

INTERNATIONAL ASSOCIATION OF LAW LIBRARIES

32nd Annual Course on International Law and Legal Information

Catalan Law and Legal Information in a Global Context Barcelona, 15-19 September, 2013

Copyright issues related to the implementation of Open Access policies

Ignasi Labastida i Juan
Oficina de Difusió del Coneixement
CRAI Universitat de Barcelona



Open Access

...By "open access" to this literature, we mean its free availability on the public internet, permitting any users to read, download, copy, distribute, print, search, or link to the full texts of these articles, crawl them for indexing, pass them as data to software, or use them for any other lawful purpose, without financial, legal, or technical barriers other than those inseparable from gaining access to the internet itself...

Budapest Open Access Initiative, February 2002



Green Road: Self-archiving

... scholars need the tools and assistance to deposit their refereed journal articles in open electronic archives, a practice commonly called, self-archiving. ...

Repository: a facility where things can be deposited for storage or safekeeping

Institutional Repository: intellectual output of an institution

Subject based or Thematic Repository: research output from a research domain

Harvester: a repository collecting metadata from repositories.

Golden Road: Open Access Journals

... scholars need the means to launch a new generation of journals committed to open access, and to help existing journals that elect to make the transition to open access ...

... these new journals will no longer invoke copyright to restrict access to and use of the material they publish. Instead they will use copyright and other tools to ensure permanent open access to all the articles they publish ...

...these new journals will not charge subscription or access fees, and will turn to other methods for covering their expenses...

...There is no need to favor one of these solutions over the others for all disciplines or nations, and no need to stop looking for other, creative alternatives...

Main Differences

Green Road:

- Public Access
- Publisher holds Copyright
- Access to previous versions before published

Golden Road:

- Open Access
- Author holds Copyright
- Access to final published version

Traditional Scholarly Publication

Researcher achieves some results

Researcher sends a paper to be submitted for publication in a journal (preprint or submitted version)

Referees review the paper and suggest changes

Researcher sends a modified paper (postprint, accepted version or author's manuscript)

Editor accepts publication. Researcher transfers copyright

Journal publishes the paper (published version)

COPYRIGHT TRANSFER AGREEMENT

Agreement shall be mall and used. Aublication cannot proped without a signed copy of this Agreement.



Wei	Contributor name:	
antibus address _		
Besseript number (File	town):	
e Manacript antitled.		
-20010000000	<u> </u>	(the "Contribution")
e publication in		(terlismet)
utilished by		("WayBaituel")
Ner Core/businio		

discentrate your Contribution to the fullest extent, we need to have this Copyright Tuester Agreement signed and inturned as directed in the Issuma's instructions for authors as soon as according if the Contribution is not accepted for publication, or if the Contribution is subsequently rejected, this

A. COPYRIGHT

- 1. The Contributor assigns to Whap Hadrovel, during the half term of copyright and any enterstance or versional, all copyright in and to the Contribution, and all rights thereby, recluding but excitemed to the right to publish, republish, transmitt, ad, distribute and otherwise are the Contribution in whitelease part in electronic and part electronic and the source and electronic and part electronic and part electronic and the source and the distribution and transmitted and a state of the source or part of their distribution of the source or state distribution of the source or state distribution and transmit or operant others to do so.
- A. Reproduction, postroy, transmission on other distribution or use of the final Contribution in ordinal sorting and is say residuen by the Contribution as general to by the Appendix of September 1 and the September 1 and t

B. RETAINED RIGHTS

feathwithstanding the above, the Contributor or Egypticable, the Contributor's Employer, retains all progressary rights other than copyright, such as patient highly, in any proteins, provious on article of invariabilities absorbed in the Contribution.

C. PERMITTED USES BY CONTRIBUTOR

- Subsetted tenion. Why tilehold four-oxide the following right to the Cost Butte in the serion of the Costsburier accorgoully submitted for publication:
- a. After publication of the final article, the right to self-archive on the Contributor's personal residence in in the Contributor's middless in installable properties of the residence of th
- b. The right to ingreent, print and share copsecurity colleagues.
- 2. Assigned termine. No new of the accepted and peer-entered find not final senior of the Contributor stall be by represe agreement with strike flactional. Whey filectional has agreement, with nortest handing agreement presented over the strike of the relationships, and other changes allowing open web use, we set forth at the following website: http://www.nofag.com/op/fundaments/files.perties.cho.id/check the loss at the following of the file loss at the following of the file.

- Real Published Venion. Why thehead heady license best to the Combitate the following rights with separt to the final published version of the Combitation.
- a. Copies for colleapare. The personal regit of the Correlator only to sent or two-pert individual copies of the final-published variation is any florant to colleapare open than quartity toquate provided not fax is interjust, and Author-provided that there is no significantly distribution of the Correlattors, e.g. parting on a fortune, wellook or automated defelory.
- b. As one involver publications. The right tone use the Frail Contribution opens theward for any publication authorise in witness by the Contribution behavior. In cool material provided provided in the contribution behavior of the right of the total material in such publication. In such case, any modification should be accounted to state.
- a. Teaching duties. The right his include the Contribution in teaching or training plates after contribution, summationing last dramphymetric including in course plate, whoseway, presentation at professional conference, includes training, or distance features. The Contribution may not be used in semi-art soutile of mornel beaching. The Contribution may not be used in semi-art soutile of mornel beaching distance or commencial semi-article flustration plates and employment in parameter of the Contribution institutionsplace of employment in parameter today to the original entries of exposure or contribution and exposure or contribution techniques.
- Cod preentations. The right to make our presentations based on the Contribution.

Article Abstracts, Figures, tolker, Oato Sets, Artwork and Selected Section to 250 words.

- Contribution may arrive uneventified abstracts for any non-commercial purpose, for or-time-use of the abstracts, utility-flact-real encourage flact does not seques interplaced to the Frail published sensors.
- b. Contribution may re-use figures, fallies, fatarisms, amounts, and selected text-up to 210 worth from their Contributions, provided the following conditions are met:
- 51. Auf and account could must be goes to the Contribution
- 39 Modifications to the Espore, tellies and date must be noted. Otherwise, no charges may be made.
- (4) The reuse-may not be made for direct commental purposes, or for financial consideration to the Contributor.
- builded by heart shall parent dual publication in violation of journal affection provides.

1794

Open Access Policies

Institutional Policies

Funders Policies

National Policies

International Policies

Institutional Policies

Queensland University of Technology, 2004

Material which represents the total publicly available research and scholarly output of the University is to be located in the QUT ePrints institutional repository, subject to the exclusions noted below. In this way it contributes to a growing international corpus of refereed literature and other research outputs available on open access, a process occurring in universities worldwide.

The following materials must be included in QUT ePrints:

- refereed research articles and conference papers at the post-peer review stage (author's accepted manuscript)
- · digital theses

QUT's preference is to make materials available at the time of publication. Publisher requests for embargos of more than twelve months must be referred to the Deputy Vice-Chancellor

Institutional Policies

Harvard Law School, 2008

The Faculty of the Harvard Law School is committed to disseminating the fruits of its research and scholarship as widely as possible. In keeping with that commitment, the Faculty adopts the following policy: Each Faculty member grants to the President and Fellows of Harvard College permission to make available his or her scholarly articles and to exercise the copyright in those articles. More specifically, each Faculty member grants to the President and Fellows a nonexclusive, irrevocable, worldwide license to exercise any and all rights under copyright relating to each of his or her scholarly articles, in any medium, and to authorize others to do the same, provided that the articles are not sold for a profit. The policy will apply to all scholarly articles authored or co-authored while the person is a member of the Faculty except for any articles completed before the adoption of this policy and any articles for which the Faculty member entered into an incompatible licensing or assignment agreement before the adoption of this policy. The Dean or the Dean's designate will waive application of the policy to a particular article upon written request by a Faculty member explaining the need.

Funders Policies

Wellcome Trust, 2006

Expect authors of research papers to maximise the opportunities to make their results freely available and, where possible, to retain their copyright.

Will provide grantholders with additional funding to cover the open access charges levied by publishers who support the open access model.

Require electronic copies of any research papers that have been accepted for publication in a peer-reviewed journal, and are supported in whole or in part by Wellcome Trust funding, to be made freely available from PubMed Central and any other PMC International (PMCI) sites, such as Europe PMC, as soon as possible, and in any event within six months of the journal publisher's official date of final publication.

National Policies

Spanish Law of Science, 2011

Art. 37 Dissemination in open access

Researchers, mainly funded by the General State Budget, must make public a digital version of the final content accepted for publication in a serial or a periodical research publication, as soon as possible, but no later than 12 months after the oficial date of publication

The electronic version will be published in an open access subject based repository or institutional repository

...without prejudice to agreements under which publication rights have been attributed or transfer to third parties.

International Policies

European Comission, 2008

It aims to ensure that research results funded by the EU citizen are made available to the population at large for free. In this way, Open Access is considered a way to improve the EU's return on research and development (R&D) investment.

Under the Open Access pilot, FP7 grant recipients in seven areas (energy, environment, health, information and communication technologies, research infrastructures, science in society, and socioeconomic sciences and humanities) are expected to:

- deposit peer-reviewed research articles or final manuscripts resulting from their FP7 projects into an online repository;
- make their best effort to ensure open access to these articles within either 6 months (health, energy, environment, information and communication technologies, research infrastructures) or 12 months (science in society, socioeconomic sciences and humanities) after publication.

The foreseen embargo period of 6 or 12 months allows scientific publishers to ensure a profit on their investment (by charging for journal subscription), while then providing open access to research articles once the embargo period has lapsed.

Summarizing Policies

Accepted manuscript

Subject based or institutional repository

Embargo periods

"OPEN ACCESS" GREEN ROAD

IP rights needed

- Reproduction
- Public display

Who owns those rights?
Author, Institution, Publisher...

Who can use those rights?

Author, Institution, Publisher, Public...

-

COPYRIGHT TRANSFER AGREEMENT

Agreement shall be mall and used. Aublication cannot proped without a signed copy of this Agreement.



Wei	Contributor name:	
antibus address _		
Besseript number (File	town):	
e Manacript antitled.		
-20010000000	<u> </u>	(the "Contribution")
e publication in		(terlismet)
utilished by		("WayBaituel")
Ner Core/businio		

discentrate your Contribution to the fullest extent, we need to have this Copyright Tuester Agreement signed and inturned as directed in the Issuma's instructions for authors as soon as according if the Contribution is not accepted for publication, or if the Contribution is subsequently rejected, this

A. COPYRIGHT

- 1. The Contributor assigns to Whap Hadrovel, during the half term of copyright and any enterstance or versional, all copyright in and to the Contribution, and all rights thereby, recluding but excitemed to the right to publish, republish, transmitt, ad, distribute and otherwise are the Contribution in whitelease part in electronic and part electronic and the source and electronic and part electronic and part electronic and the source and the distribution and transmitted and a state of the source or part of their distribution of the source or state distribution of the source or state distribution and transmit or operant others to do so.
- A. Reproduction, postroy, transmission on other distribution or use of the final Contribution in ordinal sorting and is say residuen by the Contribution as general to by the Appendix of September 1 and the September 1 and t

B. RETAINED RIGHTS

feathwithstanding the above, the Contributor or Egypticable, the Contributor's Employer, retains all progressary rights other than copyright, such as patient highly, in any proteins, provious on article of invariabilities absorbed in the Contribution.

C. PERMITTED USES BY CONTRIBUTOR

- Subsetted tenion. Why tilehold four-oxide the following right to the Cost Butte in the serion of the Costsburier accorgoully submitted for publication:
- a. After publication of the final article, the right to self-archive on the Contributor's personal residence in in the Contributor's middless in installable properties of the residence of th
- b. The right to ingreent, print and share copsecurity colleagues.
- 2. Assigned termine. No new of the accepted and peer-entered find not final senior of the Contributor stall be by represe agreement with strike flactional. Whey filectional has agreement, with nortest handing agreement presented over the strike of the relationships, and other changes allowing open web use, we set forth at the following website: http://www.nofag.com/op/fundaments/files.perties.cho.id/check the loss at the following of the file loss at the following of the file.

- Real Published Venion. Why thehead heady license best to the Combitate the following rights with separt to the final published version of the Combitation.
- a. Copies for colleapare. The personal regit of the Correlator only to sent or two-pert individual copies of the final-published variation is any florant to colleapare open than quartity toquate provided not fax is interjust, and Author-provided that there is no significantly distribution of the Correlattors, e.g. parting on a fortune, wellook or automated defelory.
- b. As one involver publications. The right tone use the Frail Contribution opens theward for any publication authorise in witness by the Contribution behavior. In cool material provided provided in the contribution behavior of the right of the total material in such publication. In such case, any modification should be accounted to state.
- a. Teaching duties. The right his include the Contribution in teaching or training plates after contribution, summationing last dramphymetric including in course plate, whoseway, presentation at professional conference, includes training, or distance features. The Contribution may not be used in semi-art soutile of mornel beaching. The Contribution may not be used in semi-art soutile of mornel beaching distance or commencial semi-article flustration plates and employment in parameter of the Contribution institutionsplace of employment in parameter today to the original entries of exposure or contribution and exposure or contribution techniques.
- Cod preentations. The right to make our presentations based on the Contribution.

Article Abstracts, Figures, tolker, Oato Sets, Artwork and Selected Section to 250 words.

- Contribution may arrive uneventified abstracts for any non-commercial purpose, for or-time-use of the abstracts, utility-flact-real encourage flact does not seques interplaced to the Frail published sensors.
- b. Contribution may re-use figures, fallies, fatarisms, amounts, and selected text-up to 210 worth from their Contributions, provided the following conditions are met:
- 51. Auf and account could must be goes to the Contribution
- 39 Modifications to the Espore, tellies and date must be noted. Otherwise, no charges may be made.
- (4) The reuse-may not be made for direct commental purposes, or for financial consideration to the Contributor.
- builded by heart shall parent dual publication in violation of journal affection provides.

1704

Posting in a repository

- dc.contributor.author
- dc.publisher
- dc.relation.isformatof
- dc.identifier.doi
- dc.relation.ispartof
- dc.rights
- dc.rights.uri
- dc.rights.accessrights
- dc.type

...and what about OA journals?

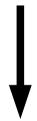
Pure Open Access Journal:

The author(s) and right holder(s) of such contributions grant(s) to all users a free, irrevocable, worldwide, right of access to, and a license to copy, use, distribute, transmit and display the work publicly and to make and distribute derivative works, in any digital medium for any responsible purpose, subject to proper attribution of authorship (community standards, will continue to provide the mechanism for enforcement of proper attribution and responsible use of the published work, as they do now), as well as the right to make small numbers of printed copies for their personal use.

Berlin Declaration on Open Access to Knowledge in the Sciences and Humanities, 2003

...and what about OA journals?

© All rights reserved





Other "Open Access" Journals

Public access journals with "© All rights reserved"

Subscription Access Journals with Open Access Options: Hybrid model

Open Access Journals with some restrictions/conditions











New Policies in the UK

Finch Report, 2012 Recommendations to adopt Golden Road

Changes in Open Access Policies:

Wellcome Trust RCUK



INTERNATIONAL ASSOCIATION OF LAW LIBRARIES

32nd Annual Course on International Law and Legal Information

Catalan Law and Legal Information in a Global Contex Barcelona, 15-19 September, 2013

Thanks



© Ignasi Labastida, 2013

ilabastida@ub.edu
Oficina de Difusió del Coneixement
CRAI Baldiri Reixac, 2
http://www.ub.edu/odc
@ignasi