

# DnaSP v5: A software for comprehensive analysis of DNA polymorphism data

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## ABSTRACT

**Motivation:** DnaSP is a software package for a comprehensive analysis of DNA polymorphism data. Version 5 implements a number of new features and analytical methods allowing extensive DNA polymorphism analyses on large data sets. Among other features, the newly implemented methods allow for: 1) analyses on multiple data files; 2) haplotype phasing; 3) analyses on insertion/deletion polymorphism data; 4) visualizing sliding window results integrated with available genome annotations in the UCSC browser.

**Availability:** Freely available to academic users from: <http://www.ub.edu/dnasp>

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## 1 INTRODUCTION

The analysis of DNA polymorphisms is a powerful approach to understand the evolutionary process and to establish the functional significance of particular genomic regions (Nielsen, 2005; Rosenberg and Nordborg, 2002; Begun *et al.*, 2007). In this context, estimating the impact of natural selection (both positive and negative) is of major interest. Furthermore, DNA polymorphisms are relevant as a tool for a broad range of life science disciplines. Consequently, many high-throughput sequencing, genotyping, and polymorphism detection systems have been developed and are currently publicly available (Shendure and Ji, 2008). These new technologies are generating massive amounts of data that need to be processed, analysed, and transformed effectively into knowledge.

These technological advances have largely stimulated the development of both analytical methods and computer applications. Population genetic methods, and particularly those based on coalescent theory (Hudson, 1990; Wakeley, 2009), are used at an increasing rate, but need to be adapted to the particularities of the data (massive amounts of data, missing data, genotypes, insertion/deletion (indels) polymorphisms, etc.). Furthermore, new computer applications and algorithms need to be developed for processing massive data sets (Excoffier and Heckel, 2006), and more specifically computer visualization tools for the representation of DNA variation patterns. DnaSP (DNA Sequence Polymor-

phism) is a software package that allows for extensive DNA polymorphism analyses using a friendly graphical user interface (GUI) (Rozas *et al.*, 2003). Version 5 extends the capabilities of the software, allowing comprehensive DNA polymorphism analyses on multiple data files and on large data sets. Altogether, the present version of DnaSP has the appropriate features for exhaustive exploratory analyses using high-throughput DNA polymorphism data.

## 2 FEATURES

DnaSP v5 incorporates major improvements. The new version currently allows for the handling and analysis of multiple data files in batch, and implements new algorithms and methods; among other things (see below) includes a new module to identify conserved DNA regions, this feature might be useful for phylogenetic footprinting-based analyses (Vingron *et al.*, 2009). DnaSP provides a convenient GUI (Graphical User Interface) facilitating all data management and analytical tasks; the results can be visualized graphically as well as in a text report. DnaSP accepts multiple DNA sequence alignment file formats (Rozas *et al.*, 2003), including NEXUS (Maddison *et al.*, 1997), and HapMap3 files with phased haplotypes (The International HapMap Consortium, 2003). The software allows exhaustive DNA polymorphism analyses, including those based on coalescent theory (Rozas *et al.*, 2003; Wakeley, 2009).

### 2.1 Haplotype reconstruction

Haplotype reconstruction aims at resolving haplotype phase given genotypic information. DnaSP implements statistical methods to infer haplotype phase, and prepares adequately the phased data for subsequent analyses. The input data (unphased genotype data) is required in FASTA format using IUPAC nucleotide ambiguity codes to represent heterozygous sites. DnaSP reconstructs the phase by applying various algorithms (PHASE v2.1, fastPHASE v1.1, and HAPAR) differing in the underlying population genetic assumptions. PHASE (Stephens *et al.*, 2001; Stephens and Donnelly, 2003) assumes Hardy-Weinberg equilibrium and uses a coalescent-based Bayesian method to infer haplotypes. fastPHASE (Scheet and Stephens, 2006) implements a modification of the PHASE algorithm taking into account the patterns of linkage disequilibrium and its gradual decline with physical distance. This

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algorithm is faster and allows for the handling of larger datasets than PHASE, while being slightly less accurate. HAPAR (Wang and Xu, 2003) infers haplotype phase by maximum parsimony, i.e. attempts to find the minimum the number of haplotypes explaining the genotype sample.

## 2.2 Deletion/insertion polymorphisms (DIP)

DIPs analysis can provide insights into the evolutionary forces acting on DNA. This information, however, has been rarely used. One obstacle has been the difficulty of defining clearly homologous states (Young and Healy, 2003). DnaSP incorporates an algorithm for treating indels related to the “simple indel coding” method of Simmons and Ochoterena (2000). Specifically, only indels with the same 5’ and 3’ termini are considered homologous (resulted from a single event), and indels of different lengths (even in the same position of the alignment) are treated as different events. DnaSP, nevertheless, uses a slightly different method for coding completely overlapping gaps, and allows the user to choose the level of overlap to be coded. Subsequently, DnaSP estimates a number of DIP summary statistics, such as the average indel length, indel diversity, as well as Tajima’s  $D$  (Tajima, 1989) based on indel information. Additionally, it exports the recoded data in the NEXUS format file.

## 2.3 Analysis of multiple data files

DnaSP can automatically read and analyse multiple data files sequentially (in batch mode). These data files may contain a varying number of sequences (from within one species, or from one species as well as one outgroup), or represent diverse genomic regions. The program estimates the most common DNA polymorphism and divergence summary statistics (such as the nucleotide and haplotype diversity, the population mutation parameter, the number of nucleotide substitutions per site, etc.), and neutrality tests (such as Tajima’s, Fu and Li’s, and Fu’s tests).

## 2.4 Sliding window results visualization

The sliding window technique is a useful tool for exploratory DNA polymorphism data analysis (Rozas et al., 2003; Vilella et al., 2005; Hutter et al., 2006). The current version of DnaSP permits visualizing results of the sliding window (for example, nucleotide diversity or Tajima’s  $D$  values along the DNA sequence) integrating available genome annotations in the UCSC browser (Kent et al., 2002). This feature can greatly facilitate the interpretation of the results; for instance, it is possible to identify the relevant genome annotations (genes, intergenic regions, conserved regions, etc.) that are adjacent to regions with atypical patterns of nucleotide variation.

## 3 IMPLEMENTATION

DnaSP version 5 has been developed in Microsoft Visual Basic v6.0, C and C++, and it runs under Microsoft Windows operating

systems (2000/XP/Vista). With the use of Windows emulators, DnaSP can also run on Apple Macintosh platforms, Linux, and Unix-based operating systems. The software has been tested in all three platforms.

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