



1 Is it Possible to Empirically Test a Metatheory?

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5 Abstract

6 In this paper, we examine the issue of the empirical or non-empirical status of philosophical metatheories. In particular, we ask whether a specific type of metatheoretical product, 7 formal reconstructions of scientific theories, can be empirically tested. To answer this, we 8 take Metatheoretical Structuralism as a metatheory and Classical Mechanics as our case 9 studies. We show how classical mechanics can be reconstructed from structuralism. We 10 then present a computer program, called Reconstructor, and show how it can be used to test 11 the adequacy of the reconstruction. Finally, we discuss some philosophical points regard- 12 ing these tests, namely, the issues of holism, circularity and metatheoretical predictions. 13

14 **Keywords** Empirical tests · Metatheoretical Structuralism · Classical Mechanics · 15 Computer Program · Reconstructor

16 1 Introduction

17 The goal of this paper is to examine whether philosophical theories about science, and in 18 particular, about the structure of scientific theories, are empirically testable. Additionally, 19 if they are, to determine whether they are so in the same way that empirical theories are.

20 These goals are important for several reasons. Firstly, because metatheories purport to 21 speak about certain parts of our (social/cultural) experience, i.e. scientific theories, which 22 are culturally and historically situated entities. The fact that scientific metatheory is usually 23 thought of as part of philosophy instead of empirical science is contingent, as disciplinary 24 boundaries often are. Thus, metatheories should in principle be tested against what they 25 intend to account for. Of course, philosophers of science do usually attempt some sort of 26 empirical test of their metatheoretical products, in the sense that they do attempt to apply 27 their frameworks to actual case studies, and in the process of doing so sometimes they 28 adjust the former to make them better fit the latter. Yet, this is far from a systematic testing 29 procedure, of the kind found in the empirical sciences. In this article, by empirical test we 30 mean an actual procedure governed by explicit rules.

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31 A second reason our goal is important is that the question of empirical testability is a
32 pressing issue for philosophers of science with an empiricist and/or a naturalistic stance.
33 According to empiricists (of various tendencies) knowledge is either of the logical/mathe-
34 matical/conceptual kind or of the empirical kind, there is no third category of philosophical
35 knowledge. From a naturalistic perspective, science is part of the natural world and as such
36 it may be (meta)scientifically studied.¹ Metatheoretical knowledge deals with natural phe-
37 nomena and therefore should, in principle, be tested as any other knowledge with empirical
38 scope.² For a naturalist, this does not exclude that some parts of philosophy of science be
39 considered conceptual analysis/knowledge³ (e.g. the explication of the notion of explana-
40 tion, or of the notion of inductive/ampliative justification), but this is compatible with other
41 parts being empirical research about cultural phenomena. In particular, we claim that this
42 is so with metatheories about the structure of scientific theories (whether this implies a
43 recursive self-application is a question we will not deal with here).

44 Our working hypotheses are, then, that metatheories are empirically testable, in a sys-
45 tematic way, and moreover, that these tests are relevantly similar to those of empirical sci-
46 entific theories; therefore, metatheoretical studies, at least those that aim to reconstruct the
47 structure of theories, can be thought of as part of an empirical science of science.

48 As a case study, we will take Sneedian or Metatheoretical Structuralism (MS) as our
49 metatheory about the structure of scientific theories (Balzer et al., 1987; Díez & Loren-
50 zano, 2002; Sneed, 1971). MS has been acknowledged as the most well-developed struc-
51 tural metatheory by philosophers as varied as Kuhn (2000), Cartwright (2008) or Frigg
52 (2023). On the other side, it is by far the metatheory that has been applied to the recon-
53 struction of more scientific theories in more varied fields, including physics and astron-
54 omy (Carman, 2010; Schmidt, 2014; Sneed, 1971), (bio)chemistry (Alleva et al., 2017;
55 Caamaño, 2009; Donolo et al., 2007; Falguera & Donato-Rodríguez, 2016; C. Lorenzano,
56 2002; O’Lery, 2012), biology (Balzer & Lorenzano, 2000; Blanco, 2012; Díaz & Loren-
57 zano, 2017; Díez & Lorenzano, 2013; Ginnobili, 2016; Méndez & Casanueva, 2006), soci-
58 ology and linguistics (Abreu, 2012; Gonzalo & Balzer, 2012; Peris-Viñé, 2011), among

1FL01 ¹ In this regard, our project can be considered part of this naturalistic or “(meta-)empirical” tradition in
1FL02 the philosophy of science (we thank an anonymous reviewer for this suggestion), from Whewell and Mach,
1FL03 through Carnap and Neurath, to Van Fraassen or Giere. This tradition, though, is complex and the different
1FL04 positions within it involve subtle differences in which we cannot enter here. What matters to our present
1FL05 concerns, is that it suggests that metascience (or at least some of its parts) could in principle be tested in an
1FL06 analogous manner to science itself. Our following testing proposal elaborates this suggestion in one of its
1FL07 possible directions, for there may be other “meta-testing” proposals that test other parts of meta-science (for
1FL08 instance, Estany, 1990, tests Kuhn’s, Lakatos’ and Laudan’s different meta-scientific models of scientific
1FL09 change against different historical episodes).

2FL01 ² One might object that the project of empirically testing metatheories would make sense if the task of
2FL02 metatheories were descriptive, which is at least controversial; for instance, Moulines (1991) has defended
2FL03 that the task of metatheories is better characterized as interpretation than as description (we thank an anon-
2FL04 ymous reviewer for this comment). First, it is not clear that interpretations cannot be tested, they seem to be
2FL05 testable, to the extent that they generate (metatheoretical) predictions—see below. Second, and relatedly,
2FL06 even if metatheories are interpretations they may have a descriptive component (Moulines himself acknowl-
2FL07 edges this in a later work, see Díez and Moulines 1997). For example, all theories that are not non-directly
2FL08 self-confirmed (the usual case in science) are tested with data that are measured/gathered independently
2FL09 of the theoretical assumptions/laws used to make the relevant predictions, which is why those predictions
2FL10 can, and sometimes do, fail. Different metatheories may reconstruct this fact in partially different ways, and
2FL11 some ways may be more fruitful than others, but all metatheories (that have a minimal adequacy) should be
2FL12 suitable for our kind of meta-testing in this regard.

3FL01 ³ As explicitly stated for instance by the philosophers of the so-called “Canberra plan” (see e.g. Jackson
3FL02 1998, Chalmers 2012).

Is it Possible to Empirically Test a Metatheory?

59 others (see Diederich et al., 1989, 1994 and Abreu et al., 2013, for a more complete refer-
60 ence of the theories reconstructed).

61 As a practical tool to perform the kind of tests we are interested in, we shall use Recon-
62 structor (Roffé, 2019, available at <https://arielroffe.quest/home#reconstructor>), which is a
63 computer program that allows the user to check the adequacy of various aspects of struc-
64 turalist reconstructions (more on this in Sect. 3). Since any theory can only be empirically
65 tested through its applications, and since an application of a metatheory is a reconstruction
66 of a particular theory, being able to test particular reconstructions will serve as a test for
67 the metatheory itself.

68 Put in different words, theories (including metatheories) make some general predictions,
69 which can be empirically checked when one considers a particular application and fills all
70 the relevant values/variables. For metatheories, it is not immediately obvious what these
71 general predictions consist of, perhaps because metatheories are not usually presented very
72 systematically, as other theories in science sometimes are. Instead of attempting such a
73 systematic presentation from the outset, our dialectical proposal is to briefly present the
74 metatheory in Sect. 2 (along with a case study) and to then show some clear ways of testing
75 the adequacy of *particular* reconstructions in Sect. 3, with the aid of the computer pro-
76 gram. We will only arrive at general metatheoretical predictions in Sect. 4. We believe this
77 order of exposition will be clearer than if we parted from the general predictions. Section 4
78 also discusses further philosophical implications, related to holism and circularity.

79 2 Structuralist Rational Reconstruction

80 In order to make clear what exactly we propose to test, it is worth to start by identifying the
81 different steps involved in a (structuralist) reconstruction of a scientific theory. The obvi-
82 ous departure point, previous to any reconstruction, is to be a competent user/knower of
83 the theory in point, being able to recognize paradigmatic uses of it such as typical cases
84 of successful applications, or typical failures or anomalies, etc. The properly reconstructive
85 first step consists in informally identifying the exact boundaries of the object-theory.
86 This is usually done by reference to standard textbooks and/or paradigmatic articles. This
87 first task, though, is not always straightforward, since standard textbooks may sometimes
88 include more than one theory or mix different theories. For instance, a well-used textbook
89 in introductory physics courses (Halliday et al., 2014) mixes mechanics, optics, thermody-
90 namics and others. This may in general be fixed by an exhaustive exploration of the litera-
91 ture and the sociology and teaching of the discipline and its theories. There is no general
92 rule of application, the only methodology is a case by case (meta)study.

93 The next step is to choose, in case there exist different versions/formulations of the
94 “same theory” (e.g. Newtonian and Lagrangian classical mechanics, or wave and matrix
95 QM), the precise version one is willing to reconstruct. There is an issue regarding whether
96 different versions are theoretically equivalent, or only empirically equivalent, in which we
97 do not enter here. For the sake of this article, we treat different versions of theories, in the
98 senses mentioned above, as different theories, so that in the reconstruction task one has to
99 pick one. There is also the issue that theories change over time, and metatheories may pro-
100 vide the tools for a diachronic reconstruction (as e.g. MS has, cf. Balzer et al., 1987), but
101 for the sake of simplicity we will focus here on synchronic aspects of the reconstruction
102 only, so one must choose a specific stage of the theory to reconstruct.

103 The third step consists in “informally reconstructing” the theory in point. This basically
104 consists in identifying the conceptual apparatus (both primitive and defined) and the set of
105 theory claims that utilize this apparatus. Among these, it is essential to distinguish those
106 that describe the phenomena the theory “is about”, or intends to “account for”, identifying
107 the concepts deployed in those statements, and the additional concepts (if any) and state-
108 ments that the theory introduces in order to account for such phenomena. It is common to
109 select among the latter some principles/laws that constitute the core or “primitive” content
110 of the theory, such that the rest of the statements of the theory can be derived from them as
111 theorems.⁴

112 This third step is methodologically instrumental to the fourth and final step, which con-
113 sists in the formal reconstruction using a particular metatheoretical apparatus in a particu-
114 lar formal language (first order logic, set-theoretical predicates, category theory, etc.). Of
115 course, depending on one’s expertise one can skip the informal reconstruction, or just do it
116 “in one’s mind”, and proceed directly to the formal reconstruction.

117 As just said, we take, for the reasons already given, as a metatheoretical case study a
118 particular version of the model-theoretic and set-theoretic families, namely Sneedian struc-
119 turalism. For a complete exposition of this metatheory see Balzer et al. (1987); for quite
120 complete summaries cf. Diederich (1989), Moulines (1996, 2002), Frigg (2023). In what
121 follows, we just recall the main elements of the structuralist apparatus, since this will be
122 enough for our purposes.

123 As a member of the semantic or model-theoretic family, for MS, a reconstruction of
124 a theory proceeds by defining its (different) classes of models. And as a member of the
125 set-theoretic metalanguage family, such definitions are given by means of set-theoretic
126 predicates.

127 This reconstructive task starts by identifying and categorizing the basic concepts/terms
128 of a given theory T . T -terms/concepts are those used in the standard expositions of T . E.g.,
129 in Classical Mechanics (CM), the CM-concepts are “particle”, “space”, “time”, “velocity”,
130 “acceleration”, “mass”, “force”, “momentum”, etc. We ignore those that can be defined
131 in terms of others (velocity, acceleration, momentum, etc.) and concentrate on the primi-
132 tive ones, “particle”, “space” or “position”, “time”, “mass” and “force”. The sets/relations/
133 functions denoted by these primitive T -concepts are the constituents of the models of the
134 theory. Hence, we take P , T , s , m and f as the corresponding denotations of “particle”,
135 “time”, “space”, “mass” and “force”. For technical reasons that will become clearer later,
136 we shall also add a set I that specifies the forces at stake in a given model. Therefore, CM
137 theoretical models are of the form $\langle P, T, I, s, m, f \rangle$.

138 We call characterizations (or improper axioms) of the expositions of T , those formulae
139 that determine the logical type of the components of the models, i.e. of the denotations of
140 the T -concepts. E.g. (we proceed with some simplifications that will not affect the issue
141 here): The set of particles P is a non-empty set; the time interval T is a subset of the contin-
142 uum \mathbb{R} ; The set of indexes I is a subset of \mathbb{N} ; The position function s assigns a three-dimen-
143 sional vector to every particle at a time; the mass function m assigns a positive real number
144 to every particle; and the force functions, that for computational convenience we formalize

⁴FL01 Note that this distinction between “primitive” and “derived” laws has nothing to do with the distinction in
⁴FL02 MS we introduce below between general guiding principles and their special laws, which, as we will clarify,
⁴FL03 are not “derived” from the guiding principles at all, but introduce new content by specifying some param-
⁴FL04 eters left open in the guiding principles. In the sense of “primitive” used here, (some) specializations are
⁴FL05 primitive (and of course, some others are derived from the former).

Is it Possible to Empirically Test a Metatheory?

145 as a single function f from $\mathbb{N} \times P \times T \times P \cup \{\emptyset\}$ into \mathbb{R}^3 , where the first argument specifies
 146 the kind of force at stake (i.e. the numeric indices will represent gravitational, Hooke's,
 147 friction, electrostatic, etc. forces); $P \cup \{\emptyset\}$ serves to specify, when the force is an effect of
 148 another particle (e.g. gravitation, Coulomb's), the particle so responsible, and \emptyset is used
 149 as a technical tool for cases of forces not caused by other particle (friction, Hooke, etc.).
 150 Then, for instance, $f(5, p_1, t, p_2)$ denotes the vector electrostatic force over (the charged par-
 151 ticle) p_1 at t due to the (charged particle) p_2 ; and $f(3, p_1, t, \emptyset)$ the Hooke vector force over
 152 (the particle in a spring) at t . We call "potential models of T" the structures that satisfy
 153 these characterizations, and denote their set by $M_p(T)$, meaning that these are the structures
 154 with the appropriate logical type, such that it makes sense to ask whether they satisfy the
 155 laws of T. We can the define CM potential models as follows:

156 $x = \langle P, T, I, s, m, f \rangle \in M_p(\text{CM})$ iff:

- 157 1. $P \neq \emptyset$
- 158 2. $T \subseteq \mathbb{R}$
- 159 3. s is a function from $P \times T$ into \mathbb{R}^3 (twice differentiable)
- 160 4. m is a function from P into $\mathbb{R} +$
- 161 5. $I \subseteq \mathbb{N}$
- 162 6. f is a function from $I \times P \times T \times P \cup \{\emptyset\}$ into \mathbb{R}^3

163 We will also utilize some defined notions, for convenience's sake. For instance, we
 164 will write $a(p, t)$ for the acceleration of particle p at time t , with an understanding that
 165 the acceleration of the particle is just the second derivative of the position over time (i.e.,
 166 $d^2(s(p, t)) / dt^2$).

167 We call proper axioms of the expositions of T, those formulas that impose additional,
 168 not merely typological constraints on the models, i.e. the "laws" of T; for instance in CM
 169 the Second law, the gravitational law, Hooke's law, etc. We call "(actual) models of T"
 170 those potential models that satisfy the proper axioms and denote their set by "M(T)". For
 171 instance, if for the sake of the example we consider CM constituted only by the following
 172 set of laws, M(CM) is defined as follows:

173 $x = \langle P, T, I, s, m, f \rangle \in M_p(\text{CM})$ iff $x \in M_p(\text{CM})$ and⁵:

- 174 1. $\forall p \in P, \forall t \in T: \sum_{i \in I} \sum_{p_2 \in P \cup \{\emptyset\}} f(i, p, t, p_2) = m(p) \cdot a(p, t)$
- 175 2. $\forall p \in P, \forall t \in T: f(1, p, t, \emptyset) = m(p) \cdot g$ ($g \in \mathbb{R}^3$)
- 176 3. $\forall p \in P, \forall t \in T: f(2, p, t, \emptyset) = -k(s(p, t) - s_{eq}(p))$ ($k \in \mathbb{R} +$)
- 177 4. $\forall p \in P, \forall t \in T: f(3, p, t, \emptyset) = -k_s \cdot m(p) \cdot g \cdot \cos(\Theta)$ ($k_s \in \mathbb{R} +$) ($g \in \mathbb{R} +$) ($\Theta \in [0, 360)$)
- 178 5. $\forall p_1 \in P, \forall p_2 \in P, \forall t \in T: f(4, p_1, t, p_2) = k_e \cdot q(p_1) \cdot q(p_2) / |(s(p_1, t) - s(p_2, t))|^2 \cdot (s(p_1,$
 179 $t) - s(p_2, t)) / |(s(p_1, t) - s(p_2, t))|$ ($k_e \in \mathbb{R} +$)

⁵ Since forces (symbolized by f) are 3-dimensional vectors, the right-hand side of the following equalities must also be vectors. In 3 this is already so, given the vectorial difference of positions. In 2 and 4, where the gravitational constant g intervenes, we take g to be a vector (of magnitude 9,81 m/s² in the MKS system, and a downward direction, perpendicular to Earth's surface—or towards the Earth's center from the particle's position, to be more precise). For the electrostatic case (axiom 5), we multiply by the unitary vector $(s(p_1, t) - s(p_2, t)) / |(s(p_1, t) - s(p_2, t))|$ in the direction from one particle to the other. For a technically more elegant formulation, cf, Balzer et al. ch 4.

180 Here, the first law formalizes Newton's Second Principle (SP), the second is the law
 181 of free-fall, the third is Hooke's law for springs, the fourth is the law of static friction for
 182 inclined planes and the last is Coulomb's law.⁶

183 Among the T-concepts, MS distinguishes between T-theoretical and T-non theoretical
 184 concepts. A T-concept is T-non theoretical iff it(s) denotation can be determined/measured
 185 without presupposing any law of T. E.g., space-position and time are MC-non theoretical
 186 concepts for, although sometimes they are determined or measured using dynamical laws,
 187 they can be determined with non-dynamical procedures (e.g., measuring distance by tri-
 188 angulations). A T-concept is T-theoretical iff it is not T-non theoretical, i.e., iff all of its
 189 determination methods presuppose the use of some T-law. E.g., mass and force are MC-
 190 theoretical. This distinction is relative to theories, a concept may be T-non theoretical for
 191 some T and T'-theoretical for some other T' (e.g. "pressure" is Thermodynamics non-the-
 192 oretical but CM-theoretical). We call "partial (potential) models" the result of cutting out
 193 the T-theoretical components from T-potential models and denote their set by $M_{pp}(T)$. E.g.,
 194 partial models of MC are of the form $\langle P, s, T \rangle$, i.e., they are purely cinematic structures
 195 ("the cinematic part" of mechanical models). $M_{pp}(T)$ aims to express the conceptual appa-
 196 ratus used by T but borrowed from previous theories, concepts used by T for describing the
 197 phenomena T wants to account for, whose individuation does not depend on the acceptance
 198 of T-laws. The idea is that T describes the phenomena it wants to explain/account for using
 199 T-non theoretical machinery, and then in order to account for such phenomena T introduces
 200 its own T-theoretical concepts relating them to the T-non theoretical ones through T-laws.
 201 Since phenomena in $M_{pp}(T)$ are determined without assuming any T-law, T's predictions/
 202 tests are fallible.⁷ Some theories are purely phenomenological in that they do not introduce
 203 proper T-theoretical concepts ($M_{pp} = M_p$ in these cases), so their laws simply systematize
 204 phenomena, do not explain them (e.g., Galilean Kinematics or Keplerian astronomy).⁸

205 We call the "(mathematical/formal) core" of T the tuple $K = \langle M_p, M, M_{pp} \rangle$. K contains
 206 the formal part of T:⁹ M_p is the model-theoretic version of the conceptual machinery, M of
 207 the laws, and M_{pp} of the conceptual machinery of the "empirical (testing) basis". Yet, the
 208 core K does not suffice for the individuation of T, for M contains "all" models, intended
 209 as well as non-intended (e.g., $M(CM)$ contains "angelical models" (if any), or for sure
 210 "purely numerical" models (with P being a set of numbers)). For the individuation of T, the
 211 individuation of its "intended applications" (Kuhn's exemplars) is essential. We denote by
 212 "I(T)" this set of intended applications. Members of I(T) are singled out pragmatically, by
 213 means of intentional actions of scientists. Qua members of I(T), intended applications are
 214 described/identified only T-non theoretically, i.e. their set is a specific (pragmatically deter-
 215 mined) subset of $M_{pp}(T)$. E.g., members of I(CM) are specific cinematic systems, such as

⁶FL01 Notice that there is an additional function here (the electrical charge function q), as well as several con-
⁶FL02 stants, that we did not introduce above as part of the basic language / potential models of the theory. This
⁶FL03 was for simplicity's sake. In the computer program below, we load everything at once.

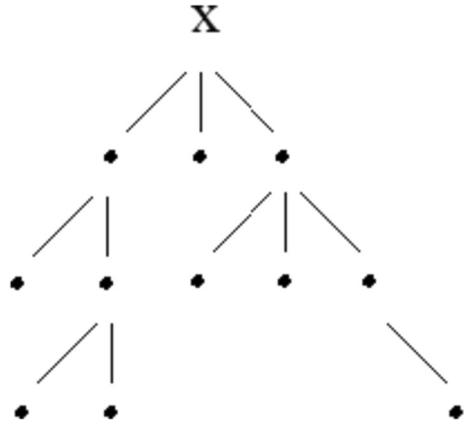
⁷FL01 Since a T-non-theoretical concept may be T*-theoretical relative to different theory T*, T-data may be
⁷FL02 "theory-laden", but laden by a theory that is not the one being tested against this data. This is why there are
⁷FL03 no (local) self-confirmations and T-tests are fallible. Important as these issues are, we are not going to deal
⁷FL04 with them here any further, since nothing in what follows, and in the examples below, depends on it.

⁸FL01 See Díez (2013), Ginnobili and Carman (2016) and Roffé, Bernabé & Ginnobili (in press) for some dis-
⁸FL02 cussions of this point.

⁹FL01 MS also introduces two other sets representing two other kinds of theoretical restrictions on T-models:
⁹FL02 Constraints (C) and intertheoretical links (L), but since nothing in our case depends on these complexities
⁹FL03 we will skip them here.

Is it Possible to Empirically Test a Metatheory?

Fig. 1 Example shape of a theory net



216 space–time trajectories of planets around the sun, of the moon around the earth, of a pen-
 217 dulum, of a canyon-ball, of the body at the end of an elastic string, of a person skiing on a
 218 hill, etc. So, members of $I(T)$ are the “T-facts” or “T-data” to be explained/predicted. Note
 219 that, as members of $M_{pp}(T)$, these intended applications can be identified and measured
 220 without presupposing the validity of T .

221 A Theory Element T is a pair $T = \langle K, I \rangle$. T is not a linguistic entity, but there is a lin-
 222 guistic entity associated with it. The “empirical claim” of T states that I is “embeddable”
 223 under K , that I “fits” with K : for every $x \in I$, there is an actual model $y \in M$ such that the
 224 non-theoretical concepts of y have the same extensions than those of x . That is, the “data”
 225 (i.e., the T-non theoretical values measured in the phenomenon) coincide with the empiri-
 226 cal prediction of the theoretical model (i.e., the T-non theoretical values implied by the
 227 actual model)—which, remember, satisfies all the laws of the theory. E.g.: the empirical
 228 claim of CM states that the specific cinematic systems that belong to $I(CM)$ do actually
 229 have the values they should have if there were masses and forces interacting with space
 230 and time in the specific ways dynamic laws specify. And this empirical claim is fallible, for
 231 remember that T- “data”, i.e., the members of I , are identified/measured independently of
 232 the laws of T .¹⁰

233 At a given time a theory is identified with a (model-theoretic version of) “laws cum
 234 applications” entity. But the notion of Theory Element is too rigid to provide an adequate
 235 representation of that entity, for it does not pay attention to the fact (emphasized by Kuhn,
 236 and others) that “not all laws are at the same level”. Some laws are more important or “cen-
 237 tral” than others. E.g., Newton’s Second Law is very central and applies to all applications,
 238 the general law for elastic movements is less general, and the specific law for the simple
 239 pendulum is still less so. From the five laws presented above, only the first is fundamental,
 240 the rest are all special laws (which apply only to certain types of phenomena). At a given
 241 time, a theory has the structure of an inverted tree-like net, with its central parts/laws at
 242 the top, from where different specialization-branches open down making room for specific
 243 laws for specific applications (Fig. 1).

¹⁰ To avoid confusion later on, remember that this empirical claim describes how *the object theory* (in this case, CM) is to be tested, not the metatheory (structuralism).

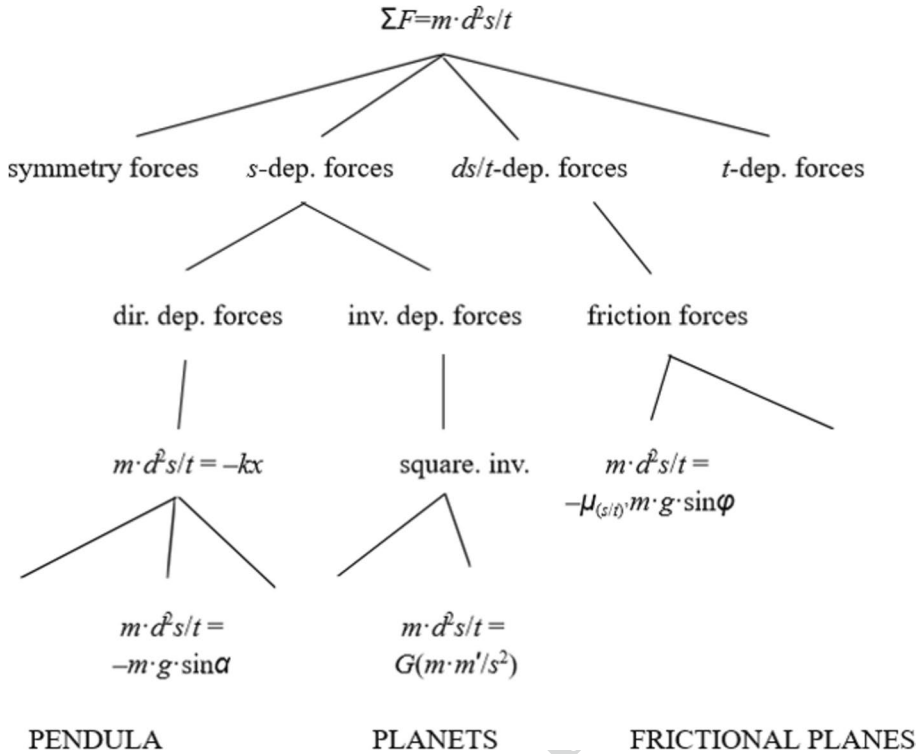


Fig. 2 Partial theory net of classical mechanics

244 The knots of this net are theory elements. A theory element that specializes another
 245 above it imposes additional empirical constraints (additional laws) on the actual models
 246 of the above theory element. So, the sets of actual models of lower elements of the net
 247 are subsets of the sets of actual models of upper elements in their branch. Every ele-
 248 ment of the net has its specific applications so that the sets of applications of upper ele-
 249 ments include at least the applications of lower elements of the branch. We call Theory
 250 Net this tree-like structure made of theory elements connected by this “specialization”
 251 relation. E.g. the theory net of CM has a theory element whose actual models are con-
 252 strained only by Newton’s Second Law (and perhaps the Action-Reaction principle) on
 253 the top. At the second level, different theory elements impose additional constraints.
 254 One element imposes the constraint for all forces dependent on distance, i.e. it opens the
 255 “distance dependent forces” branch. Another imposes a different constraint for all forces
 256 dependent on velocity, opening the “velocity dependent” branch. And so on. At the
 257 third level, the first branch specializes into two additional sub-branches, one for forces
 258 that are directly-dependent on distance and another for forces inversely-dependent on
 259 distance. And so on. So, at the ends of these many sub-branches we find those theory
 260 elements with the more restricted sets of actual models, i.e. we find the most specific
 261 empirical constraints: the gravitation law, simple pendulum law, etc. We can show (part
 262 of) the structure of CM Theory net as follows in Fig. 2 (here ‘d²s/t’ abbreviates ‘d²s/
 263 dt²’).

264 At a single moment, then, in the synchronic sense a theory can be identified with a
265 Theory Net. But as we mentioned, theories, like (almost) everything else, change over
266 time. During its history, in a diachronic sense, a theory can be identified with a sequence
267 of theory nets so that posterior nets come from changes in anterior ones. We call such an
268 entity a Theory Evolution. The changes are a consequence of falsified empirical claims
269 (Kuhn's anomalies), and typically consist of (small) changes in old laws, finding out new
270 specific laws, including new /excluding old applications, and so forth (Kuhn's normal sci-
271 ence). The lower the position of a theory element in the net, the more likely it will be a
272 target of the changes. The higher the position, the more general it is, the less empirical
273 strength it has, the less likely it will be subject to change. The highest theory element is the
274 least open to empirical refutation (e.g. Newton's Second Law is irrefutable taken in isola-
275 tion). These top-net extremely general, quasi-vacuous "laws" typically behave as "guiding-
276 principles" that have empirical import only when combined with other empirically-specific
277 ones (cf. ...). Abandoning a theory (i.e. a theory-evolution) consists in losing the previ-
278 ously held confidence in the possibility of solving those anomalies under the umbrella of
279 its guiding-principle.

280 As we said, for our purposes, in this article, we need not enter in these diachronic com-
281 plications here. We will also deal with a simplified version of CM theory net considering
282 only the Second Law and four of its specializations (the ones formalized above).

283 3 Testing a Reconstruction Using Reconstructor

284 In this section, we illustrate a systematic procedure by which one can test the adequacy of a
285 structuralist reconstruction. Put briefly (since we will expand on this below), the procedure
286 will consist of checking that the laws and applications of the theory "behave", according to
287 theory's competent users, in the same way as their formalized/reconstructed counterparts.
288 That is, that the codification of a theory's application satisfies the formalization of the laws
289 if and only if the relevant scientific community considers the application to be a successful
290 application of the theory. The test will be empirical in the sense that we will actually check,
291 for various particular formalized applications, that the laws are dis/satisfied only when they
292 are so considered by competent users (that is why we will take as examples cases in which
293 the diagnosis by competent users is well known).

294 To carry out this procedure, we will utilize a computer program called Reconstructor to
295 do the necessary calculations. As we shall see below, Reconstructor allows the user to load
296 a structuralist formal reconstruction of a theory (by inputting a language, laws, models,
297 etc.) and to then check, among other things, if a particular structure that interprets the lan-
298 guage satisfies the laws (i.e. is an actual model). The full functionality of Reconstructor has
299 been described in detail somewhere else (Roffé, 2019, 2020), so here we give a brief but
300 sufficient exposition for our purposes. The file containing the loaded reconstruction will be
301 made available as supplementary material (the attached CM.theory file).

302 Before moving on to that, note that this is only one possible procedure for testing the
303 adequacy of formal reconstructions. In other words, this only tests one (of several) aspects
304 in which a reconstruction can be considered in/adequate. We discuss other possible crite-
305 ria/procedures in Sect. 4 below. However, we believe a detailed presentation of the proce-
306 dure at hand can serve as a blueprint for devising other, complementary, tests.

307 In our first illustration, Reconstructor checks a prediction that a mechanical model
308 makes of the position in which a body hanging from a spring reaches equilibrium, that is,

Concept	Domain	Arity	T-Theoretical	Delete
1. l	Domain		<input type="checkbox"/>	Delete
2. P	Domain		<input type="checkbox"/>	Delete
3. T	Domain		<input type="checkbox"/>	Delete
4. Theta	Constant		<input type="checkbox"/>	Delete
5. g	Constant		<input type="checkbox"/>	Delete
6. k	Constant		<input checked="" type="checkbox"/>	Delete
7. k_e	Constant		<input checked="" type="checkbox"/>	Delete
8. k_s	Constant		<input checked="" type="checkbox"/>	Delete
9. a	Function	2	<input type="checkbox"/>	Delete
10. f	Function	4	<input checked="" type="checkbox"/>	Delete
11. m	Function	1	<input checked="" type="checkbox"/>	Delete
12. q	Function	1	<input type="checkbox"/>	Delete
13. s	Function	2	<input type="checkbox"/>	Delete
14. s_eq	Function	1	<input type="checkbox"/>	Delete

Fig. 3 Language of the theory entered into Reconstructor

309 stops after descending when gravitation and recuperation forces balance each other. This
 310 equilibrium model is then an application of two specialization branches, gravitation and
 311 Hooke's.

312 To make things simpler to follow, we will be inputting a simplified version of CM as an
 313 example. Firstly, the program allows us to define a language, as well as choose the lexical
 314 categories of the language items in question (Fig. 3).

315 Note that we must enter the entire language of the theory at once (including all the con-
 316 stants used in all the special laws) for the program to be able to verify that the (fundamen-
 317 tal and special) laws we enter next are well-formed formulae. Also note that we entered an
 318 acceleration function. As said above, this is not a primitive but a defined concept of the
 319 theory (the second derivative of space over time) and we could do without it, but it will be
 320 very convenient to have it at disposal of the program.

321 Once this is done, the user can load axioms (as either improper axioms or proper axi-
 322 oms— fundamental or special laws). In structuralist jargon, all the above would be referred
 323 to as defining the classes of potential and actual models and providing the theory-net. For
 324 example, the following Figs. 4 and 5 show how one can load the Second principle (SP) and
 325 Hooke's law in the program.

326 The syntax for the axioms is designed to closely resemble the one that structuralists use
 327 in practice but making it easy to input with a QWERTY keyboard (see Roffé, 2020, for a
 328 complete specification of the syntax).¹¹

¹¹FL01 Note the automatic coloring of parenthesis to make it easier to keep track of them, as well as the fact that
¹¹FL02 the program ignores whitespaces.

Is it Possible to Empirically Test a Metatheory?

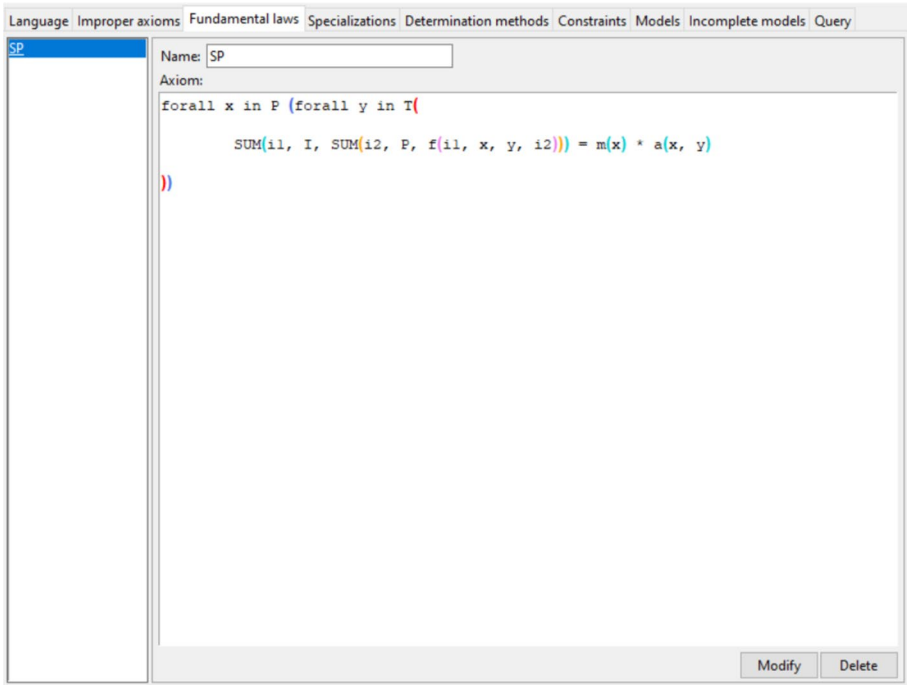


Fig. 4 Newton's second principle entered into Reconstructor

329 Once this is done, one may load particular models (in the sense of structures that inter-
 330 pret the language, which may or may not satisfy the laws). The interpretations we shall load
 331 codify different solutions for each of the following three (possible) applications of CM.¹²

332 In our first example, we attach a body of mass 1 kg to a massless spring, with a spring
 333 constant of $10\text{N}\cdot\text{m}^{-1}$ (Fig. 6). The body's mass center is located at the spring's equilibrium
 334 point s_{eq} , which we denote as position 0 (in this and next, simplified examples, we take
 335 space to be single-dimensional; in this case positive numbers will indicate downward while
 336 negative numbers will indicate upward directions).

337 According to the law of free-fall, the gravitational force acting on the body will
 338 be $9,8\text{N}$. When the body reaches equilibrium at time t_1 , its acceleration will be 0, and
 339 according to the Second Law the sum of all acting forces must be 0 as well. Since the
 340 gravitational force of $9,8\text{N}$ is still present, the elastic force that counterbalances it must
 341 be $-9,8\text{N}$. Since, for this particle p , Hooke's law tells that it suffers a recuperation

¹² Note that, in the following examples, the intended applications are accounted for by combining theoret-
 12FL01 cal laws that belong to different specialization branches of the theory-net (e.g., free fall and Hooke laws),
 12FL02 which makes them technically "conjoin subspecializations". Whether these conjoin subspecializations may
 12FL03 be represented in the theory-net as new "terminal" specializations, or it is better to consider their combina-
 12FL04 tion simply as a case of a practical joint application, is an interesting intra-structuralist issue we cannot
 12FL05 enter here (just briefly: it is one thing to use different specializations to account for one intended applica-
 12FL06 tion, it is another quite different thing to further specialize two different theory elements by conjoining their
 12FL07 laws and adding some new ones, as it happens in a complete reconstruction of CM—as it is done e.g. in
 12FL08 Balzer, Moulines & Sneed, 1987, ch. 4). Nothing, though, hinges on that for our present concerns.
 12FL09

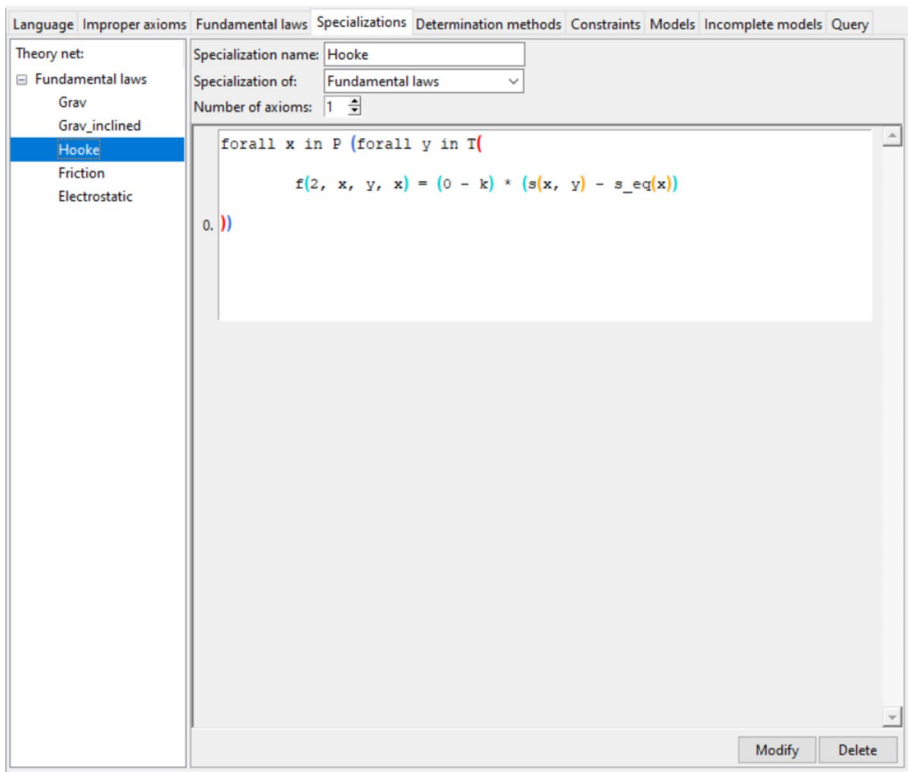
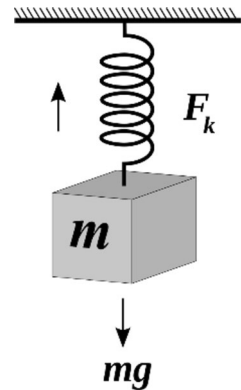


Fig. 5 Hooke's law entered into Reconstructor

Fig. 6 Graphical representation of our first example



342 force $-k \cdot (s(p, t_2) - s_{eq})$, we obtain $9.8\text{N} + (-10\text{N}\cdot\text{m}^{-1} (s(p, t_2) - 0)) = 0$, and we can infer
 343 that the correct solution for the equilibrium point should be $s(p, t_2) = 0.98\text{ m}$. Now sup-
 344 pose that we have two proposed solutions for the equilibrium, one 0.98 m and the other
 345 1 m . Figure 7 shows the first of these two (potential) models loaded into Reconstructor:

Is it Possible to Empirically Test a Metatheory?

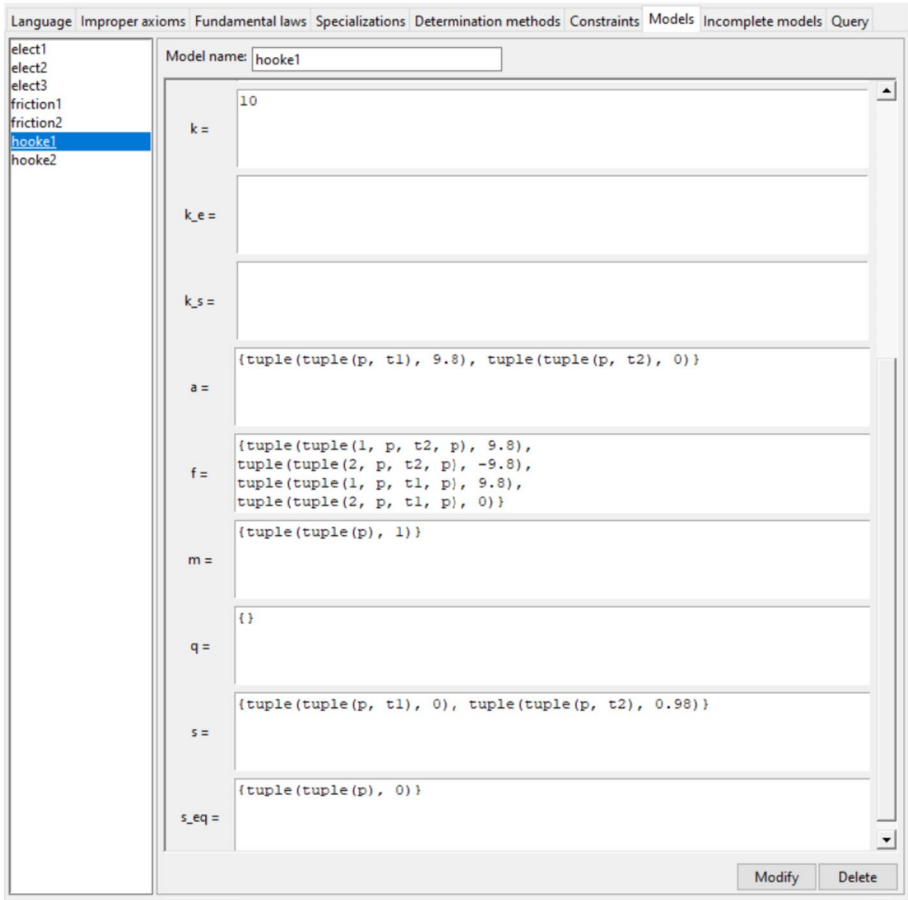


Fig. 7 A particular interpretation entered into Reconstructor. Notice that in the “Models” tab of the program one loads interpretations for the language which may or may not satisfy the laws (i.e. they may not be actual models of the theory)

346 Note that the constants pertaining to frictional or electrostatic models were left with an
 347 empty interpretation.¹³

348 All this is useful because one may then query the program for whether the given
 349 interpretations satisfy the laws (i.e. if the interpretation is a potential and/or an actual
 350 model of the theory). For instance, Reconstructor determines that the first interpretation
 351 above (the one that has a correct value for the final position, named “hooke1” in the sys-
 352 tem) satisfies both the second principle and Hooke’s law, while the second (the one that

¹³ If, later on, we ask Reconstructor to evaluate sentences in this model that make use of those constants, they will likely get the indeterminate value as an output. In fact, Reconstructor uses a 3-valued paraconsistent logic to evaluate sentences in models. The semantics are such that for classical inputs, the program always returns classical values (true and false), but we might get the indeterminate value if some denotation is empty or incomplete. See Roffé (2019, 2020) for a complete specification of the semantics that the program uses.

The screenshot shows a software interface with a menu bar at the top containing: Language, Improper axioms, Fundamental laws, Specializations, Determination methods, Constraints, Models, Incomplete models, and Query. Below the menu bar are three buttons: Print valuation log, Save log, and Clear. The main area contains four query results, each separated by a dashed line. The first two queries are for 'hooke1' and the last two are for 'hooke2'. Each query asks if the model satisfies fundamental laws or specializations. The results show 'hooke1' satisfies both, while 'hooke2' satisfies fundamental laws but not specializations. At the bottom, there is a form with 'Item:' set to 'Model', a dropdown menu showing 'Model', and a text field containing 'hooke2'. Below that, 'Query:' is set to 'Satisfies?' and a dropdown menu shows 'Specializations'. A 'Submit' button is at the bottom right.

```

QUERY: Model: hooke1, Satisfies fundamental laws?

RESULT:
    SP: True

GLOBAL RESULT: True
ELAPSED TIME: 0.01 seconds

-----
QUERY: Model: hooke1, Satisfies specializations?

RESULT:
    Electrostatic: True
    Friction: Indeterminate
    Grav: True
    Grav_inclined: Indeterminate
    Hooke: True

GLOBAL RESULT: Indeterminate
ELAPSED TIME: 0.09 seconds

-----
QUERY: Model: hooke2, Satisfies fundamental laws?

RESULT:
    SP: True

GLOBAL RESULT: True
ELAPSED TIME: 0.03 seconds

-----
QUERY: Model: hooke2, Satisfies specializations?

RESULT:
    Electrostatic: True
    Friction: Indeterminate
    Grav: True
    Grav_inclined: Indeterminate
    Hooke: False

GLOBAL RESULT: False
ELAPSED TIME: 0.17 seconds
    
```

Item:

Model

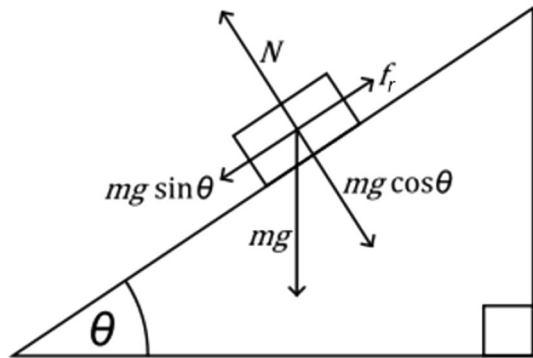
Query:

Fig. 8 Responses to queries of whether the two interpretations, *hooke1* and *hooke2*, satisfy the fundamental and special laws. The output of the program appears in the big white box at the top. (The reason why the law of electrostatics comes out true is simply that (as we shall see below) it applies to at least two different particles, i.e. the law begins by stating “for all p_1 in P , for all p_2 in P (if $p_1 \neq p_2$ then ...)”). Since these interpretations contain only one particle, the antecedent of the conditional is always false, and the law comes out as (vacuously) true. Nothing substantive for our case hinges on this, though.)

353 has an incorrect value for the final position, named “*hooke2*”) does not satisfy Hooke’s
 354 law (see Fig. 8). We could also load a third interpretation that satisfies Hooke’s law but
 355 does not satisfy the second principle, with the same incorrect distance (by changing the
 356 denotation of the force function, we leave this as an exercise to the reader).

Is it Possible to Empirically Test a Metatheory?

Fig. 9 Graphical representation of our second example



357 This is exactly what we would expect. The first interpretation depicts/codifies a situation
 358 where the laws of the theory hold, while the second depicts/codifies a situation where they
 359 do not hold. In fact, this is one of the procedures that can be used to test the adequacy of a
 360 formal reconstruction. Interpretations that represent paradigmatically successful applica-
 361 tions of the theory should satisfy the formalization of the laws; and vice-versa, interpreta-
 362 tions that codify non-successful applications should not satisfy all the laws.

363 Our second illustration involves an application of dynamical equilibrium to frictional
 364 planes. To simplify the exposition, we do not take a case of kinetic friction that may depend
 365 on velocity but a case of static friction that depends only on the surface. In this case actual
 366 models are the potential models that satisfy the Second Law, the action-reaction principle,
 367 the free fall gravitation law and the static friction law. These actual models are again appli-
 368 cations of different specializations within CM's theory net under the Second Law as the
 369 common general principle.

370 Now what Reconstructor checks is the prediction that a mechanical model makes of
 371 the friction coefficient of an inclined plane, such that any lower value will cause a body to
 372 descend. The system involves three forces, the gravitational weight that decomposes into
 373 one component parallel to the plane and other component perpendicular towards the plane;
 374 the normal reaction force N opposite to the latter gravitational component, and the fric-
 375 tional force $f_r = k_s \cdot N$ that depends on the static coefficient of friction k_s for the given sur-
 376 face (Fig. 9).

377 The limit point before the particle starts moving is the equilibrium point in which, given
 378 the Second Law, all forces add up to 0. Since the normal force N is equal to $-m(p) \cdot g$
 379 $\cdot \cos(\Theta)$ (i.e. the reaction of the component of the gravitational force orthogonal to the
 380 plane), the equilibrium reduces to the case in which f_r equals the component of the gravi-
 381 tational force parallel to the plane: $m(p) \cdot g \cdot \sin(\Theta) = -k_s \cdot N$ (here we take the center of
 382 mass in equilibrium as the 0 spatial point and downward parallel to the plane as positive
 383 and upward as negative displacements).

384 To load all this into Reconstructor we must be careful, since computers oper-
 385 ate with floating point arithmetic, which may introduce slightly incorrect results for the
 386 usual trigonometric operations. Thus, the law is loaded as $|f(4, p, t, \emptyset) - k_s \cdot m(p) \cdot g \cdot$
 387 $\cos(\Theta)| < 0.00000000001$.

388 To take some concrete values (i.e. to add some particular interpretations), let the body
 389 have a mass of 1 kg and the angle Θ be 30° . Since $\sin(30^\circ) = \frac{1}{2}$, the gravitational force
 390 acting on the body will be 4.9N. Again, since it is in equilibrium, its acceleration will
 391 be 0, and according to the Second Law the sum of all acting forces must be 0 as well.
 392 Hence, friction must be acting with a force of -4.9N . Thus, we get that $f_r = k_s \cdot N = -4.9\text{N}$.

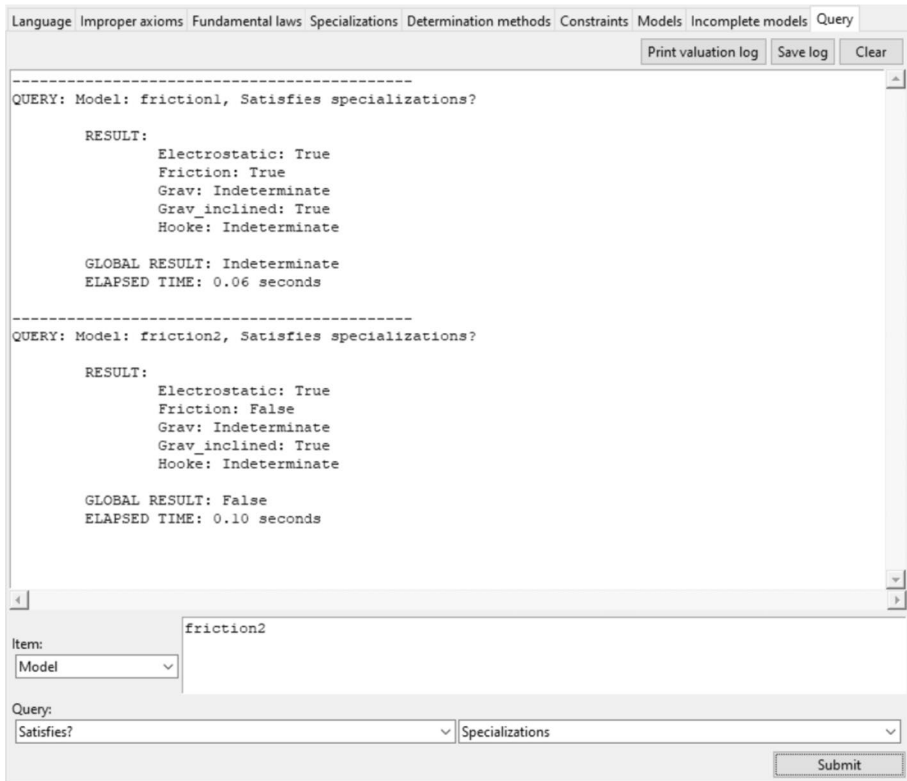


Fig. 10 Responses to queries of whether the two models, friction1 and friction2, satisfy the special laws

393 Therefore, $4.9N = k_s - m(p) \cdot g \cdot \cos(30^\circ)$. The solution for k_s will be $1/(2 \cdot \cos(30^\circ))$, which
 394 is approximately 0.5773502691896257. This (potential) model is loaded with the name
 395 “friction1” into Reconstructor. A second (potential) model, called “friction2” is identical
 396 but has an incorrect value of 0.5 for k_s .

397 If, once again, we ask Reconstructor whether these two interpretations satisfy the spe-
 398 cial laws, we get the expected output (Fig. 10).

399 The fact that Reconstructor outputs the values we expect it to, is, once again, evidence
 400 that the reconstruction is adequate (more on this in the next section).

401 According to MS, both cases are different applications of several specializations of the
 402 Second Law. This means that, in both cases, a failing prediction would not be fixable by
 403 changing the Second Law, since this would affect models in other specialized branches that
 404 also presuppose it. It would be possible, however, to change some other more specific laws
 405 while preserving the Second Law. One could say that the same applies in these two cases
 406 with respect to the gravitational free-fall law, since we would not be permitted to change it
 407 in one case but not in the other. This is so only by the nature of the examples chosen, since
 408 both make essential use of the free fall force/weight too. However, this is not the case in
 409 general, as the following example, in which the free fall law plays no role, shows. In this
 410 case, we have two springs with two particles with electrical charges of different sign, hori-
 411 zontally exerting attractive electrical force pulling each other according to Coulomb’s law;

Is it Possible to Empirically Test a Metatheory?

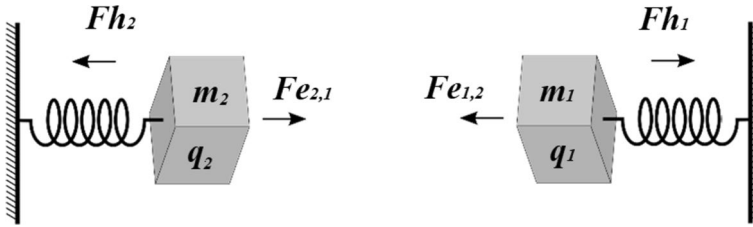


Fig. 11 Graphical representation of our third example

412 here weight and friction are negligible, and the only other force intervening is the recupera-
 413 tion elastic force of the springs. The free fall law then plays no role but the Second Law
 414 still does (Fig. 11).

415 We have, on a frictionless surface, a particle p_1 of mass m_1 and positive charge q_1 at
 416 the extreme of the right spring with elasticity constant k_1 , and a particle p_2 of mass m_2 and
 417 negative charge q_2 at the extreme of the left spring with elasticity constant k_2 . With given
 418 values for charges and elastic constants, and taking the 0 point as the initial position of p_2 ,
 419 and 102 m as the initial position of p_1 , at initial time t_1 ; the problem to solve is to find out
 420 the positions in which each charge reaches equilibrium at final time t_2 , that is the displace-
 421 ment x (final minus initial positions, that is $s(p, t_2) - s(p, t_1)$) of each particle for reaching
 422 equilibrium. Since there is no friction, weight has no dynamical effect and as we said the
 423 only relevant forces are the electrostatic and the elastic forces acting on each particle. Thus,
 424 here actual models are potential models satisfying Coulomb's and Hooke's laws and the
 425 Second Law.

426 In this situation, and given the Second Law, each particle i reaches equilibrium when
 427 the electric force $f_e = 9 \cdot 10^9 \text{ (N} \cdot \text{m}^2 \cdot \text{C}^{-2}) q_i \cdot q_j / \text{dist}_{ij}^2$ it suffers and the elastic recuperation
 428 force $-k_i \cdot x$ of its spring add up to 0.¹⁴ For the sake of simplicity, we take both masses
 429 to equal 1 kg, the charges to be of opposite sign and equal module, say 0.01 C for p_1
 430 and -0.01 C for p_2 , and the springs to have equal elastic constants, say $k_1 = k_2 = 90 \text{ N} \cdot \text{m}^{-1}$.
 431 In such conditions, the displacements of x_1 and x_2 before equilibrium coincide. With these
 432 specific values, we obtain $x_1 = x_2 = 1$ m; that is, the final equilibrium positions are $s(p_1,$
 433 $t_2) = 101$ and $s(p_2, t_2) = 1$.

434 Once loaded into Reconstructor (with the name "elect1"), the program outputs that this
 435 interpretation satisfies both the second principle, Coulomb's law and Hooke's law. If, how-
 436 ever, one modifies some values (for instance, the final positions or the charges of the parti-
 437 cles) then some laws come out false in the program. This, again, is exactly what we would
 438 expect.

439 An example of what we just mentioned can be found in the complementary CM.theory
 440 file. There, we also load an interpretation, named "elect2", that modifies the charges to
 441 0.001C and -0.001 C, which does not satisfy Coulomb's law (because the correspond-
 442 ing electrostatic forces would need to be 0.9N and -0.9 N instead of the loaded 90N
 443 and -90 N).

444 To illustrate how Reconstructor represents the centrality of the Second Law, as the
 445 structuralist metatheory claims, consider the following scenario. Imagine a scientist that

¹⁴ In Reconstructor, we multiply the previous version of Coulomb's law by a factor of $(s(p_1, t) - s(p_2, t)) / |s(p_1, t) - s(p_2, t)|$. This is just to give the corresponding force a direction.

looks at an empirical setup similar to the above, with the two bodies at equilibrium 100 m apart, and she measures (independently of CM) that the electrical charges of the bodies are 0.001C and -0.001C . She then has at least three options to fix this situation: (i) consider her data to be incorrect (perhaps she measured the charges or the distance incorrectly), (ii) consider either Coulomb's or Hooke's law to be incorrect / in need of modification and (iii) consider the Second Law to be incorrect / in need of modification. Of course, these options are non-exclusive, but for simplicity's sake let us consider that our scientist wants to change as little as possible in the theory, so she will only be willing to take one of those routes.

Assume she is convinced that here data are correct. Option (ii) could consist of, for example, changing Coulomb's law to the following:

$$5b. \forall p_1 \in P, \forall p_2 \in P, \forall t \in T : f(4, p_1, t, p_2) = k_e \cdot \mathbf{100} \cdot q(p_1) \cdot q(p_2) / (s(p_1, t) - s(p_2, t))^2$$

Thus, the electrostatic forces will be 90N and -90N and all will be well (the bodies are at equilibrium, with the springs counteracting them).

Option (iii) would entail leaving Coulomb's law as is and changing the Second Law. Since Coulomb's law is unmodified, the electrostatic forces will actually be 0.9N and -0.9N . Thus, for the bodies to be at rest (to have zero acceleration), the Second Law will need to be changed to something like:

$$1b. \forall p \in P, \forall t \in T : \left| \sum_{i \in \mathbb{N}} \sum_{p_2 \in P \cup \{\emptyset\}} f(i, p, t, p_2) \right| - \mathbf{89.1N} = |m(p) \cdot a(p, t)|$$

What will obviously happen if one goes down this last path is that many more applications of the theory will be affected, including those that have nothing to do with electrostatic forces (such as the two previous applications above).

All this is illustrated by Reconstructor. One can actually load these two modified laws and test what happens with the various models one has. For instance, if we modify Coulomb's law as above, then the models "hooke1" (the model from the first situation, with all values correct), "friction1" (the model from the second situation, with all values correct) and "elect2" (the interpretation with 0.001C and -0.001C charges, and 90N and -90N forces) all come out as satisfying the specializations and fundamental laws.

If, however, one changes the Second Law instead of Coulomb's law (we now test a model "elect3" with 0.001C and -0.001C charges, and 0.9N and -0.9N forces), the following happens (Fig. 12):

Notice that the interpretation "elect3" satisfies both the modified version of the Second Principle ("SP_modified") as well as Coulomb's and Hooke's law, and all is well *for this particular model*. However, the interpretations "hooke1" and "friction1" now do not satisfy the modified version of the Second Law.

Thus, MS predicts, and the MS program in Reconstructor implements, that in case of anomalous, failing predictions, CM scientists will first try to fix them by changing special laws instead of the Second Law. This (meta) prediction might fail, though, if scientists started by trying more radical solutions. For instance, in the case of the anomaly of Mercury, CM scientists could have tried to fix it by changing the Second Law accordingly *only for the case of Mercury*. But this never happened, and instead they tried several alternatives (the postulation of Vulcan, of celestial dust claws, even the modification of the gravitational law—see Giné, 2008), but within CM they never tried to change the Second Law (actually, following Kuhn, one can say that working within CM consists, among other things, in being committed to fix anomalies without changing the Second Law).

Is it Possible to Empirically Test a Metatheory?

Language Improper axioms Fundamental laws Specializations Determination methods Constraints Models Incomplete models Query

Print valuation log Save log Clear

```

-----
QUERY: Model: hookel, Satisfies fundamental laws?

RESULT:
  SP: True
  SP_modified: False

GLOBAL RESULT: False
ELAPSED TIME: 0.03 seconds

-----
QUERY: Model: friction1, Satisfies fundamental laws?

RESULT:
  SP: True
  SP_modified: False

GLOBAL RESULT: False
ELAPSED TIME: 0.03 seconds

-----
QUERY: Model: elect3, Satisfies fundamental laws?

RESULT:
  SP: False
  SP_modified: True

GLOBAL RESULT: False
ELAPSED TIME: 0.06 seconds

-----
QUERY: Model: elect3, Satisfies specializations?

RESULT:
  Electrostatic: True
  Friction: Indeterminate
  Grav: Indeterminate
  Grav_inclined: Indeterminate
  Hooke: True

GLOBAL RESULT: Indeterminate
ELAPSED TIME: 0.19 seconds

```

Item: elect3

Model

Query: Satisfies? Specializations

Submit

Fig. 12 Responses to various queries including the modified version of the second principle (SP_modified)

493 As said above, one can postulate different procedures to check the adequacy of other
 494 aspects of formal reconstructions. The procedure just outlined looks mainly (though not
 495 only, as we will discuss in the next section) at the formalization of the laws and the
 496 construction of the (potential) models. Other procedures could look at the determination
 497 methods, intertheoretical links, constraints, etc. Reconstructor could also facilitate the
 498 execution of some of those other possible tests, but we shall not go into these complica-
 499 tions here (for that, see Roffé, 2020). In the next section, we discuss some philosophical
 500 issues related to these reconstruction tests.

501 4 Discussion

502 4.1 Holism

503 The first point to note is that the above empirical test of a formal reconstruction (and indi-
504 rectly, of the metatheory with which this reconstruction has been built) is as subject to the
505 phenomenon of holism as any test of any empirical theory.

506 To see this, suppose that the procedure delineated in the previous section fails. That is,
507 that Reconstructor determines that at least one of the laws of the theory is false for an inter-
508 pretation (i.e. a potential model) we informally believe should satisfy them. For instance,
509 suppose that in Fig. 8, Reconstructor outputs that the interpretation $hooke_2$ does satisfy
510 Hooke's law (i.e. it outputs "true" instead of "false"). What must we conclude out of this
511 fact?

512 A first answer would be that our reconstruction is inadequate. But, and here the phe-
513 nomenon of holism makes a first appearance, it could be inadequate in different ways.
514 Firstly, it could be the case that we informally thought about the law correctly but formal-
515 ized it incorrectly. For instance, perhaps we informally thought "the sum of forces for a
516 given particle at a given time equals its mass times its acceleration at that time" but then
517 used a product instead of a sum. This would be an error in the move from an "informal
518 reconstruction" to a formal one, a formalization mistake.

519 It could also be the case that the informal reconstruction was wrong in the first place,
520 and that the formal law was a correct formalization of this inadequate initial understanding.
521 Or it could be that *both* our informal understanding and its corresponding formalization are
522 inadequate. The point is that a failure in a test like that of Sect. 3 tells us that there is some-
523 thing wrong somewhere, but it doesn't tell us precisely where. We are *at the same time* test-
524 ing our informal understanding of the theory and its formal representation.

525 And this is not all that we are testing. Note that we also translated the informal descrip-
526 tion "we attach a body of mass 1 kg to a massless spring, with a spring constant of
527 $10N \cdot m^{-1}$..." into a formal model (a set-theoretic structure with domains, relations, and so
528 on). It could also be the case that both the informal and formal understanding of the laws
529 were correct, but that our error lied in the construction of the model. Or, again, there could
530 be mistakes in any combination of these steps. And, again, the procedure outlined above
531 would not tell us where the error lies.

532 Or, still, the error could lie in Reconstructor itself, so that the informal understand-
533 ing, the formalization of the laws and the construction of the formal model are all ok, but
534 the program contains a bug in its code. Likewise, the code of the program itself could be
535 ok, but the error could lie in the programming language it was written in, or even in the
536 hardware of the system it is running on.¹⁵ In the same way, if the calculations were done
537 by hand instead of by a computer, correct execution of the calculations is what would be
538 presupposed.

539 Finally, as Quine (1951) and others have noted, mathematics and/or logic are also at
540 stake in these tests, although modifying them would come at a great cost, so we tend not to

¹⁵ As a side note, the second point is worth mentioning since the use of computer programs in science
is ubiquitous, and computational tools are not the kind of thing that is usually thought of as being a part
of what is being tested in discussions of holism. Also, to reassure the reader, both Reconstructor and the
programming language it is written in (Python) have been extensively tested (which is not to say that some
corner cases may originate bugs).

541 take this route. All the above shows that when testing a reconstruction (and a metatheory)
542 the phenomenon of holism is as present as in any other test of any empirical theory.

543 Also note that if Reconstructor does behave as we expect (i.e. for a particular recon-
544 struction, it outputs “true”/ “false” when we expect it to) we cannot definitely conclude
545 that the reconstruction is adequate, for it is possible that there exist two errors that coun-
546 terbalance each other. Disappointing as this underdetermination might seem, it has nothing
547 specifically to do with our case, it is just a feature as characteristic of metatheories as it is
548 of empirical theories.

549 4.2 Circularity

550 A second interesting point is the following. Putting aside the issue of holism, let us assume
551 for a moment that all the background knowledge is fixed (the code works as expected, the
552 translation of the empirical situation into a model is adequate, etc.) and that all we are
553 testing is the adequacy of the laws. One could argue that, even then, the test is circular,
554 because Reconstructor is built using the structuralist metatheory. So, it seems that we are
555 testing Structuralism with a program that assumes it.

556 Our first straightforward answer would be that the computational design of Reconstruc-
557 tor does not actually assume Structuralism. All it does is some basic logic stuff. As we saw
558 in Sect. 3, all it does (or at least all we used) is to allow one to define a language, axioms
559 and interpretations for that language, and check if the models satisfy the axioms. Structur-
560 alism is much more than this.

561 The objector could insist that there are more assumptions baked into Reconstructor. For
562 instance, the fact that all (fundamental and special) laws can be evaluated in all models.
563 One cannot (as was exemplified above with the case of Mercury) load a modified version
564 of the second law and tell the program that it should only be evaluated in one model. In
565 other words, one cannot change the laws from one model to another. To this we would
566 reply that, although it is true that this is more than a purely logical assumption, it still
567 does not presuppose a specifically structuralist thesis, but something much weaker shared
568 by many metatheoretical frameworks (we could call it trans-modelic nomological coher-
569 ence). We then affirm that the program itself does not assume specific structuralist (meta)
570 principles, although one of course can use it in a way that mirrors structuralist ideas. For
571 instance, concepts that are used only in some special laws were left with an empty interpre-
572 tation in models that represent cases in which they do not apply. In contrast, the concepts
573 that pertain to the fundamental law are always assigned a denotation, because we want the
574 fundamental law to always come out true. Notice that the above-mentioned fact that chang-
575 ing the Second Law will affect all models (as a consequence of the way we load them), but
576 changing a special law will not, is not a feature of the program, but of the way we use it,
577 following the structure of CM itself as MS understands it.

578 This issue is related to another relevant point. If all Reconstructor does is some basic
579 logic stuff, are we only testing the move from an informal understanding to a formal
580 reconstruction? Let us clarify this. A usual *modus operandi* of structuralists when mak-
581 ing a reconstruction is to first think informally about the concepts, laws, etc. of the theory,
582 and only then codify them into formal axioms and theory structure. This last part, one
583 may object, seems like the only thing we did in Sect. 3. Although according to the above
584 description of what the program does it could seem that it merely checks the final part of
585 this process, this is not so. As noted in the previous subsection, the result that Reconstruc-
586 tor outputs is a function not only of the adequacy of the formalization per se, but of all the

587 steps involved before it (including the correct informal understanding of the theory and its
588 informal reconstruction). So even if the program itself only does some basic logic calcula-
589 tions, it is testing the output of a more complex series of steps.

590 4.3 Predictions

591 So far, we have shown that metatheories (Structuralism in particular) are empirically testa-
592 ble. We also have argued, in Sect. 4a, that they resemble tests of scientific theories in being
593 subject to holism. One additional point in which metatheories could be similar to empirical
594 theories is related to the predictions both make. In a typical test of an empirical theory, one
595 makes a prediction and then “observes” (in some sense) if it holds or not. The additional
596 point worth considering is this: What is the prediction at stake in a (meta-)test like this
597 (if any)? The question can be restated in approximately this way: if one were to consider
598 Structuralism as an empirical framework, what would it tell us we should find about which
599 parts of our experience?

600 This is a difficult question, and one for which we do not yet have a complete answer.
601 But part of the answer is clear. For instance, it is clear that the domain of application of
602 Structuralism (what it speaks about) are standard expositions of empirical theories, as they
603 can be found in textbooks and specialized papers. That is what the metatheoretician takes
604 as their “observed” input when they face the task of building a reconstruction. What struc-
605 turalism tells us is that, given a standard exposition, we should find, among other things,
606 the following:

- 607 1. Potential and actual models (this is like saying a language and some laws).
- 608 2. An application of the theory, represented as a potential model, should satisfy the fun-
609 damental and special laws if and only if the application is informally considered to be
610 successful.
- 611 3. If the theory is nontrivial, then the actual models should be a proper subset of the
612 potential models (at least in the terminal specializations, see below). That is, non-trivial
613 theories should put some restriction on what can happen.
- 614 4. If the theory unifies many different types of phenomena, then it should include some
615 special laws, that restrict the actual models differently for each branch under a common
616 theory element. Moreover, this process is recursive, as special laws can be added to
617 restrict already specialized theory elements, forming a tree-like structure (in structuralist
618 terms, a theory-net).
- 619 5. If the theory is explanatory and not purely phenomenological, then the potential models
620 should be ampliative over the partial models.¹⁶
- 621 6. The fundamental law is rarely put into question by the users working within the theory. If
622 an application apparently falsifies the theory, scientists will attempt to restore empirical
623 adequacy by modifying specialized elements first.¹⁷

¹⁶FL01 This is debatable and assumes Díez’s account of explanation (see Díez, 2013). There is also a debate as
¹⁶FL02 to whether all or some of the new concepts in the *explanans* have to be T-theoretical, see the papers men-
¹⁶FL03 tioned in footnote 8.

¹⁷FL01 This is not to say that fundamental laws are never revised, but only that when they are, then, in Kuhnian
¹⁷FL02 terms, a scientific revolution is taking place (i.e. the researchers are not working with the same theory any-
¹⁷FL03 more).

Is it Possible to Empirically Test a Metatheory?

624 If something like the above is what structuralism is about, then the prediction(s) being
625 made are clearer (given a standard exposition, they consist in at least those six statements).
626 Note that 2 and 6 look a lot like what we postulated as the testing procedure of Structural-
627 ism in Sect. 3. As was emphasized at various points in the paper, one could perhaps devise
628 other testing procedures for structuralism using the other predictions.

629 Also note that these predictions are expressed in the specific formulation they assume in
630 the structuralist metatheory. One could perhaps find equivalents to some of them in other
631 metatheories (e.g. prediction 6 in Kuhnian or Lakatosian frameworks; prediction 2 in any
632 metatheory that attempts to use formal tools to reconstruct empirical theories)¹⁸—which
633 is unsurprising, since MS takes inspiration from some of these other accounts. Debatably,
634 the tests we introduced in this paper can be seen as confirming not just MS but also other
635 metatheoretic proposals as well (again, one would have to see if the specific formulations
636 of the relevant predictions in them allows this conclusion). In contrast, other predictions
637 (e.g. numbers 4 and 5, especially the discussion mentioned in footnote 17) are unique to
638 MS. If one's goal was to make a crucial test between different metatheories (which is not
639 our goal here) then these kinds of unique predictions would take a special importance.

640 5 Conclusions

641 Philosophical metatheories like Sneedian Structuralism intend to speak about parts of
642 our socio-cultural reality (empirical theories). As such, they should be subject to rigorous
643 empirical testing, in the same way that any other systematic body of empirical knowledge
644 is. Additionally, if one takes either an empiricist or a naturalistic stance, the question of
645 empirical testability of metatheoretical products becomes more pressing. In this paper, we
646 have attempted to illustrate how a systematic testing procedure for metatheories might look
647 like. As a case study, we focused on Structuralism as our metatheory, but the procedure can
648 be easily adapted to other metatheories. We began by showing how an empirical theory,
649 CM, can be formally reconstructed within MS, and used the example to briefly introduce
650 some central tenets of structuralism itself.

651 We presented the testing procedure, together with a computer program (Reconstructor)
652 that can aid in performing the necessary calculations. The general idea for the procedure
653 is to check whether the formal translation of our informal understanding of the theory
654 behaves as we expect. In more specific structuralist terms, to see if the translation of an
655 informally successful application into a model satisfies the formalization of the laws (and
656 vice-versa for unsuccessful ones). The way in which Reconstructor helps with this pro-
657 cess is by allowing one to load a formal language, laws and models, and also to query for
658 whether the models satisfy the laws, all with a syntax close to the one used in practice by
659 structuralists and with a friendly graphical interface (that does not assume the user knows
660 how to code).

661 Note that the issue of the empirical testability of metatheories and the specific test-
662 ing procedures we have proposed are in principle independent of the computer program
663 we presented to implement/aid those tests. All the necessary calculations could be made
664 by hand, although that would be both more prone to error and incredibly tedious, since
665 it would involve recursively calculating thousands of valuations (the reader can click the

¹⁸18FL01 We thank an anonymous reviewer for bringing this to our attention.

666 “Print valuation log” in the Query module to see just how many valuations are being cal-
667 culated by the program). We hope that the practical usefulness of the program has been
668 thoroughly illustrated in the examples above.

669 We have shown that the proposed empirical tests for metatheories suffer from the same
670 holistic underdetermination we find in empirical tests of standard (i.e., non-meta-) empiri-
671 cal theories: a failure in the procedure does not locate where error lies (it could be in the
672 formalization of the laws, in the representation of the application as a model, in our infor-
673 mal understanding of the theory, in the computer code, etc.). We have also argued that,
674 though holistic, the test is not circular: the Reconstructor program does not presuppose
675 specific structuralist principles; even if it does presuppose some metatheoretical theses
676 (e.g. what we called transmodelic nomological coherence), these are a lot weaker than spe-
677 cific structuralist tenets.

678 Finally, with regard (meta)predictions, that is the aimed agreement between what the
679 metatheory predicts and what we “observe” in scientific practice, we identified six central
680 structuralist theses from which (meta)theoretical predictions can be derived, and pointed to
681 two among them on which our testing procedure focused. We conclude that, other differ-
682 ences notwithstanding, our case study offers several interesting respects in which testing
683 meta-empirical theories is relevantly similar to testing standard empirical theories.

684 6 Ethical Statement

685 All authors certify that they have no affiliations with or involvement in any organization or
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