintended side effects of multivalent nanoparticle design, their role in enhancing targeting selectivity should not be underestimated. By understanding and controlling these forces, researchers can tailor nanoparticles to achieve a higher degree of super-selectivity.

One example of improved selectivity involves the introduction of a shielding brush into the surfaces of multivalent nanoparticles. The brush, often made of polymers like poly(ethylene glycol) (PEG), creates a steric barrier that prevents unintended interactions and ensures only target molecules can access the binding sites. As a result, the multivalent nanoparticle becomes less likely to bind non-specifically, making it more selective through better ligand orientation and increased local concentration. This concept has been effectively demonstrated by Shihu et al. [138], who demonstrated that a longer nonfunctional tether distribution on the nanoparticles, which permits shielding ligands, leads to extra entropy loss at the adsorption onset, enhancing the selectivity. In the specific case of polymeric nanoparticles, polymer tethers are employed to shield the ligands. As nanoparticles approach their target receptors, they experience repulsion from the polymer brush surrounding the ligands (Fig. 3). This repulsive steric, denoted as  $U_P$ , arises from the steric effects generated by the polymer chains, which create a physical barrier as a receptor is inserted inside the polymer brush. This steric is composed of osmotic pressure due to the receptor volume depleted by the polymer brush and elastic component raised from compression [139]. The extent of repulsion experienced by the receptor increases as the ligand is more deeply embedded within the polymer brush.

According to the theoretical work of Halperin,  $U_P$  can be expressed as:

$$eta U_P = rac{V_R ig(1-\delta_P^2ig)^{rac{9}{4}}}{\sigma_P^{rac{3}{2}}}$$

where  $V_R$  represents the receptor volume,  $\delta_P$  the interference parameter, and  $\sigma_P$  the area per polymer chain [139]. The interference parameter,  $\delta_P$ , is defined as the ratio of the ligand tether length to the protected tether length [132]. According to the model proposed by Zhulina *et al.*, the  $\sigma_P$  is a function of the interference parameter and is mathematically described as:

$$\sigma_P = \sigma_0 igg(1 + rac{\delta_P h_P}{R}igg)^{\gamma-1}$$

where  $h_P$  is the height of the ligand, R is the radius of the nanoparticle,



Fig. 3. Steric effects in nanoparticle-receptor interaction. Illustration of the steric repulsion forces present during nanoparticle and cell surface receptors approaching. Two sources of repulsion counteract the attractive ligand-receptor affinity: repulsion from the cell glycocalyx ( $U_{GCx}$ ) and nanoparticle shielding brush steric at the single-molecule level ( $\Delta G + U_p$ ).

and  $\gamma$  is the geometry parameter [140]. The density of the polymer brush is affected by factors such as the stretch of the polymer brush, the interaction of the chains, and the curvature of the nanoparticles. Longer chains will occupy more space. Larger nanoparticles will result in less curvature. These factors would indicate a larger polymer chain density. Considering the steric interference, the partition function can be reformulated to incorporate these effects:

$$Q = \sum_{\lambda=1}^{\min(N_L,N_R)} \Omega_\lambda e^{-\lambdaeta(\Delta G + U_P)}.$$

This partition function reflects the impact of steric hindrance imposed by the polymer brush and provides a quantitative framework for understanding how steric effects influence binding affinity and selectivity in nanoparticle-receptor interaction.

Another critical repulsive factor influencing nanoparticle-cell interactions is the GCx (Fig. 3). As described in previous sections, BECs exhibit a thick and complex GCx layer with an inherent steric repulsion effect that can be considered to improve nanoparticle engagement with the target. The steric repulsion originating from the GCx, denoted here as  $U_{GCx}$ , arises from two primary components: the osmotic pressure due to volume exclusion and the elastic force caused by the compression of the sugar chains, which is analogous to the repulsive steric as the receptor inserts into nanoparticle polymer brush [139]. Notably, when the nanoparticle radius is smaller than the GCx height, the elastic component can be considered negligible. Similar to the above mentioned model from Halperin used to describe receptor penetration into a polymer brush and focusing solely on osmotic pressure, the steric repulsion due to the GCx can be expressed as:

$$eta U_{GCx} = rac{V_{NP}(1-\delta_{GCx})^{rac{2}{4}}}{\sigma_{GCx}^{rac{3}{2}}},$$

where  $V_{NP}$  represents the nanoparticle volume,  $\sigma_{GCx}$  denotes the area per GCx, and  $\delta_{GCx}$  is the interference parameter related to the relative height between the receptor and the GCx [139]. Incorporating these factors, the partition function can be expressed as:

$$Q = \left(\sum_{\lambda=1}^{\min(N_L,N_R)} \Omega_\lambda e^{-\lambdaeta(\Delta G + U_P)}
ight) e^{-eta U_{GCx}}.$$

As described here, the collective steric effects occurring when a nanoparticle approaches the cell surface (one from the shielding brush and the other from the cell GCx) influence the total avidity of the system and, therefore, the overall interaction dynamics. Notably, cells with higher receptor density often exhibit increased GCx density. By strategically considering such steric factors, nanoparticles can be engineered for range selectivity, targeting cells within specific receptor expression levels (*i.e.*, super-selectivity). This dual control mechanism contributes to a better match of the ligand profile with the receptor profile on the cell surface, enhancing targeting precision.

An additional advantage of controlling the overall avidity of the system is the ability to influence the outcome of receptor-mediated endocytosis, a critical process for effective BBB crossing. While increased ligand number generally enhances binding affinity, the observed non-monotonic trend arises due to steric hindrance from unbound ligands (Fig. 4b). As the ligand density increases, unbound ligands introduce additional steric repulsion, which can partially obstruct receptor accessibility and reduce overall binding efficiency. This effect leads to a deviation from the expected monotonic increase in binding energy. Cumulative evidence shows that avidity - defined as the cumulative binding strength of multivalent interactions - can determine the intercellular fate of the nanoparticle (Fig. 4). For instance, high avidity enhances the uptake of the nanoparticle via the classical endocytic pathway, which is associated with intracellular degradation at lysosomes. Instead, moderate avidity enhances receptor clustering and internalisation via tubule-like endocytic structures, improving nanoparticle transcytosis, which is beneficial for delivering cargo to the brain. Building on these insights, Villaseñor et al. investigated the influence of cargo avidity on intracellular sorting at the BBB using Brain Shuttle constructs [141]. These constructs were engineered by fusing a single-chain Fab fragment derived from an anti-TfR monoclonal antibody with either one or two C-terminal ends from a distinct antibody, producing monovalent or bivalent constructs. These configurations represent two levels of cargo avidity. Monovalent constructs, characterised by lower avidity, activated transcytosis pathways at the BBB, evidenced by the formation of intracellular tubules. In contrast, highavidity bivalent constructs were predominantly directed toward degradation pathways [141]. A similar phenomenon was reported by Tian et al. for AP2-functionalised polymersomes, where the number of ligands on the nanoparticle surface determined cargo avidity [142]. They have shown that the efficiency of BBB crossing does not increase linearly with the number of ligands. Instead, there is an optimal ligand density at which transcytosis is most effective. Both lower and higher ligand densities resulted in significantly reduced crossing efficiency. Notably, polymersomes with higher ligand densities, mimicking high-avidity cargo, were primarily routed through endocytic pathways associated with degradation. This can be explained by the increased ligandreceptor binding energy, which decreases the probability of nanoparticle detachment from the receptor during the unbinding process, thereby reducing the efficiency of the transcytosis pathway. These findings underscore the critical role of avidity optimisation in designing nanocarriers for effective BBB transcytosis, highlighting the need to balance binding strength and the dynamic requirements of intracellular trafficking.



Fig. 4. Avidity-related nanoparticle uptake and intracellular trafficking. (a) Schematic representation of the impact of cumulative binding strength of multivalent interactions (avidity) on receptor-mediated endocytosis and intracellular fate of multivalent nanoparticles. High-avidity nanoparticles are more prone to follow intracellular degradation pathways after endocytosis, while low-avidity nanoparticles aggregate and undergo transcytosis across the cell. (b) Graph summarising the relationship between ligand number, adsorbed fraction, and binding energy for low and high avidity systems.

#### 4.3. Integrated models and simulations on multivalent binding

As the computing cost of quantifying receptor-ligand interactions reduced considerably the cost of in vitro and in vivo experiments, the computational approach gained importance in years. Computational methods can aid in designing multivalent systems by providing insights about ligand-receptor interactions at atomic and molecular levels, which are difficult to achieve experimentally. Techniques such as molecular mechanics simulations, course-grain methods and molecular docking allow researchers to predict how ligands bind to receptors, estimate binding affinities, and explore the structural dynamics of complexes [143–146]. For instance, computational methods can help elucidate the structural and energetic factors governing binding, offering a deeper understanding of how Gibbs free energy ( $\Delta G$ ) relates to the spontaneity and stability of protein-ligand complexes. Moreover, computational approaches enable high-throughput screening of large compound libraries, such as potential ligands, significantly accelerating drug discovery processes [147,148]. By integrating computational models with experimental data, researchers can better understand the mechanisms of ligand-receptor interactions, optimise drug candidates, and reduce the costs and time associated with experimental studies.

From a computational perspective, the multivalency theory can be considered a bottom-up theory as it relies on a set of parameters describing in detail individual ligand-receptor binding properties that, when integrated, allow the prediction of system-wide outcomes. In this sense, computational models can be applied at two key levels: first, to understand the structures of the interacting objects, such as ligands and receptors: second, to study the interactions between these objects. The object structures are typically obtained through experimental techniques like X-ray crystallography, electron microscopy, and nuclear magnetic resonance (NMR) spectroscopy, which can reveal details at the nanoscale. However, these techniques rely on the availability of biological entities, which need to be produced in vitro or extracted from living organisms. To overcome the limitations of experimental methods, predictive computational approaches like comparative homology modelling (CHM) have been developed. CHM typically uses the structure of one or more known proteins (the template) to predict the structure of an unknown but related protein (the target). These predictions are based on high sequence homology between the template and the target. The field of protein structure prediction has been transformed by artificial intelligence, particularly with the development of deep learning models like RoseTTAFold [149] and AlphaFold2 (2024 Chemistry Nobel Prize award) [150]. These neural networks can accurately predict protein structures by recognising patterns in known primary, secondary, and tertiary structures and applying them to unknown proteins.

When the objects structure is known, computational modelling can be of added value in studying specific features of the object interactions. In this context, ligand-receptor interactions have been studied in the larger context of molecular recognition, where the non-covalent interactions mediating the formation of supramolecular complexes are investigated. In this domain, the actions of two BBB receptors were described: the ISNR and a member of the glucose transporter family, GLUT1. Using the interactive molecular dynamics flexible fitting (iMDFF) implemented in Visual Molecular Dynamics (VMD) [151], Croll and colleagues investigated the structural transitions of ISNR upon ligand binding. Their work provided a revised structure of the receptor, including missing residues, that place constraints on the receptor's conformational flexibility and, thus, on possible signal transduction mechanisms [152]. Park's work on the GLUT1 transporter ranges from modelling the protein homology using MODELLER [153] to a series of classical and accelerated molecular dynamics techniques that shed light on the mechanism of binding and release of glucose across a cell membrane, also defining the critical amino acids involved in the process [154]. In another example, Indrakumar and colleagues investigated, through molecular dynamics simulations, the conformational stability of human serum Tf at varying pH values and salt and excipient concentrations [153]. Their study provides a detailed molecular understanding of how these physicochemical conditions affect Tf protein surface properties and conformational stability, which can be utilised in designing preventive measures of aggregation in optimising Tf-mediated formulations. At the level of multivalent systems, Shityakov et al. benefit from the potential of molecular dynamics simulations to model, along with experimental characterisation, the potential of multi-walled carbon nanotubes as a BBB-permeating drug delivery vector, determining that the minimisation of the vdW interactions drives the aggregation kinetics [155]. Recent advancements in deep learning models, such as AlphaFold-Multimer and AlphaFold3 [156], extend the capability to predict the structures of protein complexes. Using AlphaFold-Multimer, Shay and colleagues generated capsid-receptor binding models to predict the affinity of several adeno-associated virus (AAV) for BBB receptors. They identified two key targets, LY6C1 and CA-IV, that allow them to design an enhanced LY6C1-binding vector (AAV-Ph.C.) to penetrate the BBB and deliver therapeutic drugs [157].

While molecular mechanics methods offer valuable insights into biological systems, they are constrained by the limited timescales and system sizes they can simulate. To address these limitations, researchers have developed enhanced dynamics sampling techniques. For example, in the GLUT1 study by Park et al. [154], glucose binding and release were "forced" using a harmonic potential applied between the sugar molecule and the binding pocket, improving the efficiency of molecular dynamics simulations. However, classical and enhanced molecular dynamics are still restricted to small systems, which limits their ability to capture the broader context of ligand-receptor interactions at the BBB. These interactions are influenced by factors such as blood flow velocity, the presence of other proteins, ligands, and the glycocalyx environment. To overcome these challenges, coarse-graining methods have been introduced, allowing for the simulation of larger systems while maintaining essential molecular details, thus providing a more comprehensive view of complex biological processes. Coarse-graining refers to a series of methods that simplify simulations by reducing the number of particles being modelled. A good example of the application of coarsegrain methods is provided by Tial et al. [142], who, by using a wellestablish coarse-grained membrane surface path, were able to perform

a number of molecular dynamics simulations to capture the effect of avidity of BBB-targeted multivalent systems on membrane topological changes and nanoparticle aggregation dynamics.

As highlighted in this section, computational methods are now essential for studying receptor-ligand interactions. These methods complement experimental techniques by providing high-throughput insights into molecular dynamics, binding affinities, and structural predictions, which can speed up drug discovery and improve our understanding of complex biological systems. In the context of BBB crossing, there remains a gap in implementation, but we are optimistic that this gap will be filled soon as these technologies continue to advance and integrate more seamlessly into research and development processes.

#### 5. Beyond the BBB: Reaching the target

At the structural level, the brain is organised in a highly complex multicellular network structurally supported by a dense matrix of proteins and polysaccharides, known as the ECM, whose spaces are filled with the ISF. The ISF facilitates the transport of molecules (for energy supply or communication) within the intercellular spaces and collaborates in clearing brain waste products. Once nanoparticles (or other brain therapeutics) successfully traverse the BBB, their journey within the brain involves navigating through the ISF while overcoming the physical barriers posed by the ECM until reaching their target. The process by which this transport occurs has not yet been fully elucidated and continues to be a matter of active debate.

In peripheral tissues, the movement of ISF is driven by a steady flow of plasma ultrafiltrate through fenestrated capillaries, with excess fluid and metabolic waste being drained away by lymphatic vessels. In the brain, given the lack of lymphatic vessels, ISF transport through the brain's extracellular space was traditionally described as relying on diffusion. This diffusive movement was driven by a slight hydrostatic pressure created by the secretion of fluid through the BBB that would drain out towards the CSF [158,159]. In accordance, molecule diffusion in the brain parenchyma has been demonstrated to be governed by three parameters: porosity (volume fraction) of the extracellular space that indicates the available space for diffusion; tortuosity, which quantifies the hindrance a molecule faces due to the brain's intricate structure; and, effective diffusivity that refers to the diffusion rate of a molecule within the brain tissue ([160] for a comprehensive review).

About a decade ago, the concept of the glymphatic system (GS) emerged, sparking ongoing discussions about the brain's fluid dynamics [158,161–164]. The GS was initially described as a clearance pathway in the CNS that helps remove brain waste products [165–167]. This system is driven by the rhythmic pulsations of cerebral arterial blood flow and facilitated by AQP-4 channels located at the endfeet of perivascular astrocytes. In this process, CSF flows from periarterial spaces into the brain's interstitial space, where it mixes with ISF. The mixed fluid is then propelled out of the brain through perivenous spaces, following a pressure gradient, thereby aiding in the clearance of ISF. Additional research on live mice (two-photon imaging) showed that GS activity follows endogenous circadian rhythms, with its function significantly enhanced during the sleep phase, allowing, for example, an increased rate of  $\beta$ -amyloid clearance during sleep [168].

So far, there is no consensus about solute transport in brain parenchyma. It most probably occurs through both diffusion and advection. The relative contributions of diffusion and advection may vary depending on spatial location and brain state. Future interdisciplinary studies focusing on the permeability of the extracellular space, solute concentration gradients, and the presence of pericapillary spaces, using techniques like MRI and super-resolution imaging, could provide further insights.

#### 6. Better brain therapies: The future of computational and experimental Integration

Effective brain drug delivery remains a significant clinical challenge in treating CNS diseases, mainly due to the presence of the BBB. While our understanding of the BBB's complex and dynamic functions has improved, traditional drug delivery methods continue to face difficulties in safely and effectively penetrating this barrier. Despite the challenge, innovative strategies are emerging, notably towards enhancing the functionality of BBB "Trojan horses". These strategies include the development of multivalent drug delivery systems, which may increase the specificity of BBB targeting, enhance the efficiency of BBB transcytosis, and potentially improve treatment efficacy. The superselectivity offered by these multivalent systems shows promise in reducing off-target effects and enhancing therapeutic outcomes. Furthermore, there is increasing interest in integrating mathematical and computational modelling to advance these strategies. Such modelling enables the simulation of delivery systems interactions with the BBB and the optimisation of their design prior to experimental testing. Combining empirical findings with experimental validation will be crucial for developing more effective and clinically relevant brain drug delivery systems, ultimately leading to improved treatments for neurological diseases.

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#### Data availability

No data was used for the research described in the article.

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